



ICAO

*International Civil Aviation Organization*The Seventh Meeting of the Asia/Pacific  
Aerodrome Assistance Working Group (AP-AA/WG/7)*Bangkok, Thailand, 27 to 30 May 2025***Agenda Item 6: USOAP CMA in AGA****USOAP CMA PROTOCOL QUESTIONS – 2024 EDITION**

(Presented by the Secretariat)

**SUMMARY**

This paper introduces the 2024 Edition of the Protocol Questions (PQs) of the ICAO Universal Safety Oversight Audit Programme Continuous Monitoring Approach related to AGA Area.

**1. INTRODUCTION**

1.1 Each ICAO Member State should establish and implement an effective safety oversight system, in order to address all areas of aviation activities. The Universal Safety Oversight Audit Programme (USOAP) Continuous Monitoring Approach (CMA) measures the effective implementation (EI) of a State's safety oversight system.

1.2 To standardize the conduct of audits under USOAP CMA, ICAO established protocol questions (PQs) based on safety-related ICAO Standards and Recommended Practices (SARPs) established in the Annexes to the Chicago Convention, Procedures for Air Navigation Services (PANS) and ICAO guidance materials. Each PQ contributes to assessing the EI of one of the eight Critical Elements (CEs) in one of the eight audit areas.

1.3 The use of standardized PQs ensures transparency, quality, consistency, reliability and fairness in the conduct and implementation of USOAP CMA activities.

**2. DISCUSSION**2024 Edition of USOAP CMA PQs*ICAO Electronic Bulletin 2024/22 dated 09 October 2024*

2.1 ICAO has developed the 2024 Edition of its Protocol Questions (PQs) based on the recommendations from the High-Level Conference on COVID-19 regarding the integration of State Safety Programme Implementation Assessment (SSPIA) with traditional USOAP Continuous Monitoring Approach (CMA) activities, approved by the ICAO Council on 4 March 2022, as well as updates based on amendments to ICAO provisions (Annexes to the *Convention on International Civil Aviation* (Doc 7300), Procedures for Air Navigation Services (PANS), guidance materials), and feedback from States and stakeholders.

2.2 The 2024 Edition of PQs comprises, along with an editorial and references review of the existing PQs, the incorporation of Safety Management System (SMS) related PQs to the areas of personnel licensing and training (PEL), aircraft operations (OPS), airworthiness of aircraft (AIR), air navigation services (ANS), and aerodromes and ground aids (AGA), as well as of State Safety Programme (SSP) related PQs as a new audit area of SSP.

2.3 The 2024 Edition of PQs has been posted on the USOAP CMA online framework (OLF) (<https://www.icao.int/usoap>) under the heading “CMA Library”. The PQ documentation also includes: Introduction, General Guidelines, Specific Guidelines, Acronyms and Abbreviations, ICAO References and a Summary of Amendments for each of the **nine** audit areas (refer to ICAO Electronic Bulletin 2024/22 dated 09 October 2024 – **Attachment A**).

*ICAO Electronic Bulletin 2025/8 dated 19 March 2025*

2.4 To facilitate Member States and international organizations to easily identify the changes introduced in the 2024 Edition of the PQs, ICAO has posted the track-changes version of the PQs in pdf format in the “CMA Library” of the OLF under the heading of “USOAP Protocol Questions” (refer to ICAO Electronic Bulletin 2025/8 dated 19 March 2025 – **Attachment B**).

2.5 As indicated in EB 2024/22, the 2024 Edition of the PQs will become applicable for all USOAP CMA activities, starting after 1 July 2025, except for the State Safety Programme (SSP) related PQs, which include both the SSP PQs and the Safety Management System (SMS) PQs. The SSP PQs and SMS PQs will become applicable at a later stage to be announced in due course. For all USOAP CMA activities starting before 1 July 2025, the 2020 Edition of the PQs will continue to apply.

2.6 ICAO has started moving States data from the 2020 Edition to the 2024 Edition of PQs on the OLF. To give States time to prepare for a USOAP activity using the amended PQs, no State will be scheduled to receive an activity until six months after its data has been moved to the 2024 Edition of the PQs.

2.7 The SMS and SSP-related PQs will have an undetermined status and will not affect the effective implementation (EI) scores of States, as reflected on the OLF, until they are audited. A dedicated EI score for SSP will be provided separately once this area has been audited. The existing SSPIA PQs, including the self-assessments submitted and the assessment results for those States which had received SSPIAs, will be archived on the OLF and can be made available upon request.

*2024 Edition of USOAP CMA PQs in AGA Areal*

2.8 The 2024 Edition of the AGA Protocol includes 153 PQs, with eleven new PQs (8.901–8.941) added regarding SMS, one PQ (8.149) excluded due to similarity with PQ 8.148, and three PQs (8.147, 8.381, 8.387) revised for clarity. Additionally, two PQs (8.005, 8.113) were revised for clarity including instructions for auditors, and nine PQs had their references reviewed.

2.9 The 2024 Edition of AGA PQs is available in **Attachment C** for easy reference.

2.10 Frequently Asked Questions (FAQs) about the 2024 Edition of the PQs are now available on the online framework, in the “CMA Library” under the heading “USOAP Protocol Questions” (**Attachment D**). FAQs provide answers to the most common questions from States to ICAO about the newly amended PQs and will be updated, as necessary, by ICAO.

**3. ACTION BY THE MEETING**

3.1 The Meeting is invited to note the information contained in this paper and urge States that are planned to be audited after 1 July 2025 to be familiar with 2024 USOAP CMA PQs in AGA Area.

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## International Civil Aviation Organization

# ELECTRONIC BULLETIN

For information only

EB 2024/22

9 October 2024

### UNIVERSAL SAFETY OVERSIGHT AUDIT PROGRAMME (USOAP) CONTINUOUS MONITORING APPROACH (CMA) PROTOCOL QUESTIONS (PQs) — 2024 EDITION

1. The International Civil Aviation Organization (ICAO) has developed the 2024 edition of its Protocol Questions (PQs), in accordance with the High-level Conference on COVID-19 (HLCC 2021) Recommendations (C-WP/15312 refers) regarding the integration of State Safety Programme Implementation Assessment (SSPIA) with traditional Universal Safety Oversight Audit Programme (USOAP) Continuous Monitoring Approach (CMA) activities, that were approved by the Council of ICAO on 4 March 2022 (C-DEC 225/7 refers) as well as updates based on amendments to ICAO provisions (Annexes to the *Convention on International Civil Aviation* (Doc 7300), Procedures for Air Navigation Services (PANS), guidance material) and State and stakeholder feedback.
2. The 2024 edition of PQs comprises, along with an editorial and references review of the existing PQs, the incorporation of Safety Management System (SMS) related PQs to the areas of personnel licensing and training (PEL), aircraft operations (OPS), airworthiness of aircraft (AIR), air navigation services (ANS), and aerodromes and ground aids (AGA), as well as of State Safety Programme (SSP) related PQs as a new audit area of SSP.
3. The 2024 edition of the PQs, in English, is now posted on the USOAP CMA online framework (OLF) (<https://www.icao.int/usoap>) under the heading “CMA Library”. The French, Spanish, and Russian translations will follow. For reference purposes, the PQ documentation also includes: Introduction, General Guidelines, Specific Guidelines, Acronyms and Abbreviations, ICAO References and a Summary of Amendments for each of the nine audit areas.
4. The 2024 edition of the PQs will become applicable for all USOAP CMA activities starting after 1 July 2025, except for the SSP related PQs, which will become applicable at a later stage to be announced in due time. The 2024 edition of the PQs will be gradually migrated into the OLF. States will be given at least six months before the new edition of the PQs becomes applicable to them. For USOAP CMA activities starting before 1 July 2025, the 2020 edition of the PQs will continue to apply.
5. The SMS and SSP related PQs will have an undetermined status and will not affect the effective implementation (EI) scores of States, as reflected on the OLF, until they are audited. A dedicated EI score for SSP will be provided separately once this area has been audited. The existing SSPIA PQs, including the self-assessments submitted and the assessment results for those States which had received SSPIAs, will be archived on the OLF and can be made available upon request.
6. USOAP CMA related documents and materials, including Document 9734, Part A – *The Establishment and Management of a State Safety Oversight System*, and Document 9735 – *Universal Safety Oversight Audit Programme Continuous Monitoring Manual*, will be updated accordingly in due course.

7. States are encouraged to review and update or submit their information in the PQ Self-Assessment as it relates to the amended or new PQs. ICAO will use this information as part of its USOAP CMA activity planning and preparation.

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International Civil Aviation Organization

**ELECTRONIC BULLETIN**

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EB 2025/8

19 March 2025

**UNIVERSAL SAFETY OVERSIGHT AUDIT PROGRAMME (USOAP)  
CONTINUOUS MONITORING APPROACH (CMA) — COMPARISON BETWEEN THE 2024  
AND THE 2020 EDITIONS OF THE PROTOCOL QUESTIONS (PQs), TRANSLATIONS AND  
APPLICABILITY DATE**

1. As announced in Electronic Bulletin 2024/22 dated 9 October 2024, the International Civil Aviation Organization (ICAO), published the 2024 edition of its Protocol Questions (PQs), in English, on the Universal Safety Oversight Audit Programme (USOAP) Continuous Monitoring Approach (CMA) online framework (<https://www.icao.int/usoap>) (OLF) in September 2024.
2. To facilitate Member States and international organizations to easily identify the changes introduced in the 2024 edition of the PQs, ICAO has posted the track-changes version of the PQs in pdf format in the “CMA Library” of the OLF under the heading of “USOAP Protocol Questions”.
3. In addition, to address the comments received from States on the version published in September 2024 regarding editorial and formatting issues, the PQs have been revised without introducing any substantial changes. As a result, a Revision 1 of the PQs are now posted in the “CMA Library” of the OLF under the same heading of “USOAP Protocol Questions”, which replaces the version published in September 2024. Both the PQs and the comparison between the 2024 and the 2020 editions of the PQs are available in English, French, Spanish and Russian.
4. As indicated in EB 2024/22, the 2024 edition of the PQs will become applicable for all USOAP CMA activities, starting after 1 July 2025, except for the State Safety Programme (SSP) related PQs, which include both the SSP PQs and the Safety Management System (SMS) PQs. The SSP PQs and SMS PQs will become applicable at a later stage to be announced in due course. For all USOAP CMA activities starting before 1 July 2025, the 2020 edition of the PQs will continue to apply.
5. ICAO has started moving States data from the 2020 edition to the 2024 edition of PQs on the OLF. To give States time to prepare for a USOAP activity using the amended PQs, no State will be scheduled to receive an activity until six months after its data has been moved to the 2024 edition of the PQs.
6. Frequently Asked Questions (FAQs) about the 2024 edition of the PQs are available in the CMA Library. FAQs provide answers to the most common questions from States to ICAO about the amended PQs and the new SSP and SMS PQs, and will be updated, as necessary.
7. States are encouraged to review and update their information in the PQ Self-Assessment module on the OLF as it relates to the amended PQs and the SSP and SMS PQs. ICAO will use this information as part of its USOAP CMA activity planning and preparation. Although the SSP and SMS PQs are not yet applicable, States can already self-assess these PQs.

Issued under the authority of the Secretary General

## USOAP CMA 2024 Protocol Questions Aerodromes and ground aids — AGA

### Explanatory Note on the 2024 Edition of the Protocol Questions (PQs):

Revised on the basis of the 2020 edition, this 2024 edition of the USOAP CMA Protocol Questions (PQs) follows the High-level Conference on COVID-19 (HLCC 2021) Recommendations (C-WP/15312 refers) regarding the integration of State Safety Programme Implementation Assessment (SSPIA) with traditional Universal Safety Oversight Audit Programme (USOAP) Continuous Monitoring Approach (CMA) activities that were approved by the Council of ICAO on 4 March 2022 (C-DEC 225/7 refers). Some notable features of this 2024 edition of PQs are the addition of Safety Management System (SMS) related PQs to the areas of personnel licensing and training (PEL), aircraft operations (OPS), airworthiness of aircraft (AIR), air navigation services (ANS), and aerodromes and ground aids (AGA), as well as the addition of a set of new PQs on State Safety Programme (SSP) as a new audit area.

The newly added PQs on SSP and SMS will have an undetermined status thus will not affect the Effective Implementation (EI) values, until they are audited. Dedicated EI value for SSP will be provided separately. Once States and relevant regional organizations are migrated to the 2024 PQ edition, the EI values for the USOAP activities on safety oversight, as indicated on the USOAP CMA online framework, will be updated and adjusted accordingly.

The existing SSPIA PQs, including the self-assessments submitted and the assessment results for those States which had received SSPIAs, will be archived and can be made available upon request.

### Introduction to the ICAO USOAP CMA Protocol Questions

Protocol Questions (PQs) are the primary tool used in the ICAO Universal Safety Oversight Audit Programme (USOAP) Continuous Monitoring Approach (CMA) for assessing the effective implementation of the eight critical elements (CEs) of a State safety oversight system. Developed based on ICAO Standards and Recommended Practices (SARPs), Procedures for Air Navigation Services (PANS) and ICAO guidance material, the PQs are revised periodically by ICAO to reflect amendments to the ICAO provisions and reference documents.

The PQs are organized by audit areas and each PQ is associated with one of the eight CEs.

The nine audit areas are as follows:

- 1) Primary aviation legislation and specific operating regulations (LEG);
- 2) Civil aviation organization (ORG);
- 3) Personnel licensing and training (PEL);
- 4) Aircraft operations (OPS);
- 5) Airworthiness of aircraft (AIR);
- 6) Aircraft accident and incident investigation (AIG);
- 7) Air navigation services (ANS);
- 8) Aerodromes and ground aids (AGA); and
- 9) State Safety Programme (SSP).

The eight CEs are as follows:

- CE-1. Primary aviation legislation
- CE-2. Specific operating regulations
- CE-3. State system and functions
- CE-4. Qualified technical personnel
- CE-5. Technical guidance, tools and provision of safety-critical information
- CE-6. Licensing, certification, authorization and approval obligations
- CE-7. Surveillance obligations
- CE-8. Resolution of safety issues

States are expected to use the PQs to conduct regular self-assessments and in this way, monitor the health of their aviation safety oversight system in a proactive manner.

A State is deemed to have *fully addressed* a PQ when it has implemented all the required elements of the PQ. This generates a “*satisfactory*” status of implementation for the PQ. If the State provides insufficient or no evidence of compliance with the elements outlined in the PQ, the PQ is assessed as “*not satisfactory*”. The State is required to develop and implement a corrective action plan (CAP) which fully addresses the associated PQ and all identified deficiencies.

The status of each PQ for a State is reflected in the USOAP CMA Online Framework (<https://www.icao.int/usoap>) and summarized in the activity report.

For reference purposes, the PQs are hereby presented in a table format. Each PQ is assigned a PQ number (e.g. 1.001), associated with a CE (e.g. CE-1), and supplemented by the relevant “Guidance for Review of Evidence” and “ICAO References”. PQs shown in shaded rows can be assessed only in a USOAP CMA on-site activity, while Priority PQs are indicated as such (with “Yes” note) under the “PPQ” column.

For each PQ, the corresponding “Guidance for Review of Evidence” provides *an itemized list of elements* to be implemented by the State in order to address the PQ *satisfactorily*. When preparing for a USOAP CMA audit, States should use these listed elements, as applicable, to prepare the necessary supporting documentation and evidence for all PQs within the scope of the audit. When preparing for an ICAO validation activity, States should provide the necessary supporting documentation and evidence for all PQs, within the scope of the validation activity, that were previously assessed as “*not satisfactory*”.

Representatives of a State’s civil aviation authority/investigation authority who participate in USOAP CMA activities should be familiar with each PQ and the related “Guidance for Review of Evidence” that will be addressed during the activities. All the information and evidence for each PQ that are to be provided to the USOAP CMA activity team should be prepared in advance.

The “Summary of Amendments” table provides a list of new, revised, merged and deleted PQs and a brief description of the amendments.

For the 2024 edition of the PQs, the total number of PQs is 851 and a breakdown for each area is shown below:

|                            | Area | Number of 2024 PQs |
|----------------------------|------|--------------------|
| 1                          | LEG  | 23                 |
| 2                          | ORG  | 13                 |
| 3                          | PEL  | 100                |
| 4                          | OPS  | 136                |
| 5                          | AIR  | 198                |
| 6                          | AIG  | 84                 |
| 7                          | ANS  | 128                |
| 8                          | AGA  | 153                |
| 9                          | SSP  | 16                 |
| <b><i>TOTAL NUMBER</i></b> |      | <b><i>851</i></b>  |

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**General Guidelines for All Areas***Legislation, Primary Aviation Legislation and Specific Operating Regulations*

The term “legislation” in the USOAP CMA Protocol Questions is used as a generic term to include primary aviation legislation and specific operating regulations.

The term “primary aviation legislation” in the USOAP CMA Protocol Questions includes any legislative provision and/or instrument promulgated and enforceable in the State (e.g. laws, acts, codes and international treaties).

The term “specific operating regulations” in the USOAP CMA Protocol Questions includes any binding regulatory provisions and/or instruments in the State (e.g. regulations, decrees, rules, and orders).

*Processes and Procedures*

Processes and procedures should clarify *who does what, how, when* (or *within what timeframes*) and *in coordination with whom*, as applicable.

*Delegation of Duties and Tasks*

When a State has delegated some of its safety oversight duties and tasks to another State or organization, the PQs on the delegation may have to be evaluated with the entity to which the duties and tasks have been delegated.

The State remains responsible for ensuring that the delegated duties and tasks are performed, at all times, by qualified individuals who ensure the implementation of the applicable legislation as well as the established processes and procedures. There should also be a system in place for conducting surveillance of the delegated duties and tasks and a process for the resolution of identified safety deficiencies.

*Regulatory Function versus Service Provider Function*

For a State which has not established a clear separation between its regulatory function and its service provider function or where the State Civil Aviation Authority (CAA) is both the regulator *AND* the service provider, the related PQs remain applicable.

*Industry Visits*

Industry visits are conducted to review and verify that the implementation of the established requirements, procedures and safe practices in each area complies with ICAO provisions and the State's applicable national legislation.

