

International Civil Aviation Organization

Ninth Meeting of the Surveillance Implementation Coordination Group (SURICG/9)

Bangkok, Thailand, 07 - 10 May 2024

Agenda Item 2: Review of outcomes of relevant meetings on Surveillance

REVIEW OF RELEVANT MEETINGS

(Presented by the Secretariat)

SUMMARY

The paper presents the relevant outcomes of the meetings held in 2023 including the Thirty-Fourth Meeting of the Asia/Pacific Air Navigation Planning and Implementation Regional Group (APANPIRG/34), the Twenty Seventh Meeting of Communications, Navigation, and Surveillance (CNS SG/27) and relevant discussions in other meetings.

1. INTRODUCTION

- 1.1 The Thirty-Fourth Meeting of the Asia/Pacific Air Navigation Planning and Implementation Regional Group (APANPIRG/34) was held at the Hong Kong Civil Aviation Department (HKCAD) Headquarters Auditorium *from 11 to 13 December 2023*, which was graciously hosted by Hong Kong, China. The Meeting was attended by **146** participants from **26** Member States, 2 Special Administrative Regions of China, and **7** International Organizations. The APANPIRG/34 meeting report, working papers, information papers, and other resources can be accessed by following link: https://www.icao.int/APAC/Meetings/Pages/2023-APANPIRG-34.aspx
- 1.2 The Twenty Seventh Meeting of the Communications, Navigation and Surveillance Sub-group (CNS SG/27) of Asia/Pacific Air Navigation Planning and Implementation Regional Group (APANPIRG) was held at the ICAO APAC Regional Office, Bangkok, Thailand, from 28 August to 1 September 2023. The Meeting was attended by 108 participants (94 In Person + 14 virtual) from 24 States/Administrations, 3 International Organizations, and 2 industry partners. The Meeting report and other documents of the meeting can be accessed at ICAO APAC Meeting webpage at:

https://www.icao.int/APAC/Meetings/Pages/2023-CNS-SG-27.aspx

- 1.3 The APANPIRG/34 Meeting reviewed the outcomes of CNS SG/27, noted with appreciation the following works done and achievements by the CNS SG and the contributory bodies reporting to APANPIRG through the CNS SG. APANPIRG/34 also discussed CNS related matters and acted on the Report of the CNS SG/27 meeting and other papers presented under Agenda Item 3.4.
- 1.4 This paper summarized relevant information and updates with the highlight on the reviewed outcomes of SURICG/8, and relevant discussions of other meetings of CNS SG/27 and APANPIRG/34.

2. DISCUSSION

The actions taken by APANPIRG/34 & CNS SG/27 meetings on surveillance related matters are highlighted below in blue color:

- 2 -

2.1 The CNS SG/27 meeting adopted following 8 Conclusions and 2 Decisions:

Reference		Subject
Conclusion CNS SG/27/01 (ACSICG/10/01) Conclusion CNS SG/27/02 (ACSICG/10/04)	-	Adoption of the Asia/Pacific Regional ATN Documentation Tree Telecommunication Infrastructure Table
Decision CNS SG/27/03 (ACSICG/10/06)	-	Revised ToR of Aeronautical Communication Services Implementation Coordination Group (ACSICG)
Conclusion CNS SG/27/05 (SRWG/7/1)	-	Asia Pacific Regional Aeronautical Radio Frequency Management Guidance Material Edition 1.0
Conclusion CNS SG/27/06	-	Revised GBAS safety assessment guidance document related to anomalous ionospheric conditions
Conclusion CNS SG 27/07	-	Revised SBAS safety assessment guidance document related to anomalous ionospheric conditions
Conclusion CNS SG/27/08	-	Extension of the Asia/Pacific GBAS/SBAS Implementation Task Force to complete tasks as per ToRs of GBAS/SBAS ITF
Conclusion CNS SG/27/11 (SURICG/8/2 (Mode S and DAPs WG/6/2))	-	Mode S DAPs IGD Edition 5.0
Decision CNS SG/27/12 (SURICG/8/4)	-	Revised ToR of Surveillance Implementation Coordination Group (SURICG)
Conclusion CNS SG/27/13	-	Regional Guidance Document for Addressing Human Factor Issues of ATSEP

- 2.2 The contents of above Conclusions/Decisions adopted by the CNS SG/27 are provided in **Attachment A** to this paper.
- 2.3 Based on the outcome of discussions on various agenda items, the CNS SG/27 meeting developed **3** Draft Conclusions for consideration by APANPIRG/34 Meeting, which were further adopted by APANPIRG/34. The Conclusions/Decisions adopted by APANPIRG/34 are as follows:

