

International Civil Aviation Organization

Ninth Meeting of the ICAO Asia/Pacific Search and Rescue Working Group (APSAR/WG/9)

Bangkok, Thailand, 07 – 10 May 2024

Agenda Item 6: Any Other Business

APANPIRG ATM AND AIRSPACE SAFETY DEFICIENCIES IN THE SAR FIELD

(Presented by the Secretariat)

SUMMARY

This paper presents the SAR-related ATM and Airspace Safety Deficiencies as updated by the Thirty-Fourth Meeting of the Asia/Pacific Air Navigation Planning and Implementation Regional Group (APANPIRG/34, 11 – 13 December 2023), and by information provided to the ICAO Regional Office in 2024.

1. INTRODUCTION

1.1 This working paper is provided to assist the APSAR/WG to review and update SAR-related APANPIRG ATM and Airspace Safety Deficiencies.

2. DISCUSSION

SAR Deficiencies List

- 2.1 The SAR Deficiencies List (**Attachment A**) is an excerpt from the APANPIRG List of Deficiencies in the ATM and Airspace Safety Fields, as updated by APANPIRG/34 and by additional information provided to ICAO APAC Office prior to APSAR/WG/9.
- 2.2 32 Administrations have SAR ATM and Airspace Safety Deficiencies in the SAR field recorded in the 2023 update in Attachment A, for consideration by ATM/SG/12 and APANPIRG/35.
- 2.3 The following Deficiency is proposed for deletion the list:
 - a) SAR Capability
 - i) Malaysia
 - ii) Philippines

Information provided in Malaysia's and Philippines' 2024 SAR implementation status report.

2.4 Deficiencies remain listed for the following Asia/Pacific Administrations:

Afghanistan, Bangladesh, Bhutan, Brunei Darussalam, Cambodia, Macao China, Cook Islands, DPR Korea, French Polynesia, Kiribati, Lao PDR, Maldives, Marshall Islands, Micronesia, Mongolia, Myanmar, Nauru, Nepal, New Caledonia, Pakistan, Palau, Papua

New Guinea, Samoa, Solomon Islands, Sri Lanka, Thailand, Timor-Leste, Tonga, Vanuatu.

2.5 The Administrations mentioned above are required to update the Corrective Action in the APANPIRG ATM and Airspace Safety Deficiencies, for consideration by ATM/SG/12 and APANPIRG/35.

3. ACTION BY THE MEETING

- 3.1 The meeting is invited to:
 - a) note the information contained in this paper;
 - b) agree to the proposed update of the Deficiency List in Attachment A; and
 - c) discuss any relevant matters as appropriate.

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ATM and Airspace Safety Deficiencies List (Updated 28 November 2023 + APSAR/WG/9)

| | | Deficiencies | | | | |
|-------------------|---|---------------------|---|-------------------|-------------|-------------|
| States/facilities | Description | Date first reported | Remarks | Executing body | Target date | Priority ** |
| | WGS-84 Requirements of Paragraph 1.2.1 of Annex 15 | | | | | |
| Afghanistan | WGS-84 - Not implemented | 24/6/2014 | | Afghanistan | TBD | A |
| Brunei Darussalam | WGS-84 - Not implemented | 24/6/2014 | | Brunei Darussalam | TBD | A |
| Marshall Islands | WGS-84 - Not implemented | 24/6/2014 | | Marshall Islands | TBD | A |
| Micronesia | WGS-84 - Not implemented | 24/6/2014 | | Micronesia | TBD | A |
| Nauru | WGS-84 - Not implemented | | Conferring with consultant | Nauru | TBD | A |
| Palau | WGS-84 - Not implemented | 24/6/2014 | | Palau | TBD | A |
| Samoa | WGS-84 - Not implemented | 24/6/2014 | | Samoa | TBD | A |
| Vanuatu | WGS-84 – Not implemented | 2/7/1999 | Implemented at main airports | Vanuatu | 1999 | A |
| | AIP Format Requirements of Chapter 5 of Annex 15 | | | | | |
| Kiribati | AIP Format - Not implemented | 7/7/99 | ATM/AIS/SAR/SG/18 (June 2009) was advised AIP in draft stage | Kiribati | | A |
| Nauru | AIP Format - Not implemented | 7/7/99 | ATM/AIS/SAR/SG/18 (June 2008) was advised work soon to start | Nauru | | A |
| | AIS Quality Management System Requirements of Paragraph 3.6.1 of Annex 15 Quality Management System - Not implemented | | | | | |
| Afghanistan | AIS Quality Management System - Not implemented | 24/6/2014 | | Afghanistan | TBD | A |

