COMPREHENSIVE REGIONAL IMPLEMENTATION PLAN FOR AVIATION SECURITY AND FACILITATION IN AFRICA (AFI SECFAL PLAN)

THIRD AFI SECFAL PLAN STEERING COMMITTEE MEETING
(Malabo, Equatorial Guinea 1 July 2016)

Agenda Item 1: Review of the implementation of the AFI SECFAL Plan Work Programme and the Decisions and Recommendations of the Second meeting of the Steering Committee

(Presented by AFI SECFAL Plan Secretariat)

EXECUTIVE SUMMARY

This paper presents information on the status of implementation of the AFI SECFAL Plan Work Programme (2015-2020) and the progress of implementation of Decisions and Recommendations as adopted by the 2nd AFI SECFAL Plan Steering Committee meeting, held on 27 November 2015 in Montreal, Canada, and Implementation of 2016 Work Plan.

Action: *The Steering Committee is invited to:*

- Note the information contained in the paper;
- Review and provide guidance and advise on the implementation of the AFI SECFAL Plan Work Programme
- Provide instructions and guidance for the continued implementation of the decisions and recommendations of its 2nd meeting as per Attachment A to this Discussion Paper.
- Note the continuing implementation of the 2016 work plan as directed at its second Steering Committee meeting as per attachment B to this Discussion Paper.

1. INTRODUCTION

1.1 The Second AFI SECFAL Plan Steering Committee, which was held on 27 November 2015 in Montreal, Canada, reviewed the implementation of AFI SECFAL Plan activities and Work Programme and made several decisions and recommendations.

1.2 This paper summarizes the progress made in the implementation of the Decisions and Recommendations of the 2nd meeting of the AFI SECFAL Plan Steering Committee, and the overall implementation of the AFI SECFAL Plan Work Programme.

1.3 The list of Decisions and Recommendations of the 2nd meeting of the AFI SECFAL Plan Steering Committee along with an update on actions undertaken so far as well as actions required are attached herewith as **Attachment A** to this Discussion Paper.

1.4 The status of the implementation of the 2016 AFI SECFAL Plan Work Programme along with update on actions undertaken so far as well as pending actions are attached herewith as **Attachment B** to this Discussion Paper.

1.5 The overall Work Programme for AFI SECFAL Plan, including Objectives, Output, Activities, Time-Frame, Responsibility and performance indicators is available as an attachment to the main document.

2. PROGRESS REPORT ON KEY ACTIVITIES

2.1 The Ministerial Conference on Aviation Security and Facilitation in Africa jointly organized by the Republic of Namibia, the African Union Commission, the African Civil Aviation Commission and the International Civil Aviation Organization was held from 4 to 7 April 2016 in Windhoek, Republic of Namibia, and attended by thirty-eight (38) African Union Member States as well as representatives of African and International organizations, specialised institutions, development partners and other stakeholders.

2.2 The outcome of the Ministerial Conference will be presented to the next AU Summit of Heads of States and Governments for endorsement. The Ministerial meeting also requested the AFI SECFAL Plan SC to develop a mechanism to implement the Windhoek Ministerial declaration and targets. Elements of the declaration and targets will be integrated into the AFI SECFAL Plan work programme. The report on the Ministerial Conference will be presented under **Discussion Paper 02** of this meeting.

2.3 The Regional Aviation Security and Facilitation Group (RASFG-AFI), which provide support to the activities of the SC under the auspices of AFCAC, held its second meeting in Malabo, Equatorial Guinea 23 to 24 June 2016. The report on the meeting will be presented by the Chairman of the Group under **Discussion Paper 03** of this meeting.

2.4 In order to establish a strategy for Training Assistance and improvement of the capacity of Aviation Security Training Centres (ASTCs), the secretariat has continued to review the AVSEC and FAL training needs assessment in Africa carried in September 2015.The report on the needs analysis and proposed implementation Action Plan will be presented under **Discussion Paper 04** of this meeting.

