Attachment 4

PROPOSED NEW TRAINING PROVISIONS
(APPLICABLE FROM 1 JANUARY 2019)
INTRODUCTORY CHAPTER

PROPOSED REVISIONS TO THE TRAINING PROVISIONS

The training provisions are undergoing an extensive review by the Dangerous Goods Panel (DGP) which will result in revisions to Part 1;4 and the addition of new guidance material in an attachment to these Instructions. Proposed revisions to Part 1;4 are included as part of this attachment in this edition of the Instructions for the purpose of review and feedback to ICAO by relevant parties.

Chapter 1 of this attachment provides the proposed new training requirements which will replace the current Part 1;4 in the 2019-2020 Edition of these Instructions. Chapters 2 to 4 provide guidance material on implementing a competency-based approach to training specific to dangerous goods and will remain in this attachment as Chapters 1 to 3 in the 2019-2020 Edition of the Technical Instructions.

The proposed revisions to Part 1;4 and the guidance material can also be found on the ICAO public website at www.icao.int/safety/DangerousGoods. Comments on the revised training provisions are welcome and should be submitted through that website by 31 March 2017. Based on comments received, further amendments to the proposed new provisions may be made by the DGP at its 26th meeting, which will be held during the fourth quarter of 2017.
Chapter 1

PROPOSED NEW PART 1, CHAPTER 4 — DANGEROUS GOODS TRAINING
(Applicable from 1 January 2019)

INTRODUCTORY NOTE

The objective of a dangerous goods training programme is to ensure that persons are competent to perform their assigned functions. An approach to achieving this objective is provided in Chapter 2 to Attachment 4.

Chapter 4

DANGEROUS GOODS TRAINING

Parts of this Chapter are affected by State Variations AE 2, BR 7, CA 11, HK 1; see Table A-1

4.1 GENERAL REQUIREMENTS

DGP/25 discussed whether or not training requirements for entities involved in the transport of non-dangerous goods as cargo were within the scope of Annex 18 and the Technical Instructions. It was agreed that the scope was not clearly defined (see paragraph 1.2 of the DGP/25 Report). The following alternative provisions are therefore tentatively proposed and will be finalized based on the outcome of work to clarify the scope of Annex 18 (see DGP/25 Report on Agenda Item 1, paragraph 1.2).

[The employer must ensure that personnel are competent to perform any function described in these Instructions for which they are responsible prior to performing any of these functions. This must be achieved through training and assessment.]

[The employer must ensure that personnel with responsibilities for the processing, acceptance or handling of cargo, mail or passengers or of checked and/or carry-on baggage are competent to perform the function for which they are responsible prior to performing any of these functions. This must be achieved through training and assessment.]

Note. — Guidance on developing a competency-based approach to training is provided in Chapter 2 to Attachment 4.

4.2 TRAINING PROGRAMMES

4.2.1 The employer must establish and maintain a dangerous goods training programme.

Note.— A training programme includes elements such as design methodology, assessment, initial and recurrent training, instructor qualifications and competencies, training records and evaluation of the effectiveness of training.

4.2.2 All operators must establish a dangerous goods training programme regardless of whether or not they are approved to transport dangerous goods as cargo.

4.2.3 Personnel must be trained and assessed commensurate with the functions for which they are responsible prior to performing any of these functions. Personnel who have received training but who are assigned to new functions must be assessed to determine their competence in respect of their new function. If competency is not demonstrated, appropriate additional training must be provided. Personnel must be trained to recognize the hazards presented by dangerous goods, to safely handle them and to apply appropriate emergency response procedures.

[Note.— In order to prevent the introduction of undeclared dangerous goods into air transport, any person, such as passenger or cargo reservation personnel and engineering personnel, who performs functions that may indirectly impact the movement of cargo, COMAT, baggage, passengers, or mail should also be trained.]

4.2.4 Security personnel who are involved with the screening of passengers and crew and their baggage and cargo or...
mail must be trained irrespective of whether the operator on which the passenger or cargo is to be transported carries dangerous goods as cargo.

4.2.5 Personnel must receive recurrent training and assessment within 24 months of previous training and assessment to ensure that competency has been maintained. However, if recurrent training and assessment is completed within the final three months of validity of the previous training and assessment, the period of validity extends from the month on which the recurrent training and assessment was completed until 24 months from the expiry month of that previous training and assessment.

