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## ICAO UNMANNED AVIATION: An Overview

ICAO APAC - The Second Webinar on UAS/RPAS

11-12 December 2024



LEONARDO HABERFELD UAS/RPAS Technical Expert, RPAS Section

Air Navigation Bureau (ANB)



## **OVERVIEW**

- > SCOPE OF ICAO WORK ON UNMANNED AVIATION
- > BVLOS OPERATIONS
- > ANNEX 6 PART IV
- > ICAO RESOURCES





# ICAO 🚳

## SCOPE OF ICAO WORK ON UNMANNED AVIATION

#### Article 8

#### Pilotless aircraft

No aircraft capable of being *flown without a pilot* shall be flown without a pilot over the territory of a contracting State *without special authorization* by that State and in accordance with the terms of such authorization. Each contracting State undertakes to insure that the flight of *such aircraft without a pilot in regions open to civil aircraft shall be so controlled as to obviate danger to civil aircraft*.



Doc 7300 Convention on International Civil Aviation (1944)











### **FEATURES**

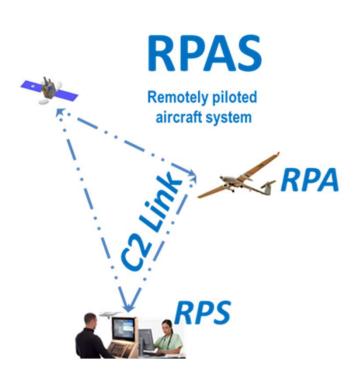
- Multiple Frameworks;
- Global Multiple Applications;
- Beneficial Outcomes;
- Manned Aviation Risks...
  - RPA to operate alongside manned aircraft, as a predictable, cooperative airspace user: All **19 Annexes** affected
  - RPASP Priority given to fundamentals for international operations





#### An **RPAS** consists of:

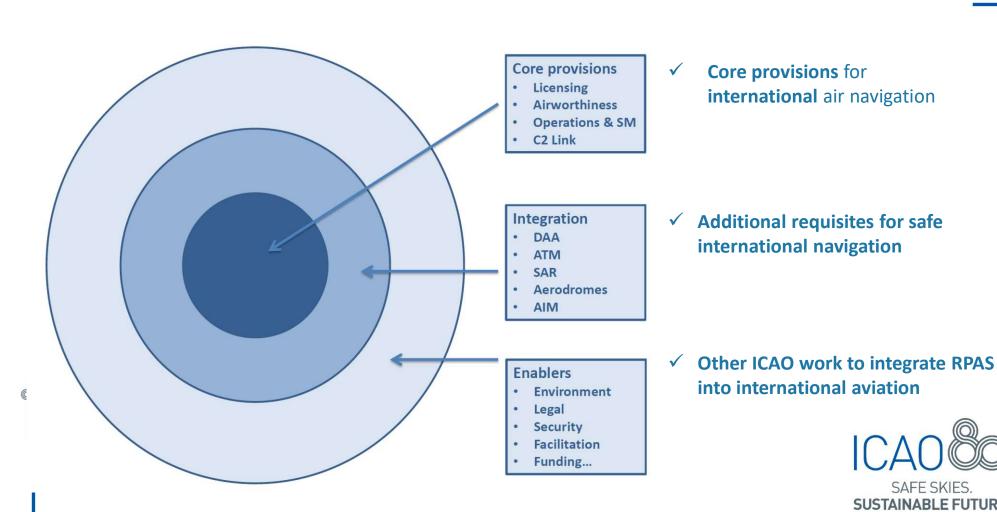
- One (1) RPA
- One (1) or more RPS
- RPA and RPS connected by C2 Link (in direct radio line-of-sight or BRLOS, such as via satellite)
- **other components** essential for flight, like manned aircraft, including:
  - ATC communications and surveillance equipment (radio coms; CPDLC; ADS-B; SSR transponder)
  - navigation equipment
  - launch and recovery equipment (e.g. catapult, winch, rocket, net, parachute, airbag
  - flight control computer (FCC), FMS and autopilot
  - · system health monitoring
  - flight termination system

