

# **INTERNATIONAL CIVIL AVIATION ORGANIZATION**



## **ASIA/PACIFIC REGIONAL FRAMEWORK FOR COLLABORATIVE AIR TRAFFIC FLOW MANAGEMENT**

Version 4.0 October 2022

This Plan was developed by the Asia/Pacific Air Traffic Flow Management Steering Group (ATFM/SG)

Approved by Tenth Meeting of ATM Sub-Group of APANPIRG and published by the ICAO Asia and Pacific Office, Bangkok

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## SCOPE OF THE FRAMEWORK

### Background of Regional Air Traffic Flow Management

1.1 The 24th Meeting of the Asia/Pacific Air Navigation Planning and Implementation Regional Group (APANPIRG/24), held in June 2013, considered that with the strong growth of air traffic in the Asia Pacific Region there was a need to effectively manage demand and capacity, particularly at major international air hubs and in the associated major traffic flows (MTF).

1.2 The airspace of the Asia/Pacific Region, particularly that of Southeast Asia, is characterized by relatively small FIRs with corresponding low flight transit times. Any demand management process applied unilaterally in one FIR has a knock-on effect in multiple 'downstream' FIRs, and procedures applied are therefore structured around the lowest capability along any route/flow. 'Flow Management' in the region tended to be limited to rudimentary traffic spacing measures imposed by individual FIRs, rather taking a wider network view that optimizes available capacity and manages demand, only, when necessary, on a sub-regional basis.

1.3 The *Asia/Pacific Seamless Air Navigation Service (ANS) Plan* provides a blueprint for coordinated regional development, including capability improvements described in the ICAO Aviation System Block Upgrades (ASBU) roadmap. Air Traffic Flow Management (ATFM) taking a network view, is a key module in ASBU Block Zero and One. **NOPS-B0/1-5 - Improved Flow Performance through Planning based on a Network-Wide view** have since been identified by APANPIRG as one of sixteen priorities for the Asia/Pacific Region.

1.4 While the concept of a single ATFM entity to serve a region works well in Europe and North America, a centralized ATFM Unit (ATFMU) approach is not practicable for the Asia/Pacific region. The need for a regional ATFM framework focusing on sub-regional, multi-State implementation, rather than individual FIR-based programs, was recognized by APANPIRG/24 in its adoption of the following Conclusion:

#### ***Conclusion 24/15: Asia/Pacific ATFM Steering Group***

*That, States participate in, and support the Asia/Pacific ATFM Steering Group to develop a common Regional ATFM framework, which addresses ATFM implementation and ATFM operational issues in the Asia/Pacific region.*

1.5 This document, the *Asia/Pacific Regional Framework for Collaborative ATFM* (the Framework) is intended to provide a common regional framework that addresses ATFM implementation and ATFM operational issues in the Asia/Pacific region. Further discussed in later sections, a core concept of the Framework, is the distributed multi-nodal ATFM network, envisaged as interconnected States and/or sub-regional groups operating in an ATFM network without the need for any central, physical facility providing the network management function.

1.6 The *Manual on Collaborative Air Traffic Flow Management* (Doc 9971) states that in its initial application, ATFM need not involve complicated processes, procedures, or tools. The goal is to collaborate with system stakeholders and to communicate operational information to airspace users, air navigation service providers, and to other stakeholders in a timely manner.

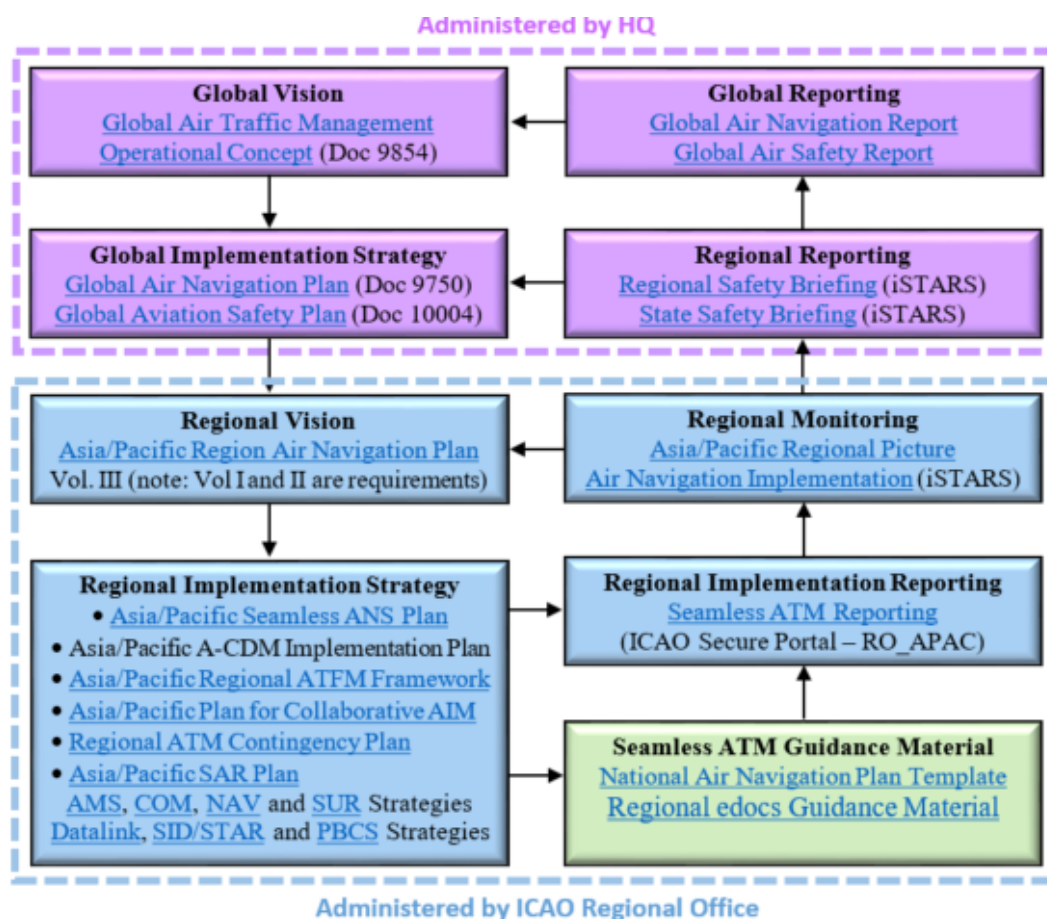
1.7 Version 3.0 of the Framework included near to medium term performance objectives to prepare and guide States in the implementation of collaborative, cross-border ATFM, providing for regionally harmonized ATFM concepts, communications, and practices.

1.8 The present Version of the Framework recognises that many States are progressing, albeit slowly, towards implementing ATFM systems and procedures in their areas in conformity with the Regional ATFM Framework. To encourage greater harmonization and ensure interoperability of various national and sub-regional ATFM implementation programs in the region, the Framework has further expanded the previous performance expectations in the medium term.

### Framework Structure

1.9 The Framework, developed by the Asia/Pacific ATFM Steering Group (ATFM/SG), forms part of a suite of global and regional air navigation planning documents relevant to the Asia/Pacific Region.

1.10 Global vision and strategy perspectives are provided by the *Global ATM Operational Concept* (Doc 9854), *Global Air Navigation Plan* (GANP, Doc 9750), and *Global Aviation Safety Plan* (GASP, Doc 10004), as shown in **Figure 1**. The GANP includes the Aviation System Block Upgrade (ASBU) framework, its Modules, and its associated technology Roadmaps.



**Figure 1:** Hierarchy of Global and Regional Plans

1.11 Beneath this level is regional planning primarily provided by the *Asia/Pacific Regional Air Navigation Plan* (RANP, Doc 9673) and the *Asia/Pacific Seamless ANS Plan* which, together with its contributory documents, including this Framework, define goals and the means of meeting State planning objectives.

## Asia/Pacific Regional Framework for Collaborative ATFM

1.12 Now incorporated within the Seamless ANS Plan are the earlier *Asia/Pacific ATFM Concept of Operations* and *Air Navigation Concept of Operations*. The Framework draws upon and aligns with the guidance and recommendations of Doc 9971, and with the regional performance improvement expectations of the Seamless ANS Plan.

1.13 The Framework includes analysis of the current situation, a performance improvement plan, and considerations for research and future development.

1.14 The Framework includes reference to the *Asia Pacific Airport Collaborative Decision Making (A-CDM) Implementation Plan* which was developed to foster harmonized and interoperable A-CDM Implementation in the region. The framework further elaborates on need for ATFM and A-CDM integration and requirements. A-CDM-related performance expectations at A-CDM airports are illustrated in the APAC A-CDM Implementation plan to map with APAC Seamless ANS Plan and Regional Framework for Collaborative ATFM expectations.

1.15 The performance objectives of the Framework are expected to be implemented in phases aligned, where practicable, with those of the Seamless ANS Plan. Having considered the Phased expectations of the Seamless Plan, Regional ATFM Capability is expected to be implemented in the following phases.

- Phase IA, expected implementation by 12 November 2015 (aligned with Seamless ANS Plan Phase I)
- Phase IB, expected implementation by 25 May 2017
- Phase II, expected implementation by 07 November 2019 (aligned with Seamless ANS Plan Phase II)
- Phase III A, expected implementation by November 2022
- Phase III B, expected implementation by November 2025

1.16 None of the above phases or any element of the Framework is binding on any State, and they should be considered as a planning framework. It is important to note that, like the Seamless ANS Plan, the Framework's Phase commencement dates are planning targets. They should not be treated as a 'hard' date such as the example of Reduced Vertical Separation Minimum (RVSM) implementation. In that case there was a potential major regional problem if all States did not implement at the same time by the specific agreed date, which is clearly not the case for the start of the Framework Phases.

1.17 In that regard, although it would be ideal if all States achieved capability on day one of Phase I, this is probably not realistic. States should, however, consider the impact on stakeholders and on the needed improvements in cross-border ATFM and the ATM system overall that would result from not achieving target implementation dates. The Seamless ANS Plan Phase dates, and hence the Framework dates, were chosen as being an achievable target for most of the States. However, the dates were not designed to accommodate the least capable State, otherwise the region would fall behind the necessary urgent ATM improvements required by the Directors General of Civil Aviation and APANPIRG.

### Document Review

1.18 The Framework is intended to be reviewed following the planned review of the Seamless ANS Plan and thereafter each three years, following the regular review of the Seamless ANS Plan. Earlier or more frequent review and amendment will be conducted as recommended by ATFM/SG and agreed by APANPIRG, through its Air Traffic Management (ATM) Sub-Group (ATM/SG).

## DEVELOPMENT AND OBJECTIVES OF THE FRAMEWORK

### Framework development

2.1 The Asia Pacific Region Air Traffic Flow Management Steering Group (ATFM/SG) was formed by the Asia/Pacific Region Air Navigation Planning and Implementation Regional Group (APANPIRG) to inter alia, develop a common Regional ATFM framework which addresses ATFM implementation and ATFM operational issues in the Asia/Pacific Region.

2.2 The Version 3.0 of the Framework was developed over four meetings of the ATFM/SG, supported by offline work by a team of specialists drawn from within the Steering Group. The Framework was endorsed by the 26th Meeting of the Asia/Pacific Air Navigation Planning and Implementation Regional Group (APANPIRG/26, Bangkok, Thailand, 7 to 10 September 2015).

2.3 The Framework draws on relevant experience gained in Asia/Pacific States, and in other Regions. Key concepts used or adapted in the Framework include:

- A distributed multi-nodal cross-border ATFM network rather than a regionally centralized facility;
- An agreed model for ATFM information exchange;
- An agreed suite of ATFM terminologies for use in ATFM systems and processes, and in interfaces with other complementary systems;
- Meteorological forecasting information tailored for ATFM purposes; and
- Delay absorption intent, allowing aircraft operators to flexibly distribute their total ATFM delay across various phases of flight.

2.4 The performance objectives of the Framework are, wherever practicable, aligned with the ATFM-related objectives and implementation timelines of the Asia/Pacific Seamless ANS Plan.

### Framework Objective

2.5 Having considered relevant documents such as the *Global Air Navigation Plan* (Doc 9750), the *Asia/Pacific Region Seamless ANS Plan* and the *Manual on Collaborative Air Traffic Flow Management* (Doc 9971), the objective of the Framework is to provide a regionally agreed framework for the harmonized implementation of networked, interoperable, multi-FIR, multi-State, cross-boundary collaborative ATFM capability.

2.6 The Framework provides information, guidance and performance objectives including:

- ATFM principles;
- ATFM-related Aviation System Block Upgrades (ASBU);
- ATFM-related performance objectives / priorities of the Asia/Pacific Seamless ANS Plan;
- Distributed multi-nodal ATFM network concept;
- Collaborative decision-making (CDM);
- ATFM phases;
- Airspace and airport capacity improvement, planning, assessment and declaration;

## Asia/Pacific Regional Framework for Collaborative ATFM

- Airport Collaborative decision Making (A-CDM) and ATFM integration;
- ATFM daily plan;
- ATFM terminology, communications and information distribution;
- Meteorological information for ATFM;
- Training and competencies for ATFM personnel;
- Analysis of current ATFM capability in the Region;
- A performance improvement plan with considerations to relevant performance objectives from the Asia/Pacific Seamless ANS Plan; and
- Considerations for research and future development.

### Framework Update

2.7 The need for an update of the Regional Framework for Collaborative ATFM, was necessitated on account the following considerations:

- Alignment of the Regional ATFM Framework and Doc 9971 (3<sup>rd</sup> edition 2018);
- Global Air Navigation Plan 2019 update, including a major restructure of the ASBU framework;
- Asia/Pacific Seamless ATM Plan 2019 update;
- The new suite of basic phrases for Cross-Border GDP facilitation;
- Development of ATFM Post Ops Analysis Framework;
- Introducing interoperability requirements between ATFM and A-CDM implementations in the APAC region;
- PfA to the Regional Framework – Origination of DLA Message; and
- Rationalization of the document and its appendices, including the need to establish some large appendices as separate documents.

2.8 Taking into considerations of the above, this edition of Framework document has been reviewed and updated by the Air Traffic Flow management- Information Requirements- Small Working Group (ATFM/IR/SWG). The ATFM/IR/SWG consists of Subject matter Experts (SMEs) nominated by different APAC States and International Organizations (IO). The SWG held a series of virtual meetings and coordinated electronically to draft this edition.

## EXECUTIVE SUMMARY

### Development of Regional Framework for Collaborative ATFM

3.1 The Asia-Pacific (APAC) region is the world's largest market for air transport. Asia-Pacific countries jointly accounted for nearly 35 percent of the world's revenue passenger kilometers (RPK) in 2019, up from 24 percent in 2004, and six of the region's airports ranked among the world's 15 busiest airports by passenger throughput traffic compared to just two in 2004.

3.2 The coronavirus (COVID-19) pandemic has had a devastating impact on the aviation industry globally as nearly all air travel came to a halt in late March and April 2020. The impact in APAC region has been the most severe as virtually all scheduled international flights were suspended and several countries implemented bans on domestic air travel in an attempt to contain the spread of COVID-19. In 2019, international travel within Asia represented 13.3 percent of global international travel, ranking it as second biggest market after the intra-Europe market. In 2021 Asia's share fell to 1.5 percent. Air Traffic in Asia-Pacific region is predicted to record the slowest improvement this year (2022), achieving only 62% of 2019 levels.

3.3 While recognizing that the first response to increased demand should always be an increase in capacity, the growing demand/capacity imbalance in the Region has resulted in increasing congestion, delays, costs and potential safety risks.

3.4 The need for a regional, network-based response to the challenges of increasing demand was recognized by APANPIRG/24 (June 2013) in its adoption of **Conclusion 24/15: Asia/Pacific ATFM Steering Group, re-convening the ATFM/SG to develop a common Regional ATFM framework addressing ATFM implementation and ATFM operational issues in the Asia/Pacific Region**. It was further recognized in the inclusion of the ASBU module **B0-NOPS – Improved Flow Performance through Planning based on a Network-Wide View** among the ten priorities and targets for the Asia/Pacific Region.

3.5 The scope of work of the ATFM/SG was further expanded by new terms-of-reference, endorsed by APANPIRG/25 (September 2014), which required the Steering Group to research and recommend appropriate ATFM guidance, and maintain an overview and review the effectiveness of Asia/Pacific CDM/ATFM programs.

3.6 The ATFM/SG developed the *APAC Regional Air Traffic Flow Management Concept of Operation* (ATFM CONOPS), version one, in 2015 and the *Asia/Pacific Regional Framework for Collaborative ATFM* in 2017.

3.7 With gathering pace of Airports Collaborative Decision Making (A-CDM) implementations across the APAC Region Airports, the Aerodrome Operations and Planning Sub-Group recognized that benefits accrue by the implementation of A-CDM at high density aerodromes. States were facing implementation challenges which included lack of guidance materials and awareness, lack of coordination procedures, financial constraints and unavailability of IT supporting systems at the airports, training needs and lack of qualified human resources. Hence formation of a task force for development of guidance material was proposed.

3.8 APANPIRG/27 (September 2016) in **Decision 27/2** approved the establishment of Asia/Pacific Airport Collaborative Decision Making Task Force (APA-CDM/TF). The APA-CDM/TF was formed in 2017. The APA-CDM/TF objective was to assist States to overcome the challenges in A-CDM implementation.

3.9 The APA-CDM/TF focussed on reviewing the status of A-CDM implementation in APAC Region, the effectiveness of existing A-CDM programmes in the APAC Region and the degree of harmonization with global guidance material. The TF analysed the ICAO Global A-CDM guidance in Doc 9971 to determine the need for and develop any necessary APAC Regional implementation guidance. The APA-CDM/TF developed the *APAC A-CDM Implementation Plan*, in July 2021.

3.10 In the APAC region, the System-Wide Information Management Task Force (SWIM/TF) has been established since 2017 to develop SWIM-related components and supporting materials required for the implementation in the APAC region. The work of SWIM/TF also includes the coordination with other Working Groups/Task Forces under APANPIRG to ensure that the operational requirements, particularly the ones specific to the region, are reflected and incorporated accordingly in the regional implementation strategies. SWIM/TF/3 held in May 2019 agreed that the SWIM implementation to support cross-border ATFM operation should be given high priority.

3.11 In the 11<sup>th</sup> meeting of ATFM/SG it was noted that the APA-CDM/TF had achieved its objectives and accomplished most of the tasks assigned under its Terms of Reference and hence it was recommended that APA-CDM/TF be dissolved, and tasks of the APA-CDM/TF be included in the tasks list of ATFM/SG. The decision was later adopted by APANPIRG/32 in December 2021.

3.12 ATFM/SG/11 meeting proposed to undertake review of the APAC Regional Collaborative ATFM Framework document by the ATFM Information Requirements-Small Working Group (ATFM/IR/SWG). The ATFM/IR/SWG functions through subject matter experts (SMEs) nominated by States and International Organizations. The ATFM/SG/11 meeting also agreed upon the scope of the work on the amendment of the Framework. The current edition is the product of the review thus undertaken.

#### Distributed Multi-Nodal Network ATFM Concept

3.13 The ATFM/SG/11 meeting also stressed that amendments to the Framework must remain aligned with the APANPIRG-approved Asia/Pacific ATFM Concept of Operations.

3.14 The core concept of the Framework is the Distributed Multi-Nodal ATFM Network, i.e., a network of Air Navigation Service Providers (ANSPs) and/or Sub-Regional Groups leading independent ATFM operation within their area of responsibility and connecting to each other through information sharing framework. The ATFM operations in each node will be based on regionally agreed principles and high-level operating procedures.

3.15 The concept has been accepted into the Asia/Pacific Regional Framework for Collaborative ATFM as a viable solution for the region.

3.16 A description of the concept is provided in APAC ATFM Concept of Operations Document at [https://www.icao.int/APAC/Documents/edocs/Regional\\_ATFM\\_Concept\\_of\\_Operations.pdf](https://www.icao.int/APAC/Documents/edocs/Regional_ATFM_Concept_of_Operations.pdf).

#### Interoperability is the Key

3.17 The Framework considers the ATFM development initiatives undertaken by various States to balance demand and capacity within their airspaces. Recognizing the need to adopt a network wide view for improving the flow performance across the APAC region, the Framework has been developed in line with ATM performance improvement elements of the Seamless ANS Plan.

3.18 A key consideration in the development of Version 3.0 of the Regional Framework for Collaborative ATFM was the interoperability of systems, procedures, and practices to ensure not only regionally harmonized ATFM, but also the effective, complementary operation of other systems forming part of the gate-to-gate chain of air traffic management. It is vital that all systems and processes use common information, terminology, and communications protocols to ensure common understanding and optimal outcomes. In particular, the interoperability of ATFM, A-CDM, Arrival Manager (AMAN) and Departure Manager (DMAN) systems, and airspace user and ATM automation system interfaces, is critical to the success of a regional ATFM program and the optimized use of available capacity.

3.19 ATFM/SG addressed these issues in the development of harmonized ATFM terminology and the specification of automated system communications protocols, and through its linkage to AOP/SG, Meteorological Sub Group (MET/SG), and SWIM/TF.

