



ICAO

INTERNATIONAL CIVIL AVIATION ORGANIZATION

A UN SPECIALIZED AGENCY

ICAO USAP-CMA SEMINAR

Bangkok, 28 to 31 October 2025

USAP-CMA Tools



Module Objectives

At the end of this module, the participants will be familiar with the types and contents of the tools used in the preparation and conduct of aviation security audits under the USAP-CMA

Module Outline

Scope of the protocol questions – Audit Areas

State Aviation Security Activity Questionnaire (SASAQ)

Compliance Checklists (CC)

USAP-CMA Protocol Questions (PQ)

USAP-CMA PQ Worksheets

Scope of the protocol questions

Audit Areas

LEG	REGULATORY FRAMEWORK AND THE NATIONAL CIVIL AVIATION SECURITY SYSTEM
TRG	TRAINING OF AVIATION SECURITY PERSONNEL
QCF	QUALITY CONTROL FUNCTIONS
OPS	AIRPORT OPERATIONS
IFS	AIRCRAFT AND IN-FLIGHT SECURITY
PAX	PASSENGER AND BAGGAGE SECURITY
CGO	CARGO, CATERING AND MAIL SECURITY
AUI	RESPONSE TO ACTS OF UNLAWFUL INTERFERENCE
FAL	SECURITY ASPECTS TO FACILITATION

USAP-CMA Tools

- The following tools are used during the preparation and conduct of USAP-CMA audits:

SASAQ

CC

PQ

<https://portal.icao.int/USAP/USAPCMADocumentation/Forms/Tools.aspx>



SASAQ (1/5)

This questionnaire on national aviation security activities is designed to provide ICAO with preliminary information concerning the State's aviation security and oversight systems, covering the following :

- Legislation;
- Regulations;
- Organization;
- Technical elements; and
- Administrative elements



SASAQ (2/5)

- Used primarily in the planning and customization of a USAP-CMA activity
- Provides Team Members with useful information about aviation security organization in the State.
- States requested to submit the SASAQ 60 days prior to the start of an USAP-CMA audit
- The template SASAQ is kept up-to-date



SASAQ (3/5)

Structure of the SASAQ

Part 1. GEN

Part 2. LEG

Part 3. TRG

Part 4. QCF

Part 5. OPS

Part 6. IFS

Part 7. PAX

Part 8. CGO

Part 9. AUI

Part 10. FAL

SASAQ (4/5)



ICAO UNIVERSAL SECURITY AUDIT PROGRAMME CONTINUOUS MONITORING APPROACH (USAP-CMA) STATE AVIATION SECURITY ACTIVITY QUESTIONNAIRE (SASAQ)

	Member State	
1	Airport(s) selected for observation	
2		
3		
GEN-01	Provide the name, title and contact details for the designated National Coordinator for the USAP-CMA.	
1	Name	
2	Title	
3	Address	
4	Office phone number	
5	Mobile phone number	
6	E-mail address	
GEN-02	What is the normal workweek (e.g. Monday to Friday; Sunday to Thursday) and what are the normal working hours (e.g. 0900 to 1700; 0730 to 1900)?	
1	Workweek	

GENERAL

LEG

TRG

QCF

OPS

IFS

PAX

CGO

AUI

FAL

+



SASAQ (5/5)

Documents to be submitted with the SASAQ

- Primary Aviation Security Legislation
- Civil Aviation Security Regulations
- NCASP, NCASTP, NQCP, NATFP, ASP
- Schedule of national quality control activities for the previous and for the current year

Compliance Checklists (1/2)

- States are required to complete and maintain up-to-date CCs
- Provide ICAO with information on compliance with Annex 17 Standards and security-related Standards of Annex 9
- Provide auditors with useful references to national-level policies and requirements (index)
- Enable States to identify differences between their own requirements and those established by ICAO Standards (**Article 38 of the Chicago Convention**)

Compliance Checklists (2/2)

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Annex Reference	Standard or Recommended Practice		Level of Compliance				Description of the difference	Comments	
Annex Reference & SARP Identifier	Annex 17 Amendment 18	Legislation Compliance Quote relevant State Act/Regulation or Document Reference	Difference				Not applicable	Description of the difference	Remarks including the reason for the difference
	Security		No	Yes					
	Standard or Recommended Practice			Level of implementation of SARPs					
				More exacting or exceeds the ICAO SARP	Different in character or other means of compliance	Less protective or partially implemented /not implemented			
Chapter 2 Std. 2.1.1	Each Contracting State shall have as its primary objective the safety of passengers, crew, ground personnel and the general public in all matters related to safeguarding against acts of unlawful interference with civil aviation.								
Chapter 2 Std. 2.1.2	Each Contracting State shall establish an organization and develop and implement regulations, practices and procedures to safeguard civil aviation against acts of unlawful interference taking into account the safety, regularity and efficiency of flights.								