*On-Site Activity*

PQs that can only be assessed in a USOAP CMA on-site activity are shown in shaded rows in the PQ table.

*Priority Protocol Questions (PPQs)*

A subset of PQs is classified as Priority PQs or PPQs, which, if found not satisfactory, may indicate a lack of capability by a State to identify and/or resolve operational safety and fundamental accident investigation deficiencies effectively.

*Annex*

The term “Annex” in the USOAP CMA Protocol Questions stands for Annex to the Chicago Convention.

*ICAO References*

The ICAO references are classified as follows (shown here in alphabetical order): Chicago Convention (CC), ICAO Circular (Cir), ICAO Guidance Material (GM), Procedures for Air Navigation Services (PANS), Recommended Practice (RP), Regional Supplementary Procedures (SUPPS), and Standard (STD).

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### Specific Guidelines for AGA

The AGA PQs are used to assess the aerodrome certification and surveillance system of States. They are based on the SARPs of Annex 14 to the Chicago Convention as well as relevant ICAO guidance material.

The aerodrome certification and surveillance system in place should cover not only international aerodromes, but also aerodromes open to public use. With respect to guidance material, their availability to both the regulatory personnel and the aerodrome operators should be ensured through an established mechanism.

#### *Applicability of Certain PQs*

For States without heliports or heliports open to international operations, all related PQs are to be marked as “*not applicable*”.

For States which do not have snow, all PQs on snow are “*not applicable*” to them.

For States which do not need advanced surface movement guidance and control systems (A-SMGCS) due to the type of their operations, all PQs on A-SMGCS are similarly “*not applicable*” to them.

#### *Industry Visits*

Some PQs will be verified during industry visits, such as those on the protective and respiratory equipment for rescue and firefighting (RFF) personnel, RFF training, emergency plan reviews, command centres, sampling of aerodrome manuals and implementation of driver requirements for personnel authorized to drive in the movement areas.

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### Acronyms and Abbreviations

A = Annex  
 ACN = Aircraft Classification Number  
 AGA = Aerodromes and Ground Aids  
 AIP = Aeronautical Information Publication  
 AIS = Aeronautical Information Service  
 Amdt. = Amendment  
 App. = Appendix  
 Art. = Article  
 A-SMGCS = Advanced Surface Movement Guidance and Control System  
 ATS = Air Traffic Service  
 Att. = Attachment to an ICAO document  
 CAA = Civil Aviation Authority  
 CC = Chicago Convention  
 CE = Critical Element  
 CFIT = Controlled Flight into Terrain  
 Cir = ICAO Circular  
 CMA = Continuous Monitoring Approach  
 DASS = Directorate of Aerodromes Safety and Standards  
 Doc = ICAO Document or Manual  
 EFOD = Electronic filing of differences  
 FATO = Final Approach and Take-off Area

GM = ICAO Guidance Material  
 GRF = Global Reporting Format  
 NAVAID = Aid to air navigation, also navigation aid  
 NOTAM = Notice to Airmen  
 OJT = On-the-job Training  
 OLS = Obstacle Limitation Surface  
 PCN = Pavement Classification Number  
 PPQ = Priority Protocol Question  
 PQ = Protocol Question  
 RCR = Runway condition report  
 RESA = Runway End Safety Area  
 RFF = Rescue and Firefighting  
 RP = Recommended Practice contained in an Annex to the Chicago Convention  
 RVR = Runway visual range  
 RWYCC = Runway condition code  
 SARPs = Standards and Recommended Practices  
 SMGCS = Surface Movement Guidance and Control System  
 STD = International Standard contained in an Annex to the Chicago Convention  
 USOAP = Universal Safety Oversight Audit Programme

## List of ICAO Reference Documents

|                                                                                                            |                                                                                                                                                          |
|------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|
| Doc 7300 — <i>Convention on International Civil Aviation</i><br>(also known as the Chicago Convention)     | Doc 9184 — <i>Airport Planning Manual</i><br>Part 1 — <i>Master Planning</i> (3rd edition, 2023)                                                         |
| Annex 11 — <i>Air Traffic Services</i> (15th edition, July 2018)                                           | Part 2 — <i>Land Use and Environmental Control</i> (4th edition, 2018)                                                                                   |
| Annex 13 — <i>Aircraft Accident and Incident Investigation</i> (13th edition, July 2024)                   | Part 3 — <i>Guidelines for Consultant/Construction Services</i><br>(1st edition, 1983)                                                                   |
| Annex 14 — <i>Aerodromes</i><br>Volume I — <i>Aerodrome Design and Operations</i> (9th edition, July 2022) | Doc 9261 — <i>Heliport Manual</i> (5th edition, 2021)                                                                                                    |
| Volume II — <i>Heliports</i> (5th edition, July 2020)                                                      | Doc 9426 — <i>Air Traffic Services Planning Manual</i> (1st edition, 1984)                                                                               |
| Annex 15 — <i>Aeronautical Information Services</i> (16th edition, July 2018)                              | Doc 9476 — <i>Manual on Surface Movement Guidance and Control Systems (SMGCS)</i> (1st edition, 1986)                                                    |
| Annex 16 — <i>Environmental Protection</i><br>Volume I — <i>Aircraft Noise</i> (8th edition, July 2017)    | Doc 9643 — <i>Manual on Simultaneous Operations on Parallel or Near-Parallel Instrument Runways</i> (Provisional) (2nd edition, 2020)                    |
| Annex 19 — <i>Safety Management</i> (2nd edition, July 2016)                                               | Doc 9683 — <i>Human Factors Training Manual</i> (1st edition, 1998)                                                                                      |
| Doc 9137 — <i>Airport Services Manual</i><br>Part 1 — <i>Rescue and Fire Fighting</i> (4th edition, 2015)  | Doc 9734 — <i>Safety Oversight Manual</i> (<br>Part A — <i>The Establishment and Management of a State's Safety Oversight System</i> (3rd edition, 2017) |
| Part 2 — <i>Pavement Surface Conditions</i> (4th edition, 2002)                                            | Doc 9735 — <i>Universal Safety Oversight Audit Programme Continuous Monitoring Manual</i> (5th edition, 2023)                                            |
| Part 3 — <i>Wildlife Control and Reduction</i> (5th edition, 2020)                                         | Doc 9774 — <i>Manual on Certification of Aerodromes</i> (1st edition, 2001)                                                                              |
| Part 5 — <i>Removal of Disabled Aircraft</i> (4th edition, 2009)                                           | Doc 9806 — <i>Human Factors Guidelines for Safety Audits Manual</i><br>(1st edition, 2002)                                                               |
| Part 6 — <i>Control of Obstacles</i> (2nd edition, 1983)                                                   | Doc 9830 — <i>Advanced Surface Movement Guidance and Control Systems (A-SMGCS) Manual</i> (1st edition, 2004)                                            |
| Part 7 — <i>Airport Emergency Planning</i> (2nd edition, 1991)                                             | Doc 9859 — <i>Safety Management Manual</i> (4th edition, 2018)                                                                                           |
| Part 8 — <i>Airport Operational Services</i> (1st edition, 1983)                                           | Doc 9870 — <i>Manual on the Prevention of Runway Incursions</i><br>(1st edition, 2007)                                                                   |
| Part 9 — <i>Airport Maintenance Practices</i> (1st edition, 1984)                                          | Doc 9981 — <i>Procedures for Air Navigation Services: Aerodromes (PANS-AGA)</i> (3rd edition, 2020)                                                      |
| Doc 9150 — <i>Stolport Manual</i> (2nd edition, 1991)                                                      | Doc 10066 — <i>Aeronautical Information Management</i> (1 <sup>st</sup> edition 2018)                                                                    |
| Doc 9157 — <i>Aerodrome Design Manual</i><br>Part 1 — <i>Runways</i> (4rd edition, 2020)                   | Cir 305 — <i>Operation of New Larger Aeroplanes at Existing Aerodromes</i> (June 2004)                                                                   |
| Part 2 — <i>Taxiways, Aprons and Holding Bays</i> (5th edition, 2020)                                      | Cir 355 — <i>Assessment Measurement and Reporting of Runway Surface Conditions</i> (2019)                                                                |
| Part 3 — <i>Pavements</i> (3rd edition, 2022)                                                              |                                                                                                                                                          |
| Part 4 — <i>Visual Aids</i> (5th edition, 2021)                                                            |                                                                                                                                                          |
| Part 5 — <i>Electrical Systems</i> (2nd edition, 2017)                                                     |                                                                                                                                                          |
| Part 6 — <i>Frangibility</i> (1st edition, 2006)                                                           |                                                                                                                                                          |

## SUMMARY OF AMENDMENTS – AGA PQs

*Note 1.— The PQs are listed here sequentially for convenience. This may differ from their order on the USOAP CMA Online Framework or in the following document.*

*Note 2.— The PQs in the shaded rows require a USOAP CMA on-site activity. For the remaining PQs, no on-site activity is required in most cases.*

| PQ No.<br>(2020<br>version) | PQ No.<br>(2024<br>version) | Type of Amendment |         |         |        |              | Description of Amendments                                   |
|-----------------------------|-----------------------------|-------------------|---------|---------|--------|--------------|-------------------------------------------------------------|
|                             |                             | New               | Revised | Deleted | Merged | No<br>change |                                                             |
| 8.149                       |                             |                   |         | ✓       |        |              | PQ has been deleted.                                        |
| 8.001                       | 8.001                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.003                       | 8.003                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.005                       | 8.005                       |                   | ✓       |         |        |              | Question revised for clarity. Guidance revised for clarity. |
| 8.011                       | 8.011                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.015                       | 8.015                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.031                       | 8.031                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.033                       | 8.033                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.035                       | 8.035                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.039                       | 8.039                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.040                       | 8.040                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.042                       | 8.042                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.045                       | 8.045                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.047                       | 8.047                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.048                       | 8.048                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.049                       | 8.049                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.050                       | 8.050                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.051                       | 8.051                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.053                       | 8.053                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.055                       | 8.055                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.057                       | 8.057                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.063                       | 8.063                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.065                       | 8.065                       |                   |         |         |        | ✓            | No change.                                                  |

| PQ No.<br>(2020<br>version) | PQ No.<br>(2024<br>version) | Type of Amendment |         |         |        |              | Description of Amendments                                   |
|-----------------------------|-----------------------------|-------------------|---------|---------|--------|--------------|-------------------------------------------------------------|
|                             |                             | New               | Revised | Deleted | Merged | No<br>change |                                                             |
| 8.069                       | 8.069                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.083                       | 8.083                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.085                       | 8.085                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.086                       | 8.086                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.087                       | 8.087                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.091                       | 8.091                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.093                       | 8.093                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.099                       | 8.099                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.101                       | 8.101                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.103                       | 8.103                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.105                       | 8.105                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.111                       | 8.111                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.113                       | 8.113                       |                   | ✓       |         |        |              | Question revised for clarity. Guidance revised for clarity. |
| 8.115                       | 8.115                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.119                       | 8.119                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.132                       | 8.132                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.133                       | 8.133                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.134                       | 8.134                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.137                       | 8.137                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.139                       | 8.139                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.141                       | 8.141                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.142                       | 8.142                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.143                       | 8.143                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.144                       | 8.144                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.145                       | 8.145                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.147                       | 8.147                       |                   | ✓       |         |        |              | Question revised for clarity.                               |
| 8.148                       | 8.148                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.151                       | 8.151                       |                   |         |         |        | ✓            | No change.                                                  |
| 8.153                       | 8.153                       |                   |         |         |        | ✓            | No change.                                                  |

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|-----------------------------|-----------------------------|-------------------|---------|---------|--------|--------------|---------------------------|
|                             |                             | New               | Revised | Deleted | Merged | No<br>change |                           |
| 8.155                       | 8.155                       |                   |         |         |        | ✓            | No change.                |
| 8.157                       | 8.157                       |                   |         |         |        | ✓            | No change.                |
| 8.161                       | 8.161                       |                   |         |         |        | ✓            | No change.                |
| 8.162                       | 8.162                       |                   |         |         |        | ✓            | No change.                |
| 8.163                       | 8.163                       |                   |         |         |        | ✓            | No change.                |
| 8.169                       | 8.169                       |                   |         |         |        | ✓            | No change.                |
| 8.171                       | 8.171                       |                   |         |         |        | ✓            | No change.                |
| 8.172                       | 8.172                       |                   |         |         |        | ✓            | No change.                |
| 8.173                       | 8.173                       |                   |         |         |        | ✓            | No change.                |
| 8.175                       | 8.175                       |                   |         |         |        | ✓            | No change.                |
| 8.177                       | 8.177                       |                   |         |         |        | ✓            | No change.                |
| 8.179                       | 8.179                       |                   |         |         |        | ✓            | No change.                |
| 8.181                       | 8.181                       |                   |         |         |        | ✓            | No change.                |
| 8.182                       | 8.182                       |                   |         |         |        | ✓            | No change.                |
| 8.183                       | 8.183                       |                   |         |         |        | ✓            | No change.                |
| 8.191                       | 8.191                       |                   |         |         |        | ✓            | No change.                |
| 8.201                       | 8.201                       |                   |         |         |        | ✓            | No change.                |
| 8.202                       | 8.202                       |                   |         |         |        | ✓            | No change.                |
| 8.204                       | 8.204                       |                   |         |         |        | ✓            | No change.                |
| 8.209                       | 8.209                       |                   |         |         |        | ✓            | No change.                |
| 8.211                       | 8.211                       |                   |         |         |        | ✓            | No change.                |
| 8.215                       | 8.215                       |                   |         |         |        | ✓            | No change.                |
| 8.219                       | 8.219                       |                   | ✓       |         |        |              | Reference revised.        |
| 8.221                       | 8.221                       |                   |         |         |        | ✓            | No change.                |
| 8.222                       | 8.222                       |                   |         |         |        | ✓            | No change.                |
| 8.223                       | 8.223                       |                   |         |         |        | ✓            | No change.                |
| 8.225                       | 8.225                       |                   |         |         |        | ✓            | No change.                |
| 8.227                       | 8.227                       |                   |         |         |        | ✓            | No change.                |
| 8.233                       | 8.233                       |                   |         |         |        | ✓            | No change.                |

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|-----------------------------|-----------------------------|-------------------|---------|---------|--------|--------------|---------------------------|
|                             |                             | New               | Revised | Deleted | Merged | No<br>change |                           |
| 8.235                       | 8.235                       |                   |         |         |        | ✓            | No change.                |
| 8.239                       | 8.239                       |                   |         |         |        | ✓            | No change.                |
| 8.245                       | 8.245                       |                   |         |         |        | ✓            | No change.                |
| 8.251                       | 8.251                       |                   |         |         |        | ✓            | No change.                |
| 8.252                       | 8.252                       |                   |         |         |        | ✓            | No change.                |
| 8.253                       | 8.253                       |                   |         |         |        | ✓            | No change.                |
| 8.255                       | 8.255                       |                   |         |         |        | ✓            | No change.                |
| 8.257                       | 8.257                       |                   |         |         |        | ✓            | No change.                |
| 8.259                       | 8.259                       |                   |         |         |        | ✓            | No change.                |
| 8.273                       | 8.273                       |                   |         |         |        | ✓            | No change.                |
| 8.275                       | 8.275                       |                   |         |         |        | ✓            | No change.                |
| 8.277                       | 8.277                       |                   |         |         |        | ✓            | No change.                |
| 8.279                       | 8.279                       |                   |         |         |        | ✓            | No change.                |
| 8.281                       | 8.281                       |                   |         |         |        | ✓            | No change.                |
| 8.283                       | 8.283                       |                   |         |         |        | ✓            | No change.                |
| 8.287                       | 8.287                       |                   |         |         |        | ✓            | No change.                |
| 8.291                       | 8.291                       |                   |         |         |        | ✓            | No change.                |
| 8.293                       | 8.293                       |                   |         |         |        | ✓            | No change.                |
| 8.297                       | 8.297                       |                   |         |         |        | ✓            | No change.                |
| 8.299                       | 8.299                       |                   |         |         |        | ✓            | No change.                |
| 8.301                       | 8.301                       |                   |         |         |        | ✓            | No change.                |
| 8.303                       | 8.303                       |                   |         |         |        | ✓            | No change.                |
| 8.305                       | 8.305                       |                   | ✓       |         |        |              | Reference revised.        |
| 8.307                       | 8.307                       |                   | ✓       |         |        |              | Reference revised.        |
| 8.309                       | 8.309                       |                   | ✓       |         |        |              | Reference revised.        |
| 8.311                       | 8.311                       |                   | ✓       |         |        |              | Reference revised.        |
| 8.313                       | 8.313                       |                   | ✓       |         |        |              | Reference revised.        |
| 8.315                       | 8.315                       |                   | ✓       |         |        |              | Reference revised.        |
| 8.317                       | 8.317                       |                   | ✓       |         |        |              | Reference revised.        |