Reference Subject

Conclusion APANPIRG/34/9 - Asia/Pacific Regional FIXM version 4.2 Extension

(CNS SG/27/04 (SWIM/TF/07/04))

Conclusion APANPIRG/34/10

- Revised Navigation Strategy- APAC

(CNS SG/27/09)

Conclusion APANPIRG/34/11 (CNS SG/27/10 (SURICG/8/1 (Mode S and DAPs WG/6/1)))

- General Strategy on Assignment of and Migration to SI Code in the APAC Region
- 2.4 All APANPIRG/34 Conclusions related to CNS are provided in **Attachment B** to this paper.
- 2.5 The following captures the highlights of previous discussions in APANPIRG/34 relevant to this Meeting.

Surveillance

Outcomes of SURICG/8 Meeting

- 2.6 CNS SG/27 reviewed the outcomes of SURICG/8 including the achievements made by the Sixth Meeting of the Mode S Downlinked Aircraft Parameters Working Group (Mode S and DAPs WG/6) and the Third Meeting of the Surveillance Study Group (SURSG/3), and the discussions during the ICAO Aircraft Address and Target Identification in Surveillance Data and Flight Plan Workshop.
- 2.7 To synchronize the APAC region on the general principles applied for assignment of and migration to SI codes, the following Conclusion, which was formulated by Mode S and DAPs WG/6 Meeting and endorsed by CNS SG/27, was further adopted by APANPIRG/34.

Conclusion APANPIRG/34/11 (CNS SG/27/10 (SURICG/8/1 (Mode S and DAPs WG/6/1))): General Strategy on Assignment of and Migration to SI Code in the APAC Region				
What: The General Strategy on Assignment of and Code in the APAC Region provided in Appendix C 3.4 be adopted.	•	Expected impact: ☐ Political / Global ☒ Inter-regional ☐ Economic ☐ Environmental ☒ Ops/Technical		
Why: To synchronize the APAC region on the general principles applied for assignment of and migration to SI codes.	Follow-up:	Required from States		
When: 13-Dec-23	Status: Adopted	by PIRG		
Who: ⊠Sub groups ⊠APAC States ⊠ICAO APAC RO □ICAO HQ □Other: -				

- 2.8 A full copy of the adopted General Strategy on Assignment of and Migration to SI Code in the APAC Region is reproduced in **Attachment C** to this paper.
- 2.9 The CNS SG/27 adopted the Mode S DAPs IGD Edition 5.0 as **Conclusion CNS SG/27/11**, agreed to dissolve the Mode S and DAPs Working Group, and endorsed **Decision CNS SG/27/12** *Revised ToR of Surveillance Implementation Coordination Group* to reflect the change due to the dissolution of Mode S and DAPs WG. The Mode S DAPs IGD Edition 5.0 has been published at

https://www.icao.int/APAC/Pages/eDocs.aspx under CNS section SUR&ADS-B group, the endorsed Revised ToR of SURICG is provided in **Attachment A** of **WP/07** for this meeting.

2.10 The CNS SG/27 discussed the work and progress of updating the coverage charts of ATS Surveillance and Direct Controller and Pilot Communication (DCPC) VHF for the APAC Region, which was expected to be incorporated in the next update of the APAC Seamless ANS Plan, highlighted regional requirements specified in Table CNS II-APAC-3 in APAC e-ANP Volume II, and reviewed the ADS-B Implementation Status in the APAC Region.

Automation

Outcome of ATMAS TF/4 Meeting and ATM Automation System related Issues

- 2.11 The CNS SG/27 noted the summary of the discussion in the Seminar on Air Traffic Management Automation System and the work accomplished by the Fourth Meeting of the Asia/Pacific Air Traffic Management Automation System Task Force (ATMAS TF/4).
- 2.12 APANPIRG/34 noted that ATMAS TF/4 reviewed the preliminary analysis of the key performance indicators on the ATMAS Repository, adopted the revised the Air Traffic Management Automation System Implementation and Operations Guidance Document (ATMAS IGD) as Edition 1.3, analyzed the current AIDC implementation status in APAC region based on the repository.