2.5 With regard to awareness and implementation of provisions of Annex 9 (Facilitation) to the Convention, and assistance to AFI States to improve existing initiatives, it has been noted that there is need to organise workshops and seminars by ICAO and Partners including UN-CTED, IATA, INTERPOL and through Canadian fund for Sahel States. Although awareness on API, PKD and ICAO TRIP Strategy has increased in the recent past, more needs to be done as evidenced by inadequacies expressed through State interventions during workshops, meetings and conferences.

2.6 Response to the State letter of compliance by AFI States compliance to provisions of Annex 9 Standard 3.10.1 regarding withdraw from circulation of all non-Machine Readable Passports indicates that significant progress has been achieved. However, four (4) out of 54 AFI States are yet to respond to State letter. Despite the persistent follow-up efforts by the ICAO Regional Offices accredited to AFI States and AFCAC on this matter, available data does not provide a comprehensive status on compliance or mitigation measures taken by non-compliant States. There is therefore need to verify and establish the current status of implementation of TRIP, and specifically the status of compliance of provisions of Annex 3:10:1 on MRTD. This could be done through a dedicated project developed and performed by ICAO under the auspices of the AFI SECFAL Plan framework.

2.7 Lack of funding for the AFI SECFAL Plan remains the single most challenge to the implementation of the work programme and decision and recommendations of the SC. This anomaly is due to absence of a sustainable funding from ICAO Regular budget, voluntary funds or donor contributions to support the proposed budget of the Plan. A strategy to establish a sustainable funding mechanism is yet to be adopted. Currently, the Plan is mostly being funded from the regular budget, and cost recovery activities, which is inadequate for the ambitious goals of the programme.

2.8 Although the Plan management structure has been establishment, there is need to boost the existing human resource through recruitment of additional technical personnel. Expertise could also be attained from States and other organisations in form of secondments, internships and consultancy.

3. The Steering Committee is invited to:

a) Note the information contained in the paper;
b) Review, and provide guidance on the implementation of the AFI SECFAL Plan Work Programme;
c) Provide further instructions and guidance for the continued implementation of the decisions and recommendations of its 2nd meeting r.
d) Review and provide guidance and advise on implementation of the 2016 work plan

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Attachment A: Monitoring Matrix for AFI SECFAL Plan SC/02 - Decisions and Recommendations

Decision/ Recommendation Reference	Title	Text	Deadline	Deliverable(s)	Responsibility	Status as at 01/06/2016	Action required from AFI SECFAL SC
SC 02/Rec01	Implementation of Annex 9, Standard 3.10.1 (now 3.11.1)	*Urged ICAO Secretariat to coordinate a survey to identify States which have not yet implemented Standard 3.10.1 and to establish the challenges of States and develop State-specific plan to address these challenges.*	Before the Third Steering Committee meeting	• Conduct survey to identify States yet to implement Std 3.10.1 • Develop State specific Plans to address the identified challenges	AFI SECFAL Plan Secretariat	• 51 out of 54 AFI States have responded to State letter EC/613-12/70 on Std 3.10.1 • Development of State Specific Plans are continuing	Take note of the progress and provide further guidance as recommended by the RASFG-AFI.
SC 02/Rec02	Addressing aviation security challenges	*The Steering Committee to explore appropriate means of dealing with the challenge of confidentiality policy without compromising the security of aviation, and present necessary recommendations for consideration by the Council in the Report of the 4th SC Meeting.*	Before the fourth Steering committee meeting	• To develop a draft policy on sharing sensitive and confidential security information	ICAO	Confidential security information is currently restricted. However, Aviation Security Audit Section (ASA) continues to provide information on trends and regional performance graphs on secure website.	Take note of progress and provide further guidance as necessary