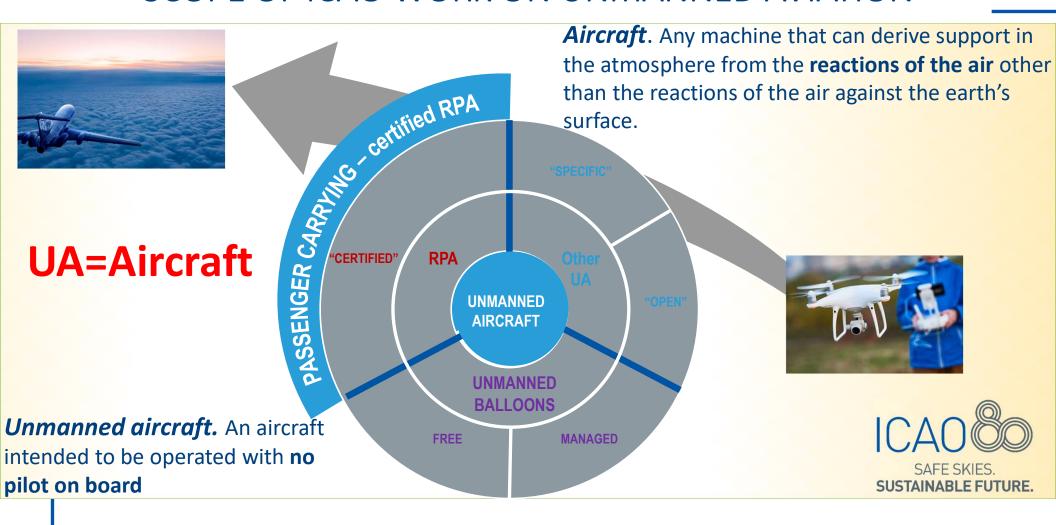




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## SCOPE OF ICAO WORK ON UNMANNED AVIATION





UNMANNED AIRCRAFT SYSTEM STUDY GROUP (UASSG) 2008 - 2014



CIRC 328 – Unmanned Aircraft Systems (2011) REMOTELY PILOTED AIRCRAFT SYSTEMS PANELS (RPASP) 2014



DOC 10019 – Manual on Remotely Piloted Aircraft Systems (2015) UNMANNED AIRCRAFT SYSTEMS – ADVISORY GROUP (UAS-AG) 2017 - 2023



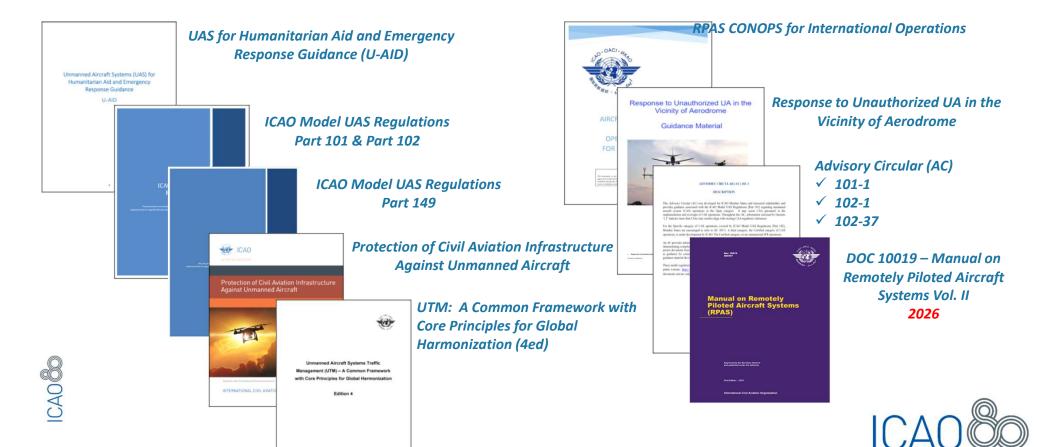
A Common Framework with Core Principles for Global Harmonization (4ed. 2023)





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## SCOPE OF ICAO WORK ON UNMANNED AVIATION