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|-----------------------------|-----------------------------|-------------------|---------|---------|--------|--------------|-------------------------------|
|                             |                             | New               | Revised | Deleted | Merged | No<br>change |                               |
| 8.319                       | 8.319                       |                   | ✓       |         |        |              | Reference revised.            |
| 8.321                       | 8.321                       |                   |         |         |        | ✓            | No change.                    |
| 8.323                       | 8.323                       |                   |         |         |        | ✓            | No change.                    |
| 8.328                       | 8.328                       |                   |         |         |        | ✓            | No change.                    |
| 8.329                       | 8.329                       |                   |         |         |        | ✓            | No change.                    |
| 8.331                       | 8.331                       |                   |         |         |        | ✓            | No change.                    |
| 8.333                       | 8.333                       |                   |         |         |        | ✓            | No change.                    |
| 8.335                       | 8.335                       |                   |         |         |        | ✓            | No change.                    |
| 8.337                       | 8.337                       |                   |         |         |        | ✓            | No change.                    |
| 8.339                       | 8.339                       |                   |         |         |        | ✓            | No change.                    |
| 8.341                       | 8.341                       |                   |         |         |        | ✓            | No change.                    |
| 8.345                       | 8.345                       |                   |         |         |        | ✓            | No change.                    |
| 8.347                       | 8.347                       |                   |         |         |        | ✓            | No change.                    |
| 8.349                       | 8.349                       |                   |         |         |        | ✓            | No change.                    |
| 8.365                       | 8.365                       |                   |         |         |        | ✓            | No change.                    |
| 8.367                       | 8.367                       |                   |         |         |        | ✓            | No change.                    |
| 8.369                       | 8.369                       |                   |         |         |        | ✓            | No change.                    |
| 8.375                       | 8.375                       |                   |         |         |        | ✓            | No change.                    |
| 8.377                       | 8.377                       |                   |         |         |        | ✓            | No change.                    |
| 8.381                       | 8.381                       |                   | ✓       |         |        |              | Question revised for clarity. |
| 8.383                       | 8.383                       |                   |         |         |        | ✓            | No change.                    |
| 8.385                       | 8.385                       |                   |         |         |        | ✓            | No change.                    |
| 8.387                       | 8.387                       |                   | ✓       |         |        |              | Question revised for clarity. |
| 8.389                       | 8.389                       |                   |         |         |        | ✓            | No change.                    |
| 8.393                       | 8.393                       |                   |         |         |        | ✓            | No change.                    |
| 8.395                       | 8.395                       |                   |         |         |        | ✓            | No change.                    |
| 8.401                       | 8.401                       |                   |         |         |        | ✓            | No change.                    |
| 8.403                       | 8.403                       |                   |         |         |        | ✓            | No change.                    |
| 8.405                       | 8.405                       |                   |         |         |        | ✓            | No change.                    |

| PQ No.<br>(2020<br>version) | PQ No.<br>(2024<br>version) | Type of Amendment |         |         |        |              | Description of Amendments |
|-----------------------------|-----------------------------|-------------------|---------|---------|--------|--------------|---------------------------|
|                             |                             | New               | Revised | Deleted | Merged | No<br>change |                           |
| 8.409                       | 8.409                       |                   |         |         |        | ✓            | No change.                |
| 8.411                       | 8.411                       |                   |         |         |        | ✓            | No change.                |
| 8.413                       | 8.413                       |                   |         |         |        | ✓            | No change.                |
| 8.415                       | 8.415                       |                   |         |         |        | ✓            | No change.                |
|                             | 8.901                       | ✓                 |         |         |        |              | New PQ.                   |
|                             | 8.905                       | ✓                 |         |         |        |              | New PQ.                   |
|                             | 8.909                       | ✓                 |         |         |        |              | New PQ.                   |
|                             | 8.913                       | ✓                 |         |         |        |              | New PQ.                   |
|                             | 8.917                       | ✓                 |         |         |        |              | New PQ.                   |
|                             | 8.921                       | ✓                 |         |         |        |              | New PQ.                   |
|                             | 8.925                       | ✓                 |         |         |        |              | New PQ.                   |
|                             | 8.929                       | ✓                 |         |         |        |              | New PQ.                   |
|                             | 8.933                       | ✓                 |         |         |        |              | New PQ.                   |
|                             | 8.937                       | ✓                 |         |         |        |              | New PQ.                   |
|                             | 8.941                       | ✓                 |         |         |        |              | New PQ.                   |

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| PQ No. | Protocol Question                                                                                                                                   | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                         | ICAO References                                                                                              | PPQ | CE   |
|--------|-----------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|-----|------|
| 8.001  | Has the State promulgated specific operating regulations to transpose the provisions of Annex 14?                                                   | 1) Confirm the title, date of promulgation and last amendment of all regulations related to aerodromes.<br>2) Verify that the content of the regulations is consistent, sufficient, properly organized to cover aerodrome design and operation.<br>.                                                                                                                                                                    | CC<br>Arts. 15, 28 & 37<br>STD<br>A19<br>3.2.2.2<br>GM<br>Doc 9734<br>Part A, C3                             | Yes | CE-2 |
| 8.003  | Has the State implemented procedures for the amendment of its specific regulations, taking into consideration ICAO provisions and their amendments? | 1) Review documented evidence of effective implementation of procedures for the amendment of the regulations.<br>2) Verify that regulations are amended in a timely manner whenever an Annex 14 amendment is received.<br>3) Verify the action taken by the State after receipt of the last amendments of Annex 14.<br><br>Note to the auditor:<br>The development of these procedures is addressed in PQ LEG PQ 1.009. | CC<br>Art. 37<br>GM<br>Doc 9734<br>Part A, C3                                                                | Yes | CE-2 |
| 8.005  | Has the State promulgated regulations for the certification of aerodromes?                                                                          | Verify that:<br>1) the State has established a requirement to certify aerodromes used for international operations; and<br>2) the scope of certification covers all relevant specifications established through the regulatory framework applicable to the aerodrome.                                                                                                                                                   | CC<br>Art. 15<br>STD<br>A14<br>Vol. I, 1.4.1 & 1.4.3<br>RP<br>A14<br>Vol. I, 1.4.2<br>PANS<br>Doc 9981 (AGA) | Yes | CE-2 |
| 8.011  | If the State is involved in the provision of aerodrome facilities and services, is there a clear separation of authority between the                | Review established method used to ensure objective and impartial safety management.                                                                                                                                                                                                                                                                                                                                     | GM<br>Doc 9774<br>1.1<br>Doc 9734                                                                            | Yes | CE-3 |

| PQ No. | Protocol Question                                                                                                                                                           | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                          | ICAO References                                                                  | PPQ | CE   |
|--------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|-----|------|
|        | State operators and the State regulatory authority?                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                          | Part A, C2 & C3                                                                  |     |      |
| 8.015  | Has the State implemented procedures for identifying and notifying differences, if any, to ICAO?                                                                            | <p>1) Review documented evidence of effective implementation of existing procedures.</p> <p>2) Review CC/EFOD for Annex 14, Volume I and Volume II, as applicable.</p> <p>Note to the auditor:<br/>The development of these procedures is addressed in LEG PQ 1.025.</p>                                                                                                                                                 | <p>CC</p> <p>Arts. 37 &amp; 38</p> <p>GM</p> <p>Doc 9734</p> <p>Part A, C3</p>   | Yes | CE-2 |
| 8.031  | Has the State established an organizational structure, e.g. a Directorate of Aerodromes Safety and Standards (DASS), for airport certification and surveillance activities? | <p>1) Confirm current approved organizational structure for CAA and DASS, including lines of responsibility.</p> <p>2) Note names and acronyms of the established authorities and each section dealing with aerodrome certification and surveillance activities.</p> <p>3) Cross-check with State Aviation Activity Questionnaire (SAAQ).</p> <p>Note to the auditor:<br/>This PQ is not linked to the ORG PQ 2.010.</p> | <p>GM</p> <p>Doc 9774</p> <p>5.1 &amp; 5.4</p> <p>Doc 9734</p> <p>Part A, C3</p> |     | CE-3 |
| 8.033  | Are all the functions and responsibilities of the aerodrome regulatory authority clearly defined?                                                                           | <p>Review document detailing the functions and responsibilities for inclusion of:</p> <p>a) development of aerodrome standards;</p> <p>b) aerodrome certification;</p> <p>c) safety oversight audits;</p> <p>d) notification to the AIS and other organizations;</p> <p>e) other safety functions; and</p> <p>f) compliance and enforcement.</p> <p>Note to the auditor:<br/>This PQ is not linked to ORG PQ 2.011.</p>  | <p>STD</p> <p>A19</p> <p>GM</p> <p>Doc 9734</p> <p>Doc 9774</p> <p>5.2</p>       |     | CE-3 |
| 8.035  | Have job descriptions been                                                                                                                                                  | 1) Review job descriptions for all                                                                                                                                                                                                                                                                                                                                                                                       | GM                                                                               |     | CE-3 |

| PQ No. | Protocol Question                                                                                                                                                                                                                             | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | ICAO References                                           | PPQ | CE   |
|--------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|-----|------|
|        | developed for technical staff and key management personnel of the aerodrome regulatory authority?                                                                                                                                             | aerodrome regulatory and inspectorate staff.<br>2) Check that the job description includes tasks related to Annex 14.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Doc 9734<br>Part A, C3<br>Doc 9774<br>5.5                 |     |      |
| 8.039  | Does the aerodrome regulatory authority have sufficient human resources (including an appropriate mix of technical disciplines given the size and scope of all the aerodrome operations in the State) to carry out its functions and mandate? | 1) Review methodology used for determining staffing levels, taking into account all required functions.<br>2) Review list of available experts which demonstrates a suitable mix of technical disciplines, or access to such, appropriate to aerodrome operations such as:<br>a) aerodrome operations;<br>b) air traffic and apron management;<br>c) engineering – civil and electrical;<br>d) Rescue and firefighting (RFF); and<br>e) wildlife hazard control.<br>3) Review ability to carry out all safety oversight-related tasks, including:<br>a) reviewing and revising regulations;<br>b) training technical staff;<br>c) developing guidance material;<br>d) issuing approvals and exemptions;<br>e) conducting surveillance; and<br>f) resolving identified safety deficiencies/concerns. | GM<br>Doc 9734<br>Part A, C3<br>Doc 9774<br>5.4           | Yes | CE-3 |
| 8.040  | Has the State established appropriate minimum qualification and experience requirements for the technical staff and key management personnel of the aerodrome regulatory authority?                                                           | Verify that the requirements take into account sufficient operational and technical work experience commensurate with the activities that the inspectors are required to certify or supervise.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | STD<br>A19<br>App. 1, 4.1<br>GM<br>Doc 9734<br>Part A, C3 |     | CE-4 |
| 8.042  | Does the State ensure that the established minimum qualification and experience requirements are met by all technical staff and key management personnel of the aerodrome regulatory authority?                                               | 1) Sample recruitment files.<br>2) Cross-check with established requirements.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | STD<br>A19<br>App. 1, 4.2<br>GM<br>Doc 9734<br>Part A, C3 |     | CE-4 |

| PQ No. | Protocol Question                                                                                                                                                                                                         | Guidance for Review of Evidence                                                                                                                                                                                                                            | ICAO References                                               | PPQ | CE   |
|--------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|-----|------|
| 8.045  | Have aerodrome inspectors been issued credentials to facilitate access to aerodrome facilities in the State and access to certification documentation for the purpose of inspections and enforcement?                     | Review credentials to ensure:<br>a) reference to empowering legislation;<br>b) method established to control currency of credential; and<br>c) inspector's photo.                                                                                          | STD<br>A19<br>App. 1, 1<br>GM<br>Doc 9774<br>2.2 g) & 3D.6    |     | CE-3 |
| 8.047  | If the aerodrome regulatory authority delegates its duties to other entities (e.g. CAA divisions, State bodies, Contracting States, regional organizations or private agencies), are the delegated tasks clearly defined? | 1) Review documentation clearly defining tasks delegated.<br>2) Verify the legal mechanism for the delegation.                                                                                                                                             | GM<br>Doc 9734<br>Part A, C3<br>Doc 9774<br>4.1.4             |     | CE-3 |
| 8.048  | If deficiencies or concerns are identified in the tasks delegated to other entities or individuals, does the aerodrome regulatory authority have a process in place for their resolution?                                 | Review examples of corrective actions taken to resolve deficiencies identified during surveillance activities of the delegated entities/individuals.                                                                                                       | GM<br>Doc 9734<br>Part A, C3                                  |     | CE-8 |
| 8.049  | If the duties of the aerodrome regulatory authority have been delegated to other entities or individuals, have the requirements for competency been established?                                                          | 1) Review competency requirements for delegated entities or individuals.<br>2) Review minimum qualifications and experience required for individuals receiving delegation.                                                                                 | GM<br>Doc 9734<br>Part A, C3                                  |     | CE-4 |
| 8.050  | Does the aerodrome regulatory authority conduct surveillance of tasks delegated to other entities or individuals?                                                                                                         | 1) Review mechanism for surveillance.<br>2) Review evidence to confirm effective implementation.<br>3) Review that the aspect of maintenance of competency of relevant personnel is covered.                                                               | GM<br>Doc 9734<br>Part A, C3                                  |     | CE-7 |
| 8.051  | Has the aerodrome regulatory authority developed a formal training programme detailing the type of training to be provided to aerodrome regulatory and inspectorate staff?                                                | 1) Review contents of training programme.<br>2) Confirm that it covers all the AGA specialist areas and define all the training (initial, specialized, OJT) needed, with time periods to be provided, as applicable, to access the different levels of the | STD<br>A19<br>GM<br>Doc 9734<br>Part A, C3<br>Doc 9774<br>5.5 | Yes | CE-4 |

| PQ No. | Protocol Question                                                                                                                                                       | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                       | ICAO References                            | PPQ | CE   |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-----|------|
|        |                                                                                                                                                                         | aerodrome inspectors' functions in all the specialist areas, and maintain (recurrent) them at those levels.<br>3) Verify specialized training for:<br>a) aerodrome operations,<br>b) RFF,<br>c) wildlife management,<br>d) assessment of physical characteristics and electrical systems,<br>e) obstacle control,<br>f) assessment and reporting of runway surface conditions<br>g) aeronautical studies/risk assessments,<br>h) enforcement, and<br>i) signs, markings and lighting. |                                            |     |      |
| 8.053  | Does the aerodrome regulatory authority develop a periodic training plans detailing and prioritizing the type of training to be provided during the established period? | 1) Review most recent training plan.<br>2) Ensure that training plans are:<br>a) based on relevant training needs of individual staff members; and<br>b) prioritized according to the operational requirements of the unit.                                                                                                                                                                                                                                                           | STD<br>A19<br>GM<br>Doc 9734<br>Part A, C3 |     | CE-4 |
| 8.055  | Is the training programme appropriately implemented?                                                                                                                    | Verify that the type and frequency of training provided (initial, OJT, recurrent and specialized) is sufficient for the technical staff to acquire/maintain the required level of knowledge, skills, competence and qualifications in accordance with their assigned duties and responsibilities.                                                                                                                                                                                     | STD<br>A19<br>GM<br>Doc 9734<br>Part A, C3 | Yes | CE-4 |
| 8.057  | Does the aerodrome regulatory authority have a system for the maintenance of training records for its technical staff?                                                  | 1) Review requirements/instructions for the establishment and maintenance of training records.<br>2) Review the system in place and sample training records.<br>3) Verify that training records:<br>a) are systematically retained; and<br>b) comprise not only certificates of                                                                                                                                                                                                       | STD<br>A19<br>GM<br>Doc 9734<br>Part A     |     | CE-4 |

| PQ No. | Protocol Question                                                                                                                                                                                                                                                           | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                     | ICAO References                                           | PPQ | CE   |
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|        |                                                                                                                                                                                                                                                                             | attendance, but also information on the course content.<br>4) Verify that the training records on the OJT provided include details on the training activities performed (e.g. participation as an observer or performance of tasks under supervision) and their outcome.                                                                                                                                                                                                            |                                                           |     |      |
| 8.063  | Does the State issue and maintain up-to- date publications, including guidance material, to ensure that aerodrome operators are aware of the State regulations and supporting requirements which have to be met for the granting and retention of an aerodrome certificate? | 1) Review availability of sufficient information (e.g. booklet, pamphlet, circular, and websites) to a prospective aerodrome operator about the regulations and associated material for obtaining an aerodrome certificate and corresponding specifications.<br>2) Review process for issuing and keeping published documents up to date.<br>3) Review list of published documentation to confirm their relevance, currency and coverage of most of the AGA specialist disciplines. | STD<br>A19<br>App. 1, 5.2<br>GM<br>Doc 9734<br>Part A, C3 |     | CE-5 |
| 8.065  | Are the relevant ICAO documents and other technical publications up-to-date and readily available to aerodrome regulatory and inspectorate staff?                                                                                                                           | 1) Verify accessibility of documents:<br>a) State laws and regulations;<br>b) orders and instructions;<br>c) current copy of Annex 14; and<br>d) copies of ICAO guidance material (e.g. Doc 9981, Doc 9774, Doc 9137, Doc 9157, etc.).<br>2) Evaluate:<br>a) availability of a technical library; and<br>b) document control system.<br><br>Note to the auditor:<br>Check for field/regional offices as well as Headquarters.                                                       | STD<br>A19<br>App. 1, 5.1<br>GM<br>Doc 9774<br>5.3        |     | CE-5 |
| 8.069  | Has the aerodrome regulatory authority developed guidance                                                                                                                                                                                                                   | Review guidance materials and procedures developed for both aerodrome                                                                                                                                                                                                                                                                                                                                                                                                               | STD<br>A19                                                |     | CE-5 |

| PQ No. | Protocol Question                                                                                                                                                           | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | ICAO References                                                                                                                                     | PPQ | CE   |
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|        | material and procedures for aerodrome inspectorate staff, covering each technical specialist area?                                                                          | certification and surveillance activities.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | App. 1, 5.1<br>GM<br>Doc 9734<br>Part A, C3                                                                                                         |     |      |
| 8.083  | Has the State established a process for the certification of aerodromes?                                                                                                    | Review aerodrome certification process to verify inclusion of the following elements:<br>a) dealing with an expression of interest;<br>b) assessment of the formal application;<br>c) evaluation of the aerodrome manual submitted by the applicant;<br>d) evaluation of the competence and experience of the aerodrome staff;<br>e) assessment of aerodrome physical characteristics, facilities and equipment;<br>f) evaluation of aerodrome operating procedures;<br>g) issuance or refusal of aerodrome certificate; and<br>h) publication of certified status of an aerodrome and the required details in the AIP. | CC<br>Art. 15<br>STD<br>A14<br>Vol. I, 1.4.3 & 1.4.4<br>PANS<br>Doc 9981 (AGA)<br>2.1.2.2, 2.1.2.3 & Att. B to C2<br>GM<br>Doc 9774<br>3B.3.2 & 4.2 | Yes | CE-5 |
| 8.085  | Does the aerodrome regulatory authority require that a prospective aerodrome operator complete and submit a formal application form for obtaining an aerodrome certificate? | 1) Review template.<br>2) Review examples of completed application forms to confirm effective implementation.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | PANS<br>Doc 9981 (AGA)<br>2.3.3.2 a)<br>GM<br>Doc 9774<br>3B.2                                                                                      |     | CE-6 |
| 8.086  | Does the aerodrome regulatory authority fully implement the certification requirements?                                                                                     | 1) Verify the implementation of the certification requirements for all the designated aerodromes.<br>2) Review samples of aerodrome certification files to confirm consistent application of the certification process for the designated aerodromes.                                                                                                                                                                                                                                                                                                                                                                   | STD<br>A14<br>Vol. I, 1.4.1 & 1.4.3<br>RP<br>A14<br>Vol. I, 1.4.2<br>GM<br>Doc 9774<br>3B.3.2                                                       | Yes | CE-6 |