Regional implementation review and updates

2.13 APANPIRG/34 noted CNS SG/27 was updated about the current development status of the new APAC Seamless ANS Reporting Portal, reminded the latest updates by States/Administrations on CNS requirements specified in ICAO APAC e-ANP, and reviewed the regional commitment progress on Beijing Declaration Implementation Related to CNS.

Status of CNS Deficiencies

2.14 The CNS SG/27 meeting reviewed the only outstanding issue on the list of Air Navigation Deficiencies in the CNS field, which was related to the unreliability of AFS communication between Afghanistan and Pakistan.

Capacity Building

Study on Human Factor Issues of ATSEP

APANPIRG/34 noted the revised regional ATSEP human factor guidance document was reviewed and adopted by CNS SG/27 Meeting through **Conclusion CNS SG/27/13** - *Regional Guidance Document for Addressing Human Factor Issues of ATSEP*. The Guidance document is prepared for the improvisation of the existing human resource management process towards ATSEP to address the factors adding stress and fatigue, improving job performance, and achieving organizational resilience and cost benefits. It was further noted that IFATSEA agreed to update the guidance document's contents continuously and promote the guidance material in the other regions and in the ICAO Assembly in the coming days.

ATM Infrastructure Operations Capability Building in Lao PDR

2.16 The ICAO funded Implementation Support Project in Lao People's Democratic Republic (Lao PDR) - ATM Infrastructure Operations Capability Building was successfully conducted from October 2022 to May 2023, which was managed by the ICAO APAC Office as Special Implementation Projects under ANB. A Technical Evaluation Report was produced, which summarized

the project background and work plans and provided a comprehensive and systematic analysis of CNS implementation in LANS.

CNS Works and Other Business

CNS Points of Contact

2.17 The CNS SG/27 meeting reviewed the CNS Points of Contact of Member States and requested States/Administrations to update points of contact of CNS contingency planning and administrative support for effective and efficient coordination in the CNS aspect.

CNS Challenges in 2024

- 2.18 Apart from the outcomes of CNS SG/27, APANPIRG/34 noted some CNS challenges which would be further discussed in 2024, including ADS-B implementation in LDCs, GNSS interference, AMHS support for IWXXM information traffic, and Aeronautical frequency use for oil rigs.
- 2.19 The Secretariat informed the meeting that the GNSS issues went beyond signal interference leading to serious occurrences related to signal jamming and spoofing. The meeting noted that the matter would be addressed by the relevant ICAO expert groups in Headquarters for a global solution.
- 2.20 The Meeting was informed that at the International Telecommunication Union (ITU) World Radiocommunication Conference 2023 (WRC-23) (Dubai, United Arab Emirates, 20 November to 15 December 2023), concerns on harmful interference to GNSS were raised in the "Report of the Director on the Activities of the Radiocommunication Sector WRC-23 ITU". ITU was informed that a significant number of cases of harmful interference to the radionavigation-satellite service (RNSS) in the 1 559 1 610 MHz frequency band affecting receivers onboard aircraft and causing degradation or total loss of the service for passenger, cargo and humanitarian flights. In some cases, this has also led to misleading information provided by RNSS receivers to pilots. A New WRC-23 Resolution would be adopted concerning the prevention and mitigation of harmful interference to the radionavigation-satellite service in the frequency bands [1 164-1 215 MHz,] 1 559-1 610 MHz [and 1 215-1 300 MHz].
- The Meeting was apprised of the actions undertaken in ICAO Europe and MID Regions to mitigate the risk associated with GNSS Vulnerabilities. The meeting agreed that the issue of GNSS vulnerabilities was concerning and should be addressed in coordination with the other ICAO regions and Headquarters. The meeting encouraged States to attend the EUR/MID Radio Navigation Symposium (Antalya, Türkiye, 6-8 February 2024).
- 2.22 ICAO APAC is coordinating with IATA to take follow up action at SRWG in March 2024. Considering the reports from flight crew are essential to address GNSS related issues, the meeting urged States and airspace users (through IATA) to report GNSS occurrences to ICAO APAC Office using the reporting templates which would be circulated in a State Letter.

<u>Leveraging Innovative Technologies to Support Safe, Secure and Efficient Facilities Management for Outlying Critical Aeronautical Infrastructures (WP/20) - Hong Kong China</u>

2.23 Hong Kong China shared its experience in conducting trials to explore potential applications and benefits of using innovative technologies to enhance facilities management of outlying Critical Aeronautical Infrastructures (CAI), and encouraged States/Administrations to consider adopting cost-effective innovative technologies with a view to further enhancing safety, security and efficiency in air navigation. Examples of Inspection by Drones to Reduce Occupation Safety and Health

(OSH) Hazards, AI-powered Defect Detection, Reality Capture for Building a Realistic 3-dimensional (3D) Model, and AI-powered VA with Detection of Potential Intrusion have been introduced, respectively.