REGIONAL CARGO AND PASSENGER TRANSPORT





PUBLIC GOOD

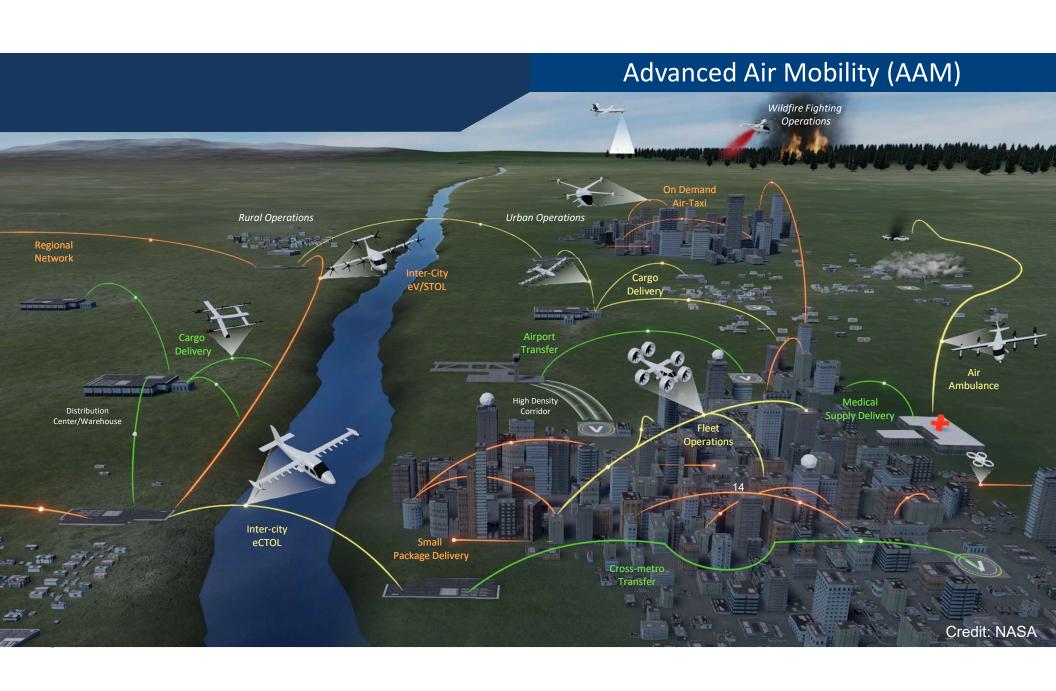
CONSUMER/ ENTERPRISE GOODS AND SERVICES

CAO





LOCAL PASSENGER TRANSPORT



REGIONAL CARGO AND PASSENGER TRANSPORT





PUBLIC GOOD

CONSUMER/ ENTERPRISE GOODS AND SERVICES







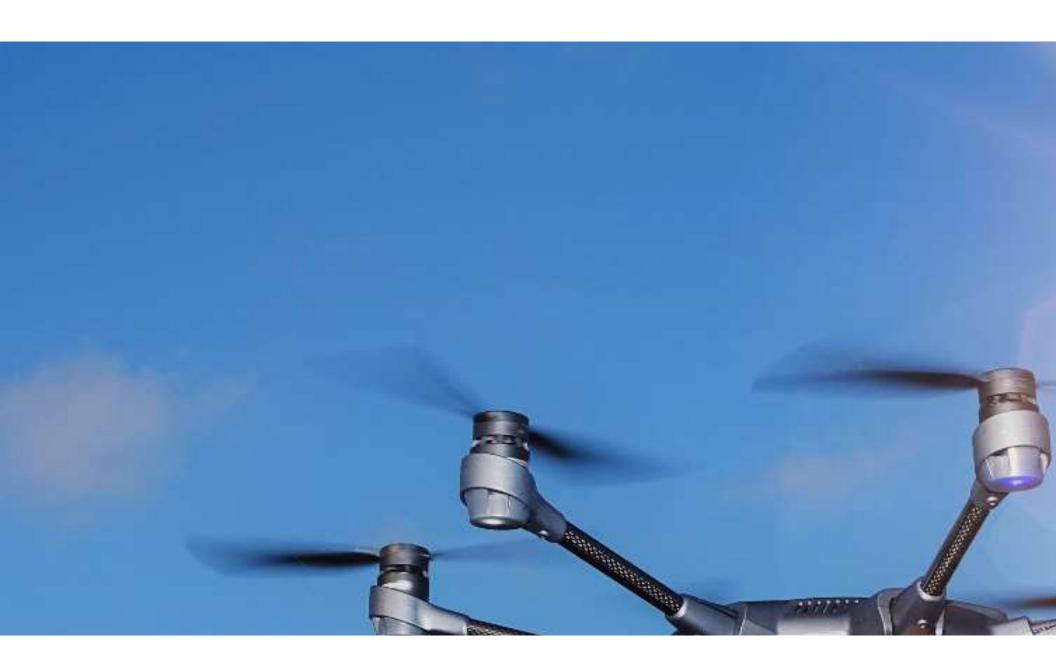
LOCAL PASSENGER TRANSPORT













## UAS OPERATIONAL EMPLOYMENT:

- Infrastructure Inspection,
- > Humanitarian Aid,
- Goods Delivery,
- Crop Monitoring
- ➤ Area Surveillance,
- Search and Rescue
- **...** 
  - √ VAST RANGE / ENHANCE EFFICIENCY,
  - ✓ DIFFERENT FRAMEWORKS,
  - **✓ DIFFERENT OPERATIONAL PROFILES.**

REMOTE AIRCRAFT "OUT" OF THE PILOT'S SIGHT



## DESPITE THE POSITIVE OUTCOMES, IT POSES CHALLENGES:

- > NOT MENACE TO AVIATION SAFETY LEVELS,
- NOT MENACE TO PEOPLE AND PROPERTIES ON THE GROUND,
- ➤ AIRSPACE SURVEILLANCE/IDENTIFICATION,
- CONSPICUITY FOR OTHER AIRSPACE USERS,
- > HAZARDS AVOIDANCE (WEATHER, OBSTACLES, AIRCRAFT),
- > REMOTE PILOT's SITUATIONAL AWARENESS (SA)
- > ....