Reference to National Legislation

Not applicable Standard or RP

Reference to National Legislation

Not applicable Standard or RP

USAP-CMA Protocol Questions (PQs) (1/6)



Essential tool for the conduct of audits



Comprehensive questionnaire covering all elements of a State's aviation security and oversight systems which are subject to audit and monitoring



Ensure transparency, consistency and standardization of the audit process



Enhance confidence and reliability in the conduct of audits



Each PQ is associated with one CE, as well as one Annex 17 or Annex 9 Standard (plus over-arching Standards)

USAP-CMA Protocol Questions (PQs) (2/6)



Each PQ has associated guidance to ensure that a minimum amount of information is consistently verified in all States



This guidance is primarily based on international best practice and ICAO guidance material



The USAP-CMA PQs are, at the same time, sufficiently flexible to allow for the evaluation of other means of compliance based on the scope, complexity and specifics of managing aviation security in each State

USAP-CMA Protocol Questions (PQs) (3/6)

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ASA amends and updates the USAP-CMA PQs on a periodic basis, in consultation with the SSG on the USAP, to:

- a) reflect the latest amendments to Annex 17 Standards and security-related Standards of Annex 9
- b) include emerging issues in civil aviation
- c) harmonize and improve PQ references and content



PQ amendments incorporate input from the AVSEC Panel, feedback from States, mission reports and other stakeholders.

USAP-CMA Protocol Questions (PQs) (4/6)

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PQ No.	PROTOCOL QUESTION GUIDANCE FOR REVIEW/OBSERVATION OF EVIDENCE	ICAO REF	CE
	Identify the documentation in which this requirement is established.		
	Has the State designated an entity(ies) as responsible for establishing access controls to airside areas at airports serving civil aviation?		
4.120	Identify the documentation in which this designation is established.	4.2.1	CE-3
	Identify the entity(ies) to which this responsibility has been allocated.		
	Does the State provide guidance in regard to perimeter protection?		
	Verify whether national-level documentation provides guidance in regard to access control measures to prevent inadvertent or premeditated unauthorized access to the airside area.		
	Does the guidance address items such as:		
	a) the construction, the material and any additional security features used to increase the effectiveness of perimeter fence/barrier, including but not limited to, barbed or razor-taped wire topping, a perimeter intrusion detection system, lighting or a closed-circuit television system;		
4.130	b) clear zones and signage along the perimeter fence/barrier;	4.2.1	CE-5
	c) a patrol road alongside the fence/barrier, and mobile patrolling requirements;		
	d) emergency gates;		
	e) safety and operational requirements;		
	f) maintenance of the fence/barrier.		
	Note. – Perimeter protection measures include the perimeter fence, but also physical access control measures within and around the terminal and non-terminal buildings to prevent unauthorized access to airside areas.		

PQ Number

Question

Standard

Guidance
to review /
observe

Critical
Element

USAP-CMA Protocol Questions (PQs) (5/6)

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USAP-CMA PQ Worksheets

- Cover all 9 audit areas
 - Used for gathering and recording information during an USAP-CMA audit
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USAP-CMA PQ Worksheets

PQ No.	ICAO Ref.	Protocol Question	Status
CGO 7.125	4.6.4 CE-5	Has the State developed detailed instructions, guidance material or performance criteria for the application of enhanced security measures to high-risk cargo and mail?	For TL reference only <input type="checkbox"/> Satisfactory <input type="checkbox"/> Not satisfactory <input type="checkbox"/> Not applicable
<p>Review national-level instructions, guidance material or performance criteria regarding enhanced security measures for high-risk cargo and mail.</p> <p>Note 1. — High-risk cargo and mail should be subjected to appropriate screening to effectively detect an IED or mitigate the specific threat associated with it. This should include other detection methods or robust security measures which are not part of the baseline security measures. Such additional screening methods and measures should be determined by the appropriate authority.</p> <p>Note 2. — For cargo deemed high risk, two or more threat detection techniques should be applied, ideally including explosives trace detection, algorithm-based cargo X-ray scanners or explosives detection dogs.</p>			
<p>Evidence:</p>			

Module Review

Scope of the protocol questions – Audit Areas

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Questions?

Thank You