Decision/ Recommendation Reference	Title	Text	Deadline	Deliverable(s)	Responsibility	Status as at 01/06/2016	Action required from AFI SECFAL SC
SC 02/Rec03	High Level Ministerial Conference	*The High-Level Ministerial Conference should:* *Primarily focus on aviation security and facilitation related matters with a possibility of including other broad issues that affect civil aviation in Africa to take advantage of the presence of Ministers;* *1. Be held in April 2016 in order to allow presentation of the outcomes of the Ministerial Conference Meeting for adoption by the AU Heads of State and Government at the July 2016 Summit; and* *2. Include in its Agenda conflict zones in Africa. The Secretariat to consult widely with relevant stakeholders and to timely finalize and disseminate the Agenda together with all necessary information to facilitate early State preparations for the Ministerial Conference.*	April 1, 2016 By April,2016	1. Adoption of a declaration and targets 2. Discussion on conflict Zones to take place during Windhoek meeting	NAMIBIA AFCAC ICAO	1. Ministerial Conference successfully held in Windhoek, Namibia 4 to 7 April,2016 2. The outcome of the Ministerial Conference to be presented by Namibia, with the support of AFCAC and ICAO to the AU Heads of State and Government at the July,2016 summit 3. No discussion held concerning conflict zones.	1. Take note of the progress and provide further guidance as necessary 2. Recommend the matter of Conflict zones be discussed in a different forum. 3. Secretariat to develop an action Plan and implementation strategy for Windhoek Strategy.

Decision/ Recommendation Reference	Title	Text	Deadline	Deliverable(s)	Responsibility	Status as at 01/06/2016	Action required from AFI SECFAL SC
SC 02/Rec04	***RASFG-AFI Structure and Operation***	a) *RASFG-AFI should follow the structure and procedures of RASG-AFI in establishing champions consisting of small team of experts on each domain which will consult frequently and feed into the bigger group;* *Use of teleconference for dialogues should to the extent possible be promoted to minimize cost;* b) *The RASFG-AFI Work Programme and Terms of Reference were approved with minor amendment to the effect that the Group's meetings should take place at AFCAC Headquarters or at the Regional Offices of ICAO; and* c) *The Chairperson of the RASFG-AFI to attend and present progress reports to AFI SECFAL Plan SC meetings.*	By April,2016 Immediate Immediate	• Adoption of RASG –AFI (Safety) structure by RASFG-AFI (Security and Facilitation) • Adoption of teleconferenc e dialogues to the extent possible • Restrict RASFG-AFI meetings to AFCAC and ICAO Regional Offices of Dakar and Nairobi respectively • RASFG-AFI Chairperson advised and invited to all future AFI SECFAL Plan SC meetings	AFCAC AFI SECFAL Plan Secretariat AFCAC AFI SECFAL Plan Secretariat AFCAC AFI SECFAL Plan Secretariat AFCAC	1. Five focus experts areas identified and dedicated focus areas created 2. A sixth focus area on training has been recommended for adoption 3. Due to logistical contingencies, the second meeting of the RASFG-AFI is being held in Malabo, Equatorial Guinea on 1/7/2017	To receive the report and advise as necessary To note

Decision/ Recommendation Reference	Title	Text	Deadline	Deliverable(s)	Responsibility	Status as at 01/06/2016	Action required from AFI SECFAL SC
SC 02/ Rec 05	***Selection Criteria for AFI SECFAL Plan Technical Experts***	*AFCAC should with the assistance of ICAO, develop and provide criteria to guide States in selecting/designating Technical Experts to serve in the implementation of AFI-SECFAL Plan activities.*	Immediate	Criteria and Guidelines for selecting experts developed and made available to States	AFCAC ICAO	4. Criteria and Guidelines have been developed	To note
SC 02/Rec06	***Commitment and Support to the AFI SECFAL Plan conference***	a) *The Secretariats of AFCAC and ICAO should take measures to:* *1) Raise awareness of the AFI SECFAL Plan and planned Ministerial meeting;* *2) Secure full political commitment for the implementation of the Plan;* *3) Identify possible sources of funding and other resources for the Plan (financial and human);* *4) Timely draft the anticipated Ministerial Declaration as well as security and facilitation targets and goals for consideration and*	Immediate Immediate Immediate Immediate	• Issue State letter/information bulletin • Secure the support and involvement of AUC • Sustainable Human and Financial resource identified • Draft Ministerial Conference Declaration and SECFAL targets developed • Successful Ministerial Conference	AFCAC ICAO AFCAC ICAO AFI SECFAL Steering Committee (SC)	High Level meetings between AUC/AFCAC/ICAO held Ministerial Declaration and targets adopted on 7/4/2016	To note Take note of the progress and provide further guidance as necessary Take note of the progress and provide guidance on the way forward