Flight Conducted Under:

#### National Transportation Safety Board Aviation Incident Final Report

Location: Hoffman Island, NY

Date & Time: 09/21/2017, 1920 ED

Aircraft: DJI Phantom

Defining Event: Midair collision

Part 107: Small UAS

#### Analysis

The United States Army UH-60M helicopter was o mean sea level (msl) when it collided with a private (sUAS). The helicopter sustained minor damage at helicopter saw the sUAS before impact and immed collision.

https://www.ntsb.gov/investigations/ Pages/DCA17IA202AB.aspx

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#### **Aviation Investigation Final Report**

 Location:
 Daytona Beach, Florida
 Accident Nur

 Date & Time:
 December 30, 2023, 14:04 Local
 Registration:

 Aircraft:
 ROBINSON HELICOPTER R44 (A1):
 Aircraft Dam

 Defining Event:
 Midair collision
 Injuries:

 Flight Conducted Under
 Part 91: General aviation - Other work was (A1); Part

#### **Analysis**

The pilot of the helicopter reported that while flying at an indicated ft, while on approach to land at an off-airport landing zone, he saw aerial system (UAS)] in front of his windscreen. He attempted to av and the UAS impacted the main rotor resulting in substantial dama. The pilot reported that there were no preaccident mechanical malfihelicopter that would have precluded normal operation.

https://data.ntsb.gov/carolrepgen/api/Aviation/ReportMain/G enerateNewestReport/193587/pdf

## Man dies after being struck by drone in southern Vietnam

Tuesday, November 26, 2024, 14:02 GMT+7



The scene of a fatal incident where a man was killed in a collision with a drone in Kien Giang Province, southern

https://tuoitrenews.vn/news/society/20241126/ma n-dies-after-being-struck-by-drone-in-southern-

vietnam/83107.html

**DISREGARD SAFETY – BAD RESULTS** 



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## BEYOND VISUAL LINE OF SIGHT (BVLOS)

### **BVLOS ASSUMPTIONS:**

- > PRESERVE EXISTING LEVELS OF AVIATION SAFETY,
- > NOT INTRODUCE NEW UNACCEPTABLE SOCIETAL RISKS,
- NOT IMPOSE OTHER UNDESIRABLE IMPACTS ON SOCIETY (E.G. PRIVACY, ENVIRONMENTAL),
- > DELIVER THE VALUE THEIR STAKEHOLDERS SEEK,
- > PROFIT OR REDUCTION OF COSTS (OR THE FUTURE PROMISE OF), OR
- SOCIETAL, HUMANITARIAN, ENVIRONMENTAL BENEFITS (GOVERNMENT OR NOT-FOR PROFIT CONTEXT)



# CAO

## BEYOND VISUAL LINE OF SIGHT (BVLOS)

### **BVLOS OPERATIONAL PROFILE X ASSOCIATED RISKS**

- > POPULATED AREAS (RISK FOR PEOPLE/PROPERTIES)
- ➤ DENSITY AIRSPACE (RISK FOR OTHER AIRCRAFT)
- > REMOTE AREAS
- > FLIGHT TIME
- > FLIGHT PROFILE
- > WEATHER



#### **BVLOS X C2 LINK X ASSOCIATED RISKS:**

- ➢ BVLOS RLOS (RADIO LINE OF SIGHT)
  - "DIRECT LINK"
- ➤ BVLOS BRLOS (BEYOND RADIO LINE OF SIGHT)
  - > RELAY NODS

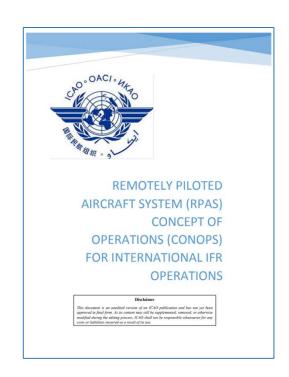


## ICAO @

## BEYOND VISUAL LINE OF SIGHT (BVLOS)

### ICAO – Remotely Piloted Aircraft System (RPAS) Concept Of Operations (CONOPS) for International IFR Operations:

- ✓ SEGREGATED AIRSPACE Airspace of specified dimensions allocated for exclusive use to a specific user(s)
- ✓ ACCOMMODATED ......can operate along with some level of adaptation or support that compensates for its inability to comply with existing operational constructs.....
- ✓ INTEGRATED refers to a <u>future</u> when RPA may be expected to enter the airspace system routinely without requiring special provisions......