4.2.6 Training courses may be developed and delivered by or for the employer.

Note.— General information on the provisions for dangerous goods carried by passengers and crew (see Part 8) should be included in training courses, as appropriate.

4.2.7 The employer must maintain a record of training and assessment for personnel.

4.2.7.1 The record of training and assessment must include:

a) the individual’s name;
b) the most recent training and assessment completion month;
c) a description, copy or reference to training and assessment materials used to meet the training and assessment requirements;
d) the name and address of the organization providing the training and assessment; and
e) evidence which shows that personnel have been assessed as competent.

4.2.7.2 Training and assessment records must be retained by the employer for a minimum period of 36 months from the most recent training and assessment completion month and must be made available upon request to personnel or the appropriate national authority.

4.2.8 Dangerous goods training programmes for operators must be approved by the appropriate authority of the State of the Operator in accordance with the provisions of Annex 6 — Operation of Aircraft.

4.2.9 Dangerous goods training programmes required for entities other than operators and designated postal operators should be approved as determined by the appropriate national authority.

4.3 INSTRUCTOR QUALIFICATIONS AND COMPETENCIES

4.3.1 Unless otherwise provided for by the appropriate national authority, instructors of initial and recurrent dangerous goods training must demonstrate or be assessed as competent in instruction and the function that they will instruct prior to delivering such training.

4.3.2 Instructors delivering initial and recurrent dangerous goods training must at least every 24 months deliver such courses, or in the absence of this, attend recurrent training.

4.4 DESIGNATED POSTAL OPERATORS

4.4.1 Staff of designated postal operators must be trained commensurate with their responsibilities. The subject matter with which their various categories of staff should be familiar is indicated in Table 1-4.

4.4.2 Dangerous goods training programmes for designated postal operators must be subjected to review and approval by the civil aviation authority of the State where the mail was accepted by the designated postal operator.
Table 1-4. Content of training courses for staff of designated postal operators

<table>
<thead>
<tr>
<th>Aspects of transport of dangerous goods by air with which they should be familiar, as a minimum</th>
<th>Designated postal operators</th>
<th>Categories of staff</th>
</tr>
</thead>
<tbody>
<tr>
<td>General philosophy</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>Limitations</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>General requirements for shippers</td>
<td>x</td>
<td></td>
</tr>
<tr>
<td>Classification</td>
<td></td>
<td></td>
</tr>
<tr>
<td>List of dangerous goods</td>
<td></td>
<td>x</td>
</tr>
<tr>
<td>Packing requirements</td>
<td>x</td>
<td></td>
</tr>
<tr>
<td>Labelling and marking</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>Dangerous goods transport document and other relevant documentation</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>Acceptance of the dangerous goods listed in 1;2.3.2</td>
<td>x</td>
<td></td>
</tr>
<tr>
<td>Recognition of undeclared dangerous goods</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>Storage and loading procedures</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Provisions for passengers and crew</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>Emergency procedures</td>
<td>x</td>
<td>x</td>
</tr>
</tbody>
</table>

**CATEGORIES**

A — Staff of designated postal operators involved in accepting mail containing dangerous goods

B — Staff of designated postal operators involved in processing mail (other than dangerous goods)

C — Staff of designated postal operators involved in the handling, storage and loading of mail

*Note.— Guidance on the aspects of training to be covered by staff of designated postal operators can be found in S-1;3.*
Chapter 2
GUIDANCE ON A COMPETENCY-BASED APPROACH TO DANGEROUS GOODS TRAINING

2.1 INTRODUCTION

ICAO has recognized that the implementation of a competency-based approach to training for safety-critical functions is essential to ensure that enough qualified and competent personnel support the air transport system. This chapter provides guidance to Contracting States in implementing a competency-based approach to dangerous goods training and assessment for personnel involved in the transport of cargo, mail, passengers and baggage by air. The Procedures for Air Navigation Services — Training (PANS-TRG, Doc 9868) contains greater detail on competency-based training and assessment.