3.20 In this edition, the interoperability requirements of ATFM and A-CDM systems have been described.

## ABBREVIATIONS AND ACRONYMS

|          |                                                                        |
|----------|------------------------------------------------------------------------|
| AAR      | Airport Arrival Rate                                                   |
| ADEP     | Departure Airport                                                      |
| ADES     | Destination Airport                                                    |
| ACDM     | Airport Collaborative Decision Making                                  |
| ATM      | Air Traffic Management                                                 |
| ADS-B    | Automatic Dependent Surveillance-Broadcast                             |
| ADS-C    | Automatic Dependent Surveillance-Contract                              |
| AFP      | Airspace Flow Program                                                  |
| AIDC     | ATS Inter-facility Data Communications                                 |
| AIGD     | ICAO ADS-B Implementation and Guidance Document                        |
| AIM      | Aeronautical Information Management                                    |
| AIRAC    | Aeronautical Information Regulation and Control                        |
| AIS      | Aeronautical Information Service                                       |
| AIXM     | Aeronautical Information Exchange Model                                |
| AMAN     | Arrival Manager                                                        |
| ANSP     | Air Navigation Service Provider                                        |
| AOM      | Airspace Organization and Management                                   |
| APAC     | Asia/Pacific                                                           |
| APANPIRG | Asia/Pacific Air Navigation Planning and Implementation Regional Group |
| ASBU     | Aviation System Block Upgrade                                          |
| ATC      | Air Traffic Control                                                    |
| ATFM     | Air Traffic Flow Management                                            |
| ATM      | Air Traffic Management                                                 |
| CDM      | Collaborative Decision-Making                                          |
| CCO      | Continuous Climb Operations                                            |
| CDO      | Continuous Descent Operations                                          |
| CIBT     | Calculated In Block Time                                               |
| COM      | Communication                                                          |
| CONOPS   | Concept of Operations                                                  |
| COFT     | Calculated Over Fix Time                                               |
| CNS      | Communications, Navigation, Surveillance                               |
| CPDLC    | Controller Pilot Data-link Communications                              |
| CSP      | Communication Service Provider                                         |
| CTA      | Control Area                                                           |
| CTR      | Control Zone                                                           |
| CTOT     | Calculated Take Off Time                                               |
| COBT     | Calculated Off-Block Time                                              |
| CLDT     | Calculated Landing Time                                                |
| DGCA     | Conference of Directors General of Civil Aviation                      |
| DMAN     | Departure Manager                                                      |
| DME      | Distance Measuring Equipment                                           |
| DLA      | Delay                                                                  |
| EET      | Estimated Elapsed Time                                                 |

## Asia/Pacific Regional Framework for Collaborative ATFM

|        |                                                                           |
|--------|---------------------------------------------------------------------------|
| ETA    | Estimated Time of Arrival                                                 |
| EST    | Coordinate Estimate                                                       |
| FIR    | Flight Information Region                                                 |
| FIRB   | Flight Information Region Boundary                                        |
| FMP    | Flow Management Position                                                  |
| FOC    | Flight Operations Centre                                                  |
| FUA    | Flexible Use Airspace                                                     |
| GANP   | Global Air Navigation Plan                                                |
| GASP   | Global Aviation Safety Plan                                               |
| GBAS   | Ground-based Augmentation System                                          |
| GDP    | Ground Delay Program                                                      |
| GSt    | Ground Stop                                                               |
| GLS    | GNSS Landing System                                                       |
| GNSS   | Global Navigation Satellite System                                        |
| IATA   | International Air Transport Association                                   |
| ICAO   | International Civil Aviation Organization                                 |
| IO     | International Organizations                                               |
| KPA    | Key Performance Area                                                      |
| MIT    | Miles-in-Trail                                                            |
| MINIT  | Minutes-in-Trail                                                          |
| MET    | Meteorological                                                            |
| METAR  | Meteorological Aerodrome Report                                           |
| MTF    | Major Traffic Flow                                                        |
| OPMET  | Operational Meteorological                                                |
| PARS   | Preferred Aerodrome/Airspace and Route Specifications                     |
| PASL   | Preferred ATM Service Levels                                              |
| PBN    | Performance-based Navigation                                              |
| PIA    | Performance Improvement Areas                                             |
| PKP    | Passenger Kilometres Performed                                            |
| RANP   | Regional Air Navigation Plan                                              |
| RPK    | Revenue Passenger Kilometres                                              |
| RNAV   | Area Navigation                                                           |
| RNP    | Required Navigation Performance                                           |
| RVSM   | Reduced Vertical Separation Minimum                                       |
| SAR    | Search and Rescue                                                         |
| SBAS   | Space Based Augmentation System                                           |
| SID    | Standard Instrument Departure                                             |
| SIGMET | Significant Meteorological Information                                    |
| STAR   | Standard Terminal Arrival Route or Standard Instrument Arrival (Doc 4444) |
| STCA   | Short Term Conflict Alert                                                 |
| STS    | Special Handling Status                                                   |
| SUA    | Special Use Airspace                                                      |
| SUR    | Surveillance                                                              |
| SWIM   | System-Wide Information Management                                        |
| TAF    | Terminal Area Forecast                                                    |
| TBO    | Trajectory Based Operations                                               |

## Asia/Pacific Regional Framework for Collaborative ATFM

|        |                                                  |
|--------|--------------------------------------------------|
| TCAS   | Traffic Collision Avoidance System               |
| TMI    | Traffic Management Initiative                    |
| TOC    | Transfer of Control                              |
| UAS    | Unmanned Aircraft Systems                        |
| UPR    | User Preferred Routes                            |
| UTC    | Coordinated Universal Time                       |
| VHF    | Very High Frequency                              |
| VMC    | Visual Meteorological Conditions                 |
| VOLMET | Volume Meteorological                            |
| VOR    | Very High Frequency Omni-directional Radio Range |
| VVIP   | Very-Very Important Person                       |
| WAFC   | World Area Forecast Centre                       |

## APAC REGIONAL COLLABORATIVE ATFM FRAMEWORK - PRINCIPLES, PROCEDURES AND PRACTICES

### ATFM Principles

5.1 The major areas of Collaborative ATFM principles are mainly aligned with those of the Asia/Pacific Seamless ANS Plan; People (human performance), Facilities (physical equipment), and Technology and Information. The Seamless ANS Plan describes 37 principles in respect of People, Facilities and Technology and Information covering the entire spectrum of ANS Services. The principles relevant to implementation of ATFM and A-CDM are included **Appendix A**.

### Aviation System Block Upgrades (ASBU)

5.2 At the Global level, ICAO started the ASBU initiative as a programme framework that developed a set of aviation system solutions or upgrades intended to exploit current aircraft equipage, establish a transition plan and enable global interoperability. ASBUs comprised a suite of modules organised into flexible and scalable building blocks, where each module represented a specific, well bounded improvement. The building blocks could be introduced and implemented in a State or a region depending on the need and level of readiness, while recognizing that not all the modules were required in all airspaces. ASBUs described a way to apply the concepts defined in the Doc 9854 with the goal of implementing regional performance improvements and were used in the new edition of the GANP to guide implementation.

5.3 ASBU are groups of operational improvements to advance air navigational capabilities and improve the performance of their air navigation system in a cost effect way. They are classified into three functional categories:

- Information;
- Operational; and
- CNS Technology and Services.

5.4 Within the ASBU framework in the Operational Category is the Module thread for Network Operations (NOPS), which aims to guide the development of air traffic flow management (ATFM) operation towards the envisaged end goal of shifting ATFM from trajectory management to airspace constraints management enabled by timely and precise information. The Global Air Navigation Plan (GANP-Ed.6, 2019) also recognised Airport Collaborative Decision Making (A-CDM) as an important operational enabler for tactical flow management at airports and terminal airspace.

5.5 The 30th Meeting of the Asia/Pacific Air Navigation Planning and Implementation Regional Group (APANPIRG/30, 2019) endorsed 16 Priority-1(ASBU Block 0 and 1 and Regional) Elements, as recommended in the Asia Pacific Seamless ANS Plan Version 3.0, as follows:

- a) Aeronautical Meteorology: AMET-B0/1-4;
- b) Aeronautical Information Management: DAIM-B1/1-6\*;
- c) Airport CDM: ACDM-B0/1-2;
- d) ANSP human and simulator performance (Regional);
- e) ATS Inter-facility Datalink Communications: FICE-B0/1;
- f) Ballistic launches/space re-entry management (Regional);
- g) Civil-Military Special Use Airspace (SUA) management (Regional);

- h) Civil-Military strategic and tactical coordination (Regional);
- i) Core data communications: VDL Mode O/A and AMHS COMI-B0/3, 7;
- j) Direct and Free Route Operations: FRTO-B0/1-4;
- k) Enhanced SAR systems (Regional);
- l) Ground-based Surveillance: ASUR-B0/1-4;
- m) Network Operations: NOPS-B0/1-5;
- n) Performance-based Navigation Approach Procedures: APTA-B0/1-2;
- o) Runway Sequencing: RSEQ-B0/1-2; and
- p) Safety Nets SNET-B0/1-4.

*\*Note: DAIM-B1/7 is placed within PASL Phase III.*

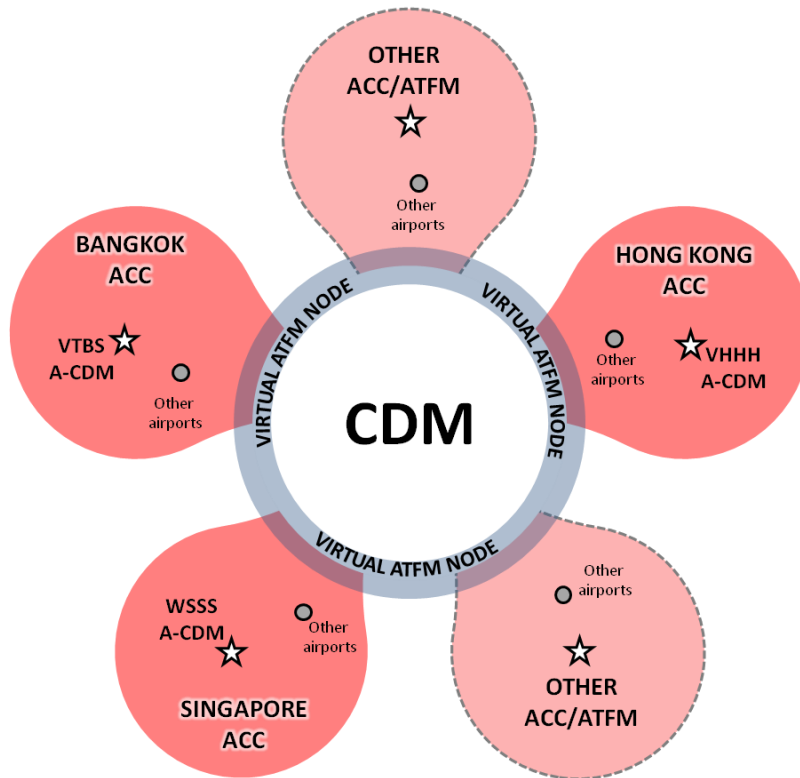
5.6 The Asia Pacific Seamless ANS Plan has recommended implementation of A-CDM-B0/1-2 and NOPS-B0/1-5 as Priority 1, i.e., which is considered as critical upgrade assignment essential to achieve the service level required regionally and globally.

5.7 The Version 3.0 of the Framework had considered Network Operations - NOPS-B0/1-5 modules for developing guidance material on Regional ATFM Framework implementation. This version of the Framework document, in addition, has considered A-CDM-B0/1-2 modules for inclusion in the guidance material for Regional ATFM implementation to ensure harmonized and interoperable system implementation across Airports and Airspace.

#### Asia/Pacific Region ATFM Operational Concept

5.8 The Regional ATFM Concept of Operations is based on the Distributed Multi-Nodal ATFM Network concept, which exists as a network of ANSPs leading independent ATFM operations within their area of responsibility and connected to other ANSPs and stakeholders through effective information sharing with collaborative decision-making mechanism. The concept, adopted by ATFM/SG as the foundation for a Regional ATFM concept and implementation strategy, with the implementation date of 7 November 2019 in alignment with the Seamless ANS Plan.

5.9 The concept recognizes that a centralized ATFM Unit (ATFMU) approach is not yet practicable for the Asia/Pacific region. At the centre of the concept is the distributed multi-nodal ATFM network, illustrated in **Figure 2**.



**Figure 2:** A Distributed Multi-Nodal ATFM Network

5.10 The Regional ATFM Concept of Operations document is available at <https://www.icao.int/APAC/Documents/edocs/Regional%20ATFM%20Concept%20of%20Operations.pdf>.

#### Collaborative Decision Making

5.11 ICAO Doc 9971 defines Collaborative Decision Making:

*CDM is a process applied to support other activities such as demand/capacity balancing. CDM can be applied across the timeline of activities from strategic planning (e.g., infrastructure investments) to real-time operations. CDM is not an objective but a way to reach the performance objectives of the processes it supports. These performance objectives are expected to be agreed upon collaboratively. Since implementing CDM likely will require investments, these will need to be justified in accordance with the performance-based approach.*

5.12 One key to the successful implementation of an effective ATFM service is achieving a robust coordination among aviation stakeholders. It is envisioned that ATFM is performed as a collaborative decision-making (CDM) process where aerodromes, ANSPs, airspace users (AUs) and other stakeholders work together to improve the overall performance of the ATM system. It is likewise envisioned that such coordination will take place within a flight information region (FIR), between FIRs and ultimately, between ICAO regions.

5.13 Key components and characteristics of the different ATFM/CDM concepts are shown below in **Table 1**:

Asia/Pacific Regional Framework for Collaborative ATFM

| Features                               | Domestic ATFM                                                                                              | Regional ATFM                                                                                                                                             | Regional ATFM Cross-Border Multi-Nodal                                                                                         |
|----------------------------------------|------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|
| Capability and Functionality           | ANSP has an independent ATFM system.                                                                       | Centralized ATFM organization for multiple ANSPs within a geographical region.                                                                            | Each ANSP has an independent ATFM system which is connected in a distributed ATFM network sharing ATFM information.            |
|                                        | ANSP manages demand/capacity of its own airspace and airport(s).                                           | Shared responsibility between each local FMU and central unit for management of demand/capacity of each ANSP's airspaces and airport(s).                  | ANSP independently manages demand/capacity of its own resources.                                                               |
|                                        | Only domestic traffic is subject to ATFM measures.                                                         | Geographical region's flights subject to ATFM measures.                                                                                                   | Flights participating in ATFM nodes within the region subject to ATFM measures.                                                |
|                                        | CDM is performed by stakeholders via software web interfaces or accepted messaging protocols.              | Multi-level CDM processes and applications via web interfaces and accepted messaging protocols (legacy and SWIM messaging) applied in all ATFM processes. | CDM is performed by stakeholders via software web interfaces or accepted messaging protocols.                                  |
|                                        | National procedures published by each State in the national regulations and AIP.                           | Common set of procedures for the geographical region's ATFM contained in the Regional Supplementary Procedures (Doc 7030) and common operations manual.   | Individual procedures published by each ANSP, though normally coordinated and harmonized based on common operating procedures. |
|                                        |                                                                                                            | Centralized compliance measurement and reporting.                                                                                                         |                                                                                                                                |
| Specify capacity and demand prediction | Demand prediction – flight progress is via manual input or automated data feed (e.g., FDP, AMHS, or AFTN). | Demand prediction – centralized flight planning function ensures single and accurate demand picture throughout the region.                                | Demand prediction – flight progress is via manual input or automated data feed (e.g., FDP, AMHS or AFTN) to each node.         |
|                                        |                                                                                                            | ATFM measure assignments are automatically dispatched to all affected stakeholders and are visible via web interfaces and SWIM messaging.                 |                                                                                                                                |

|                                                      |                                                                                        |                                                                                                                                               |                                                                                                                                      |
|------------------------------------------------------|----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|
|                                                      | Capacity management – inputs from FMP and FOC are via ATFM web-based interface.        | Capacity management – inputs from FMP and FOC are via ATFM web-based interface. All constraints are reconciled to avoid conflicting measures. | Capacity management – inputs from FMP and FOC are via ATFM web-based interface. Conflicting ATFM measures must be manually resolved. |
| Evaluate Alternatives, Initiate/Modify ATFM Measures | Aircraft operators perform CDM with airport operators for ground/surface delay intent. |                                                                                                                                               |                                                                                                                                      |
|                                                      | ATFM slot assignments can be viewed via software, web interface and notifications.     |                                                                                                                                               |                                                                                                                                      |

**Table 1:** ATFM/CDM Concepts (Source: ICAO Doc 9971 – Manual on Collaborative ATFM)

5.14 Cross-border ATFM/CDM should provide opportunities for the efficient exchange of operational and strategic information for all stakeholders, ensuring strategic cooperation towards achieving the objectives of seamless ATM ANS and optimization of traffic flows across the region.

5.15 Regional ATFM in its basic principles, is no different from domestic ATFM: it relies on transparency, information exchange and collaboration. The difference arises from the number and variety of stakeholders. This generates additional complexity but yields a significant benefit as it allows providers from various States to collaborate and anticipate rather than be confronted with the consequences of ATFM measures implemented locally.

5.16 CDM partners and stakeholders should include:

- States, establishing regulations and overseeing safety and compliance;
- ANSPs, implementing ATFM capability;
- International Organizations such as ACI, CANSO, IATA and IFATCA;
- International ATFM Organizations (to share tactical flight data through ATFMU) – EUROCONTROL, FAA;
- Airport operators; and
- CDM-participating airlines.

5.17 Each State will develop ATFM capability according to its needs and requirements, and the overarching goal of seamless ATM across the Asia/Pacific Region.

5.18 The Regional concept for cross-border ATFM is based on a distributed multi-nodal ATFM network concept. Under this concept each State/Administration participating collaboratively in cross-border ATFM will form a node of the multi-nodal network and should be led by an agreed ANSP as the Node Leader.

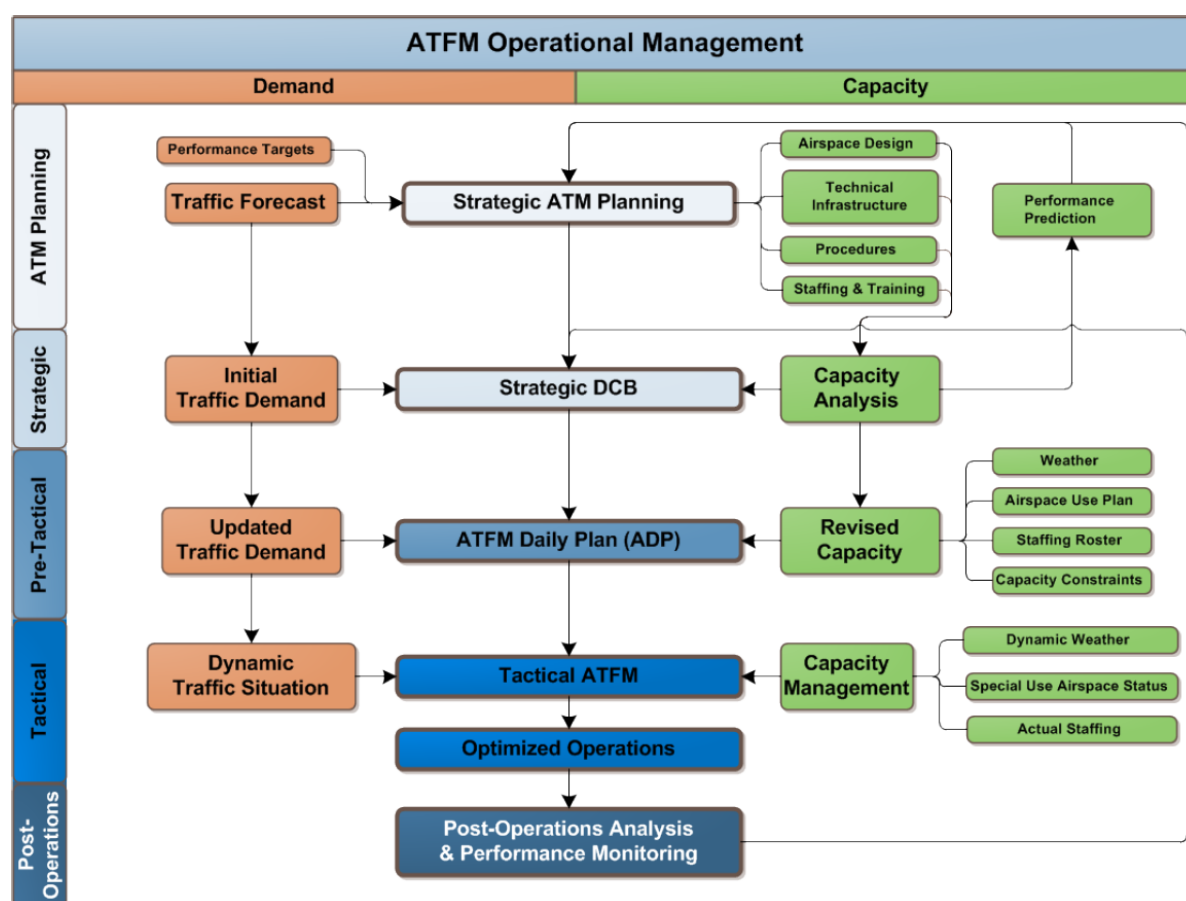
5.19 Within an ATFM node there may be a number of airport operators, and airspace users and other stakeholders with an access to the node arranged by the Node Leader, facilitating their participation in the cross-border ATFM initiative.

5.20 The Node Leader should be responsible for engagement with the various Nodes stakeholders and ensuring the Node is ready and able to participate in the Regional Cross-Border ATFM process. The processes within a node to enable this readiness may vary from node to node and be applicable to the environment within the State(s). However, the readiness to engage with the regional cross-border multi-nodal system should be in accordance with the Regional Framework for Collaborative ATFM and its underlying distributed multi-nodal ATFM network concept, and any specific procedures identified and agreed by the multi-nodal participants. The Node Leader is responsible for ensuring compliance and therefore readiness to participate in the APAC cross-border multi-nodal ATFM initiative.

5.21 ANSPs and airspace users may participate in transition or trial participation leading to their full participation in the multi-nodal ATFM network. An example of tiered trial participation levels for ANSPs and airspace users is provided at **Appendix B**.

### ATFM Phases

5.22 ICAO Doc 9971 describes a methodology to balance demand and capacity which can be accomplished through the application of an “ATFM planning and management”. ATFM execution consists of three phases: strategic, pre-tactical, and tactical. These phases should not be considered as concrete steps, but rather as a continuous planning, action and review cycle that is fully integrated in the ATM planning and post-operations processes, three phases of ATFM execution; strategic, pre-tactical and tactical, illustrated in **Figure 3**.



**Figure 3: ATFM Operational Management**

5.23 The **Strategic ATFM phase** generally encompasses measures taken more than one week prior to the day of operation. Much of this work is accomplished two months or more in advance. This phase applies the outcomes of the ATM planning activities. It takes advantage of the increased dialogue between AUs and capacity providers, such as ANSPs and airports, in order to analyse airspace, airport and ATS restrictions, seasonal meteorological condition changes and significant meteorological phenomena. It also seeks to identify, as soon as possible, any discrepancies between demand and capacity to jointly define possible solutions which would have the least impact on traffic flows. These solutions are not set in stone and may be adjusted according to the demand foreseen in this phase.