2.24 ROK supported the effort by Hong Kong China and highlighted the value of AI and digitization to improve operational safety and efficiency of air navigation services.

<u>Implementation of Licensing and Rating for Air Traffic Safety Electronics Personnel</u> in Indonesia (WP/22)

- 2.25 Indonesia shared the proposal for potentially integrating the Air Traffic Safety Electronic Personnel (ATSEP) System provision into ICAO Annex 1 Personnel Licensing. The Meeting was informed that *ICAO Annex 1 Personal Licensing* does not mention licensing requirements and procedures for ATSEP. Therefore, ICAO Member States develop their standards and requirements for ATSEP to ensure that the personnel are well-trained, qualified, and competent for the maintenance and operation of the advanced technology and complex system. The Meeting noted that a similar discussion was raised in the CNS SG/27 meeting about IFATSEA initiation to identify the ATSEP provision to incorporate in Annex 1. To standardize the ATSEP personnel licensing system worldwide to overcome the diversity of national requirements and regulations, Indonesia proposed that the ATSEP personal licensing system be included in Annex 1.
- 2.26 ICAO HQ informed that the Personnel Training & Licensing Panel (PTLP) is deliberating the licensing requirements of ATSEP and AIS personnel, along with reviewing the ICAO provisions concerning training and personnel licensing in Annex 1 Personnel Licensing and PANS-Training. The Meeting encouraged APAC States/Administrations to share experiences of their ATSEP Personnel Licensing System if applicable and requested ICAO to facilitate sharing experiences related to ATSEP personnel Licensing System shared by APAC States. Additionally, the Meeting recommended that ICAO HQ continue deliberating on potentially incorporating the ATSEP Personnel Licensing System into ICAO Annex 1 Personnel Licensing and share updates with APANPIRG in further meetings.

Air Traffic Safety Electronics Personnel Licensing System in Indonesia (IP/06)

2.27 APANPIRG/34 noted the information provided by Indonesia on its' Air Traffic Safety Electronics (ATSEP) Personnel Licensing System. Indonesia shared the general requirements concerning ratings and licenses for ATSEP Personnel, the general process of ATSEP training and competency assessment, and the roles of ANSP and DGCA.

3. ACTION BY THE MEETING

- 3.1 The meeting is invited to:
 - a) note the outcome of the APANPIRG/34, CNS SG/27, and its contributory bodies, and take any necessary follow-up actions; and
 - b) discuss any relevant matters as appropriate.

Attachment A to WP/02

List of Conclusion/Decisions adopted by CNS SG/27

Conclusion CNS SG/27/01 (ACSICG/10/01) – Adoption of the Asia/Pacific Regional ATN Documentation Tree				
form to include future amendments to the Document Tree; and b. The ATN Documentation Tree provided in Appendix A of the Report is adopted.			cted impact: litical / Global er-regional onomic vironmental os/Technical	
Why: The current ATN/AMHS Documentation Tree published on the ICAO APAC Website has not been updated for a few years. Therefore, it required updates. Additionally, some documents related to CRV are needed to be added, and others are required to be deleted from the Tree due to obsolete documentation.	Follow-up:	⊠Req	uired from States	
When: 01-Sep-23	Status: Adopted	l by Su	bgroup	
Who: ⊠Sub groups ⊠APAC States □ICAO APA	C RO □ICAO H	Q 🖾 O	ther: CRV OG	
Conclusion CNS SG/27/02 (ACSICG/10/04) - Tel	ecommunication	Infras	tructure Table	
To have a single tracking table with online update capability to support implementing future services managing bandwidth. This table will supersede telecommunication tables maintained by CRV OG and ACSICG.			cted impact: litical / Global eer-regional onomic vironmental os/Technical	
Why: Managing Telecommunication Infrastructure	Follow-up:	⊠Req	uired from States	
When: 01-Sep-23	Status: Adopted	l by Su	b-group	
Who: ⊠Sub groups □APAC States □ICAO AP	AC RO □ICAO	HQ 🗵	Other: ACSICG	
Decision CNS SG/27/03 (ACSICG/10/06): Revised ToR of Aeronautical Communication Services Implementation Coordination Group (ACSICG)				
That, The Revised Terms of Reference of the Aeronautical Communication Services Implementation Coordination Group (ACSICG) provided in Appendix B to the Report is adopted. □ Inter-regional □ Economic □ Environmental □ Ops/Technical				