> BVLOS UAS OPERATIONS - OPERATIONAL TYPE	> HISTORICALLY SEPARATION MANAGEMENT
<ul> <li>BVLOS</li> <li>LOCALIZED "WIDE" AREA SURVEY</li> <li>BLOCKED FROM REMOTE PILOT VIEW (BUILDING, TREE, TERRAIN)</li> </ul>	> SEGREGATED VISUALLY (POTENTIAL INTRUDING MANNED AIRCRAFT CAN BE SEEN)
> REMOTE AREA BVLOS	> SEGREGATED BY ARRANGEMENT WITH LOCAL OPERATORS, NOTAM
➤ LINEAR SURVEY/PATROL	> SEGREGATED AND OPERATOR LIAISON/ NOTAM
> WIDE AREA SURVEY	> SEGREGATED AND OPERATOR LIAISON/ NOTAM
> CARGO DELIVERY (URBAN, RURAL)	> ACCOMMODATED <150M AND OPERATOR LIAISON/ NOTAM, UTM
> HIGH ALTITUDE PSEUDO SATELLITE (HAPS)	➤ INTEGRATED/SEGREGATED PROCEDURALLY ATC SERVICES /OPERATOR, SELF SEPARATION, COLLABORATIVE TRAFFIC MANAGEMENT IN STRATOSPHERE
> RPAS - IFR FLIGHT INTEGRATED WITH MANNED TRAFFIC (FUTURE)	> INTEGRATED ATC SEPARATION SERVICES, DETECT AND AVOID SYSTEM

### **BVLOS X POSSIBILITIES**

- ➤ UNMANNED/REMOTE AIRCRAFT
  - ➤ "CERTIFIED"/"TESTED"
  - > FAILSAFE EMERGENCY SYSTEMS
  - > STANDARD OPERATIONAL PROCEDURE (SOP)/CHECK-LIST
- > REMOTE PILOT/CREW
  - ➤ "CERTIFIED"
  - ➤ HEALTH CONDITIONS (Use of Psychoactive Substances)
- ORGANIZATIONAL FRAME
  - > SAFETY MANAGEMENT SYSTEM (SMS)
  - ➤ QUALITY MANAGEMENT SYSTEM (QMS)
  - ➤ SAFETY/FATIGUE/C2 LINK OVERSIGHT SYSTEM
  - > APPLICABLE DOCUMENTS/REGISTERS





### **BVLOS X POSSIBILITIES**

- > OPERATIONAL ISSUES
  - ➤ PRE-PLANNING (ROUTE, WEATHER, ...)
  - > RISK ASSESSMENT
  - > FLIGHT PLAN
  - > EMERGENCY/CONTINGENCY PLAN
  - > ADEQUATE AUTHORIZATIONS
  - ➤ ROBUST/RELIABLE C2 LINK
  - > C2 LINK COVERAGE IN THE OPERATIONS AREA
  - ➤ ADEQUATE ENDURANCE (FUEL, BATTERY, ...)
  - > GNSS ADEQUATE SIGNAL
  - ➤ SERVICE LEVEL AGREEMENT (SLA) If Applicable
  - > OPERATIONAL AGREEMENT (CAA X OPERATOR)
  - **>** ....





### **BVLOS (STATES & SYSTEMS)**

- ✓ GLOBALLY, STATES ALLOW BVLOS OPERATIONS,
- ✓ SOME STATES DEVELOPED SYSTEMS TO PROVIDE AUTHORIZATION/COORDINATION,



BVLOS IS BEING CONDUCTED IN ALL CONTINENTS



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## BEYOND VISUAL LINE OF SIGHT (BVLOS)

## **ICAO TV**

# UAS BEYOND VISUAL LINE OF SIGHT OPERATIONS (BVLOS) - FOR REGULATORS 2020

This webinar is aimed at experienced aviation regulators with limited UAS exposure who are interested to learn more about BVLOS. Industry and others with a concern in how a regulator views BVLOS will also have an interest