5.24 The **Pre-Tactical ATFM phase** normally spans from one day to one week prior to operations. During this phase, the traffic demand for the day is analysed and compared to the predicted available capacity. The plan, developed during the strategic phase, is then adapted and adjusted accordingly. The main objective of the pre-tactical phase is to optimize capacity through an effective organization of resources (e.g., sector configuration management, use of alternate flight procedures). The work methodology is based on a CDM process established between the stakeholders (e.g., flow management unit (FMU), airspace managers, AUs

5.25 **Tactical ATFM** solutions and measures are adopted on the day of the operation. Traffic flows and capacities are managed in real time. The ADP is amended taking due account of any event likely to affect it. During this phase, any opportunity to mitigate disturbances should be used. The need to adjust the original ADP may result from staffing problems, significant meteorological phenomena, crises and special events, unexpected opportunities or limitations related to ground or air infrastructure, more precise flight plan data, the revision of capacity values, etc.

5.26 **Post-operations analysis** is the final phase in the ATFM planning and management process. During this phase, an analytical process is carried out to measure, investigate and report on operational processes and activities. This process is the cornerstone in developing best practices and/or lessons learned that will further improve the operational processes and activities. The process should also include an analysis of items such as anticipated and unanticipated events, ATFM measures and delays, the use of predefined scenarios, flight planning and airspace data issues.

5.27 These phases of ATFM execution should not be considered as concrete steps, but rather as a continuous planning, action and review cycle that is fully integrated in the ATM planning and post-operations processes. The involvement of operational stakeholders in each phase is of utmost importance.

5.28 The timely application of measures in all three ATFM phases requires a fundamental understanding of airport and airspace capacity, and the continuous assessment of capacity and the factors that impact upon it.

#### Capacity Planning, Assessment and Declaration

5.29 Annex 11 to the Convention on International Civil Aviation (Air Traffic Services) defines declared capacity as a measure of the ability of the ATC system or any of its subsystems or operating positions to provide service to aircraft during normal activities. It is expressed as the number of aircraft entering a specified portion of airspace in each period, taking due account of weather, ATC unit configuration, staff and equipment available, and any other factors that may affect the workload of the controller responsible for the airspace.

5.30 The primary areas of capacity assessment and declaration for ATFM are Airport Arrival Rate (AAR), Airport Departure Rate (ADR), and airspace sector capacity. AAR and ADR are usually expressed in terms of movements per hour. Sector capacity may be expressed in terms of occupancy count and/or entry count.

5.31 ICAO Doc 9971 – *Manual on Collaborative ATFM* provides the following guidance on capacity planning and assessment:

- *Part II Chapter 3 and 4 – Capacity Determination and ATFM Phases and Solutions.*
- *Appendix II-B – Determining the Airport Arrival Rate – An example of a simplified methodology for determining the acceptance rate at an airport, based on scientific process developed by the Federal Aviation Administration (FAA).*
- *Appendix II-C – Determining Sector Capacity – An example of a simplified methodology for determining sector capacity at an area control centre (ACC), based on the process developed by the Federal Aviation Administration for establishing sector capacity.*
- *Appendix II-D – Capacity Planning and Assessment Process – Provides information developed by the European Organisation for the Safety of Air Navigation (EUROCONTROL) related to the ATFM capacity and planning assessment process.*

5.32 Detailed, high quality assessments of ATC sector capacity may also be conducted using fast-time simulations to analyse relevant data and the effects on capacity of proposed ATS changes or improvements. Data inputs include static infrastructure data, traffic data, ATC logic, procedures and task definition, and aircraft performance data.

5.33 Steps in a sector capacity assessment methodology utilizing fast-time simulations include:

- i. *Collect the necessary airspace and traffic data;*
- ii. *Verify (with the support of local controllers) the traffic sample routes and the procedures used on a flow-by-flow basis;*
- iii. *Correct, refine and insert the information into the model (done by the simulation experts). This includes the ATC procedures used in the sector, standard controller tasks, simulation parameters and aircraft performance parameters;*
- iv. *Run an initial test-run of the model;*
- v. *Verify flight profiles: The knowledge of local controllers can be used to adapt aircraft performance to local conditions, to define and verify sector specific controller tasks together with simulation parameters including conflict detection and resolution mechanisms;*
- vi. *Consolidate a final model which is used to calculate results for all simulation scenarios, e.g. different sector configurations, different traffic samples, etc.;*
- vii. *Verify the simulation scenarios and the initial results, and if so required, do a fine-tuning of parameters.*

5.34 A fast-time simulation capacity assessment methodology should use a simulation engine that reproduces the ATC environment and should follow a reiterative process of validation involving licensed ATC staff currently active on the sector/s under assessment. A fast-time simulation capacity assessment methodology should use a simulation engine that reproduces as truly as possible the ATC environment and should follow a reiterative process of validation involving licensed ATC staff currently active on the sector/s under assessment.

Airspace and Airport Capacity Improvement

5.35 Increased capacity is the primary and central method for managing increasing demand. Capacity increases may be achieved by improvements in infrastructure, airspace and ATS route design, procedures, and stakeholder behaviours.

5.36 Airspace capacity improvements may be achieved by:

- Improved ATS route design including segregation of inbound, outbound and overflight traffic flows and, where supported by a business case, mandating of RNP specifications for ATS routes;
- Civil-military cooperation, including increased use of FUA to replace SUA;
- Improved ATC sectorization to more evenly apportion workload, including the capability for dynamic sector configuration;
- Segregation of SIDs and STARs in terminal areas to reduce ATC and pilot workload;
- ATM automation system enhancements including automated coordination and hand-off of aircraft between systems (AIDC) and sectors, and transition from paper flight progress-strips to automated, integrated electronic displays and flight plan interfaces;
- Implementation or extension of ATS surveillance services, and surveillance-based separations specified in ICAO Doc 4444 (PANS-ATM); and
- Implementation of RNP-based separations (RNP 4 or better) in non-surveillance airspace.

5.37 Airport capacity improvements may be achieved by:

- Improved airport design including additional runways, taxiways, parking stands and optimally positioned rapid-exit taxiways as per traffic mix and intensity;
- Harmonized AMAN, DMAN, A-CDM systems and ATFM systems;
- Analysis and improvement of runway occupancy times through enhancement of procedures and associated pilot practices; and
- Implementation of precision approaches to all runways.

5.38 The Seamless ANS Plan includes performance objectives aimed to improve airspace and airport capacity in the Asia/Pacific Region. The Performance Improvement Plan of this Framework includes capacity improvement objectives that are complementary to or expanding upon those of the Seamless Plan.

Demand Capacity Balancing (DCB)

5.39 Assessment of Capacity and Demand are integral part of ATFM process. The assessment process is carried out in different time spans as described in ATFM Phases above. Increase of (airport, airspace) capacity to meet the forecast demand is the most preferred option. However, when most of the airports or airspaces are running at or near capacity, any capacity reducing event will create a demand-capacity imbalance. **Appendix C** provides a brief outlook on the DCB process and ATFM data requirements.

Airport Collaborative Decision Making (A-CDM)

5.40 Airport collaborative decision making is a process in which key stakeholders - airport operators, airlines, other flight operators (e.g., general aviation), ground handlers, air navigation service providers (ANSPs) and air traffic flow managers - make joint operational decisions based on a shared set of operational data.

5.41 At its core, A-CDM is focused on enhancing the efficiency and utilization of airport, air traffic, and aircraft operator resources via collaborative and data-driven decision making. Often these decisions are associated with the real-time or near real-time sequencing of aircraft operations on the airfield in response to changing operational, environmental, or physical conditions at the airport or in the airspace near the airport.

5.42 In more advanced applications, A-CDM can also incorporate information regarding changing ATM network conditions including airspace constraints in the enroute environment, conditions at other airports upstream and downstream from the airport of interest, and non-aeronautical constraints such as passenger processing or baggage handling constraints in airport terminals.

5.43 Regardless of the breadth of activities considered in a particular A-CDM implementation, the conceptual focus of the decision-making process is the same – determining how to equitably and efficiently sequence outbound (i.e., departing), inbound (i.e., arriving), and repositioning aircraft operations on the airfield to:

- reduce aircraft delays;
- equitably distribute what delays cannot be eliminated; and
- enhance the utilization of airport facilities, particularly aircraft parking stands.

5.44 Part III of Doc 9971 provides guidance on the implementation of A-CDM. It explains the basic concept of A-CDM, its benefits, basic elements such as variable taxi-time and coordination between ATFM and A-CDM systems. On the implementation of A-CDM, it explains how to engage with implementation partners, their roles, project, and manage the project, and highlights the importance of measuring the success of an A-CDM system with KPIs. Furthermore, in the form of appendices to it, Part III of Doc 9971 illustrates these concepts with practical examples such as an MOU template between A-CDM partners for cooperation, a template of generic AIP provided by EUROCONTROL for States to implement A-CDM, a template MOU contributed by FAA of USA for data exchange, and a list of examples of KPI for the measurement of the effectiveness of A-CDM.

ATFM-ACDM Integration

5.45 A-CDM and ATFM systems should be integrated to facilitate collaboration, improve airport operations, especially for better capacity planning and operational performance at other airports. See chapter 8 of the *APAC A-CDM Implementation Plan* for more information on integration between A-CDM and ATFM.

5.46 A-CDM and ATFM are collaborative processes, with a common objective to optimize resources and improve efficiency in an airspace or airport. Through the integration of both systems, useful departure and arrival information could be exchanged to ensure that a common situational awareness is established for CDM stakeholders to enable effective decision-making.

Using the A-CDM milestones approach as a guideline for local ATFM-ACDM integration

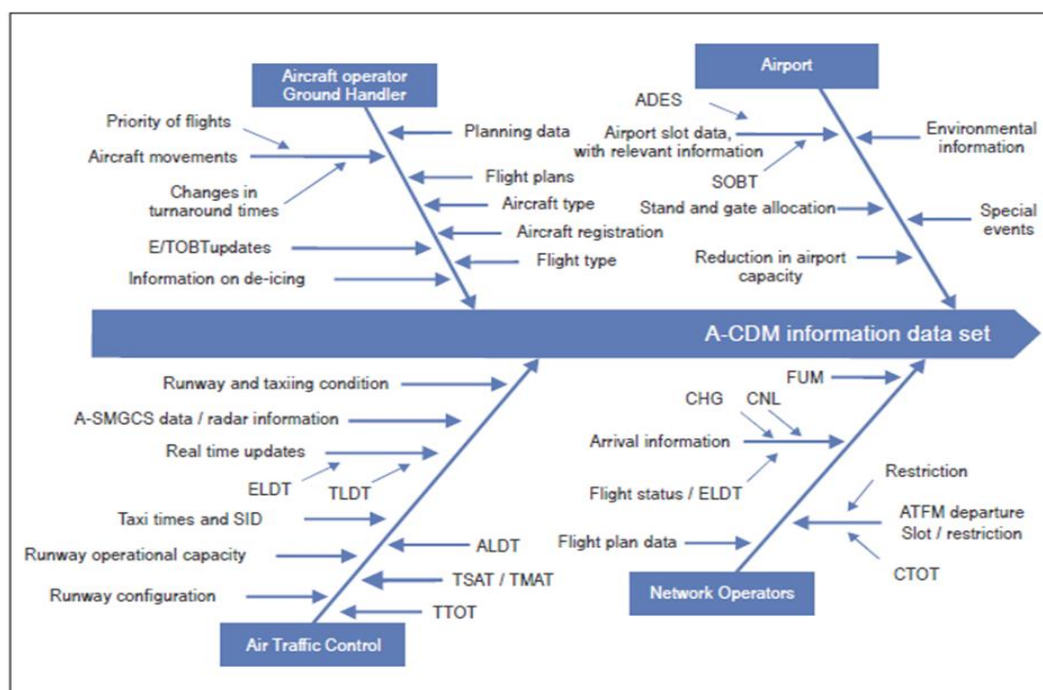
5.47 The A-CDM milestones should be considered for the development and implementation of interoperability among A-CDM and ATFM systems. Each milestone could be a certain point in time or an operational event at the inbound, turn around or outbound phase of a flight. The definition and the associated actions of each milestone need to be defined and decided in accordance with local arrangements.

Formulation of baseline demand prediction for ATFM operations

5.48 The integration of ATFM and A-CDM Systems enables the sharing of schedule arrival and departure flight information from the A-CDM System to the ATFM System. The airport slot data in the ATFM System are automatically updated to obtain a reliable demand prediction. The data associated with flight intent that can be provided to ATFM services for use in demand predictions can be found in, Paragraph 5.4.4 on Data type description and harmonization Chapter 5 (ATFM service interfaces) of Doc 9971.

Information used to provide A-CDM in ATFM systems

5.49 The information that could be used to provide A-CDM in ATFM systems can be found in Doc 9971, Chapter 3, A-CDM methods and tools, Para 3.5.2, Groups/types of information. The **Figure 4** below provides the overview of the various information in A-CDM application.



**Figure 4:** A-CDM Information data set

Sharing arrival information between ATFM and A-CDM Systems

5.50 The purpose of A-CDM during the inbound phase is to enhance the distribution and use of advance arrival information to/by stakeholders when the flight is in-bound to the CDM airport. Flight Update Messages (FUM), or equivalent, are sent to the A-CDM System from the ATFM System to update on the progress of a flight. The details on the distribution of information can be found in Doc 9971, Chapter 3 on A-CDM methods and tools; and Para 3.4.4 on the inbound phase.

Sharing departure information between ATFM and A-CDM Systems

5.51 The purpose of A-CDM for the outbound phase is to optimize planning of the departing flights. The details on the distribution of information can be found in Doc 9971, Chapter 3, A-CDM methods and tools; and Para 3.4.6, Departure - outbound phase.

Sharing ATFM Measure Information

5.52 ATFM Measures (CTOTs) affect departing flights from A-CDM Airport. Exchanging this information timely through integration between ATFM and A-CDM would enable pre-departure sequencer to generate TSATs accordingly. By sharing this information, stakeholders can have increased awareness about departure flow and restrictions.

ATFM Daily Plan

5.53 Doc 9971 states that the organization and structure of the CDM process depends on the complexity of the ATFM system in place, and must be structured to ensure that the affected stakeholders, service providers and airspace users can discuss airport and airspace capacity and demand issues through regular meeting sessions and formulate plans that aim to optimize the efficiency of the ATM system while balancing demand and capacity by taking all pertinent aspects and points of view into account.

5.54 Frequent tactical briefings and conferences can be used to provide an overview of the current ATM situation, discuss any issues, and provide an outlook on operations for the coming period. They should occur at least daily but may also be scheduled more frequently depending on the traffic demand and capacity situation (e.g., an evolving meteorological event may require that the briefing frequency be increased). Participants should include involved ATFM and ATS units, airspace user representatives, affected military authorities and airport authorities, as applicable.

5.55 The output of these daily conferences should be the publication of an ATFM daily plan (ADP) and should include subsequent updates. The ADP should include a proposed set of ATFM solutions (e.g., activation of routing scenarios, miles-in-trail (MIT), or ground delay program (GDP)) prepared by the ATFM unit and agreed upon by all partners concerned during the planning phase. The ADP should evolve throughout the day and be periodically updated and re-published as required.

5.56 In addition to the daily conferences, the ATFM unit should consider holding periodic and event specific CDM conferences, with an agenda based on experience. The objective should be to ensure that the chosen ATFM measures are decided through a CDM process and agreed to by all affected stakeholders.

5.57 It is recommended that an ADP cover a 24-hour period, and may, however, cover a shorter period, provided that appropriate mechanisms are in place to update the plan on a regular basis. An ADP should include at minimum, the following items of information:

- i. Aerodrome or Airspace Sector identification;
- ii. Declared Capacity or Operational Capacity (airport and/or airspace sector capacity);
- iii. Description of constraints;
- iv. Time frame;
- v. Proposed ATFM measures; and
- vi. Remarks/other relevant information

5.58 A template for the ATFM daily plan is provided at **Appendix D**.

5.59 To facilitate network-wide situational awareness in the Asia/Pacific region, and to ensure ADPs can be distributed and processed by regional stakeholders, the ADP exchange procedure has been developed. States/Administrations wishing to share ADPs should follow the procedure outlined in the Asia/Pacific ATFM Daily Plan (ADP) Exchange Procedure (working draft) accessible at ICAO APAC eDocument webpage - <https://www.icao.int/APAC/Pages/eDocs.aspx>.

5.60 An important component of the CDM process is post-operations analysis, including consideration of feedback from airspace users, airports operators, ATS and other ATFM units. The feedback can be used for the continuous improvement of pre-tactical and tactical planning. It can help identify the reason(s) for ATFM solutions and corrective actions that can be used to avoid reoccurrence and to improve upon the implemented solutions. It is recommended, therefore, that post-operations analysis result of the previous day's operations, if applicable, be shared during the daily teleconferences. Supplementary conferences focusing on assessing the outcomes of specific ATFM solutions can also be called when the ATFM programs are activated in response to abnormal situations.

#### Advance Notification of ATFM

5.61 Timely dissemination of ATFM information is critical to successful ATFM operations. Whenever possible, ATFM units should provide notice of ATFM measure activation as far in advance as is practicable, considering the balance between providing enough lead time for stakeholders to prepare for the measures and the accuracy of demand-capacity information available for advanced decision-making. ATFM measures activated "with immediate effect", especially ones with significant delay impacts, tend to have many repercussions and create challenges for stakeholders especially airborne aircraft and upstream ATS units. It is advisable, therefore, that ATFM units avoid such short-notice activation as much as practicable, and to open channels for CDM process to properly address the repercussions and challenges faced by stakeholders when these are activated.

5.62 Different ATFM measures require different lead time to activate, and Doc 9971 provides some guidance on choosing the appropriate ATFM measure given the lead time available. Post-operations analysis, with a focus on stakeholders' ability to comply with the ATFM measures, can be useful in determining whether the lead time provided is enough for stakeholders to respond to the requirements and should therefore be tracked and used to adjust the procedures.

#### ATFM Coordination Phrases and Terminology

5.63 Recognizing the lack of a current, globally standardized ATFM terminology, ATFM/SG considered the terminologies used by States and organizations advanced in ATFM implementation, both within and external to the Asia/Pacific Region.

5.64 The global development of ATFM has largely been undertaken in isolation by individual ANSPs, EUROCONTROL, other informal groups of States, or by ATFM system vendors. This has resulted in differences in concept development and consequently the technical terms used for operational and technical coordination of ATFM information.

5.65 ATFM/SG developed a set of standardized ATFM terminology for the Asia/Pacific Region to promote harmonization and interoperability of CDM/ATFM systems and procedures. The terminology set is referenced from Doc 9971 (3rd Ed, 2018), adjusted to be appropriate for Asia/Pacific regional use.

5.66 The Asia/Pacific Region ATFM terminology for use in ATFM communications is provided at **Appendix E**.

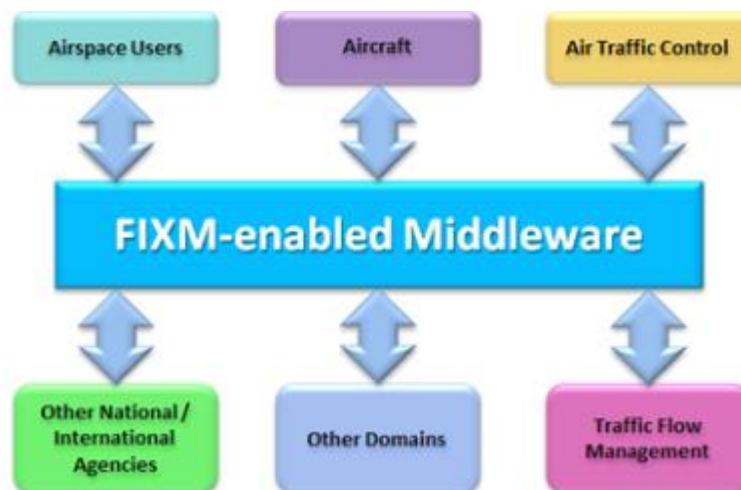
5.67 In addition, the ATFM/SG also considered the need for a harmonized set of plain-language phrases for ATFM coordination between ATFM units, ATS units, and airspace users, especially as cross-border ATFM coordination generally occurs in plain-language English and many Asia/Pacific States and organizations do not have English as their official/first language. The harmonized set of coordination phrases helps form the starting basis for operational staff to communicate with one another in a cross-border ATFM environment with low risk of miscommunication. The Basic Phrases for Cross-Border ATFM Coordination (working draft) is provided in the ICAO APAC eDocument webpage - <https://www.icao.int/APAC/Pages/eDocs.aspx>.

*Note: The Basic Phrases are for use as an interim procedure, pending development of globally standardized ATFM-related phraseology*

#### ATFM System Communications

5.68 Regional and Global interoperability of communications is critical to the implementation of effective, network-based cross-border ATFM.

5.69 Flight Information Exchange Model (FIXM) is one of the standardized information exchange models developed to enable the global interoperability for the ATM community. Particularly, it is to support the seamless exchange of flight-specific information among ATM stakeholders throughout a flight's lifecycle. FIXM is an equivalent to Aeronautical Information Exchange Model (AIXM) and ICAO Meteorological Information Exchange Model (IWXXM), both of which are developed to provide global standards for the sharing of aeronautical information and meteorological information, respectively. **Figure 5** illustrates the data-level interoperability among stakeholders achieved by FIXM.



**Figure 5:** FIXM Interoperability among Stakeholders

5.70 FIXM is referenced in the FICE ASBU thread of Global Air Navigation Plan, especially in Block 2 and Block 3 timeframes:

- *FICE-B2*
  - *Introduce the FF-ICE Release 1, pre-departure trajectory coordination and synchronization;*
  - *Provide mechanisms for collaborative flight information management, which is a basis for initial TBO, through the exchange of flight intent in FIXM format;*

- *Enable the improved capacity utilization based on timely and accurate flight information shared among ATM stakeholders.*
- *FICE B3*
  - *Introduce mechanisms to support the post-departure trajectory coordination and synchronization;*
  - *Enable trajectory management integrated with tactical ATC operations through capabilities to manage trajectory when there are dynamic resource, e.g. airspace, constraints.*

5.71 In November 2019, the FIXM version 4.1 Extension was adopted by APANPIRG/30 to be the Asia/Pacific FIXM version 4.1 Extension for use by Asia/Pacific States/Administrations to support the cross-border ATFM information exchange. With the release of FIXM version 4.2 in February 2021, the Asia/Pacific FIXM version 4.1 Extension have been updated to version 4.2. FIXM version 4.2 (or later), extended where necessary, to accommodate additional regional requirements, is therefore the agreed ATFM information exchange model for exchanging ATFM data between ATFM systems in the Asia/Pacific Region.