Attachment A to WP/02

List of Conclusion/Decisions adopted by CNS SG/27

Why: The proposed ToR of the ACSICG includes the new direction given by APANPIRG in the fields of Aeronautical Communication Services.	Follow-up:	□Required from States			
When: 01-Sep-2023	Status: Ado	opted by Sub-Group			
Who:⊠Sub groups □ APAC States □ICAO APAC FACSICG	O □ICAO	HQ □APANPIRG ☒ Other:			
Conclusion CNS SG/27/05 (SRWG/7/1) - Asia Pacif Management Guidance Material Edition 1.0	ic Regional	Aeronautical Radio Frequency			
What: Asia Pacific Regional Aeronautical Radio Management Guidance Material provided in Appendi Report is adopted.	x D to the	Expected impact: □ Political / Global □ Inter-regional □ Economic □ Environmental ☑ Ops/Technical			
Why: Per discussion from SRWG/7 for the region to utilize the Guidance Material	Follow-up	p: □Required from States			
When: 01-Sep-2023	Status: A	Adopted by Subgroup			
Who: ⊠Sub groups □APAC States □ICAO APAC F	RO □ICAO I	HQ ⊠Other: SRWG			
Conclusion CNS SG/27/06 — Revised GBAS safety	assessment	guidance document related to			
What: That, the revised GBAS safety assessment guid	anomalous ionospheric conditions What: That, the revised GBAS safety assessment guidance document related to anomalous ionospheric conditions (Edition 2.0) provided in Appendix E to the report is adopted. □ Inter-regional □ Economic □ Environmental □ Ops/Technical				
Why: Major updates to reflect the development of GAST D SARPs and the progress of GBAS development and implementation in the region. □Required from State					
When: 1-Sep-23	Status: Ado	opted by Subgroup			
Who: ⊠ CNS Sub group □APAC States ⊠ ICAO APAC RO □ICAO HQ □Other:					
Conclusion CNS SG 27/07 – Revised SBAS safety assessment guidance document related to anomalous ionospheric conditions					
What: That, the revised SBAS safety assessment guidance document related to anomalous ionospheric conditions (Edition 2.0) provided in Appendix F to the report is adopted. Expected impact: □ Political / Global □ Inter-regional					

Attachment A to WP/02

List of Conclusion/Decisions adopted by CNS SG/27

		☐ Economic
		☐ Environmental
Why: Major updates to enrich the contents and reflect the progress of SBAS development and implementation in the Region and DFMC SBAS SARPs development.	Follow-up:	☐Required from States
When: 1-Sep-23	l by Subgroup	
Who: ⊠ CNS Sub group □APAC States ⊠ ICAO A	APAC RO □ICAO) HQ □Other:
Conclusion CNS SG/27/08 - Extension of the Asia/ to complete tasks as per ToRs of GBAS/SBAS ITF	Pacific GBAS/SF	BAS Implementation Task Force
What: To extend the period of Asia/Pacific		Expected impact:
Implementation Task Force for another 3 years (i.e.	, up to 2026) for	☐ Political / Global
completing the following remaining tasks with hig		☐ Inter-regional
Action List and considered essential for fulfilling		☐ Economic
stated in the Terms of Reference (ToRs) of the APA	AC GBAS/SBAS	☐ Environmental
ITF:		
- GBAS and SBAS implementation guidance		
- Workshop/Meeting for APAC airspace user	s and regulators;	
and		
- Discussion and deliberation on technical iss		
GBAS/SBAS Safety Assessment and	d Performance	
Demonstration.	T	
Why: To complete tasks, such as guidance reference for GBAS/SBAS Implementation, under the TORs of Asia/Pacific GBAS/SBAS Implementation Task Force	Follow-up:	⊠ Required from States
When: 1-Sep-23	Status:	Adopted by CNS SG
Who: ⊠ CNS Sub group ⊠ APAC States ⊠ ICA	AO APAC RO	ICAO HQ □Other:
Conclusion CNS SG/27/11 (SURICG/8/2 (Mode S of 5.0)	and DAPs WG/6/2	2)): Mode S DAPs IGD Edition
What: The Mode S DAPs Implementation and Ope		Expected impact:
Document Edition 5.0 provided in Appendix I of	of the Report is	☐ Political / Global
adopted		☐ Inter-regional☐ Economic
		☐ Environmental
		☐ Divilonmental ☐ Ops/Technical
Why: Inclusion of new/supplementary content discussed in Mode S and DAPs WG/6.	Follow-up: □I	Required from States
When: 1-Sep-23	by Subgroup	