2.2 COMPETENCY-BASED TRAINING AND ASSESSMENT

2.2.1 Conventional dangerous goods training is typically designed around the job title and is subject-matter driven (e.g. Table 1-4 in Part 1.4 of this edition of the Technical Instructions lists the subject matter relating to dangerous goods with which various categories of personnel should be familiar). Competency-based training is designed to ensure that personnel can perform the function for which they are responsible.

2.2.2 The development of competency-based training and assessment is based on a systematic approach whereby competencies and their standards are defined, training is based on the competencies identified, and assessments are developed to determine whether these competencies have been achieved.

2.2.3 Competencies describe what a competent person’s performance on the job should be. The PANS-TRG defines competency as “a combination of skills, knowledge and attitudes required to perform a task to the prescribed standard”.

2.2.4 A critical feature of competency-based training is continuous assessment to ensure training is efficient and effective in order to provide the skills, knowledge and attitudes required to perform the function.

Note.— Competency-based training and assessment is described in more detail in the PANS-TRG, Chapter 2, 2.3.

2.3 COMPETENCY-BASED PROVISIONS IN ICAO

2.3.1 Competency-based approaches are used to prepare professionals for a variety of domains besides aviation (e.g. medical education, the oil and gas industry, pharmaceutical industry, social work, teacher education).

2.3.2 In 2006, ICAO introduced the multi-crew pilot licence (MPL) in Annex 1 — Personnel Licensing. This was the first competency-based training provision developed by ICAO. It resulted from the work of the Flight Crew Licensing and Training Panel (FCLTP) whose goal was to develop provisions that would not put conventional pilot training methods out of compliance but would allow an alternative training path that made use of contemporary instructional methods. The FCLTP developed the first edition of the PANS-TRG to provide detailed procedures to assist States and the industry in implementing the MPL.

2.3.3 Since then, ICAO has introduced several competency frameworks in its provisions related to the following:

a) aircraft maintenance personnel (PANS-TRG, Doc 9868);
b) flight procedure designers (The Quality Assurance Manual for Flight Procedure Design (Doc 9906), Volume 2);
c) flight validation pilots (Doc 9906, Volume 6);
d) designated medical examiners (Manual of Civil Aviation Medicine (Doc 8984)); and
e) air traffic controllers and air traffic safety electronics personnel (PANS TRG, Doc 9868).
2.4 BENEFITS OF COMPETENCY-BASED TRAINING TO THE SAFE TRANSPORT OF DANGEROUS GOODS BY AIR

2.4.1 Supports safety management systems (SMS)

2.4.1.1 Annex 19 requires operators conducting international commercial air transport in accordance with Annex 6, Part I or Part III, to implement an SMS. An operator's SMS addresses the aviation activities that are related to the safe operation of the aircraft. These aviation activities include the carriage of dangerous goods in the scope of the operator's SMS. Other entities in the dangerous goods transport chain should be encouraged to implement a similar safety system.

2.4.1.2 Implementing SMS requires that all personnel understand the safety philosophy and embrace a disciplined and standardized approach for SMS. Personnel need to know their roles and responsibilities with respect to dangerous goods and have the requisite competencies to perform their functions within the SMS. Therefore, the depth of training each person receives should be appropriate to the functions performed. This could range from a familiarization level to expert-level for dangerous goods professionals. To ensure that personnel have the knowledge, skills and abilities to support SMS, training activities should follow the competency-based approach.

2.4.1.3 The "Swiss-Cheese" Model of accident causation proposes that complex aviation systems are extremely well defended by layers of defences making single-point failures rarely consequential in such systems (see paragraph 2.3 of the Safety Management Manual (SMM) (Doc 9859)). The model illustrates that accidents involve successive breaches of multiple system defences and that all accidents include a combination of both active conditions (actions or inactions that have an immediate adverse effect) and latent conditions (conditions that exist in the aviation system well before a damaging outcome is experienced). Doc 9859 identifies training as one of the three main groups of defences in aviation and identifies deficiencies in training as a latent condition. Clearly-defined competency-based training is essential for the design and delivery of training programmes aimed at developing qualified personnel better able to eliminate or mitigate risks related to the safe transport of dangerous goods by air.

