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ANNEX 9 FACILITATION ***Key Developments***

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CAPSCA-AP Meeting, 14-16 December 2022, Manila, Philippines





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OVERVIEW

- Task Force on Health Issues Outbreaks in Aviation (TF-HIOA)
- Amendment 29 to Annex 9 – *Facilitation*
- Developments in ICAO Traveller Identification Programme (TRIP) Strategy
- Developments in Public Key Directory (PKD)
- 41st Assembly Resolutions related to Facilitation



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Task Force on Health Issues Outbreaks in Aviation

Establishment

- The 219th Session of the ICAO Council called for the establishment of a dedicated Task Force to address the COVID-19 pandemic, both in the short and long-term, in order to assist Member States in their preparedness planning for any future similar health-related event
- Terms of Reference approved by the Air Transport Committee, April 2020
- May 2020, State Letter EC 6/3 – 20/50 issued
- 53 States and 9 International Organizations



Task Force on Health Issues Outbreaks in Aviation

Mandate

Review and update, as required, existing health-related Standards and Recommended Practices of Annex 9 — *Facilitation*

Recommend the adoption of new Standards and Recommended Practices as deemed necessary

Develop related guidance material

Key Accomplishment

44 new and revised health-related Standards and Recommended Practices, and new and revised *Definition [New Chapter 10]*

Revised Appendix 13- *Public Health Passenger Locator Form (PLC)*

Guidance Material for new and revised health-related Standards and Recommended Practices



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Task Force on Health Issues Outbreaks in Aviation

New and Revised SARPs related to:



Disinsection and Disinfection of Aircraft



Facilities required for implementation of public health, emergency medical relief, and animal and plant quarantine



Relief flights and Repatriation Flights

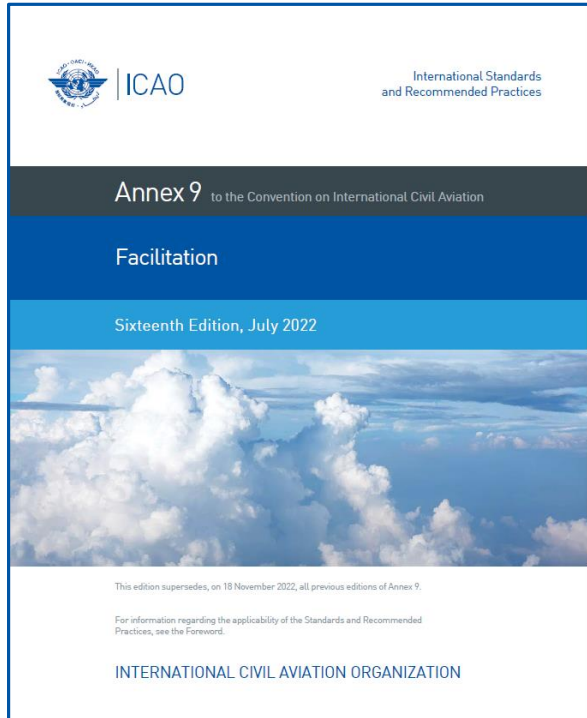


Aerodromes public health plans



International health regulations and related measures

AMENDMENT 29 TO ANNEX 9 - FACILITATION



[269 Standards and 173 Recommended Practices]



ATC's TF-HIOA

44 new/revised Health-related SARPs, new Definitions, including new Chapter 10

5 RPs on Passengers with Disabilities elevated to Standards

RP 8.46 elevated to Standard 8.47 and new RP on development of Plans by aircraft and airport operators

Amendment 29 to Annex 9 – **Sixteenth Edition**

- ❖ **Adopted by ICAO Council on 9 March 2022**
- ❖ **Effective on 18 July 2022**
- ❖ **Applicable on 18 November 2022**



Amendment 29 Main Changes

Annex Chapter

Chapter 1

- New Definitions
- Standard 1.2 and Recommended Practice 1.6 amended

Chapter 2

- Disinsection and Disinfection: New and revised Standards and Recommended Practices

Chapter 3

- Security of Travel Documents: Recommended Practice 3.9 upgraded to a Standard

Chapter 5

- Deportees: Revised Standard 5.19

Chapter 6

- International Airports – Facilities and Services for Traffic: New and revised Standards 6.39, 6.40, 6.41, 6.42 and Recommended Practices 6.2, 6.36, 6.37