Decision/ Recommendation Reference	Title	Text	Deadline	Deliverable(s)	Responsibility	Status as at 01/06/2016	Action required from AFI SECFAL SC
		adoption by the Ministerial meeting; *5) Ensure success of the meeting and adoption of Ministerial Declaration with targets to be presented to AU Heads of States and Government for endorsement.* *b) The meeting urged AUC, AFCAC and ICAO to decide on the host State and dates for the Ministerial Meeting as soon as possible.*	April,2016 Immediate	with declaration and SECFAL targets • Host State to be identified	AFI SECFAL Steering Committee (SC) AFCAC AFI SECFAL secretariat AFCAC ICAO	Sustainable source of funding yet to be identified	Take note of the progress and provide further guidance as necessary SC to direct Secretariat to complete development of projects for funding
SC 02/Rec07	***Report on outcome of the needs analysis on training; and Needs Assessment:***	*Steering Committee should work closely with ICAO, AFCAC, States and Donor Community to address the observation in 2.5.1address SC observations on analysis*	Immediate	Address all the observations made in the analysis report	AFCAC ICAO PARTNERS	• SC3/DP4 refers • RASFG-AFI to discuss the matter at its second meeting.	Take note of the progress and provide further guidance as necessary
SC 02/Rec08	***Needs analysis conducted by the AFI SECFAL Secretariat***	*AFI SECFAL Secretariat should continue with needs analysis in a project management approach while identifying priorities and most critical areas which require assistance. Another survey*	Immediate	• Introduce project Management approach Identify sources of funding	AFI SECFAL Plan Secretariat	Development of specific Projects are ongoing	SC to direct the secretariat to complete the development of projects

Decision/ Recommendation Reference	Title	Text	Deadline	Deliverable(s)	Responsibility	Status as at 01/06/2016	Action required from AFI SECFAL SC
		with more detailed needs analysis should be conducted on the basis of which better plans of action will be formulated to resolve the deficiencies.					
SC 02/Rec09	***Lack of Standardized Training Packages on Facilitation***	*SC urged ICAO Secretariat to develop Standardized Facilitation Training Packages to promote Facilitation and support States efforts to bring security and facilitation training on equal footing. This entails increasing resources available to deliver Facilitation programmes.*	Immediate	• Develop Standardized Training Packages on Facilitation • Increase resources available to Facilitation Programs	ICAO	• ICAO is working in developing Facilitation training packages • Package on training of Border Control Officers for level 1 already developed	SC to request as apriority the development of Workshop on NAFTP
SC 02/Rec10	***Cooperation and collaboration with ACI on needs analysis***	*SC requested AFI SECFAL Secretariat to consolidate data from the needs analysis exercises conducted by ICAO and ACI, generate action Plans, and develop Strategies for addressing deficiencies and challenges in Africa.*	Immediate	• Consolidate Data from Surveys done by ICAO and ACI • Generate Action plan • Develop strategies	AFI SECFAL Secretariat	• Data from ICAO and ACI have been consolidated • An action Plan developed for presentation under DP 4 to SC •	To identify additional resources to help develop Strategies for addressing deficiencies Request SC to approve the Plan of Action

Decision/ Recommendation Reference	Title	Text	Deadline	Deliverable(s)	Responsibility	Status as at 01/06/2016	Action required from AFI SECFAL SC
SC 02/Rec11	***AFI SECFAL Needs Project document***	*SC requested AFI SECFAL Secretariat with assistance of ICAO to develop a project document identifying clear and specific areas of support, funding and assistance to guide and focus efforts of States, development partners and donors to close the identified gaps.*	Immediate	• Develop a project proposal for consideration by next SC	ICAO AFI SECFAL Secretariat	• Project documents are being developed • Specific States, regions have been identified for support • funding source not yet identified • Coordination with donors to be continued	SC to consider
SC 02/Rec12	***Next Steering Committee meeting***	*SC requested the secretariat to convene the 3rd Steering Committee meeting during the period of AFI week on safety and security to be held in Africa.*	Immediate	Third Steering Committee meeting to be held in Malabo during the AFI week	AFI SECFAL Secretariat done	Implemented	Advise on the Venue, and date of the Fourth SC meeting.