| | | Deficiencies | | Corre | ective Action | |
|-------------------|---|---------------------|---------|-------------------|---------------|-------------|
| States/facilities | Description | Date first reported | Remarks | Executing body | Target date | Priority ** |
| Bangladesh | AIS Quality Management System - Not implemented | 24/6/2014 | | Bangladesh | TBD | A |
| Bhutan | AIS Quality Management System - Not implemented | 24/6/2014 | | Bhutan | TBD | A |
| Brunei Darussalam | AIS Quality Management System - Not implemented | 24/6/2014 | | Brunei Darussalam | TBD | A |
| Cambodia | AIS Quality Management System - Not implemented | 24/6/2014 | | Cambodia | TBD | A |
| Kiribati | AIS Quality Management System - Not implemented | 24/6/2014 | | Kiribati | TBD | A |
| Lao PDR | AIS Quality Management System - Not implemented | 24/6/2014 | | Lao PDR | TBD | A |
| Maldives | AIS Quality Management System - Not implemented | 24/6/2014 | | Maldives | TBD | A |
| Marshall Islands | AIS Quality Management System - Not implemented | 24/6/2014 | | Maldives | TBD | A |
| Micronesia | AIS Quality Management System - Not implemented | 24/6/2014 | | Micronesia | TBD | A |
| Myanmar | AIS Quality Management System - Not implemented | 9/6/2016 | | Myanmar | TBD | A |
| Nauru | AIS Quality Management System - Not implemented | 24/6/2014 | | Nauru | TBD | A |
| Nepal | AIS Quality Management System - Not implemented | 24/6/2014 | | Nepal | TBD | A |
| Palau | AIS Quality Management System - Not implemented | 24/6/2014 | | Palau | TBD | A |
| Philippines | AIS Quality Management System - Not implemented | 24/6/2014 | | Philippines | TBD | A |

| | | Deficiencies Corrective Action | | | Corrective Action | | |
|-------------------|---|--------------------------------|---|-----------------|-------------------|----------|--|
| States/facilities | Description | Date first reported | Remarks | Executing body | Target date | Priority | |
| Samoa | AIS Quality Management System - Not implemented | 24/6/2014 | | Samoa | TBD | A | |
| Solomon Islands | AIS Quality Management System - Not implemented | 24/6/2014 | | Solomon Islands | TBD | A | |
| Sri Lanka | AIS Quality Management System - Not implemented | 9/6/2016 | | Sri Lanka | TBD | A | |
| Timor-Leste | AIS Quality Management System - Not implemented | 24/6/2014 | | Timor-Leste | TBD | A | |
| Vanuatu | AIS Quality Management System - Not implemented | 24/6/2014 | | Vanuatu | TBD | A | |
| | Aeronautical Data Area of Responsibility - requirements of Paragraph 2.1.2 of Annex 2 to ensure that the provision of aeronautical data and aeronautical information covers its own territory and those areas over the high seas for which it is responsible for the provision of ATS | | | | | | |
| Bangladesh | Aeronautical Data Promulgation Within the State's Area of Responsibility - Not implemented | 29/03/2019 SAIOACG /9 | | Bangladesh | TBD | A | |
| | Designation of Restricted Areas - requirements of Annex 2 (Definitions) to ensure that restricted areas are designated above the land areas or territorial waters of a State | | | | | | |
| Australia | Designation of Restricted Areas Above the Land Areas or Territorial Waters of a State - Not implemented | 29/03/2019 SAIOACG /9 | Danger areas within international airspace that is part of a State's responsibility is acceptable | Australia | December 2022 | A | |

| | | Deficiencies | | Corre | ective Action | |
|-------------------|---|-----------------------------|---|-----------------|--|-------------|
| States/facilities | Description | Date first reported | Remarks | Executing body | Target date | Priority ** |
| India | Designation of Restricted Areas Above the Land Areas or Territorial Waters of a State - Not implemented | 29/03/2019 SAIOACG /9 | Danger areas within international airspace that is part of a State's responsibility is acceptable | India | TBD | A |
| | Airspace Classification Requirements of Paragraph 2.6 of Annex 11 | | | | | |
| China | Airspace Classification - Not implemented | 7/7/99 | Difference to Annex 11 is published in AIP, China. | China | APANPIRG/1 9 updated, implementatio n planned by end 2010. | A |
| Macau, China | Airspace Classification - Not implemented | 05/09/2018 | | Macau, China | TBD | A |
| Nauru | Airspace Classification - Not implemented | 7/7/99 | | Nauru | TBD | A |
| Solomon Islands | Airspace Classification - Not implemented | 7/7/99 | | Solomon Islands | TBD | A |
| | ATS Message Addressing Requirements of Doc 4444 PANS- ATM Section 11.4 (Message Types and their Application) | | Note: the threshold for a Deficiency is 5% or more DEP messages reported to have not been sent, and where the analysed data provided evidence of a systemic (either systems or human factors) failure to send the message | | | |
| Maldives | DEP message transmission | 09/08/2019 | DEP messages inconsistently transmitted Conclusion APANPIRG/27/12 and ICAO correspondence | Maldives | TBD | A |