2.4.2 Facilitates development of effective dangerous goods training and reduces risks

2.4.2.1 The application of competency-based training will benefit the safe transport of dangerous goods, which may reduce occurrences that could introduce risk to the aviation system. Currently the training requirement in the Technical Instructions is based on evidence that personnel have completed the dangerous goods course and successfully passed the test. This, however, does not guarantee that personnel can apply what was learned in the course when performing their functions. A competency-based training approach designs training and assessment that is specific to their functions and not just theoretical knowledge about dangerous goods.

2.4.2.2 As an example, the acceptance of dangerous goods for air transport requires an operator to verify that the dangerous goods are properly prepared for transport. This verification is accomplished through a checklist process so as to prevent dangerous goods not properly prepared from being transported on the aircraft. However, if training has not adequately prepared personnel to complete this process, risks to the aircraft and its occupants may be introduced if an improperly prepared shipment is accepted and transported. In addition, without adequate ability to complete the acceptance process, the shipment may be rejected even though it is properly prepared. This can cause increased costs to the shipper and the operator, and delay the shipment.

2.4.2.3 Another example would be the preparation of dangerous goods shipments which includes identifying, classifying, packaging, marking, labelling and documentation for the transport of dangerous goods. These functions are considered critical and key to the correct transport of dangerous goods. In competency-based training, the shipper's knowledge, skills and abilities should result in demonstrating the proficiency to meet these functions. It is critical that dangerous goods shipments are prepared in compliance with the Instructions prior to offering the shipment for transport to an operator. This should reduce the number of improperly-prepared shipments thus reducing cost and mitigating the introduction of risk into the aviation system.

2.5 DRIVING PRINCIPLES

2.5.1 The “function” approach

2.5.1.1 The Technical Instructions state that personnel must be trained in the requirements commensurate with their responsibilities. Responsibilities are not necessarily category- or job-specific as indicated in Tables 1-4 and 1-5 in Part 1.4 of this edition of the Technical Instructions. For example, in smaller operations, a person may perform many functions such as accepting dangerous goods and loading/securing dangerous goods on board an aircraft. This person’s training must address all of the functions performed. Also, entities such as ground handling companies and freight forwarders may perform functions that are specific to a shipper or an operator. These entities must train their personnel commensurate with their responsibilities and the functions they perform regardless of their job title. Concentrating on functions and responsibilities rather than a job title or description will ensure that a person is competent to perform the function in compliance with the Technical Instructions.

2.5.1.2 Tables 1-4 and 1-5 in Part 1.4 of this edition of the Technical Instructions refer only to the knowledge that personnel should have to perform their specific job, but do not cover the “how to” part of their job. The focus of competency-based training is to ensure that personnel can perform their job. Tables 1-4 and 1-5 in the current Part 1.4 do not support a...
Chapter 2

2.6 ROLES AND RESPONSIBILITIES IN A COMPETENCY-BASED APPROACH TO TRAINING

2.6.1 Employer

2.6.1.1 Employers need to determine the purpose and objective of the competency-based training programme based on the functions for which their personnel are responsible. A training programme includes elements such as design methodology, initial and recurrent training, assessment, instructor qualifications and competencies, training records and evaluation of its effectiveness.

2.6.1.2 Employers should ensure that training is designed and developed to establish clear links among the competencies to be achieved, learning objectives, assessment methods, and course materials.

2.6.2 Instructor

2.6.2.1 In competency-based training, the instructor facilitates the trainee’s progression towards the achievement of competencies. Instructors also collect information about the effectiveness of the training materials which supports continuous improvement. See instructor competencies in Chapter 3 of the PANS-TRG.

2.6.3 Trainee

2.6.3.1 In competency-based training, trainees are active participants in their learning process and the achievement of competencies as opposed to passive recipients of knowledge. The competency-based training programme provides them with a clear idea of their learning path towards competency through the training programme and beyond. The competency-based training should directly contribute to improving their performance on the job. Trainees’ feedback is essential in ensuring that competency-based training is effective.

2.6.4 Regulator

2.6.4.1 There are important differences between the ways the regulator would oversee a traditional training programme versus a competency-based training programme. In a traditional training programme, the authority may assess the course components and final test against the elements described in Part 1,4, Tables 1-4 and 1-5 of this edition of the Technical Instructions. The fact that all components of any course are present (or appear to be present) and trainees pass the required test does not necessarily mean that they can competently perform their assigned functions.