| PQ No. | Protocol Question                                                                                                                                                                                                                                                                          | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | ICAO References                                                                                                                         | PPQ | CE   |
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| 8.087  | Does the aerodrome regulatory authority review the organizational competence and level of resources of prospective aerodrome operators or certificate holders and ensure that they employ competent personnel to perform all critical activities for aerodrome operations and maintenance? | 1) Review aerodrome inspector's guidance material, manuals, checklists, etc.<br>2) Verify certification process.<br>3) Review qualification requirements and process for assessment of staff performing all critical activities.<br>4) Review evidence to confirm effective implementation.                                                                                                                                                                                                           | PANS<br>Doc 9981 (AGA)<br>App. 1 of C2 & 3.2 c)<br>GM<br>Doc 9774<br>3D.2                                                               | Yes | CE-6 |
| 8.091  | Does aerodrome certification staff track compliance with the initial certification requirements using appropriate checklists?                                                                                                                                                              | Review the checklists used for initial certification to confirm effective implementation.                                                                                                                                                                                                                                                                                                                                                                                                             | PANS<br>Doc 9981 (AGA)<br>2.3.2.3, 2.3.2.6, App. 1 to C2 & Att. C to C2<br>GM<br>Doc 9734<br>Part A, C3<br>Doc 9774<br>4.1.2, 4.4 & 5.2 | Yes | CE-6 |
| 8.093  | Does the scope of the certification process explicitly include referral to competent State entities and coordination with elements of air traffic service (ATS) for the local airspace of an aerodrome?                                                                                    | 1) Review referral and coordination with entities such as environmental agency, security agency, local planning authorities, etc.<br>2) Verify that documentation includes the requirement to coordinate with ATS elements in the certification process.<br>3) Review evidence to confirm effective implementation.<br>4) If the aerodrome certification requirements do not explicitly include ATS elements, review the method used to ensure coordination with ATS elements for the local airspace. | GM<br>Doc 9774<br>1.2.5, 2.2 d), 2.2 e) & 4.3.3                                                                                         |     | CE-6 |
| 8.099  | As part of the State's aerodrome certification process, does the State implement procedures for accepting a non-compliance with the established requirements, including                                                                                                                    | Verify:<br>a) effective implementation of procedures for the issuance of exemptions;<br>b) system for recording and publishing exemptions granted;                                                                                                                                                                                                                                                                                                                                                    | PANS<br>Doc 9981 (AGA)<br>2.3.6.2<br>GM<br>Doc 9734                                                                                     | Yes | CE-6 |

| PQ No. | Protocol Question                                                                                                                            | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                        | ICAO References                                                      | PPQ | CE   |
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|        | a risk assessment mechanism and notification procedure?                                                                                      | <p>c) examples of exemptions granted in the area of aerodromes;<br/>d) criteria used for the risk assessment before granting the exemptions.</p> <p>Notes to the auditor:<br/>1) The legal/regulatory basis for the granting of exemptions is addressed LEG PQ 1.027.<br/>2) The establishment of a policy and procedures for the granting of exemptions is addressed in LEG PQ 1.028.<br/>3) The term “exemptions” also includes exceptions, deviations and prolonged extensions.</p> | Part A, C3<br>Doc 9774<br>App. 3                                     |     |      |
| 8.101  | Does the aerodrome certificate issued by the State contain appropriate information, including the type of use of the aerodrome?              | Review examples of aerodrome certificate to confirm that the aerodrome’s location, operator, type of use and any exemptions or operational conditions imposed on its use are included.                                                                                                                                                                                                                                                                                                 | PANS<br>Doc 9981 (AGA)<br>2.3.6.1<br>GM<br>Doc 9774<br>3B.4 & App. 4 | Yes | CE-6 |
| 8.103  | Does the aerodrome regulatory authority maintain an appropriate filing system for each aerodrome to be certified and a certificate register? | <p>1) Review the filing system of the aerodrome regulatory authority.</p> <p>2) Review evidence to confirm inclusion of required documents in aerodrome files (e.g. applications forms, manuals, checklists, etc.).</p>                                                                                                                                                                                                                                                                | GM<br>Doc 9774<br>5.3                                                |     | CE-6 |
| 8.105  | Has the State established procedures for the amendment and transfer of an aerodrome certificate?                                             | <p>1) Review procedure for amendment and verify that it includes assessment of all elements which could be affected by the change.</p> <p>2) Review procedure for transfer to ensure that it includes evaluation of all changes and assessment of the capability of the new operator.</p> <p>3) Review examples, if available.</p>                                                                                                                                                     | PANS<br>Doc 9981 (AGA)<br>2.5.8.6<br>GM<br>Doc 9774<br>3B.9 & 4.7    |     | CE-5 |
| 8.111  | Does the State ensure that                                                                                                                   | 1) Verify that aerodrome manuals have                                                                                                                                                                                                                                                                                                                                                                                                                                                  | STD                                                                  | Yes | CE-6 |

| PQ No. | Protocol Question                                                                                                                             | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | ICAO References                                                                                                                | PPQ | CE   |
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|        | aerodrome operators develop and submit an aerodrome manual to the appropriate State authority for approval/acceptance prior to certification? | <p>been approved/accepted by the CAA for all designated aerodromes.</p> <p>2) Review samples of approved/accepted aerodrome manual, specifically for particulars of:</p> <ul style="list-style-type: none"> <li>a) the aerodrome site;</li> <li>b) the aerodrome information to be reported to the AIS: <ul style="list-style-type: none"> <li>i) general information;</li> <li>ii) aerodrome dimensions and related information;</li> </ul> </li> <li>c) the aerodrome operating procedures and safety measures: <ul style="list-style-type: none"> <li>i) aerodrome reporting;</li> <li>ii) movement area access;</li> <li>iii) aerodrome emergency plan;</li> <li>iv) RFF;</li> <li>v) inspection of the movement area and obstacle limitation surface (OLS);</li> <li>vi) visual aids and aerodrome electrical systems;</li> <li>vii) movement area maintenance;</li> <li>viii) aerodrome works safety;</li> <li>ix) apron management and parking control;</li> <li>x) airside vehicle control;</li> <li>xi) wildlife hazard management;</li> <li>xii) obstacle control;</li> <li>xiii) removal of disabled aircraft;</li> <li>xiv) handling of hazardous materials;</li> <li>xv) low visibility operations; and</li> <li>xvi) protection of sites for radar and Navigation Aid (NAVAID).</li> </ul> </li> </ul> | <p>A14</p> <p>Vol. I, 1.4.4</p> <p>PANS</p> <p>Doc 9981 (AGA)</p> <p>2.2.1</p> <p>GM</p> <p>Doc 9774</p> <p>3.2 &amp; 3B.2</p> |     |      |
| 8.113  | Does the State assess the continuing organizational competence to ensure that the aerodrome operator's staff has the necessary                | 1) Review copies of policies or similar documents showing linkage to the safety oversight process and national requirements for organizational                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <p>STD</p> <p>A14</p> <p>Vol. I, 1.4.4</p> <p>PANS</p>                                                                         |     | CE-7 |

| PQ No. | Protocol Question                                                                                                                                                                                                                                      | Guidance for Review of Evidence                                                                                                                                                                                                                                                                    | ICAO References                                                                                                         | PPQ | CE   |
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|        | competence and experience to operate and maintain the aerodrome properly?                                                                                                                                                                              | competence.<br>2) Review evidence to confirm effective implementation.                                                                                                                                                                                                                             | Doc 9981 (AGA)<br>Att. A & C to C2<br>GM<br>Doc 9734<br>Part A, C3<br>Doc 9774<br>C3, 3.2, Section B, 3B3.2 & Section C |     |      |
| 8.115  | Does the State ensure that:<br>a) aerodrome manuals are reviewed periodically;<br>b) the information contained in the manual remain correct; and<br>c) up-to-date copies of approved aerodrome manuals are kept by the aerodrome regulatory authority? | 1) Evaluate mechanism to ensure periodic review.<br>2) Check availability of up-to-date copies of approved aerodrome manuals kept by the aerodrome regulatory authority.<br>3) Review evidence to confirm effective implementation.                                                                | STD<br>A14<br>Vol. I, 1.4.4<br>PANS<br>Doc 9981 (AGA)<br>2.2.3<br>GM<br>Doc 9774<br>3.2, 3C.4 & App. 1, 5.3             |     | CE-7 |
| 8.119  | Does the State provide guidance to the aerodrome regulatory authority technical staff, and have procedures in place, on the initial review and approval/acceptance of an aerodrome manual and its subsequent amendments?                               | 1) Review the guidance, including checklist, to confirm that it addresses all pertinent technical areas and that it is up-to-date.<br>2) Review procedures to ensure inclusion of the systematic review of proposed amendments and their impact on safety.<br>3) Review example of checklist used. | PANS<br>Doc 9981 (AGA)<br>Att. C to C2<br>GM<br>Doc 9734<br>Part A, C3<br>Doc 9774<br>3.2 & 3C.4                        |     | CE-5 |
| 8.132  | Has the State promulgated regulations defining an aerodrome reference code, determined in accordance with the characteristics of the aeroplane for which an aerodrome facility is intended, to be used for planning purposes?                          | 1) Review established requirements.<br>2) Verify effective use of the aerodrome reference code for aerodrome planning purposes.                                                                                                                                                                    | STD<br>A14<br>Vol. I, 1.6                                                                                               |     | CE-2 |
| 8.133  | Has the State established coordination between aerodromes/heliports and AIS to ensure up-to-date information of aerodrome safety-related                                                                                                               | 1) Review evidence to confirm effective implementation of the arrangements (i.e. signed MOU or letter of agreement, etc.).<br>2) Verify in the AIP the availability of information on the status of certification                                                                                  | STD<br>A14<br>Vol. I, 2.13<br>Vol. II, 2.6                                                                              |     | CE-6 |

| PQ No. | Protocol Question                                                                                                                                                                                                                                                                             | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | ICAO References                                                                                                     | PPQ | CE   |
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|        | conditions?                                                                                                                                                                                                                                                                                   | of aerodromes and aerodrome conditions, and the operational status of associated facilities, services and navigation aids.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                     |     |      |
| 8.134  | Has the State promulgated regulatory requirements relating to aerodrome data?                                                                                                                                                                                                                 | <p>Verify regulations, including the following areas specified in Annex 14 Vol. I:</p> <ol style="list-style-type: none"> <li>1) aeronautical data;</li> <li>2) aerodrome reference point;</li> <li>3) aerodrome and runway elevations;</li> <li>4) aerodrome reference temperature;</li> <li>5) aerodrome dimensions and related information;</li> <li>6) strength of pavements;</li> <li>7) pre-flight altimeter check location;</li> <li>8) declared distances;</li> <li>9) condition of the movement area and related facilities;</li> <li>10) disabled aircraft removal;</li> <li>11) rescue and firefighting;</li> <li>12) visual approach slope indicator systems; and</li> <li>13) coordination between AIS and aerodrome authorities.</li> </ol> | <p>STD &amp; RP<br/>A14<br/>Vol. I, C2</p>                                                                          |     | CE-2 |
| 8.137  | Does the State ensure that aerodrome operators comply with the requirements for the determination and reporting of pavement bearing strengths?                                                                                                                                                | Review documented evidence of published documents (e.g. AIP) which confirm effective compliance with the requirements.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | <p>STD<br/>A14<br/>Vol. I, 2.6.1 to 2.6.6 &amp; 2.6.8</p>                                                           |     | CE-6 |
| 8.139  | Has the State promulgated regulations and associated industry guidance material to determine the bearing strength of a pavement and regulate the use of a pavement by an aircraft with an aircraft classification number (ACN) higher than the reported pavement classification number (PCN)? | <ol style="list-style-type: none"> <li>1) Verify regulations.</li> <li>2) Confirm associated industry guidance material to enable effective implementation.</li> </ol>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | <p>STD<br/>A14<br/>Vol. I, 2.6.1<br/>RP<br/>A14<br/>Vol. I, 2.6.7<br/>GM<br/>A14<br/>Vol. 1, Att. A, Section 20</p> |     | CE-2 |

| PQ No. | Protocol Question                                                                                                                                                                                                | Guidance for Review of Evidence                                                                                                                                  | ICAO References                                                                                                                                        | PPQ | CE   |
|--------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|-----|------|
| 8.141  | Has the State established guidance for calculating the declared distances, to the specified accuracy, for runways intended for use by international commercial air transport?                                    | Review of available guidance.                                                                                                                                    | STD<br>A14<br>Vol. I, 2.8<br>GM<br>A14<br>Vol. 1, Att. A, Section 3                                                                                    |     | CE-5 |
| 8.142  | Has the State promulgated regulations relating to monitoring and reporting of information on the condition of the movement area and related facilities?                                                          | Verify regulations, including the assessment and reporting of the runway surface condition through a runway condition code (RWYCC).                              | STD & RP<br>A14<br>Vol. I, 2.9<br>PANS<br>Doc 9981 (AGA)<br>Part II, C2                                                                                | Yes | CE-2 |
| 8.143  | Does the State ensure that aerodrome operators inspect the movement areas at the appropriate frequency, and monitor and report the condition of the movement areas and operational status of related facilities? | 1) Evaluate mechanism to ensure effective implementation.<br>2) Review evidence to confirm.                                                                      | STD<br>A14<br>Vol. I, 2.9.1, 2.9.2, 2.9.3 & 2.9.4<br>PANS<br>Doc 9981 (AGA)<br>Part II, C3<br>GM<br>Doc 9137<br>Part 8<br>Doc 9476<br>Doc 9830         | Yes | CE-7 |
| 8.144  | Does the State ensure that personnel assessing and reporting runway surface conditions are trained and competent to perform their duties?                                                                        | Review established requirements and implementation of training on the concept of the Runway Condition Report (RCR) and the use of runway condition code (RWYCC). | STD<br>A14<br>Vol. I, 2.9.4<br>PANS<br>Doc 9981 (AGA)<br>Part II, C2<br>Doc 10066 (AIM)<br>App. 4<br>GM<br>A14<br>Vol. 1, Att. A, Section 6<br>Cir 355 |     | CE-6 |
| 8.145  | Does the State ensure that                                                                                                                                                                                       | 1) Evaluate mechanism to ensure                                                                                                                                  | STD                                                                                                                                                    |     | CE-6 |

| PQ No. | Protocol Question                                                                                                                                                                                                                                                                                 | Guidance for Review of Evidence                                                                                          | ICAO References                                                                                                                               | PPQ | CE   |
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|        | aerodrome operators establish and implement procedures to assess and report runway surface condition through a runway condition code (RWYCC) and a description using the appropriate terms?                                                                                                       | establishment and effective implementation of aerodrome operator's related procedures.<br>2) Review evidence to confirm. | A14<br>Vol. 1, 2.9.5 to 2.9.7<br>PANS<br>Doc 9981 (AGA)<br>Part II, C2<br>GM<br>Doc 9774<br>3D.7<br>Doc 9137<br>Part 2, 2.1<br>Cir 355        |     |      |
| 8.147  | Does the State ensure that aerodrome operators have a process for determining and notifying relevant aerodrome users when a runway, or part of, is slippery wet, and when the friction level of a paved runway or portion thereof is less than the minimum friction level specified by the State? | 1) Review method used by aerodrome operators.<br>2) Review evidence to confirm effective implementation.                 | STD<br>A14<br>Vol. I, 2.9.9, 2.9.10, 10.2.3 & 10.2.4<br>RP<br>A14<br>Vol. I, 10.2.8<br>PANS<br>Doc 9981 (AGA)<br>Part II, C2<br>GM<br>Cir 355 |     | CE-7 |
| 8.148  | Has the State established guidance for assessment and reporting of runway surface condition?                                                                                                                                                                                                      | Review available guidance on the use of the Global Reporting Format (GRF) and runway condition code (RWYCC).             | STD & RP<br>A14<br>Vol. I, 2.9<br>PANS<br>Doc 9981 (AGA)<br>Part II, C2<br>GM<br>A14<br>Vol. 1, Att. A, Section 6<br>Cir 355                  |     | CE-5 |
| 8.151  | Does the State ensure that aerodrome operators provide contact information and aerodrome's capability for the                                                                                                                                                                                     | 1) Evaluate mechanism established to ensure effective implementation for providing:<br>a) contact details of appropriate | RP<br>A14,<br>Vol. I, 2.10.1, 2.10.2 & 9.3.1 to 9.3.2                                                                                         |     | CE-6 |

| PQ No. | Protocol Question                                                                                                                                                                                        | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                | ICAO References                                                                                                    | PPQ | CE   |
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|        | removal of disabled aircraft?                                                                                                                                                                            | aerodrome personnel;<br>b) information concerning the capability to remove disabled aircraft on or adjacent to the movement area.<br>2) Review evidence to confirm effective implementation.                                                                                                                                                   |                                                                                                                    |     |      |
| 8.153  | Does the State ensure that aerodrome operators provide information concerning the level of protection available at an aerodrome for aircraft rescue and firefighting (RFF) purposes?                     | 1) Confirm mechanism established to ensure effective implementation.<br>2) Review evidence to confirm.                                                                                                                                                                                                                                         | STD<br>A14<br>Vol. I, 2.11.1<br>RP<br>A14<br>Vol. I, 2.11.2<br>PANS<br>Doc 9981 (AGA)<br>App. 1 to C2, Section 3.1 | Yes | CE-6 |
| 8.155  | Does the State ensure that aerodrome operators notify changes in the level of aircraft rescue and firefighting (RFF) protection normally available at an aerodrome to air traffic service (ATS) and AIS? | 1) Confirm mechanism established to ensure effective implementation and method used by aerodrome operators to provide information on changes.<br>2) Ensure that possible changes include those related to availability of extinguishing agents, equipment to deliver agents or personnel to operate the equipment, etc.<br>3) Review examples. | STD<br>A14<br>Vol. I, 2.11.3<br>RP<br>A14<br>Vol. I, 2.11.4<br>GM<br>Doc 9774<br>3D.7                              |     | CE-7 |
| 8.157  | Does the State ensure that aerodrome operators make available information about visual approach slope indicator system installations?                                                                    | 1) Confirm mechanism established to ensure effective implementation and method used by aerodrome operators to provide information.<br>2) Review evidence to confirm (i.e. completeness and currency of the information published in the AIP).                                                                                                  | STD<br>A14<br>Vol. I, 2.12                                                                                         |     | CE-6 |
| 8.161  | Does the aerodrome certification process include an assessment of the aerodrome physical characteristics, facilities, operational services and equipment                                                 | 1) Review the assessment system, including a sample document that shows the assessment of the aerodrome physical characteristics, facilities and equipment as detailed in the ICAO reference                                                                                                                                                   | GM<br>Doc 9774<br>4.4.4<br>PANS<br>Doc 9981 (AGA)                                                                  | Yes | CE-6 |