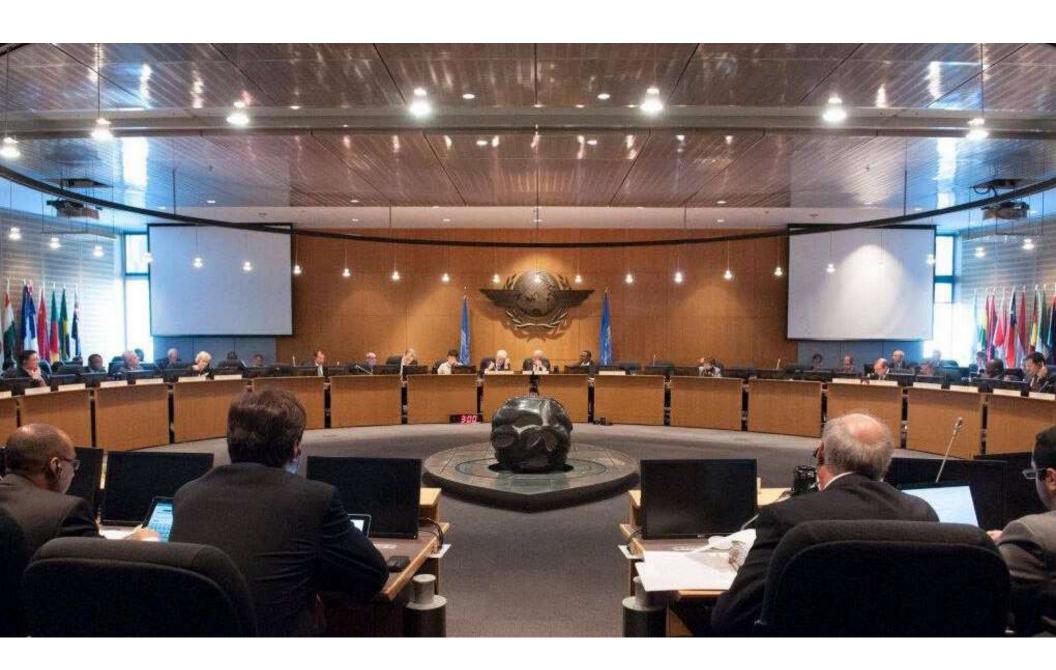
### **HOW TO REGULATE BYLOS OPERATIONS IN MY STATE?**

- > ICAO GUIDANCE MATERIAL (PART 102, UTM FRAMEWORK, U-AID)
- > INCORPORATE ICAO SARPS PROVISIONS

"While the application of the provisions of Annex 6, Part IV is clearly defined, States are encouraged to apply the SARPs contained herein for domestic RPAS operations, as appropriate. [...] Annex 6, Part IV SARPs were largely developed based on operations under instrument flight rules (IFR). States are encouraged to apply the SARPs provided herein for domestic RPAS operations, as appropriate". (Annex 6, Part IV, p. xix)

> CONSULT OTHER STATE'S EXPERIENCES.





# 19 April 2024 Tel.: +1 514-315-2449 Ref.: AN 11/61.1-24/37 Subject: Adoption of the first edition of Annex 6. n required: a) notify any disapproval before y 2024. b) notify any differences and complian 26 October 2026. c) consider the use of the tie Filing of Differences (EFOD) System for tion of differences and compliance Annex 6, Part IV arises from recommendations of the eighteenth meeting of the Remotely piloted term Systems Panel (RPASP)18) and addresses the international operation of remotely piloted items (RPAS). The adoption of Annex 6, Part IV will assist States in developing and up to the regulations to address and operations.

## STATE LETTER

**ANNEX 6 PART IV** 

AN 11/61.1-24/37

19 April 2024



## **ANNEX 6 PART IV**



Chapter 1 - Definitions

Chapter 2 - Applicability

Chapter 3 - General and Operator Responsibilities

Chapter 4 - Flight Operations

**Chapter 5 – RPAS Performance Operating Limitations** 

Chapter 6 - RPAS Instruments, Equipment and Flight Documents

Chapter 7 – RPAS Communication, Navigation and Surveillance Equipment

Chapter 8 – RPA Continuing Airworthiness

Chapter 9 - Remote Flight Crew

Chapter 10 – Flight Operations Officer/Flight Dispatcher

Chapter 11 - Manuals, Logs and Records

Chapter 12 - Cabin Crew (Reserved for future use)