2.6.4.2 Where competency-based training has been implemented, regulators should oversee the training programme to ensure that it actually produces personnel who can perform the function for which they are responsible in a specific operational setting and in compliance with the national regulatory framework.

2.7 DEVELOPING COMPETENCY-BASED TRAINING FOR DANGEROUS GOODS

2.7.1 Methods used to develop the ICAO competency framework

2.7.1.1 A competency framework for dangerous goods personnel and a complementary flowchart are provided in Chapters 3 and 4. The competency framework consists of competency units, competency elements and performance criteria which are defined in the PANS-TRG as:

a) Competency unit. A discrete function consisting of a number of competency elements.

b) Competency element. An action that constitutes a task that has a triggering event and a terminating event that clearly defines its limits, and an observable outcome.

c) Performance criteria. Simple, evaluative statements on the required outcome of the competency element and a description of the criteria used to judge whether the required level of performance has been achieved.

2.7.1.2 All responsibilities of personnel involved in the transport of dangerous goods by air are described by the following six functions which correspond to the competency units:

a) classifying dangerous goods;

b) preparing a dangerous goods shipment;
c) processing/accepting cargo;
d) managing cargo pre-loading;
e) accepting passenger and crew baggage; and
f) transporting cargo/baggage.

The flowchart in Chapter 3 illustrates the typical processes of performing these functions.

Note.— Reporting of dangerous accidents, incidents and other occurrences have not been included as a function as reporting may be required at any point after dangerous goods have been prepared for transport.

2.7.2 Methods to develop competency-based training

2.7.2.1 An employer conducts a training needs analysis to determine the results that the training needs to achieve and what resources exist to achieve these results. This critical step will ensure that the training fits the employer’s purpose and is effective.

2.7.2.2 The employer selects the appropriate competencies associated with the functions that its personnel perform from the ICAO competency framework. In doing so, employers must consider their own operational and organizational environments. For example, one operator may accept dangerous goods shipments as cargo while another may not; a shipper may be dealing with a single class of dangerous goods, while another deals with many. In addition, employers must consider the domestic and international regulatory requirements that apply to their operations. Prior to proceeding with the development of competency-based training, where appropriate, employers should liaise directly with the regulator to ensure that the latter’s requirements are taken into account.

2.7.2.3 The employer then determines the level of knowledge and/or skills necessary to perform each of the customized competencies. For example, the person accepting dangerous goods will not require the same level of knowledge and/or skills related to classification as someone who is classifying dangerous goods. Chapter 5 provides a sample matrix tool that can be used to determine the knowledge that should be maintained by personnel performing specific functions. Functions corresponding to the competency framework provided in Chapter 3 are listed across the columns of the table and subject matter (knowledge) is listed down the rows. The employer should indicate what knowledge is needed for a particular function within the organization with a check mark at the point at which the competency element and the knowledge element intersect.

2.7.2.4 The employer must study the target population (future trainees) with a view to identifying the knowledge, skills and attitudes that they already possess, to collect information on preferred learning styles, and on the social and linguistic environments of prospective trainees, all of which could have an impact on the design of the training. The target population may be a mixture of experienced and newly recruited personnel, groups differing in age, etc. All this information is important for determining the existing knowledge, skills and attitudes of the target population and for designing the most appropriate programme of instruction.

2.7.2.5 The employer documents the result of the above work as its own customized competency framework. The training curriculum can then be developed based on this competency framework.

2.7.2.6 Competency-based training requires continuous assessment of the trainees’ progress until they are competent to perform their assigned function. A trainee’s assessment may be completed through a variety of tools including observation of job performance, tests or other practical exercises. In order for assessment tools to be effective, they must be valid and reliable both in terms of being an appropriate measure of the competency being tested and of obtaining consistent results with different raters and ratings.