| PQ No. | Protocol Question                                                                                | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | ICAO References                                                                   | PPQ | CE   |
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|        | to verify compliance with the specified standards and practices?                                 | documents.<br>2) Review evidence to confirm effective implementation.<br>3) Verify that, for non-compliances identified, safety assessments are duly conducted with associated risk mitigation measures, if necessary, to ensure an equivalent level of safety of aircraft operations.                                                                                                                                                                                                                                                                   | 2.3.2.1 & App. 1 to C2                                                            |     |      |
| 8.162  | Has the State promulgated regulations relating to the aerodrome physical characteristics?        | Verify regulations, including the following areas specified in Annex 14 Vol. I:<br>1) runways;<br>2) runway shoulders;<br>3) runway turn pads;<br>4) runway strips;<br>5) runway end safety areas;<br>6) clearways;<br>7) stopways;<br>8) radio altimeter operating area;<br>9) taxiways;<br>10) taxiway shoulders;<br>11) taxiway strips;<br>12) holding bays, runway-holding positions, intermediate holding positions and road-holding positions;<br>13) aprons;<br>14) isolated aircraft parking position; and<br>15) de-icing/anti-icing facilities | STD & RP<br>A14<br>Vol. I, C3                                                     |     | CE-2 |
| 8.163  | Does the State require and ensure the provision of runway end safety areas (RESA) at aerodromes? | 1) Verify regulations.<br>2) Review evidence to confirm effective implementation at all aerodromes open to public use, including relevant information published in the AIP.<br>3) Evaluate the mechanism used by the State to ensure safety in the event of an                                                                                                                                                                                                                                                                                           | STD<br>A14<br>Vol. I, 3.5.1, 3.5.3 & 3.5.5<br>RP<br>A14<br>Vol. I, 3.5.2, 3.5.4 & | Yes | CE-6 |

| PQ No. | Protocol Question                                                                                                                                                                                                                                                              | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                 | ICAO References                                                                       | PPQ | CE   |
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|        |                                                                                                                                                                                                                                                                                | aircraft overrunning or undershooting the runway, if RESA has not been provided.                                                                                                                                                                                                                                                                                | 3.5.6                                                                                 |     |      |
| 8.169  | Are checklists provided to aerodrome inspectors during inspections of aerodrome physical characteristics, facilities, operational services, equipment and installations?                                                                                                       | Review checklist or other method of documenting compliance.                                                                                                                                                                                                                                                                                                     | PANS<br>Doc 9981 (AGA)<br>2.3.2 & App. 1 to C2,<br>Section 1<br>GM<br>Doc 9774<br>4.4 |     | CE-5 |
| 8.171  | Whenever a change to the aerodrome physical characteristics, facilities or equipment is proposed, does the aerodrome regulatory authority ensure that the aerodrome operator has a procedure for evaluating the impact of this change on the safety of the existing operation? | 1) Review established requirements.<br>2) Review procedures established.<br>3) Review evidence, including examples to confirm effective implementation.                                                                                                                                                                                                         | STD<br>A14<br>Vol. I, 1.4.4<br>PANS<br>Doc 9981 (AGA)<br>C2, 2.4.4                    |     | CE-7 |
| 8.172  | Has the State promulgated regulations relating to the aerodrome electrical systems?                                                                                                                                                                                            | Verify regulations, including the following areas specified in Annex 14, Vol. I:<br>1) electrical power supply systems for air navigation facilities;<br>2) system design; and<br>3) monitoring.                                                                                                                                                                | STD & RP<br>A14<br>Vol. I, C8                                                         |     | CE-2 |
| 8.173  | Does the State ensure that aerodrome operators comply with regulations for the provision of primary and secondary power supplies?                                                                                                                                              | 1) Confirm mechanism established to ensure effective implementation.<br>2) Confirm compliance with application and availability of secondary power supplies, characteristics and switch-over times.<br>3) Verify if systems installed before November 1999, which do not meet switch over times specified in STD 8.1.5 of Annex 14, Vol. I, have been replaced. | STD & RP<br>A14<br>Vol. I, 8.1 & 8.2<br>GM<br>Doc 9157<br>Part 5                      | Yes | CE-6 |
| 8.175  | If the aerodrome operators use runways when runway visual                                                                                                                                                                                                                      | 1) Evaluate mechanism established to ensure effective implementation.                                                                                                                                                                                                                                                                                           | STD<br>A14                                                                            |     | CE-6 |

| PQ No. | Protocol Question                                                                                                                                                                                                                                                                                                                                                 | Guidance for Review of Evidence                                                                                                                          | ICAO References                                            | PPQ | CE   |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|-----|------|
|        | ranges are less than 550 m, does the State ensure that the electrical systems are designed so that an equipment failure will not leave the pilot with inadequate visual guidance?                                                                                                                                                                                 | 2) Review evidence to confirm.                                                                                                                           | Vol. I, 8.2.1<br>GM<br>Doc 9157<br>Part 5                  |     |      |
| 8.177  | Where a runway forming part of a standard taxi-route is provided with runway lighting and taxiway lighting, does the State ensure that aerodrome electrical systems are interlocked to preclude the possibility of simultaneous operation of both forms of lighting?                                                                                              | 1) Evaluate mechanism established to ensure effective implementation.<br>2) Review evidence to confirm.                                                  | STD<br>A14<br>Vol. I, 8.2.3                                |     | CE-6 |
| 8.179  | Does the State ensure that aerodrome operators have a method to monitor lighting system reliability and indicate any fault, appropriate to the type and level of operations?                                                                                                                                                                                      | 1) Evaluate mechanism established to ensure effective implementation.<br>2) Review evidence to confirm.<br>3) Check during aerodrome industry visit.     | STD & RP<br>A14<br>Vol. I, 8.3<br>GM<br>Doc 9157<br>Part 5 | Yes | CE-6 |
| 8.181  | Has the State established and implemented coordinated arrangements among its aviation agencies, aerodrome regulatory authority and aerodrome operators in order to optimize civil aviation security measures in the State and ensure that international civil aviation security measures are integrated into the design and construction of aerodrome facilities? | 1) Review documented evidence of the arrangements.<br>2) Evaluate mechanism to ensure effective implementation.<br>3) Review examples.                   | STD<br>A14<br>Vol. I, 1.5                                  |     | CE-6 |
| 8.182  | Has the State promulgated regulations relating to aerodrome operational services, equipment and installations?                                                                                                                                                                                                                                                    | Verify regulations, including the following areas specified in Annex 14 Vol. I:<br>1) aerodrome emergency planning;<br>2) Rescue and firefighting (RFF); | STD & RP<br>A14<br>Vol. I, C9                              |     | CE-2 |

| PQ No. | Protocol Question                                                                                                                                                                                                                                                                                        | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                               | ICAO References                                                                | PPQ | CE   |
|--------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|-----|------|
|        |                                                                                                                                                                                                                                                                                                          | 3) disabled aircraft removal;<br>4) wildlife strike hazard reduction;<br>5) apron management service;<br>6) ground servicing of aircraft;<br>7) aerodrome vehicle operations;<br>8) surface movement guidance and control systems (SMGCS);<br>9) siting of equipment and installations on operational areas;<br>10) fencing; and<br>11) security lighting.                    |                                                                                |     |      |
| 8.183  | Does the State ensure that aerodrome operators comply with regulations for providing a fence or suitable barriers to aerodromes and off-aerodrome ground installations and facilities, including sewers, ducts and tunnels as well as the requirements for the lighting of security fences and barriers? | 1) Evaluate mechanism to ensure effective implementation at all designated aerodromes.<br>2) Should take into account any runways or taxiways which pass over public roads, and determination of studies when greater security is thought necessary.<br>3) Evaluate risk assessment conducted to determine portions of the fence to be lit.<br>4) Review evidence to confirm. | STD & RP<br>A14<br>Vol. I, 9.10 & 9.11                                         |     | CE-6 |
| 8.191  | Does the State ensure that aerodrome operators comply with the frangibility and height restriction requirements for equipment or installations located near or on a runway, on the non-graded portion of a runway strip, on precision approach runways, or for obstacles of operational significance?    | 1) Review mechanism to ensure effective compliance.<br>2) Review examples.<br>3) Confirm during aerodrome industry visit.                                                                                                                                                                                                                                                     | STD<br>A14<br>Vol. I, 9.9<br>GM<br>Doc 9157<br>Part 6                          | Yes | CE-6 |
| 8.201  | Does the aerodrome certification process include an assessment of the aerodrome visual aids (e.g. lights, markings, markers and signs) and electrical systems?                                                                                                                                           | 1) Review system for evaluating location and characteristics of:<br>a) wind direction indicator and its illumination<br>b) information and mandatory signs in movement areas;                                                                                                                                                                                                 | STD<br>A14<br>Vol. I, C5<br>PANS<br>Doc 9981 (AGA)<br>2.1.2.2 & 2.3.2.1 & App. | Yes | CE-6 |

| PQ No. | Protocol Question                                                                                                                                      | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | ICAO References                                                               | PPQ | CE   |
|--------|--------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-----|------|
|        |                                                                                                                                                        | c) aerodrome markings and markers;<br>d) aeronautical ground lights, including their flight check records;<br>e) approach lighting systems;<br>f) visual approach slope indicator system;<br>g) stop bar lights;<br>h) apron flood lighting;<br>i) electrical power supply systems for air navigation facilities; and<br>k) electrical system design and monitoring.<br>2) Review evidence to confirm effective implementation.<br>3) Verify that, for non-compliances identified, safety assessments are duly conducted with associated risk mitigation measures, if necessary, to ensure an equivalent level of safety of aircraft operations. | 1 to C2<br>GM<br>Doc 9774<br>4.4.4                                            |     |      |
| 8.202  | Has the State promulgated regulations relating to aerodrome visual aids for navigation and visual aids for denoting restricted use areas?              | Verify regulations, including the following areas specified in Annex 14, Vol. I<br>a) indicators and signalling devices;<br>b) markings;<br>c) lights;<br>d) signs;<br>e) markers; and<br>f) denoting closed runways and taxiways, or parts thereof; non-load-bearing surfaces; pre-threshold area; and unserviceable areas.                                                                                                                                                                                                                                                                                                                     | STD & RP<br>A14<br>Vol. I, C5 & C7                                            |     | CE-2 |
| 8.204  | Does the State ensure that aerodrome operators comply with requirements related to runway safety, including the establishment of a runway safety team? | 1) Evaluate mechanism to ensure effective implementation.<br>2) Review evidence of a systematic approach to runway safety and collision avoidance strategy, e.g. establishment of runway safety teams.                                                                                                                                                                                                                                                                                                                                                                                                                                           | PANS<br>Doc 9981 (AGA)<br>Part II, C8<br>GM<br>A14<br>Vol. I, Att. A, Section | Yes | CE-6 |

| PQ No. | Protocol Question                                                                                                                                                                                                                                                                                | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                 | ICAO References                                        | PPQ | CE   |
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|        |                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 21.3<br>Doc 9870<br>C3                                 |     |      |
| 8.209  | Does the State ensure that aerodrome operators comply with the regulations for the provision of a surface movement guidance and control system (SMGCS) and that signs shall be provided to convey a mandatory instruction, information on a specific location or destination on a movement area? | 1) Review established process and national requirements, taking into account the density of air traffic and the visibility conditions under which operations are intended.<br>2) Evaluate mechanism to ensure effective implementation.<br>3) Review evidence to confirm.                                                                                                                                                                       | STD & RP<br>A14<br>Vol. I, 5.4 & 9.8<br>GM<br>Doc 9476 |     | CE-6 |
| 8.211  | Does the State ensure that stop bars are installed in accordance with the requirements and controlled by ATS?                                                                                                                                                                                    | 1) Evaluate mechanism to ensure effective implementation.<br>2) Ensure that it covers verification of:<br>a) implementation of stop bars at all taxiways leading to runway operated with RVR below 550m;<br>b) stop bars design and lights characteristics;<br>c) the interlocking system between stop bars and taxiway centerline lights installed beyond; and<br>d) the command system and its functioning.<br>3) Review evidence to confirm. | STD & RP<br>A14<br>Vol. I, 5.3.20                      |     | CE-6 |
| 8.215  | At aerodromes where selective switching of stop bars and taxiway centre line lights is used for surface movement guidance and control system (SMGCS), does the State ensure the implementation of the requirements in accordance with ICAO Standards?                                            | 1) Evaluate mechanism to ensure effective implementation and that it covers verification of:<br>a) the interlocking system between stop bars and taxiway centerline lights installed beyond; and<br>b) the command system and its functioning.<br>2) Review evidence to confirm.                                                                                                                                                                | STD<br>A14<br>Vol. I, 9.8.6                            |     | CE-6 |
| 8.219  | Has the State promulgated a regulation for surface movement                                                                                                                                                                                                                                      | Verify regulations.                                                                                                                                                                                                                                                                                                                                                                                                                             | RP<br>A14                                              |     | CE-2 |

| PQ No. | Protocol Question                                                                                                                                                                                                                                                            | Guidance for Review of Evidence                                                                                                                                                                                                                              | ICAO References                                                                       | PPQ | CE   |
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|        | radar to be provided at aerodromes where there are movements of aircraft in visibilities of less than 350 m, and in other visibility conditions, where regularity of traffic cannot be maintained by alternative means?                                                      |                                                                                                                                                                                                                                                              | Vol. I, 9.8.7 & 9.8.8<br>A11<br>3.10 Note<br>GM<br>Doc 9426<br>Part II, Section 5, C4 |     |      |
| 8.221  | Does the State ensure that an aerodrome's surface movement guidance and control system (SMGCS) is designed to assist in the prevention of inadvertent incursions of aircraft and vehicles onto an active runway or taxiway, and collisions on any part of the movement area? | 1) Review documented evidence of requirements.<br>2) Evaluate mechanism to ensure effective implementation.<br>3) Review evidence to confirm aerodrome operator's compliance with specifications of markings, lights and signs in Annex 14, Vol. I.          | STD & RP<br>A14<br>Vol. I, 9.8.4 & 9.8.5<br>GM<br>Doc 9476<br>Doc 9157<br>Part 4      |     | CE-6 |
| 8.222  | Has the State promulgated regulations relating to visual aids for denoting obstacles?                                                                                                                                                                                        | Verify regulations, including the following areas specified in Annex 14, Vol. I:<br>1) objects to be marked and/or lighted; and<br>2) marking and/or lighting of objects.                                                                                    | STD & RP<br>A14<br>Vol. I, C6                                                         |     | CE-2 |
| 8.223  | Does the State ensure that aerodrome operators/competent State authority comply with regulations relating to marking and lighting obstacles, both on the aerodrome and in the vicinity of aerodromes, which could otherwise present a hazard to aircraft?                    | 1) Evaluate mechanism to ensure effective implementation.<br>2) Review evidence to confirm.                                                                                                                                                                  | STD & RP<br>A14<br>Vol. I, 6.1 & 6.2                                                  | Yes | CE-7 |
| 8.225  | Does the State ensure that aerodrome operators develop and implement procedures to mark permanent and temporary movement area closures and meet location and characteristic specifications?                                                                                  | 1) Evaluate mechanism to ensure effective implementation of procedures to:<br>a) mark permanent and temporary movement area closures; and<br>b) prevent aircraft from entering permanently closed runways and taxiways by removing lighting and obliterating | STD & RP<br>A14<br>Vol. I, 7.1                                                        |     | CE-6 |

| PQ No. | Protocol Question                                                                                                                                                                                                                                                | Guidance for Review of Evidence                                                                                                                                                                                         | ICAO References                                                                                                              | PPQ | CE   |
|--------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|-----|------|
|        |                                                                                                                                                                                                                                                                  | normal markings.<br>2) Review evidence to confirm.                                                                                                                                                                      |                                                                                                                              |     |      |
| 8.227  | Does the State ensure that aerodrome operators use taxi side stripe markings on taxiways, runway turn pads, holding bays and aprons in order to distinguish non-load-bearing surfaces and unserviceable areas from load-bearing areas?                           | 1) Evaluate mechanism to ensure effective implementation.<br>2) Review evidence to confirm.                                                                                                                             | STD<br>A14<br>Vol. I, 7.2<br>RP<br>A14<br>Vol. I, 7.2.2, 7.2.3, 9.8.4 & 9.8.5                                                |     | CE-6 |
| 8.233  | Does the State ensure that aerodrome operators/competent State authority comply with regulations on the requirement to extinguish, screen or otherwise modify non-aeronautical lights which could present a hazard to aircraft safety?                           | 1) Evaluate mechanism to ensure effective implementation within and in the vicinity of aerodromes.<br>2) Review evidence to confirm.                                                                                    | STD<br>A14<br>Vol. I, 5.3.1.1 to 5.3.1.3                                                                                     |     | CE-7 |
| 8.235  | Does the State ensure that aerodrome operators comply with regulations related to the control of lighting intensity?                                                                                                                                             | 1) Evaluate mechanism to ensure effective implementation.<br>2) Review evidence to confirm.<br>3) Review how the State considers runway lighting systems as a whole.                                                    | STD<br>A14<br>Vol. I, 5.3.1.9 to 5.3.1.12<br>PANS<br>Doc 9981 (AGA)<br>App. 1 to C2, Section 2.1<br>GM<br>Doc 9157<br>Part 4 |     | CE-6 |
| 8.239  | If the aerodrome uses the 30 m spacing option for the provision of runway centre line lights, does the State ensure the implementation of requirements which specify related maintenance objectives and which call for a demonstration of conformance with them? | 1) Evaluate mechanism to ensure effective implementation.<br>2) Review evidence to confirm.<br>3) Review results of demonstrations of conformance with Standards 10.5.7 and 10.5.11 of Annex 14, Vol. 1, if applicable. | STD<br>A14<br>Vol. I, 5.3.12.5                                                                                               |     | CE-6 |
| 8.245  | Does the State ensure the implementation of requirements for                                                                                                                                                                                                     | 1) Evaluate mechanism to ensure effective implementation, if applicable.                                                                                                                                                | STD & RP<br>A14                                                                                                              |     | CE-6 |