Attachment A to WP/02

List of Conclusion/Decisions adopted by CNS SG/27

Who: ⊠Sub groups ⊠APAC States ⊠ICAO APAC RO □ICAO HQ □Other: -			
Decision CNS SG/27/12 (SURICG/8/4): Revised ToR of S Coordination Group (SURICG)	urveillance Implementation		
What: That, the Revised Terms of Reference of Implementation Coordination Group (SURICG) provided this paper be adopted.	•		
Why: The ToR from dissolved Mode S and DAPs WG was reviewed and necessary updates were identified.	Follow-up: Required from States		
When: 1-Sep-2023	Status: Adopted by Subgroup		
Who:⊠Sub groups □ APAC States □ICAO APAC RO SURICG	□ICAO HQ □APANPIRG ☒ Other:		
Conclusion CNS SG/27/13 - Regional Guidance Docume of ATSEP	ent for Addressing Human Factor Issues		
What: a) ICAO APAC Guidance Document for Addre Human Factor Issues of ATSEP provided in Appendix adopted.			
Why: The Guidance document is prepared for improvisation of existing human resource management protowards ATSEP for addressing the factors adding stress fatigue, improve their job performance and for achie organizational resilience and cost benefits.	the ocess and Follow-up:□Required from States		
When: 1-Sep-23	Status: Adopted by Subgroup		
Who: ⊠Sub groups □APAC States □ICAO APAC RO	☐ICAO HQ ☐Other: XXXX		

Attachment B to WP/02

A List of Conclusions adopted by APANPIRG/34 Meeting related to CNS

Conclusion APANPIRG/34/9 (CNS SG/27/04 (SW version 4.2 Extension	IM/TF/07/04)) -	- Asia/Pacific Regional FIXM		
What: The FIXM version 4.2 Extension provided in to Agenda Item 3.4 be: a) adopted as the Asia/Pacific FIXM Extension; b) uploaded to the ICAO Asia/Pacifice Website for immediate Asia/Pacific Administrations, capability to do so exists, for ATFM information exchange and ATFM/A-CDM integration; and c) presented to the FIXM CCB for publication on the FIXM official were as a second of the publication on the FIXM official were as a second of the FIX	fic Regional te use by where the cross-border d to support	Expected impact: □ Political / Global ☑ Inter-regional □ Economic □ Environmental ☑ Ops/Technical		
Why: To provide the information exchange model necessary to support cross-border ATFM and ATFM/A-CDM integration in the Asia/Pacific Region, in order to support the implementation of performance objectives of the Asia/Pacific Regional Framework for Collaborative ATFM	Follow-up:	□Required from States		
When: 13-Dec-23	Status: Adopt	red by PIRG		
Who:⊠Sub groups ⊠APAC States ⊠ICAO APAC SG	CRO □ICAO H	IQ ⊠Other: SWIM TF, ATFM		
Conclusion APANPIRG/34/10 (CNS SG/27/09) - 3				
What: Draft Revised Navigation Strategy-APAC latest development in GNSS navigation provided in Agenda Item 3.4 be adopted.				
Why: To update the revised Navigation Strategy-APAC	Follow-up:	☐Required from States		
When: 13-Dec-23 Status: Adopted by PIRG				
Who: ⊠Sub groups □APAC States □ICAO APAC RO □ICAO HQ □Other: -				

Conclusion APANPIRG/34/11 (CNS SG/27/10 (SURICG/8/1 (Mode S and DAPs WG/6/1))): General Strategy on Assignment of and Migration to SI Code in the APAC Region

Attachment B to WP/02

A List of Conclusions adopted by APANPIRG/34 Meeting related to CNS

What: The General Strategy on Assignment of and Code in the APAC Region provided in Appendix C	Expected impact: ☐ Political / Global			
3.4 be adopted.	Ü	 ☑ Inter-regional ☐ Economic ☐ Environmental ☑ Ops/Technical 		
Why: To synchronize the APAC region on the general principles applied for assignment of and migration to SI codes.		Required from States		
When: 13-Dec-23 Status: Adopted by PIRG				
Who: ⊠Sub groups ⊠APAC States ⊠ICAO APAC RO □ICAO HQ □Other: -				