2.7.2.7 To ensure its effectiveness, the employer should continuously evaluate the training programme. The purpose of this evaluation is to determine the extent to which the training fulfills the purpose for which it was designed. Where appropriate, corrective actions should be implemented and the training programme re-evaluated.
Chapter 3

DANGEROUS GOODS COMPETENCY FRAMEWORK

ABBREVIATIONS USED

<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>CU</td>
<td>Competency unit</td>
</tr>
<tr>
<td>CE</td>
<td>Competency element</td>
</tr>
<tr>
<td>PC</td>
<td>Performance criteria</td>
</tr>
</tbody>
</table>

COMPETENCY FRAMEWORK

CU 1 Classifying dangerous goods

CE 1.1 Evaluate substance or article against classification criteria, as applicable
   PC 1.1.1 Determine if it is dangerous goods
   PC 1.1.2 Determine if it is forbidden under any circumstances

CE 1.2 Determine dangerous goods description
   PC 1.2.1 Determine class or division
   PC 1.2.2 Determine packing group, if applicable
   PC 1.2.3 Determine proper shipping name and UN number
   PC 1.2.4 Determine if it is forbidden unless approval or exemption is granted

CE 1.3 Review special provisions
   PC 1.3.1 Assess if special provision(s) is applicable
   PC 1.3.2 Apply special provision(s)

CU 2 Preparing dangerous goods shipment

CE 2.1 Assess packing options including quantity limitations
   PC 2.1.1 Consider limitations (de minimis quantities, excepted quantities, limited quantities, passenger aircraft, cargo aircraft only, special provisions)
   PC 2.1.2 Consider State and operator variations
   PC 2.1.3 Determine if all-packed-in-one can be used
   PC 2.1.4 Select how dangerous goods will be shipped based on limitations and variations

CE 2.2 Apply packing requirements
   PC 2.2.1 Consider constraints of packing instructions
   PC 2.2.2 Select packaging materials (absorbent, cushioning, etc.)
   PC 2.2.3 Assemble package

CE 2.3 Apply marks and labels
   PC 2.3.1 Determine applicable marks
   PC 2.3.2 Apply marks
   PC 2.3.3 Determine applicable labels
   PC 2.3.4 Apply labels

CE 2.4 Determine if overpack can be used
   PC 2.4.1 Apply marks if necessary
   PC 2.4.2 Apply labels if necessary

CE 2.5 Prepare documentation
   PC 2.5.1 Complete the dangerous goods transport document
   PC 2.5.2 Complete other transport documents (e.g. AWB)
   PC 2.5.3 Include other required documentation (approvals/exemptions, etc.), as applicable
   PC 2.5.4 Retain copies of documents as required

CU 3 Processing/accepting cargo

CE 3.1 Review documentation
   PC 3.1.1 Verify air waybill
   PC 3.1.2 Verify dangerous goods transport document
   PC 3.1.3 Verify other documents as applicable (exemptions, approvals, etc.)
   PC 3.1.4 Verify State/operator variations
CE 3.2 Review package(s)
   PC 3.2.1 Verify marking
   PC 3.2.2 Verify label
   PC 3.2.3 Verify package type
   PC 3.2.4 Verify package conditions
   PC 3.2.5 Verify State/operator variations

CE 3.3 Complete acceptance procedures
   PC 3.3.1 Complete acceptance checklist, if applicable
   PC 3.3.2 Provide shipment information for load planning
   PC 3.3.3 Retain documents as required

CE 3.4 Process/accept cargo other than dangerous goods
   PC 3.4.1 Check documentation for indications of undeclared dangerous goods
   PC 3.4.2 Check packages for indications of undeclared dangerous goods

CU 4 Managing cargo pre-loading

CE 4.1 Plan loading
   PC 4.1.1 Determine stowage requirements
   PC 4.1.2 Determine segregation, separation, aircraft/compartment limitations

CE 4.2 Prepare load for aircraft
   PC 4.2.1 Check packages for indications of undeclared dangerous goods
   PC 4.2.2 Check for damage and/or leakage
   PC 4.2.3 Apply stowage requirements (e.g. segregation, separation, orientation)
   PC 4.2.4 Apply ULD tags when applicable
   PC 4.2.5 Transport cargo to aircraft

CE 4.3 Issue NOTOC
   PC 4.3.1 Enter required information
   PC 4.3.2 Verify conformance with load plan
   PC 4.3.3 Transmit to loading personnel

CU 5 Accepting passenger and crew baggage

CE 5.1 Process baggage
   PC 5.1.1 Identify forbidden dangerous goods
   PC 5.1.2 Apply approval requirements

CE 5.2 Accept baggage
   PC 5.2.1 Apply operator requirements
   PC 5.2.2 Advise pilot-in-command