| PQ No. | Protocol Question                                                                                                                                                                                         | Guidance for Review of Evidence                                                                                                                                                                        | ICAO References                                                                                                              | PPQ | CE   |
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|        | the provision of visual docking guidance systems, in accordance with Annex 14, Vol I specifications, including evaluation, location, characteristic specifications, and azimuth and stopping guidance?    | 2) Review evidence to confirm.                                                                                                                                                                         | Vol. I, 5.3.25                                                                                                               |     |      |
| 8.251  | Does the State ensure that aerodrome operators develop and implement aerodrome maintenance programmes at all aerodromes in the interests of safety, efficiency and regularity of aircraft operations?     | 1) Evaluate mechanism to ensure effective implementation.<br>2) Review evidence to confirm.                                                                                                            | STD<br>A14<br>Vol. I, 10.1.1<br>RP<br>A14<br>Vol. I, 10.1.2<br>PANS<br>Doc 9981 (AGA)<br>2.1.2; App. 1 to C2,<br>Section 3.1 |     | CE-6 |
| 8.252  | Has the State promulgated regulations relating to aerodrome maintenance?                                                                                                                                  | Verify regulations, including the following areas specified in Annex 14, Vol. I:<br>1) general;<br>2) pavements;<br>3) removal of contaminants;<br>4) runway pavement overlays; and<br>5) visual aids. | STD & RP<br>A14<br>Vol. I, C10                                                                                               |     | CE-2 |
| 8.253  | Does the State ensure that the aerodrome operators' maintenance programme include precautions for runway, taxiway and apron pavements and taxiway shoulders in relation to surface debris and regularity? | 1) Evaluate mechanism to ensure effective implementation of precautionary measures.<br>2) Review method used to assess runway surface irregularities.<br>3) Review evidence to confirm.                | STD<br>A14<br>Vol. I, 10.2.1 & 10.2.2<br>GM<br>A14<br>Vol. 1, Att. A, Section 5<br>Doc 9157<br>Part 2                        |     | CE-6 |
| 8.255  | Has the State promulgated regulations for the measurement of runway friction characteristics?                                                                                                             | 1) Verify regulations.<br>2) Review specified minimum maintenance level.                                                                                                                               | STD<br>A14<br>Vol. I, 10.2.3 to 10.2.7<br>RP<br>A14                                                                          |     | CE-2 |

| PQ No. | Protocol Question                                                                                                                                                                                                                                                                                                     | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                          | ICAO References                                                                                                                                                    | PPQ | CE   |
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|        |                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                          | Vol. I, 3.1.24 & 3.7.4 & 10.2.8                                                                                                                                    |     |      |
| 8.257  | Has the State established and implemented a mechanism to ensure that aerodrome operators maintain good friction characteristics and low rolling resistance on runways?                                                                                                                                                | 1) Evaluate mechanism to ensure effective implementation.<br>2) Review method used for timely removal of contaminants, such as rubber deposit, standing water, snow, sand, etc.<br>3) Review recorded friction results to confirm that frequency of measurements and method used are appropriate to the level of activity of the runway. | STD<br>A14<br>Vol. I, 10.2.3 to 10.2.7 & 10.3.1<br>GM<br>Doc 9137<br>Part 2                                                                                        |     | CE-7 |
| 8.259  | Does the State ensure that aerodrome operators:<br>a) define maintenance performance level objectives for visual aids as part of their preventive maintenance programme; and<br>b) restrict construction or maintenance activities in the proximity of aerodrome electrical systems during low visibility operations? | 1) Evaluate mechanism to ensure effective implementation.<br>2) Review copies of maintenance schedules and associated check/inspection results which demonstrate compliance (e.g. stop bars or taxiway lights).<br>3) Definition of when a light is deemed to be unserviceable.                                                          | STD<br>A14<br>Vol. I, 10.5.1, 10.5.2 & 10.5.7 to 10.5.12<br>RP<br>A14<br>Vol. I, 10.5.3 to 10.5.6 & 10.5.13<br>PANS<br>Doc 9981 (AGA)<br>App. 1 to C2, Section 2.1 | Yes | CE-6 |
| 8.273  | Does the State ensure that aerodrome operators implement the requirements that runway strips are free of objects unless they are needed for air navigation purposes and meet frangibility requirements?                                                                                                               | 1) Evaluate mechanism to ensure effective implementation.<br>2) Review evidence to confirm, i.e. inspection reports; completed checklists.                                                                                                                                                                                               | STD<br>A14<br>Vol. I, 3.4.7<br>RP<br>A14<br>Vol. I, 3.4.6<br>PANS<br>Doc 9981 (AGA)<br>App. 1 to C2, 2.1; App. to C4, 2.5.2<br>GM<br>Doc 9774<br>App. 1, 4.13      |     | CE-7 |
| 8.275  | Has the State promulgated regulations relating to obstacle                                                                                                                                                                                                                                                            | Verify regulations, including the following areas specified in Annex 14,                                                                                                                                                                                                                                                                 | STD & RP<br>A14                                                                                                                                                    | Yes | CE-1 |

| PQ No. | Protocol Question                                                                                                                                                                                         | Guidance for Review of Evidence                                                                                                                                                                                                                                                                    | ICAO References                                                                                                 | PPQ | CE   |
|--------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|-----|------|
|        | restriction and removal on and around aerodromes?                                                                                                                                                         | Vol. I:<br>1) obstacle limitation surfaces;<br>2) obstacle limitation requirements;<br>3) objects outside the obstacle limitation surfaces; and<br>4) legislative provisions addressing obstacle restriction outside aerodromes.                                                                   | Vol. I, C4                                                                                                      |     |      |
| 8.277  | Does the State ensure the implementation of requirements relating to the group of obstacle limitation surfaces (OLS) at and around aerodromes?                                                            | 1) Review established process.<br>2) Verify that OLS plan is established for each designated aerodrome.<br>3) Review evidence to confirm effective and comprehensive implementation of the:<br>a) identification mechanism;<br>b) appropriate promulgation mechanism; and<br>c) removal mechanism. | STD<br>A14<br>Vol. I, 4.1 & 4.2<br>PANS<br>Doc 9981 (AGA)<br>2.4.5 & 2.5.1.2<br>GM<br>Doc 9137<br>Part 6, 1.1.3 | Yes | CE-7 |
| 8.279  | Has the State established and implemented a process to ensure that the siting or performance of visual and non-visual aids to navigation is not adversely affected by objects under the approach surface? | 1) Review documented evidence of the established process.<br>2) Evaluate mechanism to ensure effective implementation.<br>3) Review evidence to confirm.                                                                                                                                           | RP<br>A14<br>Vol. I, 4.4.1 & 4.4.2                                                                              |     | CE-7 |
| 8.281  | Does the State provide sufficient guidance to regulatory staff and the industry on obstacle control?                                                                                                      | Review available guidance documents.                                                                                                                                                                                                                                                               | STD<br>A14<br>Vol. 1, C4<br>GM<br>Doc 9734<br>Part A, C3<br>Doc 9774<br>App 1, 4.13                             |     | CE-5 |
| 8.283  | When new construction above, beyond or outside the obstacle limitation surfaces (OLS) is proposed, does the State require and ensure coordination between the                                             | 1) Review established process in use.<br>2) Review evidence to confirm effective implementation.                                                                                                                                                                                                   | RP<br>A14<br>Vol. I, 4.3.1 & 4.3.2                                                                              |     | CE-7 |

| PQ No. | Protocol Question                                                                                                                                                                                                                                          | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                    | ICAO References                                                                                                                                                                       | PPQ | CE   |
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|        | land-use authorities and the appropriate aviation interests?                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                       |     |      |
| 8.287  | Does the State ensure that aerodrome operators control the use of vehicles on aerodromes, including the restriction on the use of airside roads?                                                                                                           | 1) Evaluate mechanism to ensure effective implementation.<br>2) Verify the implementation of the requirements for drivers to:<br>a) be appropriately trained; and<br>b) comply with all mandatory or authorized instructions conveyed by markings, signs or lights when on the manoeuvring area or apron.          | STD<br>A11<br>3.8<br>A14<br>Vol. I, 9.7<br>PANS<br>Doc 9981 (AGA)<br>2.1.2.2; Att. A to C2 &<br>Att. C to C2, 5.11<br>GM<br>Doc 9774<br>App. 1, 4.2<br>Doc 9870                       |     | CE-6 |
| 8.291  | Does the State ensure that aerodrome operators/competent State authority develop emergency plans, including appropriate cooperation and coordination with other entities involved in the provision of emergency services and the development of the plans? | 1) Review evidence to confirm effective implementation, cooperation and coordination.<br>2) Sample aerodrome emergency plan.<br>3) Check inclusion of:<br>a) emergencies which may occur in the vicinity of an aerodrome; and<br>b) public health emergencies, including coordination with public health services. | STD<br>A14<br>Vol. I, 9.1.1 to 9.1.3<br>RP<br>A14<br>Vol. I, 9.1.4 & 9.1.5<br>PANS<br>Doc 9981 (AGA)<br>2.1.2.2; Att. A to C2 &<br>Att. C to C2, 5.3<br>GM<br>Doc 9774<br>App. 1, 4.3 |     | CE-6 |
| 8.293  | Does the State ensure compliance with requirements for the periodic testing and review of aerodrome emergency plans?                                                                                                                                       | 1) Review evidence to confirm effective implementation.<br>2) Check during aerodrome industry visit.                                                                                                                                                                                                               | STD<br>A14<br>Vol. I, 9.1.12 & 9.1.13<br>PANS<br>Doc 9981 (AGA)<br>2.1.2.2; Att. A to C2 &<br>Att. C to C2, 5.3<br>GM<br>Doc 9774                                                     |     | CE-7 |

| PQ No. | Protocol Question                                                                                                                                                                                                                   | Guidance for Review of Evidence                                                                                                                                                                                                  | ICAO References                                                                                                                    | PPQ | CE   |
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|        |                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                  | App. 1, 4.3                                                                                                                        |     |      |
| 8.297  | Does the State ensure the implementation of requirements for the availability and coordination of specialist rescue services to be included in the emergency plans of aerodromes close to water, swampy areas or difficult terrain? | Review documented evidence of a typical emergency plan for an aerodrome with a water/swampy area/difficult terrain, showing inclusion of the items in the notes to the SARPs, as well as the testing and assessment of response. | STD<br>A14<br>Vol. I, 9.1.14<br>RP<br>A14<br>Vol. I, 9.1.15 & 9.1.16                                                               |     | CE-6 |
| 8.299  | Does the State ensure the implementation of requirements for the establishment and manning of emergency operations centres and mobile command posts, and for communication between them?                                            | 1) Review documented evidence of the requirements.<br>2) Evaluate mechanism to ensure effective implementation.<br>3) Review evidence to confirm.                                                                                | RP<br>A14<br>Vol. I, 9.1.7 to 9.1.11                                                                                               |     | CE-6 |
| 8.301  | Does the State ensure the implementation of requirements for the provision of rescue and firefighting (RFF) services at all aerodromes, which takes into account the aerodrome location and the surrounding terrain?                | 1) Evaluate mechanism used to ensure effective implementation, including for aerodromes located close to water/swampy areas.<br>2) Check during aerodrome industry visit.                                                        | STD<br>A14<br>Vol. I, 9.2.1 & 9.2.2                                                                                                |     | CE-7 |
| 8.303  | Has the State promulgated regulations in accordance with Annex 14 for the determination of rescue and firefighting (RFF) services to be provided at an aerodrome?                                                                   | Verify regulations.                                                                                                                                                                                                              | STD<br>A14<br>Vol. I, 9.2.3 & 9.2.5 to 9.2.7<br>RP<br>A14<br>Vol. I, 9.2.4                                                         | Yes | CE-2 |
| 8.305  | Has the State established and ensured implementation of rescue and firefighting (RFF) extinguishing agent specifications, including quantities and discharge rates?                                                                 | 1) Verify extinguishing agent specification requirements.<br>2) Review evidence to confirm effective implementation.                                                                                                             | STD<br>A14<br>Vol. I, 9.2.11, 9.2.13, 9.2.17 & 9.2.18<br>RP<br>A14<br>Vol. I, 9.2.8 to 9.2.10, 9.2.14 to 9.2.16 & 9.2.19 to 9.2.24 | Yes | CE-7 |

| PQ No. | Protocol Question                                                                                                                                                                                                  | Guidance for Review of Evidence                                                                                                                                                                                                          | ICAO References                                                                                                                                      | PPQ | CE   |
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| 8.307  | Has the State promulgated and ensured implementation of regulations prescribing the minimum number of rescue and firefighting (RFF) vehicles, together with the associated building facilities and their location? | 1) Verify regulations.<br>2) Review evidence to confirm effective implementation.<br>3) Check during aerodrome industry visit.                                                                                                           | RP<br>A14<br>Vol. I, 9.2.36, 9.2.37 & 9.2.40                                                                                                         | Yes | CE-7 |
| 8.309  | Does the State ensure that aerodrome operators provide a discrete communication system and an alerting system among vehicles, fire stations and aerodrome control towers?                                          | 1) Evaluate mechanism to ensure effective implementation.<br>2) Review evidence to confirm.                                                                                                                                              | RP<br>A14<br>Vol. I, 9.2.38 & 9.2.39                                                                                                                 |     | CE-6 |
| 8.311  | Has the State established and implemented a mechanism to ensure the implementation of requirements for minimum response times by the rescue and firefighting (RFF) services?                                       | 1) Evaluate mechanism to ensure effective implementation.<br>2) Review audit reports to confirm.<br>3) Check during aerodrome industry visit.                                                                                            | STD<br>A14<br>Vol. I, 9.2.26 & 9.2.30<br>RP<br>A14<br>Vol. I, 9.2.27 to 9.2.29, 9.2.31 & 9.2.32<br>PANS<br>Doc 9981 (AGA)<br>2.2 & Att. C to C2, 5.4 | Yes | CE-7 |
| 8.313  | Does the State ensure that emergency access roads are provided at aerodromes?                                                                                                                                      | 1) Evaluate mechanism to ensure effective implementation.<br>2) Check during aerodrome industry visit.                                                                                                                                   | RP<br>A14<br>Vol. I, 9.2.33 to 9.2.35<br>PANS<br>Doc 9981 (AGA)<br>App. 1 to C2, 2.2                                                                 |     | CE-6 |
| 8.315  | Does the State ensure that aerodrome operators provide training for firefighting personnel, including live fire drills?                                                                                            | 1) Verify national RFF training requirements.<br>2) Review evidence to confirm effective implementation.<br>3) Verify oversight of training and its assessment, including appropriate training for pressure-fed fuel fires and breathing | STD<br>A14<br>Vol. I, 9.2.41 & 9.2.42                                                                                                                |     | CE-7 |

| PQ No. | Protocol Question                                                                                                                                                                                                                                                                                                                            | Guidance for Review of Evidence                                                                                                                                                | ICAO References                                                                                                                                                                                       | PPQ | CE   |
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|        |                                                                                                                                                                                                                                                                                                                                              | apparatus, as applicable.<br>4) Sample training records and audit reports.<br>5) Check during aerodrome industry visit.                                                        |                                                                                                                                                                                                       |     |      |
| 8.317  | Does the State ensure that all responding firefighting personnel are equipped with the necessary protective clothing and respiratory equipment?                                                                                                                                                                                              | 1) Review documented evidence of the available equipment.<br>2) Confirm that the State has effective oversight of this provision.<br>3) Check during aerodrome industry visit. | STD<br>A14<br>Vol. I, 9.2.45<br>PANS<br>Doc 9981 (AGA)<br>App. 1 to C2, 2.2                                                                                                                           |     | CE-7 |
| 8.319  | Does the State ensure that there are sufficient trained personnel to operate all the necessary RFF equipment at maximum capacity, meet the minimum response times and maintain continuous agent application at the appropriate rate?                                                                                                         | 1) Review established RFF staffing requirements.<br>2) Review evidence to confirm effective implementation.<br>3) Check during aerodrome industry visit.                       | RP<br>A14<br>Vol. I, 9.2.43 & 9.2.44<br>PANS<br>Doc 9981 (AGA)<br>App. 1 to C2, 2.2                                                                                                                   |     | CE-7 |
| 8.321  | Does the State ensure that the aerodrome has plans for the removal of disabled aircraft, including arrangements for designation of coordinators, the rapid availability and deployment of salvage and removal equipment between aerodromes, and the protection of evidence, custody and the removal of aircraft in accordance with Annex 13? | 1) Review documented evidence of a typical plan for the removal of disabled aircraft, including coordination functions.<br>2) Confirm during aerodrome industry visit.         | RP<br>A14<br>Vol. I, 9.3.1 & 9.3.2<br>A13<br>C3<br>PANS<br>Doc 9981 (AGA)<br>2.1.2.2; App. 1 to C2, 3.1;<br>Att. A to C2 & Att. C to C2, 5.14<br>GM<br>Doc 9774<br>App. 1, 4.14<br>Doc 9137<br>Part 5 |     | CE-6 |
| 8.323  | Does the State ensure that aerodrome operators establish and implement procedures for the timely removal of contaminants?                                                                                                                                                                                                                    | 1) Evaluate mechanism to ensure effective implementation, if applicable.<br>2) Review evidence to confirm.                                                                     | STD<br>A14<br>Vol. I, 10.3.1 & 10.3.6<br>RP<br>A14                                                                                                                                                    | Yes | CE-6 |