Annex Chapter

➤ Chapter 8

- Relief flights and Repatriation flights - New and revised Standards and Recommended Practices
- Facilitation of the Transport of persons with disabilities – Recommended Practices 8.22, 8.29, 8.30, 8.32, 8.40 elevated to Standards 8.23, 8.30, 8.31, 8.33 and 8.41.1, respectively
- Assistance to Aircraft Victims and their Families - Recommended Practice 8.46 elevated to Standard 8.47 and new RP 8.48
- Wildlife Trafficking – New Recommended Practice

➤ Chapter 10 – Health-related provisions

- International health regulations and related matters
- Inspection of health-related documentation
- Prevention and mitigation of communicable diseases

Recent updates in the ICAO TRIP Strategy



Health QR code: *Visible Digital Seal for non-constrained environments (VDS-NC).*



Virtual forms of traveler identification: *Digital Travel Credential (DTC 1) parameters*



Guidance material for MRTDs: *Publication of 8th Edition of Doc 9303*



Assistance to States: *Support API/PNR implementation (UNOCT) & ABC deployment*



Update of the *TRIP Implementation Roadmap* in line with related Annex 9 SARPs



Priorities for TRIP Elements – 2023 to 2025



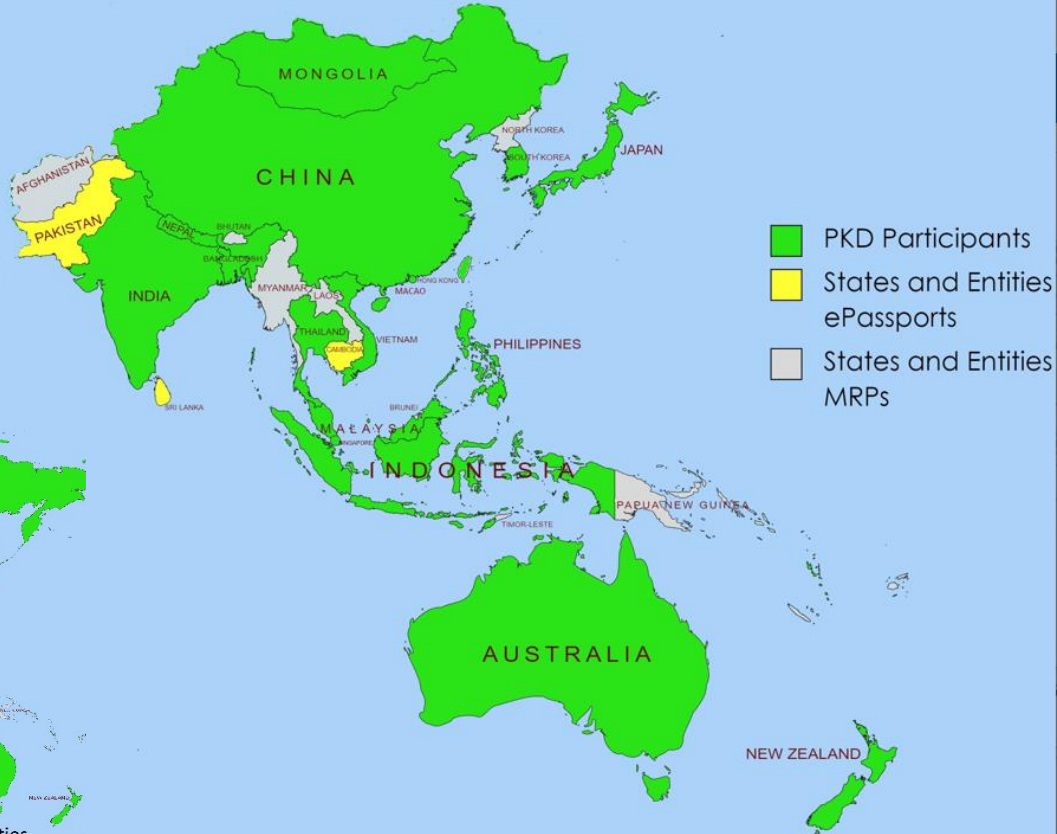
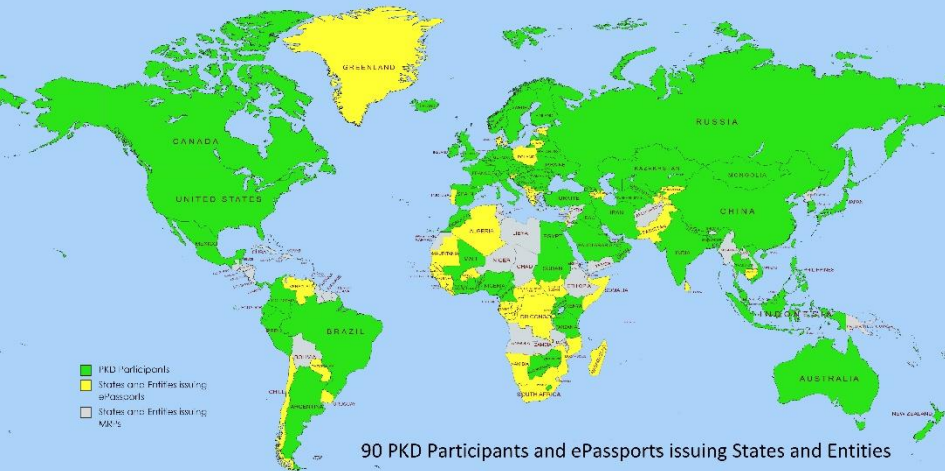