5.72 More information on FIXM is available at <https://www.fixm.aero>.

#### ATFM Information Distribution

5.73 ATFM Daily Plans and ATFM Measures for individual aircraft may be distributed between ATFM units, ATS units, airport operators, and airspace users by the following means:

- Networked, web-based interface at ATFMU, ATSU and airspace user locations, each forming a node of a distributed multi-nodal ATFM platform; or
- Web-based interface at ATFMU, ATSU and airspace user locations, providing access directly to ATFM information provided by the ATFMU responsible for the initiation of ATFM measures for the destination airport or constrained airspace; or
- SWIM-based technologies to support the exchange of both flight-specific and non-flight-specific information (depending on the exchange model development progress); or
- AFTN/AMHS messages distributed to individual ATSUs and Airspace Users; or
- Email distribution; or
- Voice Coordination.

5.74 Considering the scope and performance objectives of this Framework, and the stage of development of the Distributed Multi-Nodal ATFM Network concept, **Table 2** outlines the minimum items of ATFM information that ATFM systems should be able to obtain, process, and – for some of the data elements – share with stakeholders.

## Asia/Pacific Regional Framework for Collaborative ATFM

| <b>Flight Event Times</b>                                                                   |                    |                         |                                  |                         |               |
|---------------------------------------------------------------------------------------------|--------------------|-------------------------|----------------------------------|-------------------------|---------------|
| <b>Applicability</b>                                                                        | <b>Flight Plan</b> | <b>System Estimated</b> | <b>Calculated (ATFM Measure)</b> | <b>Targeted (A-CDM)</b> | <b>Actual</b> |
| Departure Terminal Gate (Airline Intention)                                                 | EOBT               |                         |                                  | TOBT                    | AOBT          |
| Departure Terminal Gate (ATC Sequencing)                                                    |                    |                         |                                  | TSAT*                   |               |
| Departure Runway                                                                            |                    | ETOT                    | CTOT*                            | TTOT                    | ATOT          |
| RFIX or AFIX                                                                                |                    | ETO                     | CTO*                             |                         | ATO           |
| Arrival Runway                                                                              |                    | ELDT                    | CLDT*                            |                         | ALDT          |
| Arrival Terminal Gate                                                                       |                    |                         |                                  |                         | AIBT          |
| <b>Other Information</b>                                                                    |                    |                         |                                  |                         |               |
| ATFM Daily Plan (ADP)*                                                                      |                    |                         |                                  |                         |               |
| *Note: Items marked with an asterisk (*) are items that should be shared with stakeholders. |                    |                         |                                  |                         |               |

**Table 2:** Minimum ATFM Information for Distribution and Sharing

### ATFM Communications by AFS

5.75 Recognizing that States' needs for ATFM may vary, where necessary ATSUs may participate in collaborative ATFM without having the need for dedicated ATFM systems or terminals. The Aeronautical Fixed Service (AFS) may provide a suitable method for distribution of ATFM measure information to such ATSUs.

5.76 Given that the main ATFM measure used in Asia/Pacific under the Distributed Multi-Nodal ATFM Network concept is the Ground Delay Program (GDP), the ability to exchange Calculated Take-Off Times (CTOTs) and other associated data elements in machine-readable formats over AFS (AFTN/AMHS) between not only ATFM systems but also ATM automation is critical. The ATFM/SG therefore studied the best practices from other regions on the topic, with particular attention paid to the EUROCONTROL Specification for ATS Data Exchange Presentation (ADEXP) which governs the AFS-based exchanges of ATFM slot-related messages within the European ATFM network.

5.77 The study culminated in the development of Asia/Pacific AFTN/AMHS-Based Interface Control Document for Air Traffic Flow Management, a document outlining the various AFTN/AMHS message formats to be used for information exchange during a Ground Delay Program.

5.78 In the interim before the implementation of System-Wide Information Management (SWIM) in the region, the AFTN/AMHS-Based ICD discussed above is the agreed format for ATFM message exchange in Asia/Pacific. The ICD can be found on the ICAO Asia/Pacific Regional Office's eDocument webpage.

Meteorological Information for ATFM

5.79 Where the capability exists, it is recommended that the ANSP collaborates with the State's dedicated meteorological services provider as well as meteorologists of major airspace users, to determine the projected meteorological impact on the available capacity. The upfront involvement of airspace users ensures transparency of the capacity planning process, and then forms the first step of CDM. This level of transparency can greatly assist the effectiveness of downstream CDM in the operational realm, as early involvement in developing the operational plan results in less blaming between stakeholders and more constructive dialogue.

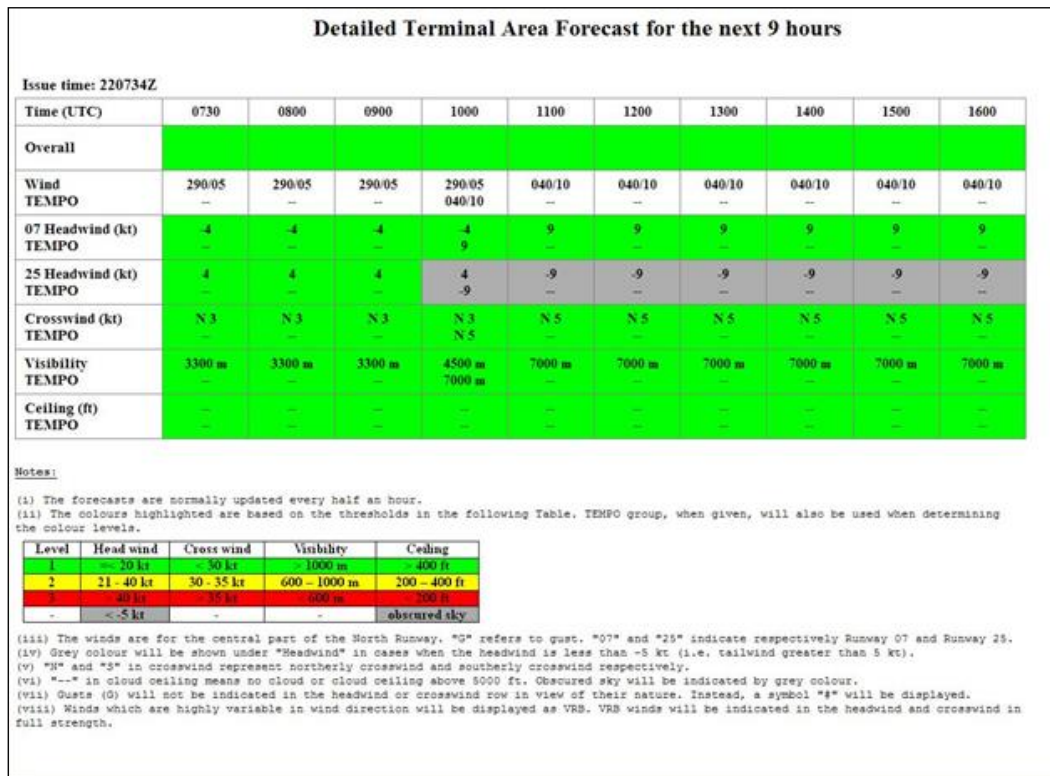
5.80 The accuracy of pre-tactical and tactical demand and capacity assessment is reliant on the predictability of events that will impact capacity. In the case of weather-related constraints, the traditional Annex 3 services in support of aerodrome operations and FIR/Global operations do not fully address the needs of ATFM. While globally, MET authorities are working steadily towards the institutional provision of Meteorological Services to support the Terminal Area (MSTA), there is a greater urgency for ATFM providers to collaborate closely with Met service providers to develop products that bridge the gap between the traditional information.

5.81 The provision of timely, accurate and targeted meteorological information is an important factor in making decisions for the determination of capacity at an aerodrome and/or airspace. Whilst the weather forecasts and advisory services are expected to be accurate, timely and relevant, there are still uncertainties primarily due to the chaotic nature of the atmosphere. It is also inevitable that uncertainties would grow with forecast time. It is important to factor these in when making ATFM decisions. For determining an effective ATFM measure, in addition to the timing and the severity of a particular weather phenomenon, the probability of the occurrence is also essential to assist in capacity assessment.

5.82 When predicting the capacity of an airport regarding forecast meteorological conditions, it is important to not only consider the runway/s and immediate airport surroundings, which are covered by the Aerodrome Forecast (TAF) to a distance of 8km, but to also take into consideration the ability for air traffic to flow via the terminal area on the normal arrival routes and instrument approach procedures to that airport. In particular, weather affecting the airspace in the vicinity of the primary holding areas and initial approach fixes can have a significant impact on the delivery of flights into the approach airspace and onto the runway.

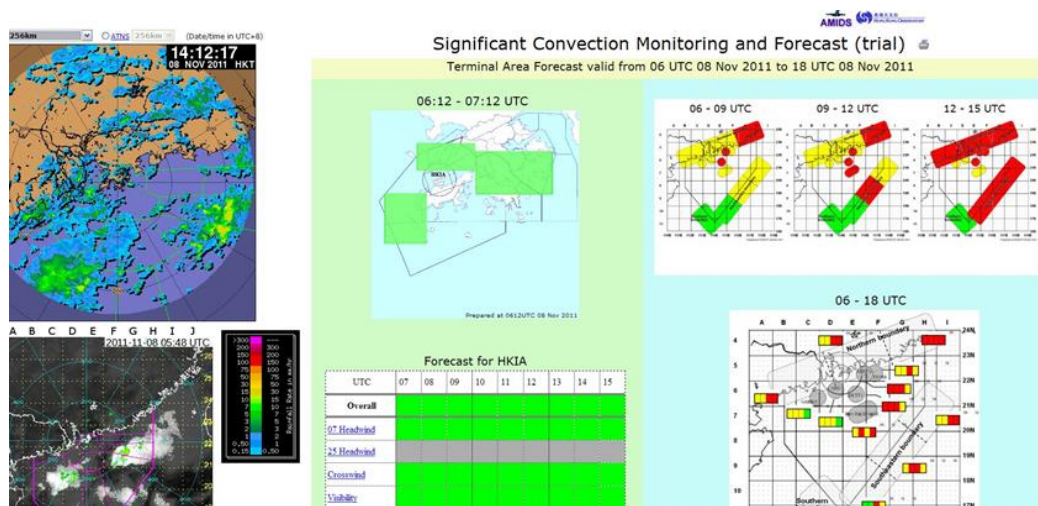
5.83 The current Annex 3 provisions do not include provisions for meteorological information that specifically support the determination of weather impact on capacity. OPMET information is typically pilot and/or tactical ATC oriented, with limited ATFM orientation and are largely produced in coded text format, which makes rapid interpretation difficult for ATM officers.

5.84 ICAO Annex 3 requires that each Contracting State shall determine the meteorological service which it will provide to meet the needs of international air navigation, and that this shall consist of the provision of meteorological information to users that is necessary for the performance of their respective functions. Therefore, to enable rational and quantifiable capacity determination, ANSPs and Meteorological service authorities should collaborate closely to define meteorological services to be provided to support ATM and ATFM decisions, based on specific impact to operations. Such targeted MET information should address key thresholds for various weather criteria which have a quantifiable impact on airport and terminal airspace capacity, such as headwind, crosswind, visibility, ceiling, wind shear, and convective weather at the initial approach fix (IAF) or in the vicinity of critical arrival fixes, holding points and sequencing areas. An example of the simple type of matrix that could be produced, with intuitive colour coding for quick recognition by ATM staff, is shown in **Figure 6**. In terms of the wider Terminal area, similar defined criteria, thresholds and color coding can enable rapid interpretation of impact on operations.



**Figure 6: Example Colour-Coded Matrix of Met Information**

5.85 An example of IAF and holding stack prediction based on weather intensity and coverage area is shown in **Figure 7**, using similarly defined criteria and thresholds to facilitate rapid interpretation of the impact on operations.



**Figure 7: IAF and Holding Stack Weather Prediction**

5.86 When identifying criteria to be used in determining MET services, consideration should be given to thresholds for meteorological elements that result in a change of runway operating mode, such as:

- a change of runway dependency;
- a change of spacing between arriving aircraft;

- a change in nominal aircraft approach speeds;
- an exceedance of aircraft operating limitations for significant numbers of aircraft (e.g., maximum crosswind component);
- an inability to commence an approach via the IAF; or
- an inability to hold in the primary published holding areas, etc.

5.87 When considering the lead time requirements for such forecast products, it is necessary to strike a balance between the desired probability and accuracy and the target ATFM aircraft population.

5.88 Given the direction towards Regional ATFM through ground delay programs, it is therefore desirable that the forecast period cover at least 6-8 hours ahead to encompass the majority of regional length flights with notification of ATFM measures an acceptable time before estimated off blocks time (EOBT).

5.89 In accordance with Annex 3 requirements, including the requirement that close liaison shall be maintained between those concerned with the supply and those concerned with the use of meteorological information on matters which affect the provision of meteorological services for international air navigation, States should ensure that the MET service provides sufficient detail and accuracy.

5.90 ICAO APAC Meteorological Requirements Working Group (MET/R WG) has developed specific regional guidance material necessary to assist States in developing and implementing tailored meteorological information and services to support effective ATM. The guidance captures most of the necessary processes from preparatory to operational phases. Furthermore, it provides detailed operational services, with specific examples and an operational scenario on ATM-tailored MET information and services. The APAC - Regional Guidance for Tailored MET Information to Support ATM is available at ICAO e-Documents website.

5.91 Joint post-operational analysis could be conducted between service provider (MET) and user (ATM) to enhance the effectiveness and reliability of MET information and services for supporting ATM operations. By collecting necessary operation logs and feedback from stakeholders daily, post-operational analysis can be conducted on a regular basis or as required for identifying room for improvement of MET information and services. Results of post-operational analysis are summarized as reports and reviewed in the meeting between MET and ATM so that a recommended practices can be integrated into the ATFM framework for daily operations.

#### Training, Competencies, and Qualification for ATFM Personnel

5.92 An ATFM service must be staffed by personnel with sufficient knowledge and understanding of the ATM system they are supporting and the potential effects of their work on the safety and efficiency of air navigation. To ensure this and within the framework of their training policy, States and ANSPs should establish training plans to ensure that ATFM service staff are properly trained.

5.93 ICAO Doc 9971, *Manual on Collaborative ATFM*, recognizes the requirement for training all stakeholders in an ATFM service, i.e., those directly operation and ATFM function and all other ATFM stakeholders including airspace users and ATS personnel.

5.94 The APAC ATFM Training Guide is available on ICAO APAC e-Documents website - <https://www.icao.int/APAC/Pages/eDocs.aspx>.

5.95 To ensure an ATFM service is provided by personnel with sufficient competencies, States and ANSPs should consider establishing national ATFM service personnel qualification program that is appropriate to the local environment and the level of ATFM service to be provided. The qualification scheme established by States and/or ANSPs should include provisions for:

- Appropriate recruitment of candidates with requisite ATS/ATM background,
- Methodical training program, with varying methods of instructions to ensure effective achievement of desired competencies,
- Assessment program to evaluate candidates' competencies to function as ATFM personnel,
- Regular refresher/recurrent training to ensure up-to-date knowledge among the personnel,
- Recency of experience evaluation to be used before a return-to-service when personnel has been absent from the function for an extended period, and
- Any other provisions appropriate to the local requirements.

5.96 Considering that ATFM is not a directly safety-critical service, albeit still a service that contributes to the planning of safety of air traffic services, the ATFM personnel qualification scheme may not need to be as rigorous as that of the air traffic service personnel licensing (e.g., air traffic controller licensing). It should, however, be at the appropriate level of rigor to ensure ATFM personnel are able to interface with each other, with ATS personnel, and with stakeholders effectively and professionally.

5.97 Considering also that ATFM operations in Asia/Pacific is largely cross-border in nature and ATFM personnel will be required to coordinate with international counterparts, States and ANSPs should also consider including appropriate level of English language proficiency into the ATFM qualification scheme.

5.98 Any qualification scheme established should be in line with the provisions in ICAO Annex 1 – *Personnel Licensing*.

#### Regional ATFM Implementation Guidance

5.99 Under Phase II of the IATA Regional Air Traffic Flow Management Project, as agreed by ATFM/SG/4, IATA delivered the Regional ATFM Implementation Guidance document for consideration by ATFM/SG/6 (Bangkok, Thailand, June 2016). The guidance was included as an Appendix in version 3.0 of the Framework document as it was important to provide harmonized implementation guidance to assist States in the planning and execution of ATFM implementation projects, and to the future interoperability of State and Regional ATFM programs.

5.100 Subsequently Doc 9971, *Manual on Collaborative ATFM*, 3rd Edition published in 2018 further amplified the guidance document and included a comprehensive guidance on ATFM implementation in Chapter 8 of Part II. It includes information and guidance on:

- ATFM Implementation Steps;
- Operational Implementation;
- Implementation Risks and Mitigation;
- Post-implementation activities;
- Regulatory requirements; and

- Assessment of benefits.

5.101 The above guidance should, in conjunction with this document and the Asia/Pacific Regional ATFM Concept of Operations, be examined by all APAC Region States planning ATFM implementation.

#### Regional ATFM Implementation Monitoring

5.102 The Regional Framework for Collaborative Air Traffic Flow Management is one of several important plans that are subsidiary to the APAC Seamless ANS Plan, namely:

- Asia/Pacific Search and Rescue (SAR) Plan;
- Asia/Pacific Region ATM Contingency Plan;
- Asia/Pacific Regional Framework for Collaborative ATFM;
- Asia/Pacific Collaborative Aeronautical Information Management (AIM) Plan; and
- Asia/Pacific A-CDM Implementation Plan.

5.103 States report implementation of the performance expectations of the Seamless ANS Plan using an online reporting form. Monitoring and reporting schemes for subsidiary plans enhance the current Seamless ANS monitoring and reporting scheme.

5.104 A common reporting date of 28 February is proposed for implementation status reports provided against regional plans including the Regional Framework for Collaborative ATFM, Regional Plan for Collaborative AIM, Regional SAR Plan and Regional ATM Contingency Plan. This would ensure that the reported data is received sufficiently early to facilitate implementation reporting to the relevant technical group while allowing flexibility in the scheduling of technical meetings.

5.105 In addition, it is also proposed to standardise the reporting format of the forms to percentages for example: 20%, 50%, 72%, etc... for consistency and clarity (**Appendix F**).

5.106 The monitoring and reporting scheme for regional collaborative ATFM implementation measures State implementation of the performance expectations specified in Section 7 of this document.

5.107 Asia/Pacific Administrations should report their implementation status to the ICAO Asia/Pacific Regional Office at least once annually, by no later than 28th February each year. Reported implementation status will be examined each year by the ATFM/SG, or other appropriate regional body designated by APANPIRG, to measure, report and advance regional implementation progress, and to recommend priority ATFM elements to be added to the APAC Seamless ANS monitoring and reporting scheme.

5.108 It is expected that the relevant ATFM expert/s in each Administration will be responsible for the detailed reporting in the Regional ATFM Monitoring and Reporting form, and that these experts will then liaise closely with their Administration's Seamless ANS reporting point of contact to ensure the accuracy of the higher-level reporting and consistency between the separate reporting levels.

5.109 The Regional ATFM Monitoring, and Reporting Form is provided at **Appendix F**, and is available on the ICAO APAC e-Documents webpage at <https://www.icao.int/APAC/Pages/eDocs.aspx>.

## APAC REGIONAL ATFM IMPLEMENTATION – CURRENT STATUS

### Background

6.1 The Fifth Meeting of the Air Traffic Management Sub-Group of APANPIRG (ATM/SG/5, Bangkok, Thailand, 31 July to 04 August 2017), agreed to the use of a Regional ATFM Monitoring and Reporting Form that would be used to analyse ATFM implementation against the performance objectives of the Regional Framework for Collaborative ATFM with the following Conclusion:

**Conclusion ATM/SG/5-3: Asia/Pacific Regional Framework for Collaborative ATFM Amendment**

*That,*

*1 the Regional Framework for Collaborative ATFM be amended to include the information and performance objectives in Appendix D to the Report; and*

*2. the ATFM Implementation Status Report form provided in Appendix E to the Report be included in the Regional Framework for Collaborative ATFM as an appendix and made available on the ICAO Asia/Pacific Regional Office website; and*

*3. Asia/Pacific Administrations are urged to report their ATFM implementation status at least once annually by no later than 30 April each year, using the ATFM Implementation Status Report Form.*

6.2 The Regional ATFM Monitoring, and Reporting Form is provided at **Appendix F**, and is available on the ICAO APAC e-Documents webpage at <https://www.icao.int/APAC/Pages/eDocs.aspx>.

6.3 States report implementation of the performance expectations of the Regional Collaborative ATFM Framework using the above reporting form.

6.4 The reporting form provides evidence of implementation of ATFM, which States are obliged to implement in accordance with the standards of Annex 11. Non-reporting will be treated in the same way as non-implementation for the purpose of ICAO reporting to ATM/SG and APANPIRG.

6.5 The ATFM Implementation Status Report form provides for two tiers of status reporting:

**A:** *Administrations that are expected, or intend, to implement and distribute cross-border ATFM measures under the terms of the Performance Improvement Plan of the Asia/Pacific Regional Framework for Collaborative ATFM; and*

**B:** *Administrations that are not expected to implement and distribute cross-border ATFM measures as described in A.*

*Note: Administrations that are not expected to implement and distribute cross-border ATFM measures are expected to implement a number of other elements of the Regional Framework for Collaborative ATFM in order to support regional cross-border ATFM.*

6.6 Administrations reporting against Tier B implementation should note the Regional Framework for Collaborative ATFM and Asia/Pacific Seamless ATM Plan elements referring to *ATFM Program Airports, High Density Airports and High-Density FIRs*, and commence reporting against Tier A elements where any of their aerodromes or FIRs met these criteria.