| PQ No. | Protocol Question                                                                                                                                                                                                                                                      | Guidance for Review of Evidence                                                                                                                                                                                                              | ICAO References                                                                                        | PPQ | CE   |
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|        |                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                              | Vol. I, 10.3.2 to 10.3.5<br>PANS<br>Doc 9981 (AGA)<br>Part II, C8                                      |     |      |
| 8.328  | Has the State developed industry guidance material to assist aerodrome operators to develop a wildlife (birds and animals) strike hazard study or assessment for each of their aerodromes?                                                                             | Confirm industry guidance material available for the study or assessment, including, among others:<br>a) a national procedure for recording wildlife strikes; and<br>b) analysis of collected data.                                          | STD<br>A14<br>Vol. I, 9.4.1                                                                            |     | CE-5 |
| 8.329  | Does the State collect and forward wildlife strike reports to ICAO?                                                                                                                                                                                                    | 1) Review national procedure for recording wildlife strikes.<br>2) Review established requirements.<br>3) Evaluate mechanism to ensure effective implementation of the reporting and rectification action.<br>4) Review evidence to confirm. | STD<br>A14<br>Vol. I, 9.4.2                                                                            |     | CE-8 |
| 8.331  | Does the State ensure that aerodrome operators take appropriate action to decrease the hazard of wildlife strikes?                                                                                                                                                     | 1) Evaluate mechanism to ensure effective implementation.<br>2) Check action taken by aerodromes operators.                                                                                                                                  | STD<br>A14<br>Vol. I, 9.4.3<br>PANS<br>Doc 9981 (AGA)<br>App. 1 to C2, 2.3<br>GM<br>Doc 9774<br>2.2 d) |     | CE-6 |
| 8.333  | Has the State promulgated regulations to:<br>a) require a wildlife (birds and animals) strike hazard study or assessment for each of its aerodromes; and<br>b) control the development of facilities likely to attract wildlife on or in the vicinity of an aerodrome? | 1) Verify regulations.<br>2) Verify their promulgation at a level ensuring their enforceability on third parties, including land use and local authorities.                                                                                  | STD<br>A14<br>Vol. I, 9.4.1 & 9.4.4<br>RP<br>A14<br>Vol. I, 9.4.5<br>GM<br>Doc 9774<br>App. 1, 4.12    |     | CE-1 |
| 8.335  | Has the State established and implemented a process to mitigate                                                                                                                                                                                                        | 1) Review established process which demonstrates a priority given to safety.                                                                                                                                                                 | STD & RP<br>A14                                                                                        |     | CE-8 |

| PQ No. | Protocol Question                                                                                                                                                                                                                                                                                                        | Guidance for Review of Evidence                                                                                                                | ICAO References                                                                                 | PPQ | CE   |
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|        | against an increase or potential increase in the wildlife strike hazard due to land use development likely to attract wildlife around an aerodrome?                                                                                                                                                                      | 2) Review evidence to confirm effective implementation.                                                                                        | Vol. I, 9.4                                                                                     |     |      |
| 8.337  | Has the State promulgated a regulation to require aerodrome operators' apron management services to ensure the safety of aircraft operations on apron areas?                                                                                                                                                             | Verify regulations.                                                                                                                            | STD<br>A14<br>Vol. I, 9.5                                                                       |     | CE-2 |
| 8.339  | If apron management services are provided, has the State established guidance on when and how these services should be implemented, including the orderly transition of aircraft between the apron management unit and the aerodrome control tower when the latter does not participate in the apron management service? | Review documented guidance.                                                                                                                    | RP<br>A14<br>Vol. I, 9.5.1 & 9.5.2                                                              |     | CE-5 |
| 8.341  | If an apron management service is not provided, does the State ensure the safety of aircraft operations on apron areas, considering the movement of vehicles?                                                                                                                                                            | 1) Evaluate mechanism to ensure control of vehicle movement for safety of aircraft.<br>2) Review evidence to confirm effective implementation. | STD & RP<br>A14<br>Vol. I, 9.5<br>PANS<br>Doc 9981 (AGA)<br>Att. A to C2 & Att. C to C2, 5.9    |     | CE-7 |
| 8.345  | Does the State ensure that aerodrome operators restrict the operation of personnel and vehicles on an apron during low visibility operations?                                                                                                                                                                            | 1) Evaluate mechanism to ensure effective implementation.<br>2) Review evidence to confirm.                                                    | STD<br>A14<br>Vol. I, 9.5.4<br>PANS<br>Doc 9981 (AGA)<br>App. 1 to C2, 3.1 & Att. C to C2, 5.16 | Yes | CE-6 |
| 8.347  | Does the State ensure that aerodrome operators provide for visual monitoring of aircraft stand                                                                                                                                                                                                                           | Review evidence to confirm effective implementation.                                                                                           | STD<br>A14<br>Vol. I, 9.5.5, 9.5.6 &                                                            |     | CE-6 |

| PQ No. | Protocol Question                                                                                                                                                                                                      | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | ICAO References                                                                                                             | PPQ | CE   |
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|        | clearances and the control of vehicle movement on aprons?                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 9.5.7<br>A11<br>3.8.3                                                                                                       |     |      |
| 8.349  | Has the State established and implemented a mechanism to ensure the availability of fire extinguishing equipment and trained personnel during ground servicing of aircraft?                                            | Review evidence to confirm effective implementation, including the availability of personnel trained for its use.                                                                                                                                                                                                                                                                                                                                                                                                        | STD<br>A14<br>Vol. I, 9.6.1                                                                                                 |     | CE-7 |
| 8.365  | Has the State promulgated and ensured implementation of a regulation to require aerodrome operators to ensure that organizations performing activities at the aerodrome comply with the aerodrome safety requirements? | 1) Verify regulations.<br>2) Review documented evidence to confirm effective implementation (i.e. a monitoring system used by an aerodrome, as expressed in a procedure in an aerodrome manual).                                                                                                                                                                                                                                                                                                                         | PANS<br>Doc 9981 (AGA)<br>2.4.2 & 2.4.6<br>GM<br>Doc 9774<br>3D.4.2 & App. 1, Part 5                                        |     | CE-6 |
| 8.367  | Has the State promulgated a regulation which defines the circumstances and rationale for the conduct of aeronautical studies/risk assessments?                                                                         | Verify regulations.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | GM<br>Doc 9774<br>C3, 3.2, Section E & App. 3<br>Doc 9734<br>Part A, C3                                                     |     | CE-2 |
| 8.369  | Has the State developed and issued guidance on the use and evaluation of aeronautical studies/risk assessments and their review to justify an application for an exemption?                                            | 1) Review guidance documents for the conduct and evaluation of aeronautical studies/risk assessments for aerodrome operators and regulatory staff.<br>2) Verify that the process by which aeronautical studies/risk assessments are applied include:<br>a) a regular review of exemptions granted to assess their continued validity; and<br>b) a review of exemptions, which are to be issued, against the applicable SARP to determine if a change in the notification status of differences to SARPs should be filed. | PANS<br>Doc 9981 (AGA)<br>2.4.4 & C3 (3.4 & 3.5)<br>GM<br>Doc 9774<br>C3, 3.2, Section E & App. 3<br>Doc 9734<br>Part A, C3 |     | CE-5 |
| 8.375  | Has the State established and                                                                                                                                                                                          | 1) Review established mechanism.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | PANS                                                                                                                        |     | CE-7 |

| PQ No. | Protocol Question                                                                                                                                                                                | Guidance for Review of Evidence                                                                                                                                                                                                              | ICAO References                                                                                                            | PPQ | CE   |
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|        | implemented a mechanism to assess the outcomes of the conduct of risk assessments or aeronautical studies?                                                                                       | 2) Review documented outcomes of their conduct which shows how they meet State safety policies and processes, particularly where an equivalent level of safety to the Standards and Recommended Practices is claimed.<br>3) Review examples. | Doc 9981 (AGA)<br>Section 3.5<br>GM<br>Doc 9774<br>C3, 3.2, Section E & App. 3                                             |     |      |
| 8.377  | Does the State ensure that the outcomes of risk assessments or aeronautical studies, in the form of exceptions, are published in a document which is publicly accessible, such as the State AIP? | Review copy of the published document and, if not the AIP, how accessible it is.                                                                                                                                                             | PANS<br>Doc 9981 (AGA)<br>Section 3.6<br>GM<br>Doc 9774<br>C3, 3.2, Section E & C4, 4.6 & App. 3<br>Doc 9734<br>Part A, C3 |     | CE-8 |
| 8.381  | Has the State promulgated heliport regulations for the measurement and reporting to AIS of geographical coordinates for obstacles?                                                               | Verify regulations.                                                                                                                                                                                                                          | STD<br>A14<br>Vol. II, 2.4.5                                                                                               |     | CE-2 |
| 8.383  | Has the State promulgated regulations for final approach and take-off areas (FATOs) for helicopters?                                                                                             | Verify regulations.                                                                                                                                                                                                                          | STD<br>A14<br>Vol. II, 3.1.1                                                                                               |     | CE-2 |
| 8.385  | Does the State ensure the implementation of requirements for safety areas surrounding final approach and take-off areas (FATOs) for helicopters?                                                 | 1) Evaluate mechanism to ensure effective implementation.<br>2) Sample inspection/audit reports to confirm effective implementation.                                                                                                         | STD<br>A14<br>Vol. II, 3.1.14                                                                                              | Yes | CE-7 |
| 8.387  | Does the State ensure the implementation of requirements relating to the obstacle limitation surfaces (OLS) and sectors at and around heliports?                                                 | 1) Review established requirements.<br>2) Evaluate mechanism to ensure effective implementation.<br>3) Sample inspection/audit reports to confirm effective implementation.                                                                  | STD<br>A14<br>Vol. II, 4.1                                                                                                 | Yes | CE-7 |
| 8.389  | Does the State ensure the implementation of requirements for                                                                                                                                     | 1) Review established requirements.<br>2) Evaluate mechanism to ensure effective                                                                                                                                                             | STD<br>A14                                                                                                                 |     | CE-7 |

| PQ No. | Protocol Question                                                                                                                                                                   | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                     | ICAO References                                                                                                                               | PPQ | CE   |
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|        | wind direction indicators and approach lighting at heliports, including characteristics and location?                                                                               | implementation.<br>3) Sample inspection/audit reports to confirm effective implementation.                                                                                                                                                                                                                          | Vol. II, 5.1.1 & 5.3.3.2<br>RP<br>A14<br>Vol II, 5.3.3.1                                                                                      |     |      |
| 8.393  | Does the State ensure the implementation of requirements for touchdown and lift-off area lighting systems at heliports, including characteristics and location?                     | 1) Review established requirements.<br>2) Evaluate mechanism to ensure effective implementation.<br>3) Sample inspection/audit reports to confirm effective implementation.                                                                                                                                         | STD & RP<br>A14<br>Vol. II, 5.3.8                                                                                                             |     | CE-7 |
| 8.395  | Does the State ensure the implementation of requirements for visual approach slope indicators at heliports?                                                                         | 1) Review established requirements.<br>2) Evaluate mechanism to ensure effective implementation.<br>3) Sample inspection/audit reports to confirm effective implementation.                                                                                                                                         | STD & RP<br>A14<br>Vol. II, 5.3.5                                                                                                             |     | CE-7 |
| 8.401  | Has the aerodrome regulatory authority developed and implemented procedures for the continuing surveillance of aerodrome certificate holders?                                       | 1) Review developed procedures and checklists for guidance on how to conduct aerodrome surveillance activities, from notifying the aerodrome operator to the closure of deficiencies noted during the activities.<br>2) Review duly completed checklists and examples to confirm effective implementation.          | PANS<br>Doc 9981 (AGA)<br>2.5<br>GM<br>Doc 9734<br>Part A, C3<br>Doc 9774<br>5.2.3 & 5.2.5                                                    | Yes | CE-7 |
| 8.403  | Has the aerodrome regulatory authority developed and implemented a formal surveillance programme for the continuing supervision of the operations conducted by aerodrome operators? | 1) Review surveillance programme/plan of previous and current year.<br>2) Confirm appropriate frequency of inspection or other activity.<br>3) Confirm inclusion of periodic and non-periodic audits and inspections.<br>4) Surveillance to include all aspects of the certification and operation of an aerodrome. | PANS<br>Doc 9981 (AGA)<br>2.5<br>GM<br>Doc 9734<br>Part A, C3<br>Doc 9774<br>C2, 2.2 (d); C3, 3.2,<br>Section D, 3D.6, & C5,<br>5.2.3 & 5.2.5 |     | CE-7 |
| 8.405  | Does the renewal or continuing validity of aerodrome certificates depend on the satisfactory outcome of regulatory surveillance                                                     | 1) Review established requirement.<br>2) Confirm evidence that surveillance results determine continued validity of the certificate.                                                                                                                                                                                | PANS<br>Doc 9981 (AGA)<br>2.3.6.3, 2.3.6.4 & 2.5<br>GM                                                                                        |     | CE-8 |

| PQ No. | Protocol Question                                                                                                                                                                                                                             | Guidance for Review of Evidence                                                                                                                                                                                                 | ICAO References                                                                                           | PPQ | CE   |
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|        | activities?                                                                                                                                                                                                                                   | 3) Verify that the State conducts at least one surveillance activity within a predetermined period of time (e.g. 2 or 3 years), covering all areas addressed during the initial certification process.                          | Doc 9734<br>Part A, C3<br>Doc 9774<br>2.2 d) & 5.2.3                                                      |     |      |
| 8.409  | Does the State enable the exchange of safety information across the aerodrome industry?                                                                                                                                                       | Review the following evidence to confirm effective implementation:<br>1) Analysis of aerodrome and State databases,<br>2) Safety information, bulletins and publications, and<br>3) Industry meetings, seminars or workshops.   | PANS<br>Doc 9981 (AGA)<br>2.4.3<br>GM<br>Doc 9774<br>App. 1, Part 5                                       |     | CE-8 |
| 8.411  | Has the aerodrome regulatory authority developed and implemented procedures to deal with deficiencies found during aerodrome surveillance activities?                                                                                         | 1) Review procedure for notifying aerodrome operators of identified deficiencies, including categorization of seriousness of deficiency and deadline for correction.<br>2) Review evidence to confirm effective implementation. | PANS<br>Doc 9981 (AGA)<br>2.5.6, 2.5.7 & 2.5.8<br>GM<br>Doc 9734<br>Part A, C3<br>Doc 9774<br>2.3 & 5.2.5 | Yes | CE-8 |
| 8.413  | Has the aerodrome regulatory authority developed and implemented a process to take actions, including enforcement, if deficiencies found during surveillance activities are not rectified within a reasonable time by the aerodrome operator? | 1) Review examples of deficiencies identified and follow-up actions taken to ensure timely resolution.<br>2) Review examples of enforcement action, if any.                                                                     | PANS<br>Doc 9981 (AGA)<br>2.5.7 & 2.5.8<br>GM<br>Doc 9734<br>Part A, C3<br>Doc 9774<br>2.3 & 5.2.5        | Yes | CE-8 |
| 8.415  | Has the State established and implemented a process for the management of conflicts between land use or environmental requirements and aviation authorities to ensure that aviation safety is not compromised?                                | 1) Review documented process.<br>2) Review evidence, if available, to confirm effective implementation.<br>3) Confirm priority given to safety in sample cases, if available.                                                   | STD<br>A16<br>Vol. I, Part V<br>RP<br>A14<br>Vol. I, 3.1.2 & C4<br>GM<br>Doc 9734                         |     | CE-8 |

| PQ No. | Protocol Question                                                                                                                                                          | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | ICAO References                                                                                                                                         | PPQ | CE   |
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|        |                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Part A, C2 & C3<br>Doc 9774<br>4.3.2 & 4.3.3                                                                                                            |     |      |
| 8.901  | Has the State promulgated specific operating regulations to require operators of certified aerodromes (aerodrome operators) to implement a safety management system (SMS)? | <p>Verify that the regulations:</p> <ol style="list-style-type: none"> <li>1) require aerodrome operators to implement a SMS that is acceptable to the State;</li> <li>2) require the SMS to be established in accordance with the SMS framework elements contained in , Appendix 2 to Annex 19; and</li> <li>3).comply with the latest amendments to Annex 19.</li> </ol> <p>Note to the auditor:<br/>The SMS framework components and elements in Annex 19, Appendix 2 are:</p> <ol style="list-style-type: none"> <li>1. Safety policy and objectives: <ol style="list-style-type: none"> <li>1.1 Management commitment;</li> <li>1.2 Safety accountability and responsibilities;</li> <li>1.3 Appointment of key safety personnel;</li> <li>1.4 Coordination of emergency response planning;</li> <li>1.5 SMS documentation;</li> </ol> </li> <li>2. Safety risk management: <ol style="list-style-type: none"> <li>2.1 Hazard identification;</li> <li>2.2 Safety risk assessment and mitigation;</li> </ol> </li> <li>3. Safety assurance: <ol style="list-style-type: none"> <li>3.1 Safety performance measurement and monitoring;</li> <li>3.2 The management of change;</li> <li>3.3 Continuous improvement of the SMS;</li> </ol> </li> <li>4. Safety promotion:</li> </ol> | <p>CC<br/>Art 37</p> <p>STD<br/>A19<br/>3.3.2 &amp;<br/>4.1<br/>App 1, 2.<br/>GM<br/>Doc 9734, Part A<br/>3.2<br/>Doc 9859<br/>1.3.5&amp;<br/>9.7.4</p> |     | CE-2 |