Chapter 13 - Security

Chapter 14 - Dangerous Good

Chapter 15 - Cargo Compartment Safety

10 Appendixes

12 Attachments

198 pages

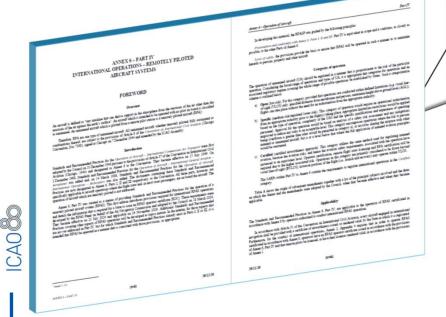


## **ANNEX 6 PART IV**

#### **Categories of operation**

The operation of unmanned aircraft (UA) should be regulated in a manner that is proportionate to the risk of the particular operation. Considering the broad range of operations and types of UA, it is appropriate that categories for operations and an associated regulatory regime covering the whole range of possible operations be established by <u>States</u>. Such a categorization scheme is outlined below:

(xviii)





In developing this material, the RPASP was guided by the following principles:

Presentation and conformity with Annex 6, Parts I, II and III. Part IV is equivalent in scope and it conforms, as closely as possible, to the other Parts of Annex 6.

Level of safety, the provisions provide the basis to ensure that RPAS will be operated in such a manner as to minimize hazards to persons, property and other aircraft.

#### Categories of operation

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- a) Open (low risk). For this category, provided that operations are conducted within defined limitations (e.g. visual line-of-sight (VLOS) only, specified distances from aerodromes and persons, maximum height above ground level (AGL)), flights can take place without the need for an authorization from the appropriate authority.
- b) Specific (medium risk/regulated lower risk). This category of operation would require an operational authorization from an appropriate authority prior to the flight(s) taking place; appropriate limitations/restrictions would be applied based on the type of operation, complexity of the UAS and the specific qualifications and experience of operating personnel. Approval for the operation would be based on analysis of a safety risk assessment and any mitigations employed to reduce any risks to an acceptable level. This category encompasses operations where the risk to persons being overflown is greater than what would be permitted in category a), or involves sharing the airspace with other manned or unmanned aircraft, but is at a level below that where the full application of manned aviation principles would be warranted.
- c) Certified (certified airworthiness approach). This category utilizes the same method used for regulating manned aviation, because the aviation risks, and hence the aviation safety requirements, associated with the operation have increased to an equivalent level. Operator certification, remote flight crew licensing and RPA certification will be required due to the higher associated risk. Operations in this category are primarily considered to be flown beyond visual line-of-sight (BVLOS), however portions of the flight (i.e., launch and recovery) may operate within VLOS.

The SARPs within Part IV to Annex 6 contain the requirements to regulate international operations in the Certified category.

## **ANNEX 6 PART IV**

"OPEN"

"SPECIFIC"

"CERTIFIED"

#### **PART 101**

Regulated low-risk Visual Line-of-sight Weight limits (<25kg) Altitude (<500ft)

- Photography;
- Inspections;
- Reeational;...

#### **PART 102**

Operations centric-risk based
Visual Line-of-sight or
Beyond Visual Line-of-sight
Greater weights
Higher altitudes

- Long route inspections
- Deliveries

### **ICAO SARPS**

Traditional approach
Integrated operations
International/IFR
Certificated aircraft, pilots, and operators

Similar to manned aviation







## **ANNEX 6 PART IV**



### **ANNEX 6 PART IV**

- ✓ Annex 6 Part IV **International RPAS Operations**, IFR, Remote Pilot and RPA Certified
- ✓ Establishes The Necessity For A **RPAS Operator** Certificate (ROC) By States (Layout Appendix 6)

"[...] for the conduct of international operations, Annex 2, Appendix 4 requires that in order to operate RPAS certificated in accordance with Annex 8, operators have an RPAS operator certificate issued in accordance with the provisions of Annex 6, Part IV and that remote pilots be licensed, or have their licences rendered valid, in accordance with the provisions of Annex 1".





### **ANNEX 6 PART IV**

### **✓** RESPONSIBILITIES FOR:

- ✓ OPERATOR,
- ✓ STATE OPERATOR,
- ✓ STATE OPERATION OCCURS,
- ✓ STATE RPS LOCATE/OPERATE,
- ✓ REMOTE PILOT & CREW.

States involved in the operation could include the State of Registry, the State where the RPA departed, the State of intended landing and any States that are overflown.









### ✓ DIRECTIVES FOR (OPERATIONS SCOPE):

- ✓ RPA AIRWORTHINESS (IAW ANNEX 8),
- √ REMOTE PILOT CERTIFICATE (IAW ANNEX 1),
- ✓ C2 LINK OPERATION (IAW ANNEX 10 Vol. VI),
- ✓ RPS (LOCATION, DATA MANAGEMENT,
- ✓ REMOTE FLIGHT CREW,
- ✓ OTHER OPERATIONAL ISSUES (FLIGHT PLAN, HANDOVERS, AERODROMES, CONCEPTS,...)
  - ✓ VISUALLY CAN REFER VLOS OPERATION.....
- ✓ EMERGENCIES (LOST C2 LINK, ENGINE FAILURE, FUEL RESTRICTION,...).