## Asia/Pacific Regional Framework for Collaborative ATFM

6.7 In alignment with the methodology applied to assessment of implementation status in several significant ATM technical fields in the APAC Region, implementation status of each Administration is assessed as Robust (90 – 100% implementation), Marginal (70 – 89%) or Incomplete (0 – 69%).

### Analysis

6.8 The collated Regional ATFM implementation status data as reported in the last five years, is provided in **Table 3** below. It summarizes current implementation status. Administrations that have filed reports against the incorrect reporting tier, or have not reported at all, are indicated accordingly.

*Note: The letters (A)/(B) indicate the tier of implementation status of the State ( reported or otherwise).*

| Administration<br>(Tier)      | Reports Received |           |           |           |           | Implementation Status (2022) |
|-------------------------------|------------------|-----------|-----------|-----------|-----------|------------------------------|
|                               | 2018             | 2019      | 2020      | 2021      | 2022      |                              |
| Afghanistan (B)               | No Report        | No Report | No Report | No Report | No Report | Did Not Report               |
| Australia (A)                 | yes              | yes       | No Report | Yes (87)  | No Report | Marginal                     |
| Bangladesh (B)                | yes              | yes       | No Report | Yes (13)  | Yes (13)  | Incomplete                   |
| Bhutan (B)                    | No Report        | No Report | No Report | No Report | No Report | Did Not Report               |
| Brunei Darussalam (B)         | No Report        | No Report | No Report | No Report | No Report | Did Not Report               |
| Cambodia (A)                  | yes              | yes       | Yes (63)  | No Report | Yes (82)  | Marginal                     |
| China (A)                     | yes              | yes       | Yes (87)  | No Report | No Report | Marginal                     |
| Hong Kong, China (A)          | yes              | yes       | No Report | Yes (89)  | Yes (89)  | Marginal                     |
| Macao, China (B)              | yes              | yes       | Yes (23)  | No Report | No Report | Incomplete                   |
| Cook Islands (B)              | No Report        | No Report | No Report | No Report | No Report | Did Not Report               |
| Fiji (B)                      | No Report        | No Report | No Report | No Report | No Report | Did Not Report               |
| France (French Polynesia) (B) | No Report        | No Report | No Report | No Report | No Report | Did Not Report               |
| DPR Korea (B)                 | No Report        | No Report | No Report | No Report | No Report | Did Not Report               |
| India (A)                     | yes              | yes       | yes       | Yes (92)  | Yes (84)  | Marginal                     |
| Indonesia (A)                 | yes              | yes       | yes       | Yes (71)  | Yes (63)  | Incomplete                   |

## Asia/Pacific Regional Framework for Collaborative ATFM

|                       |           |           |           |           |           |                |
|-----------------------|-----------|-----------|-----------|-----------|-----------|----------------|
| Japan (A)             | yes       | yes       | No Report | Yes (89)  | Yes (94)  | Robust         |
| Kiribati (B)          | No Report | No Report | No Report | No Report | No Report | Did Not Report |
| Lao PDR (A)           | No Report | No Report | No Report | No Report | No Report | Did Not Report |
| Malaysia (A)          | yes       | yes       | Yes (16)  | No Report | No Report | Incomplete     |
| Maldives (B)          | yes       | yes       | Yes (20)  | No Report | No Report | Incomplete     |
| Marshall Islands (B)  | No Report | No Report | No Report | No Report | No Report | Did Not Report |
| Micronesia (B)        | No Report | No Report | No Report | No Report | No Report | Did Not Report |
| Mongolia (B)          | No Report | No Report | Yes (39)  | No Report | Yes (40)  | Incomplete     |
| Myanmar (B)           | yes       | yes       | Yes (30)  | No Report | No Report | Incomplete     |
| Nauru (B)             | No Report | No Report | No Report | No Report | No Report | Did Not Report |
| Nepal (B)             | yes       | yes       | Yes (0)   | Yes (43)  | Yes (40)  | Incomplete     |
| New Caledonia (B)     | yes       | yes       | Yes (43)  | No Report | No Report | Incomplete     |
| New Zealand (A)       | yes       | yes       | Yes (44)  | No Report | Yes (67)  | Incomplete     |
| Pakistan (B)          | yes       | yes       | No Report | Yes (11)  | Yes (80)  | Marginal       |
| Palau (B)             | No Report | No Report | No Report | No Report | No Report | Did Not Report |
| Papua New Guinea (B)  | yes       | yes       | Yes (21)  | No Report | No Report | Incomplete     |
| Philippines (A)       | yes       | yes       | No Report | Yes (61)  | Yes (77)  | Marginal       |
| Republic of Korea (A) | yes       | yes       | No Report | Yes (82)  | Yes (87)  | Marginal       |
| Samoa (B)             | No Report | No Report | No Report | No Report | No Report | Did Not Report |
| Singapore (A)         | yes       | yes       | yes       | Yes (97)  | Yes (97)  | Robust         |
| Solomon Islands (B)   | No Report | No Report | No Report | No Report | No Report | Did Not Report |
| Sri Lanka (B)         | No Report | No Report | No Report | No Report | No Report | Did Not Report |

## Asia/Pacific Regional Framework for Collaborative ATFM

|                   |           |           |           |           |           |                |
|-------------------|-----------|-----------|-----------|-----------|-----------|----------------|
| Timor Leste (B)   | No Report | No Report | No Report | No Report | No Report | Did Not Report |
| Tonga (B)         | No Report | No Report | No Report | No Report | No Report | Did Not Report |
| Thailand (A)      | yes       | yes       | yes       | Yes (90)  | Yes (90)  | Robust         |
| Tuvalu (B)        | No Report | No Report | No Report | No Report | No Report | Did Not Report |
| United States (A) | yes       | yes       | No Report | Yes (94)  | No Report | Robust         |
| Vanuatu (B)       | No Report | No Report | No Report | No Report | No Report | Did Not Report |
| Viet Nam (A)      | yes       | yes       | No Report | Yes (34)  | Yes (34)  | Incomplete     |

**Table 3:** ATFM Implementation Status (as of October 2022)

6.9 Out of 39 States and two Special Administrative Regions in APAC Region, 23 Administrations have reported ATFM implementation status at least once in the last five years. The ATFM implementation of only three Administrations; Singapore, Thailand and United States, have been assessed as Robust.

6.10 The COVID-19 pandemic has caused significant traffic downturn and consequently the needs for ATFM measures has reduced. However, the implementation of cross-border ATFM has resulted in a collaborative environment over the years across many States. The ATFM platform has enabled exchange of information through web conferences and electronic data exchanges. The ATFM infrastructure at many States has also contributed during large scale airspace contingency scenarios.

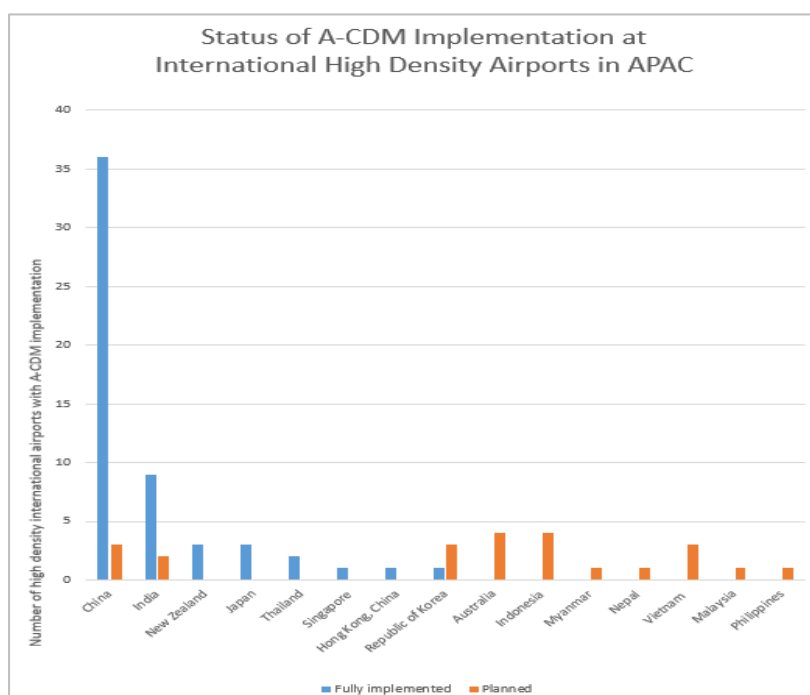
### ATFM and A-CDM Implementation Indicators

6.11 In the first ICAO's APAC Ministerial Conference on Civil Aviation in Beijing in January 2018, the Ministers in charge of civil aviation representing 36 governments endorsed the Beijing Declaration formalizing their shared commitments on high-priority aviation safety and efficiency objectives. Implementation of Air Traffic Flow Management (ATFM) and Airport Collaborative Decision Making (A-CDM) at all high-density airports and airspaces by 2022 is accorded top priority in the Beijing Declaration.

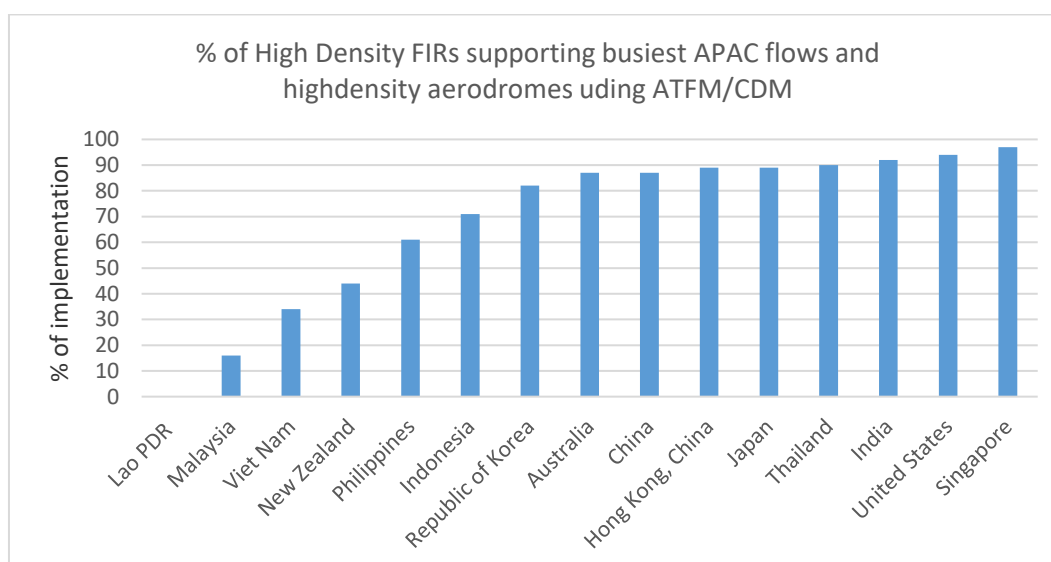
6.12 The following two indicators have been considered to reflect on the current implementation status as of 2022.

- Number of international high density airports with A-CDM implemented (**Figure 8**), and
- High density FIRs and FIRs supporting Major Traffic Flows and high-density aerodromes with ATFM/CDM implemented (**Figure 9**)

*Note: High Density airport = airport with 100,000 scheduled movements per annum or more (Asia/Pacific Seamless ATM plan, V2.0, September 2016)*



**Figure 8:** A-CDM Implementation in APAC Region Airports



**Figure 9:** ATFM Implementation in High Density FIRs (as per the Seamless Plan)

6.13 ATFM implementation is progressing in APAC but many efforts and collaboration among States are expected. Sub regional ATFM initiatives need to be harmonized to ensure full benefits of ATFM in APAC.

#### Multi-Nation, Cross Border ATFM Programs

6.14 Much progress has been made in multi-nation collaborative ATFM implementations, such as Asia Pacific Multi Nodal ATFM Collaboration (AMNAC) and Northeast-Asia Regional ATFM Harmonization Group (NARAHG). The following paras provide a brief update on each of the respective implementation programs.

Asia/Pacific Cross-Border Multi-Nodal ATFM Collaboration (AMNAC)

6.15 Following the development and adoption of Distributed Multi-Nodal ATFM Network concept as the foundation of regional ATFM in Asia/Pacific, several States/Administrations banded together for an Operational Trial project aimed at validating and operationalizing the concept in live environment. The initiative, originally named the Distributed Multi-Nodal ATFM Operational Trial, began in 2015 and followed a phased approach to methodically develop and validate cross-border distributed ATFM procedures for the region.

6.16 In the subsequent years, the initiative expanded both in terms of membership and operational experience. The initiative now comprises 11 Asia/Pacific States/Administrations with varying levels of ATFM maturity, structured such that they can contribute according to their needs and readiness. The expansion of membership has also allowed the initiative to introduce distributed ATFM measures in live environment, with network members utilizing the jointly developed Common Operating Procedure as a basis for administering and facilitating compliance to the measures. The ATFM measures, particularly the Ground Delay Programs (GDP), have been applied in various types of demand-capacity imbalances ranging from planned aerial events to unplanned capacity constraints at aerodromes or airspace and emergency infrastructure outages.

6.17 Recognizing the maturity of the initiative, members agreed to change the name of the initiative to Asia-Pacific Cross-Border Multi-Nodal ATFM Collaboration (AMNAC) and to transition the focus from operational trials to full implementation. Current focus areas now include improving the common operating procedure, expanding the AMNAC network, providing operational inputs to the development of SWIM-based ATFM information exchange, supporting members in upgrading their ATFM maturity, and harmonizing with other ATFM initiatives in the region.

Northeast-Asia Regional ATFM Harmonization Group (NARAHG)

6.18 In 2014, to respond to the rapidly increasing demand for traffic in Northeast Asia, China, Japan, and the Republic of Korea established a sub-regional ATFM group, “Northeast-Asia Regional ATFM Harmonization Group (NARAHG)”. The objective is to achieve the implementation and harmonization of ATFM/CDM procedures and practices to support international flights in Northeast-Asia to promote regional air traffic safety, capacity, and efficiency with the development of a concrete operational improvement. Thus, this appropriate Demand and Capacity Balance (DCB) will contribute to the ATM operation for all stakeholders.

6.19 To achieve the above-mentioned goal, NARAHG is manly working on:

- Sharing relevant and necessary information on the current air traffic situation;
- Developing an operational mechanism to support cross-border ATFM harmonization including regular joint ATFM post-operations analysis;
- Developing a harmonized technical and operational communication protocol/procedures/tool to support the associated agreed ATFM/CDM operations; and
- Coordinating the development of a technical and operational communications document defining the protocols and procedures for ATFM operations.

6.20 In addition, NARAHG is striving to operate a new conceptual ATFM measures by exchanging flight trajectory data in real time to mitigate the inefficiency caused by conventional ATFM measures such as MINIT, level capping or etc. In this regard, NARAHG members are cooperating to develop the operation procedure and ultimately promoting cross-border ATFM optimized for the environment and characteristics of the three States.

6.21 By continuously improving operating procedures and complementing the systems, sub-region can implement a harmonized seamless ATFM by an appropriate DCB. Maintaining an optimal DCB will contribute to the ATM operation for all stakeholders.

## PERFORMANCE IMPROVEMENT PLAN

***Note: prior to implementation, ATFM systems and procedures should be verified by safety assessment under State Safety Management Systems.***

### ATFM Related Performance Objectives of the Seamless ANS Plan

7.1 The Asia/Pacific Seamless ANS Plan, Version 3.0, November 2019, specifies performance objectives under Preferred Aerodrome/Airspace and Route Specifications (PARS) and Preferred ANS Service Levels (PASL), to be implemented in four phases:

- PARS/PASL Phase I – had an expected implementation by 12 November 2015 (Phase I elements that had not been completed as of 2019 were moved to Phase II);
- PARS/PASL Phase II – had an expected implementation by 07 November 2019;
- PARS/PASL Phase III - expected implementation by 03 November 2022; and
- PARS/PASL Phase IV – expected implementation by 27 November 2025.

7.2 ATFM-related performance objectives of the Seamless ANS Plan, summarized as follows, were taken into account in the formulation of Regional ATFM performance objectives specified in this Framework:

- *PARS/PASL Phase II*

7.1 *All international aerodromes should enable, in accordance with an Airport Master Plan, aerodrome management and coordination services:*

- a) *when traffic density requires, an appropriate apron management service to regulate aircraft operations in coordination with ATS;*
- c) *regular airport capacity analysis, which included a detailed assessment of passenger, airport gate, apron, taxiway and runway capacity.*

7.3 *All international aerodromes should operate an A-CDM system for ACIS integrated with the ATM network function.*

7.16 *Civil-Military Airspace expectations are as follows:*

b) *SUA should be regularly reviewed to ensure the activities that affect the airspace, and size and timing of such activity are accurately reflected by the SUA type, dimensions, activation notice and duration of activation.*

7.17 *States should implement regulations supporting the integration of UAS operations in non-segregated airspace, using a risk-based approach and in accordance with the Asia/Pacific Regional Guidance for the Regulation of UAS, as a minimum.*

7.32 *All international aerodromes where ATFM facilities are required should be served by AMAN/DMAN facilities.*

*Note: All AMAN systems should take into account airport gates for runway selection and other aircraft departures from adjacent gates that may affect arriving aircraft.*

7.36 *ATC units should conduct Airspace Planning and enable systems that manage direct and flexible routings where practicable, and the optimal operation of FUA.*

7.37 *All ATC Sectors should have a nominal aircraft capacity figure based on a scientific capacity study and safety assessment, to ensure safe and efficient aircraft operations.*

7.38 *All ACCs operating within FIRs where demand may exceed capacity should implement ATFM incorporating CDM to enhance capacity, using bi-lateral and multi-lateral agreements, initial integration of ASM with ATFM, Collaborative Network Flight Updates, Basic Network Operation Planning and Initial Airport/ATFM slots, A-CDM Network Interface and Dynamic Slot Allocation.*

7.41 *Aeronautical meteorological observations, forecast, warning, climatological and historical products (such as aerodrome meteorological forecasts and reports, aerodrome warnings and wind shear warnings) should be disseminated to users, and in accordance with global and regional guidance material. An agreement between the MET authority and the appropriate ATS authority should be established to ensure the appropriate exchange of meteorological information obtained from aircraft.*

7.44 *Civil-Military ATM expectations are as follows:*

*a) a national Civil-Military body should be formed to coordinate strategic civil- military activities (military training should be conducted in locations and/or at times that do not adversely affect civilian operations, particularly those associated with major aerodromes);*

- *PARS/PASL Phase III*

7.18 *All international aerodromes should operate an A-CDM system integrated with the ATM network, and an AOP and where practicable an APOC.*

7.46 *All ATC units providing services to international aerodromes should operate extended arrival metering.*

7.51 *ACCs should enable, where practicable, Free Route Airspace, RNP routes, Advanced FUA and Airspace Management (ASM), Dynamic Sectorisation, Enhanced Conflict Detection Tools and Conformance Monitoring and Multi-Sector Planner Function.*

7.52 *All ACCs operating within FIRs where demand may exceed capacity should operate systems that enable, where applicable, Short Term ATFM measures, Enhanced NOPS Planning, Enhanced integration of airport operations and NOPS planning, Enhanced Traffic Complexity Management, Full integration of ASM with ATFM, Initial Dynamic Airspace configurations, Enhanced ATFM slot swapping, Extended Arrival Management, ATFM Target Times and Collaborative Trajectory Options Programme supporting the integration of time-based management within a flow centric approach.*

7.56 *All States should ensure that aeronautical meteorological products supported by automated decision systems or aids using IWXXM.*

7.3 The regional ATFM performance objectives specified in Section 7 of this framework – Performance Improvement Plan, complement and where necessary expand upon the performance objectives of the Seamless ANS Plan.

Structure of the Performance Improvement Plan

7.4 Regional collaborative ATFM performance objectives are arranged in Regional ATFM Capability phases aligned, where practicable, with Phases I – IV of the Asia/Pacific Seamless ANS PARS and PASL.

7.5 Recognizing the short lead time between the finalization of the Version 3.0 of the Framework and PARS/PASL Phase I, Regional ATFM Capability Phase I were further divided into sub-phases A and B, with expected implementation 12 November 2015 and 25 May 2017 respectively.

7.6 Also recognizing the substantial performance expectations in PARS/PASL Phase III, the different update cycles between the Asia/Pacific Seamless ANS Plan and the Framework, the significant impact due to COVID-19 pandemic, and the potential benefits of a more granular progress tracking, Regional ATFM Capability Phase III is further divided into sub-phases A and B, with expected implementation of 03 November 2022 and November 2025.

*Note: No ATFM-related initiative is identified in PARS/PASL Phase IV of the Asia/Pacific Seamless ANS Plan, version 3.0 (November 2019).*

7.7 Accordingly, the various phases of Regional ATFM Capability can be summarized as follows:

- Phase I A, expected implementation by 12 November 2015 (aligned with Seamless ANS Plan Phase I);
- Phase I B, expected implementation by 25 May 2017;
- Phase II, expected implementation by 07 November 2019 (aligned with Seamless ANS Plan Phase II);
- Phase III A, expected implementation by November 2022; and
- Phase III B, expected implementation by November 2025.

7.8 At the time of this edition (2022), the deadline for phases IA, IB, and II capability implementation has passed. The expected capabilities for those phases are still retained in the document for reference as States/Administrations may not have implemented all elements in those phases yet.

7.9 Performance objectives are presented under the following general structure for each Regional ATFM Capability Phase, where relevant:

- ATFM and Other Related Regulations;
- ATFM System;
- Strategic ATFM, Pre-Tactical ATFM or Tactical ATFM;
- Capacity and Demand Monitoring and Analysis;
- Capacity Improvement;
- ATFM Execution;
- ATFM Measures;
- Post-Operations Analysis;
- ATFM/A-CDM Integration; and
- Civil-Military ATM Coordination.

ATFM Program Airports

- 7.10 ATFM Program Airports, referenced in the performance objectives are:
- Airports where strategic slot allocation is implemented; and
  - All other airports designated by the relevant authority as requiring or potentially requiring ATFM implementation.

**REGIONAL ATFM CAPABILITY PHASE IA**

*Expected implementation by 12 November 2015*

ATFM Regulations

- 7.11 Air traffic flow management (ATFM) shall be implemented for airspace where air traffic demand at times exceeds, or is expected to exceed, the declared capacity of the air traffic control services concerned.