SURICG/9 Attachment C to WP/02

GENERAL STRATEGY ON ASSIGNMENT OF AND MIGRATION TO SI CODE

Consider that when formulating the general strategy:

- a) It was previously shared that radars using SI code cannot detect II-only transponders unless a work-around known as the II/SI code operation is used;
- b) Even if a radar using SI code supports the II/SI code operation, it will not be able to detect an II-only transponder if that transponder is already locked to a matching II code by a radar using that matching II code. A way to overcome this is for II radars to also use the II/SI code operations whereby it will only lock out SI-capable transponders and not II-only transponders. However, it is difficult to ensure that all radars (including old radars) can support the II/SI code operations;
- c) Transponders that support only II codes are unlikely to disappear totally. Even with strict enforcement by ICAO, there will still be aircraft not subjected to ICAO's provision;
- d) While it is possible to configure the lock-out coverage to be smaller than the designated operating coverage, such configuration may not be intuitive and may be subjected to error;
- e) The European region is reserving II 14 and 15 (and their matching SI codes) for special use (i.e. research/test and military purposes);
- f) The Surveillance Panel is deliberating on a proposal to include a **requirement** for use of II/SI code operations for radars using SI code and a **recommendation** for the use of II/SI code operations for radars using II code; and
- g) The strategy is to be kept simple,

The following general strategy is thus proposed for the assignment of SI codes:

- a) ICAO APAC regional office will assign SSR Mode S II or Mode S SI codes in accordance with the planning criteria in *Appendix A-1*, at the same time ensuring support for Mode S II-only transponders;
- b) ICAO APAC regional office will only assign an SI code if the radar can support II/SI code operations;
- c) ICAO APAC regional office will only assign an SI code to radars having overlapping coverage with another radar using "matching" II code when the radar using "matching" II code can support II/SI code operations;
- d) The ICAO APAC Regional Office will assume that the designated operating coverage is the same as the lockout coverage. There will be a 5NM buffer between the coverages of two radars using the same II or SI code. States can, as necessary, select a lockout coverage that is smaller than the Designated Operational Coverage; and
- e) The ICAO APAC regional office will generally avoid assigning II 14 and 15 (and their matching SI codes) to new radars.

The following general strategy for migration is proposed:

- a) States with Mode S radars that can support II/SI code operation are encouraged to coordinate with the ICAO APAC Office to assign or re-assign SI codes to these radars.
- b) The ICAO APAC Regional Office may also approach certain States to start migrating to SI codes.

Appendix A-1

The following planning criteria for assigning SSR Mode S II or SSR Mode S SI codes have been agreed by the Surveillance Panel and will be incorporated in the ICAO Aeronautical Surveillance Manual (DOC 9924)

(Editorial Note: Some of the texts below are edited from the original material in DOC. 9924)

Table 1: Considered interrogator (interrogator for which an Interrogator Code is demanded) Mode S II-only interrogator

Operating on II code

Can operate with Mode S II-only and Mode S II/SI transponders

Cuii O	perate with whote 5 if only an	a mode of mor transpor	acis	
Case	Capability of the overlapping interrogator	Operating code	Condition	Transponder Type
A	A Mode S II only	Different II code	Overlap OK	II-only and II/SI
A	A wode 5 if only	Same II code	No overlap	11-0111y and 11/51
	Mode S SI operating with	Different II code	Overlap OK	
В	II code (1)	Same II code	No overlap	II-only and II/SI
С	Mode S SI operating with SI code (1)	Any SI code, including a "matching" SI code	Overlap OK	II/SI
D	Mode S II/SI+ operating	Different II code	Overlap OK	II-only and II/SI
ע	with II code (2)	Same II code	No overlap	11-only and 11/81
Е	Mode S II/SI+ operating	Non-matching SI code	Overlap OK	II-only and II/SI
	with SI code (2)	Matching SI code	No overlap	

Note 1: Mode S SI means Mode S II/SI capable interrogator which does not support the II/SI code operation

Note 2: Mode S II/SI+ means Mode S II/SI capable interrogator which does support the II/SI code operation

Table 2: Considered interrogator (interrogator for which an Interrogator Code is demanded) Mode S II/SI interrogator that does not support the use of II/SI code operation. Operating on II code