| PQ No. | Protocol Question                                                                                                                                                                             | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | ICAO References                                                                                                          | PPQ | CE   |
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|        |                                                                                                                                                                                               | 4.1 Training and education;<br>4.2 Safety communication.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                          |     |      |
| 8.905  | Does the State ensure that the personnel responsible for the acceptance and continuous surveillance of aerodrome operators SMS are qualified to perform their functions and responsibilities? | <p>Verify that:</p> <ol style="list-style-type: none"> <li>1) the State has identified competency requirements for personnel responsible for the acceptance and continuous surveillance of an SMS;</li> <li>2) the content of the training programme addresses the SMS framework elements contained in Appendix 2 to Annex 19; and</li> <li>3) the training also includes (but not limited to) the following: <ol style="list-style-type: none"> <li>a) evaluation and acceptance of an aerodrome operators' SMS;</li> <li>b) evaluation and acceptance of an aerodrome operators' SPIs;</li> <li>c) continuous surveillance of the aerodrome operators' SMS in a safety management environment;</li> <li>d) hazard identification and risk management processes and methodologies;</li> <li>e) protection of safety data, safety information and related sources;</li> <li>f) enforcement under the framework of SMS; and</li> <li>g) soft skills (e.g. effective communication skills, negotiation skills, conflict resolution, etc.);</li> </ol> </li> <li>4) a training plan has been implemented;</li> <li>5) initial, OJT and recurrent training, as applicable, with specified-time periods are included; and</li> <li>6) training records are systematically retained.</li> </ol> | <p>STD<br/>A19<br/>3.2.4<br/>App 1, 4.1, 4.2<br/>App 2</p> <p>GM<br/>Doc 9734, Part A<br/>3.4<br/>Doc 9859<br/>8.3.7</p> |     | CE-4 |

| PQ No. | Protocol Question                                                                                       | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | ICAO References                                                                                                             | PPQ | CE   |
|--------|---------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|-----|------|
|        |                                                                                                         | Note to the auditor:<br>The framework components and elements in Appendix 2 to Annex 19 addressed are listed in PQ 8.901.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                             |     |      |
| 8.909  | Has the State provided guidance material to the industry on the establishment and management of an SMS? | <p>Verify that the guidance material:</p> <ol style="list-style-type: none"> <li>1) includes the processes for the establishment and management of an SMS;</li> <li>2) addresses the SMS framework elements contained in Appendix 2 to Annex 19;</li> <li>3) is updated and made accessible to aerodrome operators; and</li> <li>4) corresponds with activities conducted by the aerodrome operators.</li> </ol> <p>Note to the auditor:<br/>The framework components and elements in Appendix 2 to Annex 19 are listed in PQ 8.901.</p>                                                                                                                                                                                             | <p>STD<br/>A19<br/>3.2.5<br/>App 1, 4.2<br/>App 2</p> <p>GM<br/>Doc 9734, Part A<br/>3.5<br/>Doc 9859<br/>8.3.8</p>         |     | CE-5 |
| 8.913  | Has the State established procedures for the acceptance of aerodrome operators SMS?                     | <p>Verify that the established procedures:</p> <ol style="list-style-type: none"> <li>1) describe the processes for the acceptance of an SMS, where the SMS manual at least includes: <ol style="list-style-type: none"> <li>a) safety policy and safety objectives;</li> <li>b) reference to any applicable regulatory SMS requirements;</li> <li>c) system description;</li> <li>d) safety accountabilities and key safety personnel;</li> <li>e) voluntary and mandatory safety reporting system processes and procedures;</li> <li>f) hazard identification and safety risk assessment processes and procedures;</li> <li>g) safety investigation procedures;</li> <li>h) procedures for establishing and</li> </ol> </li> </ol> | <p>STD<br/>A19<br/>3.2.5<br/>App 1, 5.1</p> <p>GM<br/>Doc 9734, Part A<br/>3.5<br/>Doc 9859<br/>8.3.8 &amp;<br/>9.3.8.3</p> |     | CE-5 |

| PQ No. | Protocol Question                                                                                | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | ICAO References                                                                                                | PPQ | CE   |
|--------|--------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|-----|------|
|        |                                                                                                  | <p>monitoring safety performance indicators;<br/> i) SMS training processes and procedures and communication;<br/> j) safety communication processes and procedures;<br/> k) internal audit procedures;<br/> l) management of change procedures;<br/> m) SMS documentation management procedures; and<br/> n) where applicable, coordination of emergency response planning.<br/> 2) address the SMS framework elements contained in Appendix 2 to Annex 19; and<br/> 3) are updated and made accessible to personnel responsible for the acceptance of an SMS.</p> <p>Note to the auditor:<br/> The framework components and elements in Appendix 2 to Annex 19 are listed in PQ 8.901.</p> |                                                                                                                |     |      |
| 8.917  | Has the State established procedures for the continuous surveillance of aerodrome operators SMS? | <p>Verify that the established procedures:<br/> 1) describe the processes for the continuous surveillance of an SMS; and<br/> 2) are updated and made accessible to personnel responsible for the surveillance of an SMS.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <p>STD<br/> A19<br/> 3.2.5<br/> App 1, 5.1<br/> GM<br/> Doc 9734, Part A<br/> 3.5<br/> Doc 9859<br/> 8.3.8</p> |     | CE-5 |
| 8.921  | Has the State accepted the SMS of individual aerodrome operators?                                | <p>Review evidence of implemented procedures for accepting an SMS including the maintenance of SMS operational records.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | <p>STD<br/> A19<br/> 4.1<br/> App 1, 6<br/> App 2<br/> GM</p>                                                  |     | CE-6 |

| PQ No. | Protocol Question                                                                                                                     | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | ICAO References                                                                                                    | PPQ | CE   |
|--------|---------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-----|------|
|        |                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Doc 9734, Part A<br>3.6<br>Doc 9859<br>8.4.6 &<br>8.4.7                                                            |     |      |
| 8.925  | Does the State ensure that the aerodrome operators identify hazards, assess identified safety risks, and mitigate them appropriately? | <p>Verify that the State ensures the aerodrome operators:</p> <ol style="list-style-type: none"> <li>1) maintain a process to: <ol style="list-style-type: none"> <li>a) identify hazards associated with their aviation products or services;</li> <li>b) assess safety risks; and</li> <li>c) mitigate safety risks; and</li> </ol> </li> <li>2) actively identify hazards, assess safety risks and mitigates them appropriately.</li> </ol> <p>Note to the auditor:<br/>This verification needs to be reviewed during the industry visit.</p>                                                                                                                                                                                      | <p>STD<br/>A19<br/>App2, 2.1, 2.2</p> <p>GM<br/>Doc 9859<br/>9.4.4<br/>9.4.6</p>                                   |     | CE-7 |
| 8.929  | Does the State ensure that safety performance indicators and targets established by aerodrome operators are acceptable to the State?  | <ol style="list-style-type: none"> <li>1) Review the State's mechanism for accepting individual aerodrome operators' safety performance indicators (SPIs) and targets (when applicable) to verify that proposed SPIs and respective targets (as applicable), are developed in a manner that supports the effort to meet their safety objectives, and are formulated in a manner to ensure that they are specific, measurable, achievable, relevant and timebound.</li> <li>2) Verify that the State ensures that the aerodrome operators' proposed SPIs are reviewed and accepted as part of the SMS acceptance.</li> </ol> <p>Notes to the auditor:<br/>1) SPIs acceptance may also be done later in the implementation process.</p> | <p>STD<br/>A 19<br/>App 2, 3.1</p> <p>RP<br/>A19<br/>3.3.2.2</p> <p>GM<br/>Doc 9859<br/>4.3.3 &amp;<br/>8.4.7.</p> |     | CE-6 |

| PQ No. | Protocol Question                                                                                                                           | Guidance for Review of Evidence                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | ICAO References                                                                                 | PPQ | CE   |
|--------|---------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|-----|------|
|        |                                                                                                                                             | 2) This verification needs to be reviewed during the industry visit.                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                 |     |      |
| 8.933  | Does the State ensure the aerodrome operators monitor their safety performance?                                                             | Verify that the State has established and implemented a mechanism to ensure that the aerodrome operators periodically review their safety performance including the means to:<br>1) validate the effectiveness of safety risks controls;<br>2) validate the identification of changes that may affect the level of safety risks associated with their aviation products or services; and<br>3) validate the identification and management of the safety risks that may arise from those changes.             | STD<br>A 19<br>App2, 3.1; 3.2 & 3.3<br><br>GM<br>Doc 9859<br>8.5.4<br>9.5.4<br>9.5.5 &<br>9.5.6 |     | CE-7 |
| 8.937  | Does the State ensure that the aerodrome operators personnel involved in SMS are qualified to perform their functions and responsibilities? | 1) Verify that the State has established and implemented a mechanism to validate that the aerodrome operators' personnel involved in SMS are qualified to perform their functions and responsibilities; and<br>2) Sample surveillance activities records.<br><br>Note to the auditor:<br>1) The scope of the safety training programme should be appropriate to each individual's involvement in the SMS.<br>2) Review the training records of the aerodrome operators' personnel during the industry visit. | STD<br>A19<br>App 2, 4.1<br><br>GM<br>Doc 9859<br>9.6.4                                         |     | CE-7 |
| 8.941  | Does the State ensure that the aerodrome operators continually promote safety?                                                              | Verify that the State ensures the aerodrome operators:<br>1) develop and maintain a formal mean for safety communication that includes:<br>a) ensuring personnel are aware of the SMS to a degree commensurate with their positions;                                                                                                                                                                                                                                                                         | STD<br>A19<br>App 2, 4.2<br><br>GM<br>Doc 9859                                                  |     | CE-7 |

| PQ No. | Protocol Question | Guidance for Review of Evidence                                                                                                                                                                                                                                                                 | ICAO References | PPQ | CE |
|--------|-------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|-----|----|
|        |                   | b) conveying safety-critical information;<br>c) explaining why particular actions are taken to improve safety; and<br>d) explaining why safety procedures are introduced or changed; and<br>2) have considered communicating to external organizations any of their safety related information. | 9.6.5           |     |    |

— END —

## Frequently Asked Questions (FAQs) – 2024 Edition of the Protocol Questions (PQs)

The Universal Safety Oversight Audit Programme (USOAP) has compiled the following list of questions to provide States with clarifying information regarding the newly released 2024 edition of the Protocol Questions (PQs). It covers the subjects of [General Information](#), [Corrective Actions & Self-Assessments](#), and [Upcoming USOAP CMA Activities](#)

*Note: Additional questions will be added to the list when States request additional information about a specific subject.*

### [General Information](#)

#### **When did the Universal Safety Oversight Audit Programme (USOAP) publish the 2024 Edition of the PQs?**

In September 2024, the USOAP published the 2024 edition of the PQs on the ICAO USOAP Continuous Monitoring Approach (CMA) Online Framework (OLF) in the CMA Library.

#### **Are all of the PQs based on ICAO Standards?**

All PQs are linked to ICAO Standards, and they are based on the Critical Elements (CEs), the Convention on International Civil Aviation (Doc 7300), ICAO Standards and Recommended Practices (SARPs), Procedures for Air Navigation (PANS), and related guidance material. If you have questions regarding whether the requirements of a PQ extend beyond ICAO Standards, contact the Safety and Air Navigation Oversight Audit Section, Air Navigation Bureau, ICAO by email at [usoapinbox@icao.int](mailto:usoapinbox@icao.int) for clarification.

#### **How did the USOAP announce the publication of the 2024 PQ Amendment?**

On 9 October 2024, the USOAP published an Electronic Bulletin (EB 2024/22), *Universal Safety Oversight Audit Programme (USOAP) Continuous Monitoring Approach (CMA) Protocol Questions (PQs) — 2024 EDITION*.

#### **Why did the USOAP amend the PQs?**

The USOAP CMA amended the PQs in 2024 in accordance with the High-level Conference on COVID-19 (HLCC 2021) Recommendations (C-WP/15312 refers) regarding the integration of State Safety Programme Implementation Assessment (SSPIA) with USOAP CMA activities, that were approved by the Council of ICAO on 4 March 2022 (C-DEC 225/7 refers) as well as updates based on amendments to ICAO provisions (Annexes to the Convention on International Civil Aviation, PANS and guidance material) and State and stakeholder feedback.

The 2024 edition of PQs comprises, along with an editorial and references review of the existing PQs, the incorporation of Safety Management System (SMS) PQs to the areas of personnel licensing and training (PEL), aircraft operations (OPS), airworthiness of aircraft (AIR), air navigation services (ANS), and aerodromes and ground aids (AGA), as well as of State Safety Programme (SSP) PQs as a new audit area of SSP.

### When will the amended PQs become applicable to all States?

As ICAO had indicated in EB 2024/22, the 2024 edition of the PQs will become applicable for all USOAP CMA activities, starting **after** 1 July 2025, except for the SSP related PQs, which include both the State Safety Programme (SSP) PQs and the Safety Management System (SMS) PQs. The SSP PQs and SMS PQs will become applicable at a later stage to be announced in due time. For all USOAP CMA activities starting **before** 1 July 2025, the 2020 edition of the PQs will continue to apply.

### How will the amended PQs affect my State's Effective Implementation (EI) score?

States' effective implementation (EI) score may change slightly due to the introduction of the 2024 edition of the PQs.

The effect of the new PQs on a State's EI will vary. It is important to note that there is no identified correlation in changes to States' EIs by region, audit area, or CE.

### What is the comparison between the 2020 edition of the PQs and the 2024 edition of the PQs?

The 2024 PQ amendment includes the incorporation of SMS and SSP PQs, a new audit area of SSP as well as amendments to ICAO provisions (Annexes to the Convention on International Civil Aviation, Procedures for Air Navigation Services (PANS) and guidance material) and State and stakeholder feedback.

|     | Total No.<br>(2020) | 2020 PQs |                |               |                  |            | 2024 PQs               |                     |                      |
|-----|---------------------|----------|----------------|---------------|------------------|------------|------------------------|---------------------|----------------------|
|     |                     | Deleted  | Revised<br>(A) | Merged<br>(B) | No Change<br>(C) | New<br>(D) | Total No.<br>(A+B+C+D) | On-site<br>required | Off-site<br>eligible |
| LEG | 23                  | 0        | 3              | 0             | 20               | 0          | 23                     | 3                   | 20                   |
| ORG | 13                  | 0        | 0              | 0             | 13               | 0          | 13                     | 9                   | 4                    |
| PEL | 93                  | 4        | 35             | 2             | 52               | 11         | 100                    | 79                  | 21                   |
| OPS | 126                 | 1        | 96             | 0             | 29               | 11         | 136                    | 100                 | 36                   |
| AIR | 186                 | 10       | 82             | 3             | 91               | 22         | 198                    | 114                 | 84                   |
| AIG | 84                  | 0        | 30             | 0             | 54               | 0          | 84                     | 46                  | 38                   |
| ANS | 122                 | 5        | 108            | 0             | 9                | 11         | 128                    | 102                 | 26                   |
| AGA | 143                 | 1        | 14             | 0             | 128              | 11         | 153                    | 116                 | 37                   |
| SSP | 0                   | 0        | 0              | 0             | 0                | 0          | 16                     | 16                  | 0                    |
|     | 790                 | 21       | 368            | 5             | 396              | 66         | 851                    | 585                 | 266                  |

### Are the 2020 PQs still available?

Yes. The 2020 edition of the PQs will remain available on demand.

## Corrective Action Plans and Self-Assessments

**My State submitted its CAPs for a USOAP CMA audit conducted in 2024. Should we revise the CAPs now based on the 2024 edition of the PQs?**

It will be necessary to revise the State's corrective action plans (CAPs) when your State is migrated to the 2024 edition of the PQs in the OLF. Once this happens, your Authority will be duly notified, and an updated or revised CAP will be required for some of the amended PQs. Special attention should be given to revised or merged PQs, since the associated findings will have been revised or merged, and the submitted CAPs may no longer apply. PQs which are deleted in the 2020 edition will no longer require a CAP and previously submitted CAP addressing such PQs will disappear from the OLF after the migration.

**If two or more PQs were merged in the new edition of PQs, how does it affect the status of those questions?**



If two PQs are **Satisfactory**, the new merged PQ remains **Satisfactory**.



If a **Satisfactory** PQ is merged with a **Not Satisfactory** PQ, the new merged PQ becomes **Not Satisfactory**.



If two PQs are **Not Satisfactory**, the new merged PQ remains **Not Satisfactory**.



If a **Satisfactory** PQ is merged with a **Not Applicable** PQ, the new merged PQ becomes **Satisfactory**.



If a **Not Satisfactory** PQ is merged with a **Not Applicable** PQ, the new merged PQ becomes **Not Satisfactory**.



If a **Not Applicable** PQ is merged with a **Not Applicable** PQ, the new merged PQ becomes **Not Applicable**.

**Will the information from my State's self-assessment of the 2020 PQs migrate to the 2024 PQs for PQs that did not change?**

Yes, the Self-Assessments for all PQs, with the exception of deleted PQs, will be migrated to the 2024 PQs. In addition, all attached files will be migrated to the new PQs.

**Can I filter for new and merged PQs on the OLF?**

Yes, under your State's Self-Assessment module on the OLF, select **New Prot. Status**, and then the appropriate PQ type from the drop-down menu (i.e., all, revised, new, merged, or split). See the image below for an example.

**Can I filter for Not Satisfactory PQs for all merged PQs to update if required by my State's existing CAPs?**

Yes, under your State's Corrective Action Plan (CAPs) module on the OLF, select **New Prot. Status**, and then the appropriate PQ type from the drop-down menu, select the value 'merged'. See the image below as an example.

### Upcoming USOAP CMA Activities

**My State underwent a USOAP CMA audit in 2025 using the 2020 edition of the PQs. Will the migration to the 2024 edition of the PQs affect our EI score?**

Due to changes to the PQs, the EI of your State, as all other States, may be slightly affected once the new PQs have been migrated for your State on the OLF. The EI always reflects PQ implementation status based on the applicable PQ edition.

**My State underwent a USOAP CMA audit in 2024. In two or three years, we will request an ICVM. Will the ICVM be based on the 2020 edition of the PQs or the 2024 edition of the PQs?**

As stated above in the question **When will the amended PQs become applicable to all States**, the 2024 edition of the PQs will become applicable for all USOAP CMA activities starting after 1 July 2025. Therefore, ICAO Coordinated Validation Missions (ICVMs) scheduled after 1 July 2025 will apply the 2024 edition of PQs, provided that there is no further amendment of the PQs that becomes applicable by the date of the ICVM.