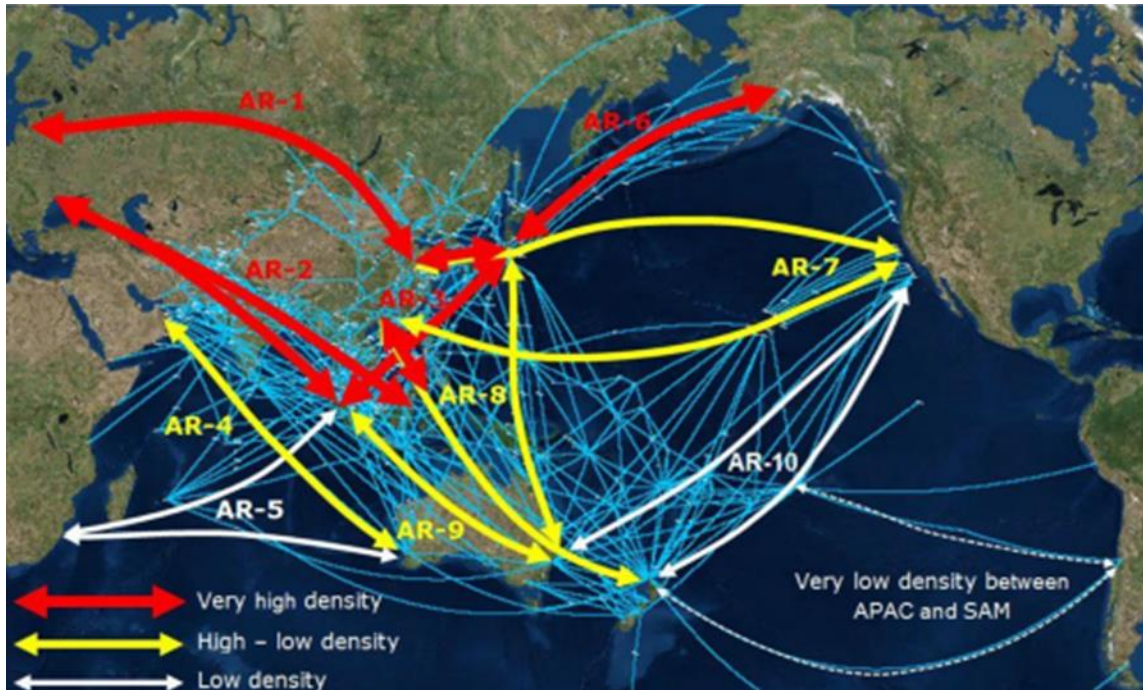
*Annex 11 to the Convention on Civil Aviation section 3.7.5.1 refers.*

Strategic Capacity and Demand Monitoring and Analysis

- 7.12 A regular program of bi-annual strategic airport and airspace capacity and demand analysis should be implemented for all international airports and associated terminal area airspace, and for all en-route ATC sectors supporting the homogeneous ATM areas and major traffic flows identified in the Asia and Pacific Regions (**Figure 10**), including consideration of:

- CNS systems;
- ATC resources and capability;
- ATC separation standards and techniques;
- runway occupancy times;
- seasonal schedules; and
- historical traffic data and traffic growth forecasts

- 7.13 Where strategic analysis indicates that demand does not yet exceed capacity, preparation for the implementation of ATFM capability should be based on careful analysis of current traffic and expected growth in the next 5 years.



**Figure 10:** Homogeneous ATM Areas and Major Traffic Flows Identified in the Asia and Pacific Regions (*Source: Asia and Pacific Regions (APAC) Air Navigation Plan, Volume II, April 2022*)

#### Pre-Tactical Capacity and Demand Monitoring and Analysis

7.14 Daily pre-tactical airport and airspace capacity and demand analysis should be conducted for all ATFM Program Airports and associated terminal area airspace, and for all en-route ATC sectors supporting the busiest Asia/Pacific city pairs, including consideration of:

- i. expected runway and airspace configurations;
- ii. forecast meteorological phenomena;
- iii. ATC resources, facilities and equipment;
- iv. other known or expected capacity constraints; and
- v. updated flight schedule and flight plan information.

#### Pre-Tactical ATFM Execution

7.15 ATFM Daily Plan (ADP) for all ATFM Program Airports and associated terminal area airspace, including airport and airspace capacity declarations and related background information, should be prepared, and distributed to all relevant stakeholders.

7.16 ADP should be distributed to stakeholders by either:

- i. Web-based ATFM network; or
- ii. Webpages hosted by each participating ANSP; or
- iii. Email distribution.

*Relevant stakeholders include:*

- a) *Neighboring ATFMUs or, where not provided, ATSUs*

- b) *ATSUs supported by the originating ATFMU;*
- c) *Relevant airport operators; and*
- d) *Participating aircraft operators.*

7.17 ADP should be coordinated by the responsible ATFMU or ATSU and agreed with all relevant stakeholders, through chairing and/or participation in scheduled and, where necessitated by changes in airport or airspace capacity or other events, ad-hoc ATFM conferences for pre-tactical ATFM planning.

#### Post-Operations Analysis

7.18 The accuracy and effectiveness of capacity and demand analyses and ADP preparation and distribution, including supporting information listed in paragraph 7.7, should be verified through comparison with operational outcomes observed, and rectification of discrepancies included in planning for system and process improvements.

### **REGIONAL ATFM CAPABILITY PHASE IB**

*Expected implementation by 25 May 2017*

#### ATFM Systems

7.19 Operational FPL and ATS message distribution systems and processes should be analyzed and, where necessary, modified to ensure that FPL, CHG, DEP, DLA and CNL messages are originated, distributed and processed in accordance with the requirements specified in ICAO Doc.4444 PANS-ATM.

7.20 Requirements should be published in all relevant State AIP, specifying that, except where necessary for operational or technical reasons, FPL should be submitted not less than 3 hours prior to EOBT.

7.21 Where the delay is the result of a GDP, CTOT and other slot allocation information originated from the ATFM unit responsible for the destination airport shall be made available to the airlines, relevant ATS unit and ATFM units.

7.22 A DLA message should be transmitted when the departure of an aircraft, for which basic flight plan data FPL has been sent, is delayed by more than 15 minutes after the estimated off-block time contained in the basic flight plan data.

7.23 Subject to local ATFM procedures, the responsibility for the origination of DLA messages should be of the departure ATS Unit, the airspace user, or any other authorized unit. Subsequent transmission of the DLA message would be in accordance with the provisions of ICAO Doc 4444 PANS-ATM.

7.24 Appropriate procedures should be implemented to ensure that FPL are not discarded from other ATM systems because of ATFM delay.

7.25 ATFM, AMAN/DMAN and A-CDM systems should be integrated using common fixes, terminology, and communications protocols to ensure complementary operations. The implementation of an integrated ATFM and A-CDM network will complement each other and together create a seamless air traffic environment.

## Asia/Pacific Regional Framework for Collaborative ATFM

*Note: FIXM version 3.0 or later, extended where necessary is the agreed format for exchange of ATFM information in the Asia/Pacific Region.*

*Remark: "The current version of FIXM core provision is version 4.2. The agreed ATFM information exchange model for in the Asia/Pacific region is therefore changed to FIXM version 4.2 (or later), extended where necessary. This expectation is reflected in the Regional ATFM Capability Phase IIIB"*

*Note: Where SWIM-based ATFM communications capability is not yet established, ATFM messages identified in the Asia/Pacific AFTN/AMHS-Based Interface Control Document for ATFM may be used for distribution of ATFM measure information via AFTN/AMHS in the interim.*

### Capacity Improvement

7.26 Airport and terminal airspace capacity should be increased through optimized ATC separation standards and techniques and reduced runway occupancy at all ATFM Program Airports and in associated terminal area airspace.

### Strategic ATFM Execution

7.27 Implement strategic airport slot allocation at all international airports, for periods where demand significantly exceeds the airport's capacity.

### Pre-Tactical Capacity and Demand Monitoring and Analysis

7.28 Pre-tactical modelling of expected airport and airspace configuration and traffic demand, and the effect of ATFM measures, should be implemented for all ATFM Program Airports and associated terminal area airspace.

### Pre-Tactical ATFM Execution

7.29 CDM capability should be implemented, enabling the sharing of all relevant information with all stakeholders, providing continuous availability of information and common reference material for daily and ad-hoc ATFM conferences.

### Tactical Capacity and Demand Monitoring and Analysis

7.30 Dynamic update of airport and airspace capacity constraints, capacity calculation, demand information using schedule, flight plan and ATS messaging, and ATM system information and modelling of tactical ATFM programs should be implemented.

### Tactical ATFM Execution

7.31 Tactical ATFM at ATFM Program Airports should be implemented when required using:

- Ground Delay Programs (GDP) with the assignment of Calculated Take-Off Time (CTOT), or
- Minutes in trail (MINIT) or miles in trail (MIT) or other ATFM measures specified in ICAO Doc 9971 – Manual for Collaborative ATFM.

*Note: MINIT and MIT can increase the workload of upstream ATSUs and can result in extensive and unpredictable delays as the requirements traverse many FIRs. Extended use of these measures should therefore be minimized.*

7.32 All States should ensure that local ATC procedures and, where available, CDM processes facilitating compliance with received CTOT are implemented. (Reference to **Appendix B**)

*Note 1: At controlled aerodromes, CTOT compliance should be facilitated through the cooperation of the aircraft operator and the issuance of ATC clearances. As a minimum, CTOT should be made available to the relevant ATC tower and the aircraft operator;*

*Note 2: For flights departing aerodromes where an ATC service is not provided, CTOT information should be made available to the aircraft operator and the first ATS unit providing services to the flight.*

*Note 3: States planning to implement ground delay programs should ensure adequate time is provided for local procedure development and promulgation at aerodromes where CTOT will be applied.*

7.33 CTOT for individual aircraft should, where necessary, be revised or cancelled.

7.34 Tactical ATFM should be implemented for operations through constrained airspace sectors, only during periods affected by the constraint.

7.35 As far as practicable, individual aircraft should not be subject to more than one tactical ATFM measure per flight.

#### Post-Operations Analysis

7.36 Procedures and agreements should be developed to ensure post-operational analysis of cross-border ATFM programs, including the canvassing and consideration of feedback from airspace users, airports operators, ATS and other ATFM units. Daily collaborative conferences among stakeholders should be held, supplemented where necessary by ad-hoc conferences called to assess the outcomes of programs of ATFM measures responding to non-normal situations.

7.37 The results of post-operations analyses should be used for planning ATFM, airspace and ATS route improvements.

*The Asia/Pacific ATFM Post-Operations Analysis Recommended Framework, which can be found at ICAO Asia/Pacific eDocument webpage, provides guidance on ATFM post-operations analysis.*

## **REGIONAL ATFM CAPABILITY PHASE II**

***Expected implementation by 07 November 2019***

### ATFM Systems

7.38 ATFM information distribution capability utilizing FIXM Version 3.0 (or later) \* should be implemented for the exchange of flight specific ATFM information including CTOT, CTO, and CLDT.

*\*Note: "The current version of FIXM core provision is version 4.2. The agreed ATFM information exchange model for in the Asia/Pacific region is therefore changed to FIXM version 4.2 (or later), extended where necessary. This expectation is reflected in the Regional ATFM Capability Phase IIIB"*

- 7.39 ATFM systems implemented should have the following capabilities
- i. Capability to share ATFM Daily Plan (ADP) providing information on demand/capacity imbalance issues and planned/expected ATFM measures;
  - ii. Capability to allocate ATFM slots and distribute necessary information such as CTOT, CTO, and CLDT when slot-based measures such as Ground Delay Program are to be used;
  - iii. Capability for authorized users to manage ATFM slots through revision, cancellation, and swapping; and
  - iv. Capability to monitor or collect data for the purpose of monitoring and reporting ATFM slot compliance, whether real-time automated or as part of post-operations analysis process.
- 7.40 Full interoperability of cross-border ATFM, A-CDM, AMAN, DMAN, ATM automation and airspace user systems should be implemented, to provide seamless gate-to-gate collaborative ATFM operations

Pre-Tactical Capacity and Demand Monitoring and Analysis

- 7.41 Automated modelling of expected airport and airspace configuration and traffic demand, and the effect of ATFM measures, should be implemented for all ATFM Program Airports and associated terminal area airspace and, where possible, en-route airspace supporting the busiest Asia/Pacific Region city pairs and high-density major traffic flows.

Tactical Capacity and Demand Monitoring and Analysis

- 7.42 Meteorological services to support ATM in the terminal area (MSTA) should be implemented, including near-term or now-casting forecasts of convective weather activity at or affecting ATFM Program Airports and associated instrument approach procedures, terminal area ATS routes and holding points and other significant locations.

*Note: Annex 3 requires that States ensure the quality management of meteorological information.*

Tactical ATFM execution

- 7.43 ATFM measures should be applied to flights through constrained airspace.
- 7.44 Ground Delay Programs utilizing CTOT should be applied when appropriate to:
- i. aircraft destined for constrained ATFM Program Airports, that have not yet departed; and
  - ii. aircraft planned to operate through constrained airspace where tactical ATFM measure CTO at RFIX or AFIX is in place, that have not yet departed.
- 7.45 ATFM systems should have the capability to consider long haul flights.
- 7.46 Systems should be in place to ensure the timely update of estimate information for airborne aircraft.

7.47 A-CDM-related performance expectations - A-CDM-related performance expectations at A-CDM program airports are illustrated in Chapter 10 of Asia/Pacific A-CDM Implementation Plan to map with APAC Seamless ANS Plan and Regional Framework for Collaborative ATFM expectations. See Appendix III-D of Doc 9971 for a list of sample key performance indicators for A-CDM systems.

### **REGIONAL ATFM CAPABILITY PHASE IIIA**

***Expected implementation by 3 November 2022***

#### **Capacity and Demand Monitoring and Analysis**

7.48 Regular reviews of airspace usage, including areas operated under the FUA concept, should be conducted; considerations should be given to identifying and mitigating bottlenecks using techniques including – inter alia – direct and flexible routings and optimal sharing of civil/military airspace based on up-to-date demand information.

#### **ATFM/A-CDM Integration**

7.49 A-CDM should be implemented at international aerodromes and, when implemented, should be integrated with ATFM operations with appropriate information exchange between the two systems and processes.

#### **Civil-Military ATM Coordination**

7.50 National Civil-Military ATM Coordination body should be established to enable strategic, pre-tactical, and tactical airspace management (ASM), allowing closer coordination between civil and military airspace authorities and effective usage of airspace appropriate to both civil traffic demand and military mission requirements.

7.51 Special Use Airspace (SUA) should be regularly reviewed under the auspice of Civil-Military ATM Coordination body to ensure optimal use of all airspace areas in accordance with the flexible use of airspace (FUA) concept.

### **REGIONAL ATFM CAPABILITY PHASE IIIB**

***Expected implementation by 2025***

#### **ATFM and Other Related Regulations**

7.52 Appropriate regulations should be established to support the integration of UAS operations in non-segregated airspace to ensure the safe and efficient operations of manned aircraft, especially when ATFM measure is applied.

#### **ATFM Systems**

7.53 ATFM information distribution capability utilizing FIXM version 4.2 (or later), extended where necessary, should be implemented for the exchange of flight specific ATFM information.

7.54 ATFM, AMAN/DMAN, and A-CDM systems should be integrated through effective cross-platform information exchange, utilizing FIXM version 4.2 (or later) with necessary extension to facilitate common-format exchanges.

Strategic Capacity Improvement

7.55 Techniques and tools to enhance ATC capacity including Free Route Airspace, RNP routes, ASM concept with FUA operations, dynamic sectorization, and enhanced conflict detection should be explored and implemented based on safety case and cost-benefit analysis.

Tactical Capacity and Demand Monitoring and Analysis

7.56 Meteorological information exchange with ATM and ATFM systems should be in IWXXM version 3.0 or later.

Tactical Capacity Improvement

7.57 Extended arrival metering for international aerodromes should be explored and, if deemed necessary, implemented in collaboration with adjacent States to increase predictability and enhance arrival management effectiveness across FIRs.

Tactical ATFM Execution

7.58 Advanced/enhanced ATFM-related solutions including – inter alia – ASM/ATFM full integration, dynamic airspace configurations, target time operations, and collaborative trajectory options should be explored and implemented based on stakeholders' needs, safety case, and cost-benefit analysis.

## RESEARCH AND DEVELOPMENT POSSIBILITIES

### Research and Development

8.1 Version 3.0 of the Regional Framework for Collaborative ATFM provided the initial framework for implementation of a distributed multi-nodal ATFM network, as envisaged in the Regional ATFM Concept of Operations. This concept will continue to develop as experience is gained through trials and subsequent operational implementation. The Framework is therefore iterative in nature and will require regular update in the medium term.

8.2 Further research and development of the distributed multi-nodal ATFM network concept will largely be conducted by ATFM/SG participating States through their operations trial programs, consistent with Principle 36 of the Asia/Pacific Seamless ATM Plan Principle 36 – ‘Clustering’ for the research, development and implementation of ATM projects. The outcomes of trials and lessons learned from operational deployment will be considered by ATFM/SG for the improvement and updating of the Framework.

### ATFM information sharing

8.3 To achieve a seamless information sharing among ATFM Nodes, while at the same time being able to maintain the flexibility to accommodate new users and additional customized functions of individual ATFM systems, a system-to-system connection designed according to ICAO System-Wide Information Management (SWIM) concept has been identified as viable and suitable solution for the Distributed Multi-Nodal ATFM operation.

8.4 SWIM Task Force along with ATFM technical team has undertaken work towards drafting the technical specifications for system-to-system connection and the exchange of ATFM data over a regional SWIM infrastructure (CRV).

8.5 To support the ATFM information exchange for cross-border ATFM operations and ATFM/A-CDM integration in the Asia/Pacific Region, the Asia/Pacific SWIM Task Force (SWIM TF), developed the Flight Information Exchange Model (FIXM) version 4.1 Extension. Currently FIXM version 4.2, extended where necessary, is the agreed ATFM information exchange model for exchanging ATFM data between ATFM systems in the Asia/Pacific Region

8.6 Subject to the availability of the baseline SWIM specifications for ATFM service and CRV connectivity, further review of the feasibility of system technical trials on CTOT Distribution, CTOT Revision, CTOT Cancellation, and CTOT Request to enable its long-term development of ATFM SWIM-based technical specifications will be required.

### Collaborative ATFM Concept Developments

8.7 The following concepts should be researched, and developed, for implementation in the Asia/Pacific Region:

- a. Delay Absorption Intent – included in the Regional ATFM Concept of Operations, provides aircraft operators with the flexibility to choose how to distribute the delay assigned by an ATFM measure to various phases of flight. Not yet included in the ATFM Performance Improvement Plan, this concept has the potential to improve outcomes by increasing the number of aircraft participating in the program, through the application of ATFM delays to longer distance flights that are currently exempt from ground delay programs. The development of this concept will be undertaken in trials before then being potentially included in the broader Framework.

- b. The ASBU module NOPS Block 1 aims to introduce enhanced processes to manage flows and improve overall fluidity. One of the main features of ATFM in Block 1 includes the development of “management of arrival/overfly times Targeted time of arrival (TTA)/ Targeted time over (TTOs)”. With TTA/TTOs, it is envisaged that the intended ATFM measures would be more encompassing, increasing dynamism of flow management, while ensuring equity in delay management. This enhanced ability must be complimented by continuous developments on the reliable and precise information sharing, and exchange mechanism, which eventually will also form the foundation for implementation of System Wide Information Management (SWIM) and Flight and Flow in Collaborative Environment (FF-ICE).
- c. Application of ATFM Measures to Long Range Flights – will improve equity in ATFM processes, and contribute to better outcomes in those ATC sectors where long range flights are currently exempt from all but minimal en-route delays. This will require further development of ATFM measures the CTO ATFM measure, and the formulation of regionally agreed limits on the total ATFM+AMAN delay that may be applied to long range and ultra-long-range flights. The LR-ATFM trials in the future could also provide the opportunity to experiment with inter-airline ATFM slot negotiation as airlines would have the best knowledge of their business model and operating cost index.
- d. Interoperability of ATFM, AMAN/DMAN and A-CDM systems – will require ANSPs and airport operators to collaboratively develop their local operational letters-of-agreement to incorporate procedures and practices optimizing gate-to-gate flow management of flights.
- e. Development and implementation of interoperability among A-CDM and ATFM platforms should incorporate considerations of relevant milestones involved, open standards for sharing data with systems across border, alignment of compliance criteria in A-CDM and ATFM and coordinated timing for data exchange matched with data availability timeline. Further exploration will be needed on A-CDM and ATFM enabling systems and integration solutions including SWIM concepts and infrastructure and how SWIM and existing XMLs can support connecting the two disciplines for an overall improvement in benefits for service providers and aircraft operators. Specifically, the developments related to ACDM B1 modules on Airport Operations Plan data sharing which support strategic flow management and refinement of ATFM Daily Plan will need to be explored.
- f. Collaborative Trajectory Options – provide for flexible routing options that permit aircraft operators to elect to re-route flights via longer trajectories to avoid constrained airspace and take advantage of the reduction or removal of ground delay (or en-route delay, where implemented) that would be imposed if the flight continued through the constrained airspace. A collaborative trajectory options program would significantly improve the safety and efficiency of ATM in cases of large-scale weather deviations (LSWD) such as those experienced in the cyclonic weather season in the Bay of Bengal and South China Sea areas, and contingency operations including the avoidance of airspace that is either unsafe (e.g. volcanic ash cloud) or unavailable. A collaborative trajectory options program would first require a full understanding of airspace capacity, which should be supported by a comprehensive study.