CU 6 Transporting cargo/baggage

CE 6.1 Load aircraft
   PC 6.1.1 Transport cargo/baggage to aircraft
   PC 6.1.2 Check packages for indications of undeclared dangerous goods
   PC 6.1.3 Check for damage and/or leakage
   PC 6.1.4 Apply stowage requirements (e.g. segregation, separation, orientation)
   PC 6.1.5 Verify that NOTOC reflects against aircraft load
   PC 6.1.6 Verify passenger baggage requirements if applicable
   PC 6.1.7 Inform pilot-in-command and flight operations officer/flight dispatcher

CE 6.2 Manage dangerous goods during flight
   PC 6.2.1 Detect presence of dangerous goods not permitted in baggage
   PC 6.2.2 Apply procedures in the event of an emergency
   PC 6.2.3 Inform flight operations officer/flight dispatcher/air traffic control in the event of an emergency

CE 6.3 Unload aircraft
   PC 6.3.1 Apply specific unloading considerations as applicable
   PC 6.3.2 Check packages for indications of undeclared dangerous goods
   PC 6.3.3 Check for damage and/or leakage
   PC 6.3.4 Transport cargo/baggage to facility/terminal
Chapter 4

DANGEROUS GOODS FUNCTIONS — PROCESS FLOWCHART
Competency Unit 2: Preparing dangerous goods shipment

Start (Cargo)

Evaluate against classification criteria (if applicable)

Is it DG?

YES

NO

Shipment tendered

Prepare documentation

Review documentation

Process cargo other than DG

Revise packages

Process baggage

Start (baggage)

Baggage presented

Process baggage

Does it contain DG that is forbidden?

YES

Remove DG

NO

Has approval been granted?

YES

Accept baggage

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Has approval been granted?

Accept baggage

NO

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?

YES

Start

NO

Accept baggage

Are there items requiring approval?
**Chapter 5**

**FUNCTION/KNOWLEDGE MATRIX TOOL**

Template for determining the knowledge that should be maintained by personnel performing specific functions

(CU = Competency unit; CE = Competency element)

### Competency elements

1.1 — Evaluate substances or articles against classification criteria, as applicable  
1.2 — Determine dangerous goods description  
1.3 — Review special provisions  
2.1 — Assess packing options including quantity limitations  
2.2 — Apply packing requirements  
2.3 — Apply marks and labels  
2.4 — Determine if overpack can be used  
2.5 — Prepare documentation  
3.1 — Review documentation  
3.2 — Review package(s)  
3.3 — Complete acceptance procedures  
3.4 — Process/accept cargo other than dangerous goods  
4.1 — Plan loading  
4.2 — Prepare load for aircraft  
4.3 — Issue NOTOC  
5.1 — Process baggage  
5.2 — Accept baggage  
6.1 — Load aircraft  
6.2 — Manage dangerous goods during flight  
6.3 — Unload aircraft