Can operate with Mode S II-only and Mode S II/SI transponders

Case	Capability of the overlapping interrogator	Operating code	Condition	Transponder Type
A	A Mode C II only	Different II code	Overlap OK	II-only and II/SI
A	A Mode S II only	Same II code	No overlap	11-only and 11/51
	Mode S SI operating with	Different II code	Overlap OK	
В	II code (1)	Same II code	No overlap	II-only and II/SI
С	Mode S SI operating with SI code (1)	Any SI code, including a "matching" SI code	Overlap OK	II/SI
D	Mode S II/SI+ operating	Different II code	Overlap OK	II-only and II/SI
	with II code (2)	Same II code	No overlap	11-only and 11/51
E	Mode S II/SI+ operating	Non-matching SI code	Overlap OK	II-only and II/SI
	with SI code (2)	Matching SI code	No overlap	

Note 1: Mode S SI means Mode S II/SI capable interrogator which does not support the II/SI code operation

Note 2: Mode S II/S+I means Mode S II/SI capable interrogator which does support the II/SI code operation

Table 3: Considered interrogator (interrogator for which an Interrogator Code is demanded) Mode S II/SI interrogator that does not support the use of II/SI code operation. Operating on SI code

Can only operate with Mode S II/SI transponders

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Case	Capability of the overlapping interrogator	Operating code	Condition	Transponder Type
A	A Mode S II only	Any II code including the matching II code	Overlap OK	II/SI
В	Mode S SI operating with II code (1)	Any II code including the matching II code	Overlap OK	II/SI
С	Mode S SI operating with SI code (1)	Different SI code Same SI code	Overlap OK No overlap	II/SI
D	Mode S II/SI+ operating with II code (2)	Any II code including the matching II Code	Overlap OK	II/SI
Е	Mode S II/SI+ operating with SI code (2)	Different SI code Same SI code	Overlap OK No overlap	II/SI

Note 1: Mode S SI means Mode S II/SI capable interrogator which does not support the II/SI code operation

Note 2: Mode S II/SI+ means Mode S II/SI capable interrogator which does support the II/SI code operation

Table 4: Considered interrogator (interrogator for which an Interrogator Code is demanded) Mode S II/SI+ interrogator that supports the use of II/SI code operation. Operating on II code

Can operate with Mode S II-only and Mode S II/SI transponders

Case	Capability of the overlapping interrogator	Operating code	Condition	Transponder Type
A	A Mada C II anly	Different II code	Overlap OK	II ambrand II/CI
A	A Mode S II only	Same II code	No overlap	II-only and II/SI
_	Mode S SI operating with	Different II code	Overlap OK	
В	II code (1)	Same II code	No overlap	II-only and II/SI
С	Mode S SI operating with SI code (1)	Any SI code including a matching SI code	Overlap OK	II/SI
D	Mode S II/SI+ operating	Different II code	Overlap OK	II-only and II/SI
D	with II code (2)	Same II code	No overlap	11-only and 11/51
Е	Mode S II/SI+ operating with SI code (2)	Any SI code including a matching SI code	Overlap OK	II-only and II/SI

Note 1: Mode S SI means Mode S II/SI capable interrogator which does not support the II/SI code operation

Note 2: Mode S II/SI+ means Mode S II/SI capable interrogator which does support the II/SI code operation

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Table 5: Considered interrogator (interrogator for which an Interrogator Code is demanded) Mode S II/SI+ interrogator that supports the use of II/SI code operation.

Operating on SI code

Can operate with Mode S II-only and Mode S II/SI transponders

Case	Capability of the overlapping interrogator	Operating code	Condition	Transponder Type
A	A Mode S II only	Non-matching II code	Overlap OK	II-only and II/SI
		Matching II code	No overlap	
В	Mode S SI operating with II	Non-matching II code	Overlap OK	II-only and II/SI
	code (1)	Matching II code	No overlap	
С	Mode S SI operating with SI	Different SI code	Overlap OK	II/SI
	code (1)	Same SI code	No overlap	
D	Mode S II/SI+ operating with II code (2)	Any II code including a matching II code	Overlap OK	II-only and II/SI
Е	Mode S II/SI+ operating with SI code (2)	Different SI code	Overlap OK	II-only and II/SI
		Same SI code	No overlap	

Note 1: Mode S SI means Mode S II/SI capable interrogator which does not support the II/SI code operation

Note 2: Mode S II/SI+ means Mode S II/SI capable interrogator which does support the II/SI code operation