- g. The development of a collaborative trajectory options program in the Asia/Pacific Region, particularly in Southeast Asia, will require a coordinated multi-partite effort to improve the regional ATS route network and ATS surveillance/communications infrastructure, and to provide sufficient ATS route options for the program. ATS route specification and implementation of surveillance and communications infrastructure are included in the performance objectives of the Seamless ATM Plan.
- h. Network Collaborative Decision-Making – to provide mechanisms within the distributed multi-nodal ATFM network for the formulation of executive flow management decisions in the event of competing stakeholder priorities. This will require research and development of network- suitable automated decision-support tools and associated business rules. Operational experience in the distributed multi-nodal ATFM network environment will be key to identifying the potential challenges and formulating and testing strategies.
- i. Harmonization of Multiple Flow Management Programs – will ensure that all ATFM measures applied are collaboratively managed to ensure that individual flights are not unduly penalized by multiple measures in one flight, and that ATFM network outcomes are more predictable. Currently aircraft may be subject to independently applied en-route and airport ATFM delays, resulting in potentially unreasonable cumulative delay over the course of a flight. A significant amount of research is being conducted, and needs to be conducted, into the effects and harmonization of multiple flow programs in multiple FIRs.
- j. Development of SWM-based MET information services specifically addressing the needs of ATFM in the APAC region – APAC MET R WG is developing use cases and user requirements for SWIM-based MET information services supporting ATFM in the APAC region. It will also investigate assisting the SWIM TF in identifying and developing specifications of information services for exchange of MET information supporting ATFM operations.

**MILESTONES, TIMELINES, PRIORITIES AND ACTIONS**Milestones and Timelines

9.1 Section 7 (Performance Improvement Plan) provides milestones and timelines for a number of elements generally aligned with the Asia/Pacific Seamless ANS Plan PARS and PASL Phase I and II, being effective 12 November 2015 and 09 November 2018 respectively:

| <b>Regional ATFM Capability Phases</b> | <b>Expected Implementation of ATFM</b> | <b>Expected Implementation as per APAC ACDM Implementation Plan</b> | <b>Harmonized Milestones</b> |
|----------------------------------------|----------------------------------------|---------------------------------------------------------------------|------------------------------|
| <b>Phase I A</b>                       | 12 November 2015                       | -                                                                   | 2015                         |
| <b>Phase I B</b>                       | 25 May 2017                            | -                                                                   | 2017                         |
| <b>Phase II</b>                        | 07 November 2019                       |                                                                     | 2019                         |
| <b>Phase III A</b>                     | 2022                                   | 2022                                                                | 2022                         |
| <b>Phase III B</b>                     | 2024                                   | 2025                                                                | 2025                         |

9.2 States that have not yet implemented collaborative ATFM or having implementations that are not in accordance with the provisions of this Framework, should commence planning from the date of its approval by APANPIRG.

9.3 It should be noted, however, that the ATFM capability outlined in the Framework should be implemented as early as possible. The Framework timelines should under no circumstances be interpreted as limiting or deferring ATFM implementation where there is a current or expected need for it in an earlier timeframe than outlined.

Priorities

9.4 While it is a matter for each State to determine priorities in accordance with its own economic, environmental, safety and administrative drivers, States should be aware of the Asia/Pacific Regional Priorities adopted by APANPIRG, including ASBU B0-NOPS and the Annex 11 requirement for States to implement ATFM where there is a current or expected imbalance of demand and capacity.

Actions

9.5 This Plan is iterative in nature and will require further development as experience is gained in operational trials of the distributed multi-nodal ATFM network concept. ATFM/SG, under its terms of reference, should continue to oversee and coordinate the development of the concept and subsequent amendment of the Framework, facilitate the coordination and alignment of CDM/ATFM programs being conducted within the Region, and review the effectiveness of existing and planned ATFM programs.

## **APPENDIX A: COLLABORATIVE ATFM FRAMEWORK PRINCIPLES**

### People: Cultural and Political Background

- High-level political support (including development of educational information for decision-makers) to support Seamless ANS initiatives, including military cooperation and AIM.
- Education and implementation of non-punitive reporting and continuous SMS improvement systems
- Aviation Regulations, Standards and Procedures
- Harmonised regional or sub-regional rules and guidelines, modelled on the regional application of common regulations incorporated by reference into local legislation.
- Shared ATM operational standards, procedures, guidance materials through common manuals and templates.
- An emphasis on delivery of ATM services based on CNS capability, resulting in flexible, dynamic systems.

### ATM Coordination

- Sub-regional ATFM based on system wide CDM serving the busiest terminal airspace and MTF.
- Cross-border/FIR cooperation for use of aeronautical facilities and airspace, collaborative data sharing, airspace safety assessment and ATM Contingency planning.
- Encouragement of military participation in civil ATM meetings and in ATS Centres where necessary.

### Airspace Organisation

- Promoting flexible use airspace arrangements and regular review of airspace to ensure it is appropriate in terms of purpose, size, activation and designation.
- The optimisation of airspace structure through amalgamation and use of technology. (Asia/Pacific Seamless ANS Plan V3.0)

### Facilities: Aerodromes

- To encourage aerodrome operators to actively participate in ATM coordination in respect of Airport CDM development and operational planning, including aerodrome complexity and capacity.
- Planning and coordination with local authorities and government agencies to take into account environmental issues, obstacles, aerodrome and PBN development.

## Asia/Pacific Regional Framework for Collaborative ATFM

### ATS Units

- Collaboration by ANSPs for evaluation and planning of ATM facilities.
- Optimization of ATM facilities through amalgamation and the use of technology, including automation, satellite-based systems and remote facilities.

### Aeronautical Data

- Early implementation of AIM, including cooperative development of aeronautical databases and SWIM to support interoperable operations.

**APPENDIX B: CDM/ATFM TRIAL TIERED PARTICIPATION LEVELS**

ANSPs play a key role as Node Leaders in the ATFM Operations. Accurate traffic demand prediction and ATM resource outlook, effective issuance of ATFM measures, and coordination of CDM web/teleconference are important elements provided by ATFM Units and ATS Units within each ANSP. The Distributed Multi-nodal ATFM model has adopted a tiered participation level approach to provide the opportunity for ANSPs to participate based on their readiness and capability. The different participation levels also provide an avenue for ATFM Nodes to upgrade to a higher level as ATFM implementation becomes more ready and capable. It is recommended that ATFM nodes endeavour to be at Level 3 to implement Regional ATFM. The following tables outline responsibilities on the part of ANSPs at various participation levels.

| <b>Level 3 ANSPs</b>                             |                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|--------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Responsibilities</b>                          | <b>Descriptions</b>                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>General ATFM Responsibilities</b>             |                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>Demand-Capacity Prediction and Monitoring</b> | <ul style="list-style-type: none"> <li>• Predict traffic demand at ATM resources within the node through a range of data sources including schedules, airport slots, flight plans (FPLs) and ATS messages or other forms of flight progress updates</li> <li>• Predict capacities at ATM resources within the node</li> <li>• Assess demand-capacity imbalance</li> <li>• Monitor the accuracy of demand and capacity predictions</li> </ul> |
| <b>Local CDM Conference</b>                      | <ul style="list-style-type: none"> <li>• Host scheduled CDM conference with local stakeholders to prepare ATFM measures</li> </ul>                                                                                                                                                                                                                                                                                                           |
| <b>Cross-Border CDM Conference</b>               | <ul style="list-style-type: none"> <li>• Host or participate in cross-border CDM conference with other node leaders</li> </ul>                                                                                                                                                                                                                                                                                                               |
| <b>ATFM Daily Plan (ADP)</b>                     | <ul style="list-style-type: none"> <li>• Generate ADP to outline ATM situation for the day</li> </ul>                                                                                                                                                                                                                                                                                                                                        |
| <b>ATFM Measure Execution</b>                    | <ul style="list-style-type: none"> <li>• Ensure the effective implementation / revision / cancellation of ATFM measures in collaboration with local FMP/ATS units</li> <li>• Provide information on ATFM in a timely manner to relevant stakeholders in accordance to the cross-border procedures developed</li> </ul>                                                                                                                       |
| <b>ATFM Measure Effectiveness Monitoring</b>     | <ul style="list-style-type: none"> <li>• Monitor ATFM measure effectiveness and revise as appropriate to the developing situations</li> </ul>                                                                                                                                                                                                                                                                                                |
| <b>ATFM Post-Operations Analysis</b>             | <ul style="list-style-type: none"> <li>• Lead the collaborative effort to perform post-operations analysis after each round of ATFM program</li> </ul>                                                                                                                                                                                                                                                                                       |
| <b>Responsibilities Specific to GDP</b>          |                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>CTOT Distribution</b>                         | <ul style="list-style-type: none"> <li>• Generate CTOTs and distribute via appropriate channels preferably no less than 90 minutes before Estimated Off-</li> </ul>                                                                                                                                                                                                                                                                          |

|                                                  |                                                                                                                                                                                                                               |
|--------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                  | Block Time (EOBT) to support stakeholder's advance planning                                                                                                                                                                   |
| <b>Slot Management</b>                           | <ul style="list-style-type: none"> <li>Provide platform or protocol for slot management process (change, swap, remove, add)</li> </ul>                                                                                        |
| <b>Adherence to CTOT in departure management</b> | <ul style="list-style-type: none"> <li>Manage departure traffic in adherence to CTOT within the CTOT compliance window</li> </ul>                                                                                             |
|                                                  | <ul style="list-style-type: none"> <li>Include CTOT information as part of the air traffic control clearance when a given flight is subject to CTOT <small>Ref: ICAO Doc 9971, 3rd Ed., Part II, Chapter 6</small></li> </ul> |
|                                                  | <ul style="list-style-type: none"> <li>Ensure that local ATC procedures and CDM processes facilitating compliance with received CTOT are implemented</li> </ul>                                                               |

**Table 1: Level-3 ANSP Responsibilities**

| <b>Level 2 ANSPs</b>                             |                                                                                                                                                                                                                               |
|--------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Responsibilities</b>                          | <b>Descriptions</b>                                                                                                                                                                                                           |
| <b>General ATFM Responsibilities</b>             |                                                                                                                                                                                                                               |
| <b>Cross-Border CDM Conference</b>               | <ul style="list-style-type: none"> <li>Participate in cross-border CDM conference with other node leaders</li> </ul>                                                                                                          |
| <b>ATFM Post-Operations Analysis</b>             | <ul style="list-style-type: none"> <li>Participate in the collaborative effort to perform post-operations analysis by providing information from departure side</li> </ul>                                                    |
| <b>Responsibilities Specific to GDP</b>          |                                                                                                                                                                                                                               |
| <b>Adherence to CTOT in departure management</b> | <ul style="list-style-type: none"> <li>Manage departure traffic in adherence to CTOT within the CTOT compliance window</li> </ul>                                                                                             |
|                                                  | <ul style="list-style-type: none"> <li>Include CTOT information as part of the air traffic control clearance when a given flight is subject to CTOT <small>Ref: ICAO Doc 9971, 3rd Ed., Part II, Chapter 6</small></li> </ul> |
|                                                  | <ul style="list-style-type: none"> <li>Ensure that local ATC procedures and CDM processes facilitating compliance with received CTOT are implemented</li> </ul>                                                               |

**Table 2: Level-2 ANSP Responsibilities**

| <b>Level 1 ANSPs</b>                    |                                                                                                                                       |
|-----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|
| <b>Responsibilities</b>                 | <b>Descriptions</b>                                                                                                                   |
| <b>General ATFM Responsibilities</b>    |                                                                                                                                       |
| <b>Participation in Project Updates</b> | <ul style="list-style-type: none"> <li>Participate in regular project meetings to keep up to date with latest developments</li> </ul> |

|                                        |                                                                                                                                                                                                                                                                             |
|----------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>ATFM Education</b>                  | <ul style="list-style-type: none"> <li>• Educate local stakeholders and personnel on ATFM in preparation for capability upgrade</li> </ul>                                                                                                                                  |
| <b>ATFM Information Distribution</b>   | <ul style="list-style-type: none"> <li>• Distribute information about ATFM initiatives to local stakeholders, e.g. information about an active ATFM measure in the region, to ensure stakeholders are aware of the project progress and implementation elsewhere</li> </ul> |
| <b>Planning for Capability Upgrade</b> | <ul style="list-style-type: none"> <li>• Study the concept of ATFM and Distributed Multi-nodal ATFM operations</li> <li>• Assess the needs and plan for capability upgrade, especially if supplying traffic to FIRs serviced by Level-3 ANSPs</li> </ul>                    |

**Table 3: Level-1 ANSP Responsibilities**

## **APPENDIX C: DEMAND-CAPACITY BALANCING**

### General Principle

1. The implementation of Air Traffic Flow Management (ATFM) manages air traffic demand over airport or airspace where demand at times exceeds or is expected to exceed the capacity of the Air Traffic Control (ATC) services, to ensure that traffic demand is compatible with ATC capacity. The implementation of ATFM also facilitates and contributes to a safe, orderly, and expeditious flow of air traffic by ensuring that ATC capacity is optimised and utilised to the maximum extent possible.
2. In the APAC region, the regional concept for cross border ATFM is based on a Distributed Multi-Nodal ATFM Network concept, whereby each node is led by an agreed Air Navigation Service Provider (ANSP) as the Node Leader. The Node Leader is responsible for Demand-Capacity Monitoring and Balancing at ATM resources such as airports or airspace.
3. Demand and Capacity Balancing can be performed during the different ATFM phases, and it can start as early as during the strategic phase, to the pre-tactical phase and finally the tactical phase. More details on the individual ATFM phases can be found in Asia/Pacific Framework for Collaborative ATFM under the section of Background Information.

### Establishment of an accurate prediction for traffic demand

4. As specified in Chapter 5 of ICAO Doc 9971, an accurate picture of the expected traffic demand can be established when reliable and accurate flight intent data are provided for ATFM services. These data can be provided by the various organisations responsible for the authorisation or execution of flights. The following data associated with flight intent can be provided to ATFM services for use in demand predictions:
  - a. Airspace User (AU) marketing schedule data;
  - b. airport strategic slot data from the airport slot coordination process;
  - c. AU flight intent updates;
  - d. ANSP ATM automation system data (e.g., ATS messages via aeronautical fixed telecommunication network (AFTN) or ATS message handling systems (AMHS), or data provided by the flight data processing (FDP) component) including:
    - i. flight plans (FPL ATS message or comparable data);
    - ii. flight plan amendments (CHG ATS message or comparable data);
    - iii. flight plan cancellation (CNL ATS message or comparable data);
    - iv. indication of departure (DEP ATS message or comparable data)
    - v. indication of arrival (ARR ATS message or comparable data);
    - vi. indication of flight delay (DLA ATS message or comparable data); and
    - vii. flight coordination (CPL and EST ATS messages or comparable data);
  - e. aerodrome departure planning and arrival information;
  - f. correlated surveillance data (e.g., ADS-B, SSR, WAM, MLAT); and
  - g. aircraft position report (airspace user provided position report).

Planning, assessment and declaration of capacity

5. The assessment and declaration for the various types of capacities can be referenced from Asia/Pacific Framework for Collaborative ATFM, para 5.27 to 5.36.

Addressing demand and capacity imbalance

6. ATFM measures should generally be applied during periods when demand exceeds capacity. They are techniques used for the management of air traffic demand according to system capacity and should not be applied on a routine basis.

7. During the ATFM strategic phase, discussions should be conducted between Airspace Users (AUs) and capacity providers such as ANSPs and airports for the analysis of airspace, airport and ATS restrictions. In addition, significant meteorological phenomena and changes in seasonal meteorological condition should also be discussed. From these discussions, discrepancies between demand and capacity can be identified, and possible solutions can be jointly developed to address the demand capacity imbalance.

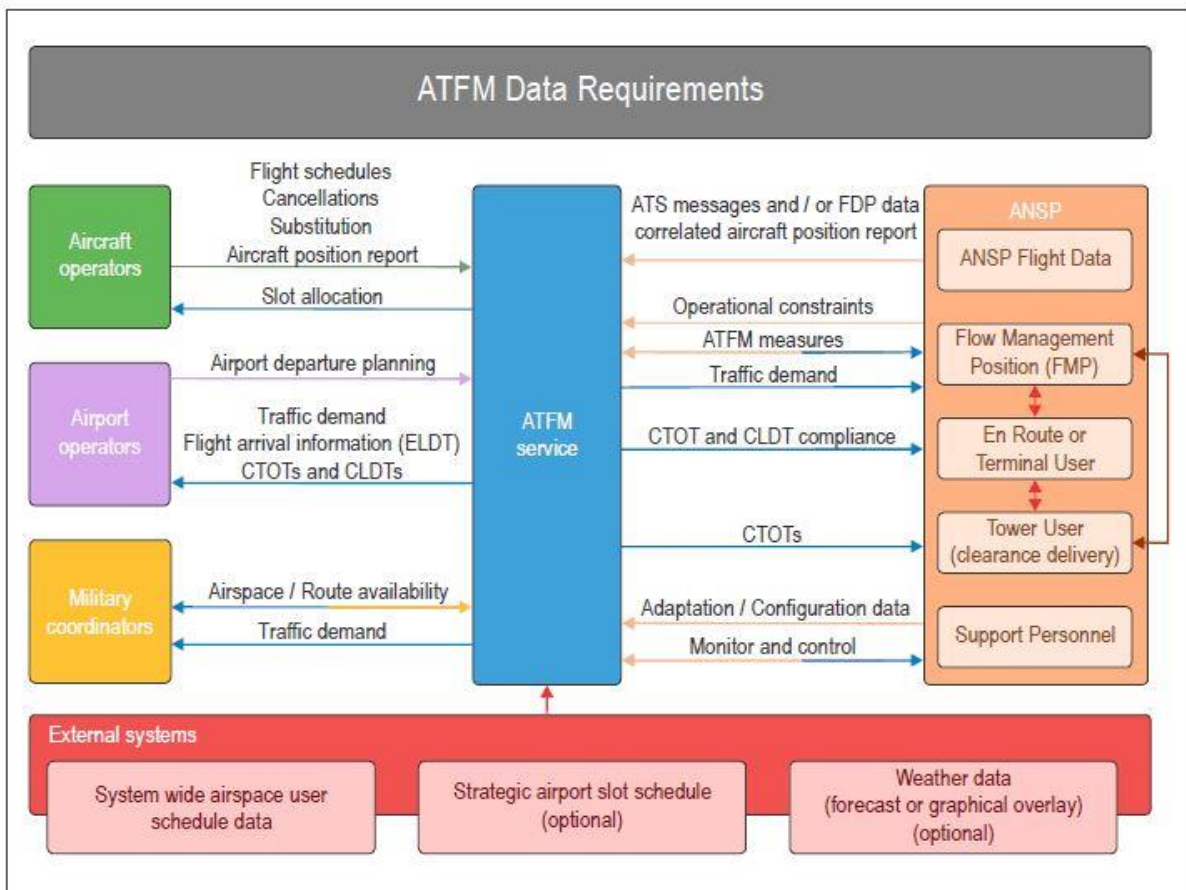
8. If demand-capacity imbalances cannot be resolved in the strategic phase, pre-tactical and tactical ATFM measures may be required. **Table 1** sets out the various types of ATFM measures which can be adopted during the ATFM pre-tactical and tactical phases. The table also outlines how these measures are applied, as well as the associated timeframe for its application. The list is not exhaustive and provides guidance on where the measures lie on the ATFM timeline.

9. For an effective provision of ATFM service, data exchange is required. As depicted in **Figure 1**, the data to be shared include information related to the flight intent, capacity, aerodrome, and airspace demand, ATFM measures, and CDM actions for the purpose of cooperation and coordination of air traffic flow management activities between ATFM stakeholders. There is also a requirement for the ATFM function to be regularly updated with information on the overall ATM resources (e.g., airspace status and aerodrome infrastructure) to understand the impact on the available capacity.