# ICAO

### **ANNEX 6 PART IV**

- ✓ C2 LINK (SLA Service Level Agreement):
  - **✓** OPERATOR
  - √ C2CSP (C2 Communications Service Provider)
- **✓ DETECT & AVOID SYSTEM:** 
  - ✓ IAW ANNEX 10, Vol. IV, Parts 1 & 2 (Conflicting Airborne Traffic).
- ✓ **ELT** RPA Configure to Carry Persons On Board.



- **✓ RPA**
- **✓ RPS**

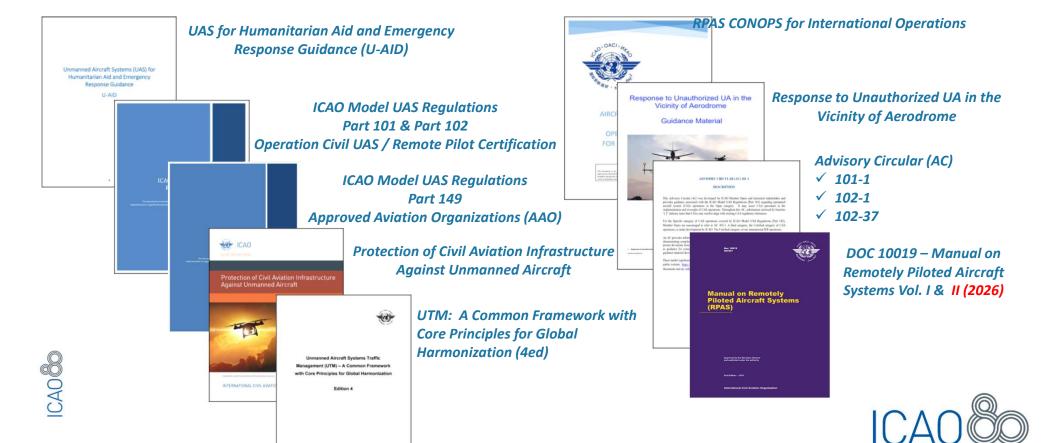






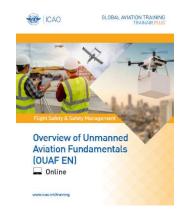
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### ICAO RESOURCES



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### **ICAO RESOURCES**







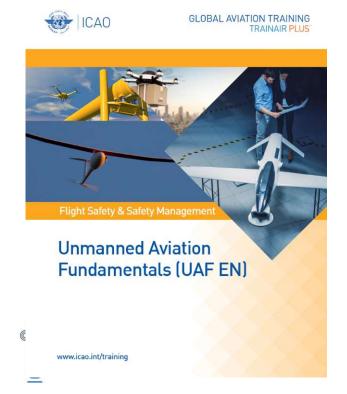


Overview of Unmanned Aviation Fundamentals (OUAF) Unmanned Aircraft Systems Operations (UASO) Unmanned Aircraft Systems Regulations (UASR) Unmanned Aircraft Systems Safety Management System (UASSMS)









**Course Goal**: provide CAA personnel involved in the regulation of unmanned aviation with the competencies required to address the current and emerging safety issues related to unmanned aircraft operating in international and national airspace, consistent with the developing ICAO Standards and Recommended Practices (SARPs) framework.









**iPACK**: This Implementation Package (iPack) is a self-contained package aimed at assisting and guiding ministries of transport, Civil Aviation Authorities (CAAs), and organizations that intend to operate UAS in multiple countries in the implementation of a UAS regulatory framework that remains outside of the Remotely Piloted Aircraft Systems (RPAS) framework.













#### https://www.icao.int/safety/UA/Pages/default.aspx



https://www.icao.int/safety/UA/Pages/default.aspx









- ✓ Enabling UAS Operations (2 episodes)
- ✓ Introducing ICAO UAS Model Regulations
- ✓ UAS Beyond Visual Line-of-Sight Operations for Regulators
- ✓ ICAO UTM Framework Core Principles for Global Harmonization
- ✓ U-AID Humanitarian Operations using UAS
- ✓ Safety Management System (SMS) for UAS Operations
- ✓ RPAS International IFR Regulatory Framework
- ✓ UTM Financial Sustainability Strategies
- ✓ UTM Deployment Best Practices and Lessons Learned



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