| | | Deficiencies | | Corr | ective Action | |
|---------------------|---|---------------------|----------------------------------|---------------------|-----------------|--------------|
| States/facilities | Description | Date first reported | Remarks | Executing body | Target date | Priority ** |
| | SAR capability: Requirements of Annex 12 as defined in the Regional Air Navigation Plan Volume II Part I - GENERAL PLANNING ASPECTS Section 3 SPECIFIC REGIONAL REQUIREMENTS, failure to reach 90% or more implementation of the Asia/Pacific SAR Plan | | | | | |
| Afghanistan | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/6 56% | Afghanistan | 2019 | U |
| Bangladesh | Asia/Pacific SAR Plan | 17/05/2019 | APSAR/WG/8 65% APSAR/WG/9 65% | Bangladesh | 2019 | U |
| Bhutan | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/8 28% | Bhutan | 2019 | U |
| Brunei Darussalam | Asia/Pacific SAR Plan | 17/05/2019 | APSAR/WG/4 63% | Brunei | 2019 | U |
| Cambodia | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/4 76% APSAR/WG/9 76% | Cambodia | 2019 | U |
| Macau, China | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/4 85% APSAR/WG/9 88% | Macao, China | 2019 | U |
| Cook Islands | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/8 62% | Cook Islands | 2019 | U |
| DPR Korea | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/8 71% | DPR Korea | 2019 | U |
| French Polynesia | Asia/Pacific SAR Plan | 17/05/2019 | APSAR/WG/8 84% | French Polynesia | 2019 | U |
| Kiribati | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/4 26% | Kiribati | 2019 | U |
| Lao PDR | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/4 57% | Lao PDR | 2019 | U |
| Malaysia | Asia/Pacific SAR Plan | 17/05/2019 | APSAR/WG/8 85% APSAR/WG/9 92% | Malaysia | 2019 | U |

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| | | Deficiencies | | Corr | ective Action | |
|-------------------|-----------------------|----------------------|----------------------------------|--------------------|-----------------|--------------|
| States/facilities | Description | Date first reported | Remarks | Executing body | Target date | Priority ** |
| Maldives | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/8 78% | Maldives | 2019 | U |
| Marshall Islands | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/5 17% | Marshall Islands | 2019 | U |
| Micronesia | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/5 17% | Micronesia | 2019 | U |
| Mongolia | Asia/Pacific SAR Plan | 17/05/2019 | APSAR/WG/5 73% APASR/WG/9 89% | Mongolia | 2019 | U |
| Myanmar | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/4 67% APASR/WG/9 69% | Myanmar | 2019 | U |
| Nauru | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/4 0% | Nauru | 2019 | U |
| Nepal | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/7 56% APASR/WG/9 66% | Nepal | 2019 | U |
| New Caledonia | Asia/Pacific SAR Plan | 17/05/2019 | APSAR/WG/8 78% | New Caledonia | 2019 | U |
| Pakistan | Asia/Pacific SAR Plan | 17/05/2019 | APSAR/WG/8 89% APSAR/WG/9 89% | Pakistan | 2019 | U |
| Palau | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/5 17% | Palau | 2019 | U |
| Papua New Guinea | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/7 54% | Papua New Guinea | 2019 | U |
| Philippines | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/8 86% APSAR/WG/9 90% | Philippines | 2019 | U |
| Samoa | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/4 0% | Samoa | 2019 | U |
| Solomon Islands | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/4 0% | Solomon Islands | 2019 | U |
| Sri Lanka | Asia/Pacific SAR Plan | 17/05/2019 | APSAR/WG/8 83% APSAR/WG/9 84% | Sri Lanka | 2019 | U |
| Thailand | Asia/Pacific SAR Plan | 17/05/2019 | APSAR/WG/8 82% APSAR/WG/9 85% | Thailand | 2019 | U |
| Timor-Leste | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/4 0% | Timor-Leste | 2019 | U |
| Tonga | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/4 70% | Tonga | 2019 | U |
| Tuvalu | Asia/Pacific SAR Plan | 28/05/2022 | APSAR/WG/7 0% | Tuvalu | 2024 | U |