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| ATFM measure  | Constraint       |                    |          | Control mechanism                                                                                     | Time frame                | Requirements to be effective             |
|---------------|------------------|--------------------|----------|-------------------------------------------------------------------------------------------------------|---------------------------|------------------------------------------|
|               | Airport arrivals | Airport departures | Airspace |                                                                                                       |                           |                                          |
| GDP           | X                | X                  | X        | CTOT                                                                                                  | Pre-tactical and tactical | Participation in percentage and distance |
| Re-route      |                  |                    | X        | Flight path change to avoid constraint                                                                | Pre-tactical and tactical | Access to airspace and published routes  |
| Ground stop   | X                |                    |          | Prevent departures from specific aerodromes to address existing tactical load on an arrival aerodrome | Tactical                  |                                          |
| MIT/MINT      | X                |                    | X        | Time- or distance-based separation on a single stream of traffic                                      | Tactical                  |                                          |
| MDI           | X                |                    | X        | Time-based separation from departures from the same aerodrome                                         | Tactical                  |                                          |
| Fix balancing | X                |                    | X        | Flight path change to avoid                                                                           | Tactical                  |                                          |
| Level capping |                  |                    | X        | Flight path change to avoid                                                                           | Tactical                  |                                          |

**Table 1:** Summary of ATFM Measures



**Figure 1:** ATFM Data Requirements

**APPENDIX D: ATFM DAILY PLAN SAMPLE TEMPLATE**

|                      |                                                                                                  |
|----------------------|--------------------------------------------------------------------------------------------------|
| ATFM DAILY PLAN      | [ANSP or ATFM Unit Name]                                                                         |
| DATE / TIME OF ISSUE | [Date]/[Time of issuance]                                                                        |
| STATUS / REFERENCE   | [Status of the ADP, e.g., <i>New</i> , <i>Revision 1</i> , etc.]/<br>[FIR Name_YYYYMMDD_version] |

| CONSTRAINTS AND IMPACT |                         |         |       |                    |                                  |
|------------------------|-------------------------|---------|-------|--------------------|----------------------------------|
| LOCATION               | APPLICABLE PERIOD (UTC) |         |       | REMARK             | EXPECTED ARRIVAL DELAY (AVERAGE) |
| [Constraint Loc]       | [Date]                  | [Start] | [End] | [Constraint/Issue] | [Expected Delay]                 |
|                        |                         |         |       |                    |                                  |
|                        |                         |         |       |                    |                                  |

| ATFM MEASURE     |                           |         |       |                |                   |
|------------------|---------------------------|---------|-------|----------------|-------------------|
| LOCATION         | ATFM MEASURE PERIOD (UTC) |         |       | ATFM MEASURE   | CAPACITY IMPACT   |
| [Constraint Loc] | [Date]                    | [Start] | [End] | [ATFM measure] | [Capacity number] |
|                  |                           |         |       |                |                   |

| OTHER INFORMATION                                       |  |
|---------------------------------------------------------|--|
| [Pertinent weather information]                         |  |
| [Additional instruction, e.g., CTOT compliance windows] |  |
| [Contact information, web-conference address]           |  |
| [Additional remarks]                                    |  |

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### EXAMPLE ATFM DAILY PLAN

|                        |      |                         |
|------------------------|------|-------------------------|
| <b>ATFM Daily Plan</b> | RJJJ | 1504022000 - 1504031959 |
|------------------------|------|-------------------------|

| CAPACITY and CONSTRAINTS |                   |                            |                                   |
|--------------------------|-------------------|----------------------------|-----------------------------------|
| Location<br>(AD or SECT) | APPLICABLE PERIOD | AAR<br>(landings per hour) | CONSTRAINT/REMARK                 |
| RJCC                     | 2100 – 2300       | 04 – 06                    | LVP                               |
| RJTT                     | 0200 – 0300       | 10                         | RWY34L/16R CLSD 0200 – 0245 CONST |
| RJTT                     | 0300 – 0500       | 14                         | FLTCK RWY22 ILS                   |
| SECT 1                   | 0130 – UFN        | -                          | Developing CB                     |

| ATFM MEASURES            |                   |                                                            |
|--------------------------|-------------------|------------------------------------------------------------|
| Location<br>(AD or SECT) | APPLICABLE PERIOD | MEASURE<br>REMARKS                                         |
| RJTT                     | 2330 – 0140       | CTOT DEST RJCC                                             |
| SECT 12                  | 2300 – 0005       | 3 MINIT DEP RJAA/RJTT                                      |
| SECT 12                  | 0130 – UFN        | G585 8 MINIT AT [WAYPOINT] WB FOR ZMUB<br>REGARDLESS OF FL |

| POSSIBLE/DEVELOPING ISSUES |                   |                                        |
|----------------------------|-------------------|----------------------------------------|
| Location<br>(AD or SECT)   | APPLICABLE PERIOD | MEASURE<br>REMARKS                     |
| RJAA                       | 0300 – 0500       | 15 MIT, 250KT AT [WAYPOINT] [WAYPOINT] |
| RJTT                       | 0300 – UFN        | CTOT                                   |

**APPENDIX E: ATFM TERMINOLOGY AND COMMUNICATIONS****ATFM Terminology – General**

| <b>Acronym</b> | <b>Term</b>                   | <b>Definition</b>                                                                                                                                                                                                                                                                                                                                                       |
|----------------|-------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| AAR            | Airport Arrival Rate          | Runway arrival capacity of an airport normally expressed in movements per hour                                                                                                                                                                                                                                                                                          |
| ADR            | Airport Departure Rate        | Runway departure capacity of an airport normally expressed in movements per hour                                                                                                                                                                                                                                                                                        |
| ASD            | Aircraft Situation Display    | ATC Aircraft/Traffic Situation Display                                                                                                                                                                                                                                                                                                                                  |
| AFIX           | Arrival Fix                   | A waypoint during the arrival phase of a flight. In the context of ATFM it could be a waypoint where an ATFM Measure may be applied                                                                                                                                                                                                                                     |
| CDM            | Collaborative Decision-Making | Process which allows decisions to be taken by amalgamating all pertinent and accurate sources of information, ensuring that the data best reflects the situation as known, and ensuring that all concerned stakeholders are given the opportunity to influence the decision. This in turn enables decisions to best meet the operational requirements of all concerned. |
| CDR            | Conditional Route             | ATS route that is available for flight planning and use under specific conditions                                                                                                                                                                                                                                                                                       |
| DFIX           | Departure Fix                 | The first published fix/waypoint used after departure of a flight.                                                                                                                                                                                                                                                                                                      |
| DMAN           | Departure Manager             | A planning system to improve the departure flows at an airport by calculating the Target Take-Off Time (TTOT) and Target Startup Approval Time (TSAT) for each flight, taking multiple constraints and preferences into account                                                                                                                                         |
| FCA            | Flow Constrained Area         | A sector of airspace where normal flows of traffic are constrained, which could be caused by meteorological conditions, military exercise etc.                                                                                                                                                                                                                          |
| FMP            | Flow Management Position      | A position that monitors traffic flows and implements or requests ATFM measures to be implemented                                                                                                                                                                                                                                                                       |
| GDP            | Ground Delay Program          | An ATFM measure where aircraft are held on the ground in order to manage capacity and demand in a specific volume of airspace or at a specific airport. In the process, departure times are assigned. These departure times correspond to available entry slots into the constrained airspace or arrival slots into the constrained airport                             |
| GSt            | Ground Stop                   | A tactical ATFM measure where some selected aircraft remain on the ground at the departure airport due to severe constraint either in downstream airspace sector or an arrival airport                                                                                                                                                                                  |

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|       |                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|-------|------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| MINIT | Minutes in Trail | A tactical ATFM measure expressed as the number of minutes required between successive aircraft at an airspace boundary point. It is normally used in airspace without air traffic surveillance or when transitioning from surveillance to non-surveillance airspace, or even when the spacing interval is such that it would be difficult for a sector controller to measure it in terms of miles                                                                                       |
| MIT   | Miles in Trail   | A tactical ATFM measure expressed as the number of miles required between successive aircraft (in addition to the minimum longitudinal requirements) to meet a specific criterion which may be separation, airport, fix, altitude, sector or route specific. MIT is used to organize traffic into manageable flows as well as to provide space to accommodate additional traffic (merging or departing) in the existing traffic flows. It will never be less than the separation minima. |
| RFIX  | En-route Fix     | A waypoint during the en-route phase of a flight. In the context of ATFM it could a waypoint where an ATFM Measure may be applied                                                                                                                                                                                                                                                                                                                                                        |
| -     | ATFM Measure     | ATFM solution which will balance demand against capacity or facilitate the safe, orderly, and expeditious flow of air traffic                                                                                                                                                                                                                                                                                                                                                            |

### **ATFM Terminology – Phase of Flight**

| Acronym | Term                          | Definition                                                                                                                                                                                                                      |
|---------|-------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| SOBT    | Scheduled off Block Time      | The time that an aircraft is scheduled to depart from the parking position                                                                                                                                                      |
| EOBT    | Estimated Off Block Time      | The estimated time that an aircraft will start movement associated with departure; normally this should match the SOBT at airports with Strategic Slot Coordination Process                                                     |
| TOBT    | Target Off - Block Time       | The time that an Aircraft Operator or Ground Handler estimates that an aircraft will be ready to receive start-up approval/push-back clearance                                                                                  |
| TSAT    | Target Start up Approval Time | The time provided by ATC taking into account TOBT, CTOT and/or the traffic situation that an aircraft can expect start-up/push-back approval                                                                                    |
| COBT    | Calculated Off Block Time     | A time calculated and issued by an ATFM Unit, as a result of tactical ATFM slot allocation, at which a flight is expected to push back / vacate parking position so as to meet a CTOT, taking into account start and taxi time. |
| AOBT    | Actual Off Block Time         | The time the aircraft pushes back / vacates parking position (Equivalent to Airline / Handlers ATD – Actual Time of Departure & ACARS=OUT)                                                                                      |
| TTOT    | Target Take-Off Time          | The Target Take-Off Time of an aircraft taking into account the TOBT/TSAT plus Estimated Taxi-Out Time                                                                                                                          |

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|      |                          |                                                                                                                                                                                                                                    |
|------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CTOT | Calculated Take off Time | A time calculated and issued by an ATFM Unit, as a result of tactical ATFM slot allocation in a Ground Delay Program, at which a flight is expected to become airborne                                                             |
| ETOT | Estimated Take Off Time  | The Estimated Take-Off Time of an aircraft, taking into account EOBT plus Estimated Taxi-Out Time                                                                                                                                  |
| ATOT | Actual Take Off time     | The time that an aircraft takes off from the runway (Equivalent to ATC ATD–Actual Time of Departure, ACARS = OFF)                                                                                                                  |
| ETO  | Estimated Time Over      | Estimated time at which an aircraft would be over a fix, waypoint or particular location typically where air traffic congestion is expected                                                                                        |
| CTO  | Calculated Time Over     | Time calculated and issued by an ATFM Unit, as a result of tactical ATFM slot allocation, at which a flight is expected to be over a fix, waypoint or particular location typically where air traffic congestion is expected       |
| TLDT | Target Landing Time      | Targeted Time from the Arrival Management process at the Threshold, taking runway sequence and constraints into account; Progressively refined planning time used to coordinate between arrival and departure management processes |
| CLDT | Calculated Landing Time  | A landing time calculated and issued by an ATFM unit, as a result of tactical ATFM slot allocation at which a flight is expected to land on a runway                                                                               |
| ELDT | Estimated Landing Time   | The estimated time that an aircraft will touch-down on the runway                                                                                                                                                                  |
| ALDT | Actual Landing Time      | Actual time an aircraft lands on a runway (Equivalent to ATC ATA –Actual Time of Arrival = landing, ACARS=ON)                                                                                                                      |
| SIBT | Scheduled In Block Time  | The Time that an aircraft is scheduled to arrive at its first parking position                                                                                                                                                     |
| CIBT | Calculated In Block Time | An in-block time calculated and issued by an ATFM unit, as a result of tactical ATFM slot allocation at which a flight is expected to be at its first parking position.                                                            |
| AIBT | Actual In Block Time     | The time that an aircraft arrives in-blocks (Equivalent to Airline/Handler ATA –Actual Time of Arrival, ACARS = IN)                                                                                                                |

**ATFM Terminology Map**

| Phase of Flight      | Scheduled | Flight Plan | System Estimate | Target (Airline) | Target (A-CDM) | ATFM Measure | Estimated | Actual |
|----------------------|-----------|-------------|-----------------|------------------|----------------|--------------|-----------|--------|
| Off-Block Time (OBT) | SOBT      | EOBT        |                 | TOBT             | TSAT           | COBT         |           | AOBT   |
| Take-Off Time (TOT)  |           |             | ETOT            |                  | TTOT           | CTOT         | ETOT      | ATOT   |
| Time Over (TO)       |           |             | ETO             |                  |                | CTO          | ETO       | ATO    |
| Landing Time (LDT)   |           |             | ELDT            |                  | TLDT           | CLDT         | ELDT      | ALDT   |
| In-Block Time (IBT)  | SIBT      |             |                 |                  |                | CIBT         |           | AIBT   |

**ATFM Phraseology**

*Note: The following phrases are suggested for use as an interim procedure, pending the development of globally standardized ATFM –related phraseology*

| Circumstance                                                                                                                                          | Phraseology                                                                      |
|-------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|
| Calculated take-off time (CTOT) delivery resulting from a slot allocation. The CTOT shall be communicated to the pilot at the first contact with ATC. | CTOT (time)                                                                      |
| Change to CTOT resulting from a Slot Revision.                                                                                                        | REVISED CTOT (time)                                                              |
| CTOT cancellation resulting from a Slot Cancellation.                                                                                                 | CTOT CANCELLED, REPORT READY                                                     |
| Start-up requested too late to comply with the given CTOT.                                                                                            | CTOT EXPIRED, REQUEST A NEW CTOT                                                 |
| Denial of Start-up when requested too late to comply with the given CTOT.<br>(Where supported by State regulation or procedure)                       | UNABLE TO APPROVE START-UP CLEARANCE DUE CTOT EXPIRED, REQUEST A NEW -CTOT       |
| Start-up requested too early to comply with the given CTOT.                                                                                           | REQUEST A NEW CTOT                                                               |
| Denial of Start-up when requested too early to comply with the given CTOT.<br>(Where supported by State regulation or procedure)                      | UNABLE TO APPROVE START-UP CLEARANCE DUE CTOT (time), REQUEST START-UP AT (time) |

.....

## APPENDIX F: ATFM MONITORING AND REPORTING FORM

### ATFM PERFORMANCE INDICATORS

The following indicators are based on the Performance Improvement Plan of the Asia/Pacific Regional Framework for Collaborative ATFM, which should be read in conjunction with this form. The information provided will be used by the relevant regional bodies to assess individual Administration and overall regional compliance with the Framework, and may be used by Administrations to internally evaluate their implementation status.

### INSTRUCTIONS

If your administration.

- i. has implemented ATFM and distributing ATFM measures; or
- A** ii. has plans to implement and distribute ATFM measures under the terms of the Performance Improvement Plan of the Asia/Pacific Regional Framework for Collaborative ATFM:  
**Answer Questions 1 to 42**

- B** If your administration is not planning to implement ATFM measure under the terms of the Performance Improvement Plan of the Asia/Pacific Regional Framework for Collaborative ATFM: **Answer Questions 43 -61**

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**Date of Reporting - 28th February each year**

|                                                                                                                   |  |  |
|-------------------------------------------------------------------------------------------------------------------|--|--|
| <b>A. Administrations Distributing ATFM Measures -</b><br><b>Indicate whether <i>your administration has</i>:</b> |  |  |
|-------------------------------------------------------------------------------------------------------------------|--|--|

| Number | Regional ATFM Framework Performance Expectations                                                                                                                                                                                                                             | Response |
|--------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1.     | Enacted regulations for the implementation of ATFM                                                                                                                                                                                                                           |          |
| 2.     | Implemented a program of annual or bi-annual strategic airport and airspace capacity, and strategic demand analysis                                                                                                                                                          |          |
| 3.     | Performed an analysis of current traffic demand and expected growth for the next 5 years (rolling)                                                                                                                                                                           |          |
| 4.     | Commenced daily pre-tactical airport and airspace capacity-demand analysis for ATFM Program airports and associated terminal airspace as well as enroute ATC sectors supporting the homogeneous ATM areas and major traffic flows identified in the Asia and Pacific Regions |          |
| 5.     | Made arrangements for relevant ATFMU to chair and/or participate in regularly scheduled ATFM conferences for pre-tactical ATFM planning                                                                                                                                      |          |
| 6.     | Commenced ATFM post-operations analysis and rectification, taking guidance from the Asia/Pacific ATFM Post-Operations Analysis Recommended Framework as starting point                                                                                                       |          |
| 7.     | Ensured the origination, distribution and processing of FPL and ATS messages in accordance with ICAO Doc 4444 PANS-ATM and the Regional Framework for Collaborative ATFM                                                                                                     |          |
| 8.     | Enacted requirements to ensure FPL is submitted no less than 3 hours prior to EOBT except where necessary for operational or technical reasons                                                                                                                               |          |
| 9.     | Enacted requirements to ensure a DLA message is transmitted when the departure of an aircraft for which basic FPL has been sent is delayed by more than 15 minutes after the EOBT specified in that basic FPL                                                                |          |
| 10.    | Ensured that, when there is a delay from a GDP, CTOT and other slot allocation information originated from the ATFMU is communicated to all relevant stakeholders                                                                                                            |          |
| 11.    | Implemented or designed systems to ensure that FPL are not discarded from relevant ATM systems as a consequence of ATFM delay.                                                                                                                                               |          |

### Asia/Pacific Regional Framework for Collaborative ATFM

|     |                                                                                                                                                                                                       |  |
|-----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 12. | Implemented common fixes, terminology and communications in ATFM, AMAN/DMAN and A-CDM systems                                                                                                         |  |
| 13. | Optimized ATC separation and reduced runway occupancy times at all ATFM program airports and in associated terminal airspace                                                                          |  |
| 14. | Implemented strategic airport slot allocation at all international airports where demand significantly exceeds airport capacity                                                                       |  |
| 15. | Implemented pre-tactical modelling of airport and airspace configuration and traffic demand, and the effect of ATFM measures                                                                          |  |
| 16. | Implemented tactical ATFM measures for flights inbound to ATFM program airports                                                                                                                       |  |
| 17. | Enabled sharing of relevant information between all stakeholders through implementation of CDM                                                                                                        |  |
| 18. | Implemented dynamic updating of airport and airspace capacity constraints, capacity calculations and demand information                                                                               |  |
| 19. | Implemented local procedures for ATFM operations and communication, including phraseology and terminology for ATFM Units, ATS Units, airspace users, and airport operators, drawn from ICAO Doc. 9971 |  |
| 20. | Established ATFM capability with appropriately trained staff and operating procedures                                                                                                                 |  |
| 21. | Developed procedures for ATFMU, ATS Units, airspace users, and airport operators when ATFM program is active                                                                                          |  |
| 22. | Implemented local ATC procedures and, where available, CDM processes facilitating compliance with received CTOT                                                                                       |  |
| 23. | Implemented tactical ATFM measures for flights inbound to constrained airspace                                                                                                                        |  |
| 24. | Ensured tactical ATFM measures are only applied during periods of constraint                                                                                                                          |  |
| 25. | Promulgated procedures to avoid subjecting individual flights to more than one tactical ATFM measure                                                                                                  |  |
| 26. | Developed procedures and agreements for post-operational analysis of cross-border ATFM with stakeholders                                                                                              |  |
| 27. | Ensured post-operations analyses are used for planning ATFM, airspace and ATS route improvements                                                                                                      |  |
| 28. | Commenced daily preparation and sharing of an ATFM Daily Plan (ADP) for all ATFM Program airports and associated terminal airspace                                                                    |  |
| 29. | Promulgated procedures for tactical management of ATFM measures, including revision, cancellation where necessary                                                                                     |  |
| 30. | Ensured interoperability of implemented ATFM, A-CDM, AMAN, DMAN, ATM automation systems and airspace user systems where operational interfaces exist or are planned                                   |  |
| 31. | Implemented meteorological services to support ATM in the terminal area (e.g. Meteorological Service in Terminal Area -MSTA)                                                                          |  |

### Asia/Pacific Regional Framework for Collaborative ATFM

|     |                                                                                                                                                                                                                                                                                                                         |  |
|-----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 32. | Implemented distributed multi-nodal ATFM information distribution capability                                                                                                                                                                                                                                            |  |
| 33. | Ensured ATFM systems take long haul flights into account in demand predictions                                                                                                                                                                                                                                          |  |
| 34. | Ensured ATM and ATFM systems provide timely update of estimate information for airborne aircraft                                                                                                                                                                                                                        |  |
| 35. | Implemented A-CDM at international aerodrome and integrated with ATFM operations with appropriate information exchange between the two systems and processes                                                                                                                                                            |  |
| 36. | Established national civil-military ATM coordination body to enable strategic, pre-tactical, and tactical airspace management (ASM)                                                                                                                                                                                     |  |
| 37. | Established a civil-military ATM coordination body to regularly review the use of Special Use Airspace (SUA) to ensure optimal usage all airspaces based on the FUA concept                                                                                                                                             |  |
| 38. | Established regulations to support a safe integration of UAS operations in non-segregated airspace                                                                                                                                                                                                                      |  |
| 39. | Implemented ATFM information distribution capability utilizing FIXM v4.2 (or later), extended where necessary, to enable the exchange of flight-specific ATFM information                                                                                                                                               |  |
| 40. | Integrated ATFM, AMAN/DMAN, and A-CDM systems through cross-platform information exchange based on FIXM v4.2 (or later) with appropriate extension                                                                                                                                                                      |  |
| 41. | Established research and development programs to explore novel capacity enhancement techniques such as free route airspace, extended arrival metering, dynamic airspace configurations, target time operations, and collaborative trajectory options, with an emphasis on needs, safety case, and cost-benefit analysis |  |
| 42. | Implemented Meteorological information exchange with ATM and ATFM systems using IWXXM v3.0 (or later)                                                                                                                                                                                                                   |  |

## Asia/Pacific Regional Framework for Collaborative ATFM

### **B. States/Administrations *Facilitating* ATFM Measures (but not expected to implement and distribute cross-border ATFM)**

***Indicate whether your administration has:***

| <b>Number</b> | <b>Regional ATFM Framework Performance Expectations</b>                                                                                                                                                       | <b>Response</b> |
|---------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| 43.           | Implemented a program of annual or bi-annual strategic airport and airspace capacity, and strategic demand analysis                                                                                           |                 |
| 44.           | Performed an analysis of current traffic demand and expected growth for the next 5 years (rolling)                                                                                                            |                 |
| 45.           | Made arrangements for relevant personnel from ATSU to participate in regularly scheduled ATFM conferences for pre-tactical ATFM planning                                                                      |                 |
| 46.           | Ensured the origination, distribution and processing of FPL and ATS messages in accordance with ICAO Doc 4444 PANS-ATM and the Regional Framework for Collaborative ATFM                                      |                 |
| 47.           | Enacted requirements to ensure FPL is submitted no less than 3 hours prior to EOBT except where necessary for operational or technical reasons                                                                |                 |
| 48.           | Enacted requirements to ensure a DLA message is transmitted when the departure of an aircraft for which basic FPL has been sent is delayed by more than 15 minutes after the EOBT specified in that basic FPL |                 |
| 49.           | Ensured local stakeholders are able to access CTOT information readily, either directly from the ATFMU distributing it or through local dissemination                                                         |                 |
| 50.           | Implemented or designed systems to ensure that FPL are not discarded from relevant ATM systems as a consequence of ATFM delay.                                                                                |                 |
| 51.           | Optimized ATC separation and reduced runway occupancy times at all ATFM program airports and in associated terminal airspace                                                                                  |                 |
| 52.           | Enabled sharing of relevant information between all stakeholders through implementation of CDM                                                                                                                |                 |
| 53.           | Implemented local procedure with regards to ATFM operations and communication, including phraseologies, among ATFMU, ATS Units, airspace users, and airport operators drawn from ICAO Doc 9971                |                 |
| 54.           | Developed procedures for ATS units, airspace users, and airport operators when ATFM program is active                                                                                                         |                 |
| 55.           | Implemented local ATC procedures and, where available, CDM processes facilitating compliance with received CTOT                                                                                               |                 |

### Asia/Pacific Regional Framework for Collaborative ATFM

|     |                                                                                                                                                                                                                         |  |
|-----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 56. | Developed ATFM post-operations analysis workflow among ATFMU, ATS units, airspace users, and airport operators to ensure proper and timely feedback mechanism can be distributed to ATFMU originating the ATFM measures |  |
| 57. | Developed procedures and agreements for post-operational analysis of cross-border ATFM with stakeholders                                                                                                                |  |
| 58. | Ensured post-operations analyses are used for planning ATFM, airspace, and ATS route improvements                                                                                                                       |  |
| 59. | Ensured capability to receive ATFM Daily Plan (ADP) from Administrations distributing the ATFM measures and to distribute it among local stakeholders for situational awareness                                         |  |
| 60. | Ensured ATM systems provide timely update of estimate information for airborne aircraft                                                                                                                                 |  |
| 61. | Educated ATM staff and stakeholders on the basic of ATFM and its connection with ATS                                                                                                                                    |  |