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ICAO PKD participants





An increasingly compelling case to actively participate

More need for PKD capabilities



More electronic documents – ePassport, DTC, VDS etc.

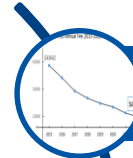


More demand for seamless / contactless travel



More need for agility in capabilities: e.g. pandemics

More value being provided at lower cost



Reduced fees



More data content, e.g. the ICAO Master List



Enhanced services & community sharing: coaching



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Significant Recent ICAO PKD Updates

- Assembly Resolution A41-17 – Consolidated statement on continuing ICAO policies related to facilitation:
“The Assembly...urges all Member States to join the ICAO PKD, upload relevant information .., and to use the information available ..to authenticate eMRTDs”
- Annex 9 Standard 3.9.2 (Amendment 28):
“Contracting States that participate in the ICAO PKD shall upload the public key data necessary for authentication of all electronic passports ...to the PKD.”
- ICAO PKD Board meeting 16-17 November 2022 main outcomes:
 - *Approval of new Strategy 2023-2025*
 - *Inclusion of barcode signer certificates in the PKD*
 - *Approved reduced 2023 Annual Fee, USD 23,745*



A41 Outcomes related to Facilitation

Resolutions



New Resolution: A41-11 - Declaration - facilitation to enable recovery from COVID-19 and increase resilience

New Resolution: A41 -15 – Accessibility in Aviation

Revised Resolution: A41-12 – Maintaining health, air transport in public health emergencies

Revised Resolution: A41-13 – DRR Strategy and response

Revised Resolution: A41-14 – Assistance to AAVs and families

Revised Resolution: A41-16 – Combating human trafficking

Revised Resolution: A41-17 – Consolidated policy statement - FAL



Key Outcomes related to Facilitation

Recommendations

Priorities and expected outcomes for the 2023-2025 triennium for the FAL Programmes

Recommendations of the Facilitation Stream of HLCC 2021

Symposium on Assistance to Aircraft Accident Victims and their Families



New and Updated Guidance Materials

ICAO TRIP Revised Implementation roadmap for Member States

Guide on Evidence of Identity

Doc 9303 Machine Readable Travel Documents (updated 7th Edition)

Guide for Circulating Specimen Travel Documents

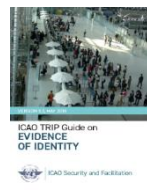
Guidance on Migrating CSCAs

Guide for Best Practice Guidelines for Optical Machine Authentication

The Implementation Steps of Advance Passenger (API) System

Passenger Name Record (PNR) information

<http://www.icao.int/Security/FAL/TRIP/Pages/Publications.aspx>





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Training Courses, iPacks and Workshops



ICAO Annex 9 - Facilitation

The ICAO Annex 9 – Facilitation is a virtual classroom course that develops competencies relevant to staff from Civil Aviation Authorities (CAA) and border control authorities to deal collectively, in an inter-agency manner, with Annex 9's facilitation provisions.



Assistance to Aircraft Accident Victims and their Families

This course will provide CAA representatives, other government officials, aircraft and airport operators and non-governmental organization with the competencies to effectively support aircraft accident victims and their family assistance efforts, in accordance with ICAO Policy.



Visible Digital Seals for Travel-Related Public Health Proofs

This course provides State authorities with the competencies required to implement a national Visible Digital Seal for Non-Constrained Environments (VDS-NC) solution for travel health proof, in accordance with ICAO Doc 9303, Machine Readable Travel Documents, Parts 9-13.



Traveler Identification Programme (TRIP) Strategy

The course provides to the target audience the competencies to implement the five key elements of the ICAO TRIP strategy, ensuring interoperability and internal cooperation, in accordance with Annex 9.



Thank you!



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