| | | Deficiencies | | Corre | ective Action | |
|-------------------|---|---------------------|--|----------------|---------------|-------------|
| States/facilities | Description | Date first reported | Remarks | Executing body | Target date | Priority ** |
| Vanuatu | Asia/Pacific SAR Plan | 6/07/2015 | APSAR/WG/4 0% | Vanuatu | 2019 | U |
| | Non Provision of Safety-related Data Requirement of Paragraph 3.3.5.1 of Annex 11 (provision of data for monitoring the height-keeping performance of aircraft) and APANPIRG Conclusion 16/6 – Non Provision of safety related data by States | | | | | |
| Afghanistan | Non-provision of safety related data | 12/07/2019 | Failure to submit Kabul LHD data for January-December 2018 and 2020. Afghanistan had submitted data for the period January to July 2021, but no further LHD reports were received after August 2021. | Afghanistan | RASMAG/27 | U |
| | State Responsibility to comply with the Annex 6 Height-Keeping Monitoring Requirement Annex 6 Part I Section 7.2.9 (10 th Ed.) and Part II Section 2.5.2.10 (9 th Ed.) | | | | | |
| Afghanistan | Non-compliance with LTHM requirement (remaining monitoring burden more than 30%) | RASMAG/ 23 | Remaining monitoring burden of 85% (RASMAG/26) MAAR informed ICAO that all known airframes in Afghanistan have complied with the monitoring requirement (November 2022). Deficiency retained due to the unknown status of the Afghanistan aeronautical authority responsible for ensuring monitoring is conducted. | Afghanistan | RASMAG24 | A |
| Mongolia | Non-compliance with LTHM requirement (remaining monitoring burden more than 30%) | RASMAG/ 28 | Remaining monitoring burden of 43% (RASMAG/28) | Mongolia | TBD | A |
| Nepal | Non-compliance with LTHM | RASMAG/ | Remaining monitoring burden of 45% | Nepal | TBD | A |

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| States/facilities | | Corr | ective Action | | | |
|-------------------|--|---------------------|---|------------------|-------------|-------------|
| | Description | Date first reported | Remarks | Executing body | Target date | Priority ** |
| | requirement (remaining monitoring burden more than 30%) | 28 | (RASMAG/28) | | | |
| New Zealand | Non-compliance with LTHM requirement (remaining monitoring burden more than 30%) | RASMAG/ 28 | Remaining monitoring burden of 36% (RASMAG/28) | New Zealand | TBD | A |
| Pakistan | Non-compliance with LTHM requirement (remaining monitoring burden more than 30%) | RASMAG/ 22 | Remaining monitoring burden of 45% (RASMAG/28) | Pakistan | RASMAG24 | A |
| Papua New Guinea | Non-compliance with LTHM requirement (remaining monitoring burden more than 30%) | RASMAG/ 28 | Remaining monitoring burden of 69% (RASMAG/28) | Papua New Guinea | TBD | A |
| Solomon Islands | Non-compliance with LTHM requirement (remaining monitoring burden more than 30%) | RASMAG/ 28 | Remaining monitoring burden of 50% (RASMAG/28) | Solomon Islands | TBD | A |
| | Data Link Performance Monitoring and Analysis Requirements of Paragraph 2.28 and/or 3.3.5.2 of Annex 11 not met | | | | | |
| India | Post-implementation monitoring not implemented | 13/07/2017 | Performance monitoring and analysis was reported for the Chennai and Kolkata FIRs, but was not reported for the Mumbai FIR. | India | TBD | A |
| Maldives | Post-implementation monitoring not implemented | 29/5/2015 | Problem Reports not provided to CRA. Performance monitoring and analysis not reported to FIT. | Maldives | TBD | A |

^{**} Note: In accordance with the APANPIRG Handbook - Asia/Pacific Supplement to the Uniform Methodology for the Identification, Assessment and Reporting of Air Navigation Deficiencies, priority for Air Navigation Deficiencies is guided by the principle that a deficiency with respect to an ICAO Standard is accorded a "U" status, while a non-compliance with a Recommended Practice or a PANS is considered as "A" or "B" subject to additional expert evaluation. The final prioritization of deficiencies is the prerogative of APANPIRG.