ATTACHMENT B: Programme of activities under the AFI SECFAL Plan in 2016

Objective	Activity	Implementation Status - 2016
Plan Coordination Mechanism and Implementation modalities	• Steering Committee meetings • Increased collaboration and coordination with AFCAC, Partners and donors	• The 3rd Steering Committee meeting is scheduled to take place in Malabo, Equatorial Guinea, on 1 July 2016 • All Steering Committee members and selected partners invited to attend • Minutes of the 2nd SC meeting held on 27 November,2015 distributed to member for action • Coordination with Partners, mainly AUC , AFCAC and ICAO AFI Group maintained
Initiate SECFAL Plan budget and funding mechanism	• Identify sustainable funding mechanism • Put funding strategy in Place • Initiate fundraisings • Encourage voluntary contribution	• Approved budget for AFI SECFAL Plan not yet put in place • Funding strategy not yet implemented • Fund raisings efforts are being considered • Some budgetary allocations included in the next triennium budget under the regular programme
AFI SECFAL Plan Staff	• Recruit Professional (P) and General (G) staff to support the programme • Use existing Regular Programme Staff to support the Plan	• P- Staff yet to be recruited for ESAF Office, • P- Staff yet be recruited for WACAF Office • G- Staff yet to be recruited for ESAF Office • The AFI SECFAL plan is currently fully being supported by ATB staff at Hqts and Regional Offices of Nairobi and Dakar.
Inception of the needs analysis on training in all member States, Regional /International organizations and Partners	• Evaluate the results of the training needs questionnaires received from member States • Evaluate the results of the training questionnaires received from Regional, international and Development Partners	• A working Paper and an Action Plan has been developed for endorsement by the SC to: DP 4 refers. • Consolidation of result done and DP 4 developed.

Objective	Activity	Implementation Status - 2016
Inception of needs analysis to determine assistance resources and areas of greatest needs – needs analysis to be refined taking into account the targets	• Identify objectives, outputs and activities • Develop State/region specific AVSEC and FAL projects	• Assistance needs and resources are being identified (AFI States, Sub- regional organizations and Donors) • Assistance strategies, projects are being developed for implementation
Operationalization of Regional AVSEC/FAL Group	• Membership established • Convene group meeting • Establish structures of the group	• The second meeting of the Group held 23 to 24 June,2016 in Malabo, Equatorial Guinea • Chairman of the Group to submit a report to the Third SC meeting • Implementation of work programme has commenced
Generation of Political will needed for AFI States to participate in SECFAL efforts	• Presentation of AFI SECFAL Plan to Regional Groups • Organization of High Level Ministerial Conference	• Ministerial Conference held in Windhoek, Namibia in April 2016 • Ministerial declaration and AVSEC/FAL Targets adopted • Ministerial declaration and Targets to be presented to AUC Summit of Heads of States and Governments in July,2016 in Kigali, Rwanda for endorsement
Report to ICAO Assembly	• Draft report developed by ICAO (C-WP/ 14423) and approved by the Council in its Third meeting of 208 session (C-DEC 208/3)	As per the scheduled calendar of events
Issuance of Machine readable passport s by AFI States	• Implement recommendations of Windhoek Ministerial Conference on SECFAL with regard to MRPs. • Develop Action Plans for States to implement 3.10.1.	Reply to State letter EC/613-12/70 dated 31 Dec 2012 on implementation of MRTDs: • 3 State yet to respond • 3 States in Africa have not responded

Objective	Activity	Implementation Status - 2016
Provide direct support to African States in their effort to resolve identified deficiencies identified under USAP/USAP CMA audits	• develop specific projects to help implement CAPs	• Secretariat to identify projects
Organize Aviation Security and Facilitation Courses	• Coordinate with Aviation Security Training Centres (ASTCs) on their Training programmes • Consult with GAT	• Implementation of ICAO Aviation Security Training Packages(ASTCs) at the ASTCs are continuing • Certification and Recertification of Instructors is in progress • Consult with ICAO on going with FAL development of Facilitation specific courses.