### Dangerous goods functions

<table>
<thead>
<tr>
<th>Dangerous goods knowledge</th>
<th>CU 1 Classifying dangerous goods</th>
<th>CU 2 Preparing dangerous goods shipment</th>
<th>CU 3 Processing/accepting cargo</th>
<th>CU 4 Managing cargo pre-loading</th>
<th>CU 5 Accepting passenger and crew baggage</th>
<th>CU 6 Transporting cargo/baggage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Scope and applicability</td>
<td>CE 1.1</td>
<td>CE 2.1</td>
<td>CE 3.1</td>
<td>CE 4.1</td>
<td>CE 5.1</td>
<td>CE 6.1</td>
</tr>
<tr>
<td>Limitation of dangerous goods on aircraft</td>
<td>CE 1.2</td>
<td>CE 2.2</td>
<td>CE 3.2</td>
<td>CE 4.2</td>
<td>CE 5.2</td>
<td>CE 6.2</td>
</tr>
<tr>
<td>Definitions</td>
<td>CE 1.3</td>
<td>CE 2.3</td>
<td>CE 3.3</td>
<td>CE 4.3</td>
<td>CE 5.3</td>
<td>CE 6.3</td>
</tr>
<tr>
<td>Training</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>General provisions security</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reporting of dangerous goods accidents, incidents and other occurrences</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Classification — General</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Classification — Class 1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Classification — Class 2</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Classification — Class 3</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Classification — Class 4</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dangerous goods knowledge</td>
<td>CU 1</td>
<td>CU 2</td>
<td>CU 3</td>
<td>CU 4</td>
<td>CU 5</td>
<td>CU 6</td>
</tr>
<tr>
<td>-------------------------------------------------------</td>
<td>------</td>
<td>------</td>
<td>------</td>
<td>------</td>
<td>------</td>
<td>------</td>
</tr>
<tr>
<td>Classification — Class 5</td>
<td>CE 1.1</td>
<td>CE 2.1</td>
<td>CE 3.1</td>
<td>CE 4.1</td>
<td>CE 5.1</td>
<td>CE 6.1</td>
</tr>
<tr>
<td>Classification — Class 6</td>
<td>CE 1.2</td>
<td>CE 2.2</td>
<td>CE 3.2</td>
<td>CE 4.2</td>
<td>CE 5.2</td>
<td>CE 6.2</td>
</tr>
<tr>
<td>Classification — Class 7</td>
<td>CE 1.3</td>
<td>CE 2.3</td>
<td>CE 3.3</td>
<td>CE 4.3</td>
<td>CE 5.3</td>
<td>CE 6.3</td>
</tr>
<tr>
<td>Classification — Class 8</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Classification — Class 9</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dangerous goods list — General</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dangerous goods list — Arrangement</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Special provisions</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dangerous goods in limited quantities</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dangerous goods packed in excepted quantities</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Packing Instructions — General</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Packing Instructions — Class 1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Packing Instructions — Class 2</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Packing Instructions — Class 3</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Packing Instructions — Class 4</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Packing Instructions — Class 5</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Packing Instructions — Class 6</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Packing Instructions — Class 7</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Packing Instructions — Class 8</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Packing Instructions — Class 9</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Preparing dangerous goods shipment — general</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Package markings</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Labelling</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Documentation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Packaging applicability, nomenclature and codes</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Marking of packagings other than inner packagings</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Requirements for packagings</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Packaging performance tests</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dangerous goods knowledge</td>
<td>CU 1 Classifying dangerous goods</td>
<td>CU 2 Preparing dangerous goods shipment</td>
<td>CU 3 Processing/accepting cargo</td>
<td>CU 4 Managing cargo pre-loading</td>
<td>CU 5 Accepting passenger and crew baggage</td>
<td>CU 6 Transporting cargo/baggage</td>
</tr>
<tr>
<td>-----------------------------------------------------------------------------------------</td>
<td>----------------------------------</td>
<td>--------------------------------------</td>
<td>-------------------------------</td>
<td>-------------------------------</td>
<td>------------------------------------------</td>
<td>-------------------------------</td>
</tr>
<tr>
<td>Requirements for the construction and testing of cylinders and closed cryogenic receptacles, aerosol dispensers and small receptacles containing gas (gas cartridges) and fuel cell cartridges containing liquefied flammable gas</td>
<td>CE 1.1</td>
<td>CE 2.1</td>
<td>CE 3.1</td>
<td>CE 4.1</td>
<td>CE 5.1</td>
<td>CE 6.1</td>
</tr>
<tr>
<td>Packagings for infectious substances of Category A</td>
<td>CE 1.2</td>
<td>CE 2.2</td>
<td>CE 3.2</td>
<td>CE 4.2</td>
<td>CE 5.2</td>
<td>CE 6.2</td>
</tr>
<tr>
<td>Requirements for the construction, testing and approval of packages for radioactive material and for the approval of such material</td>
<td>CE 1.3</td>
<td>CE 2.3</td>
<td>CE 3.3</td>
<td>CE 4.3</td>
<td>CE 5.3</td>
<td>CE 6.3</td>
</tr>
<tr>
<td>Acceptance procedures</td>
<td>CE 1.1</td>
<td>CE 2.1</td>
<td>CE 3.1</td>
<td>CE 4.1</td>
<td>CE 5.1</td>
<td>CE 6.1</td>
</tr>
<tr>
<td>Storage and loading</td>
<td>CE 1.2</td>
<td>CE 2.2</td>
<td>CE 3.2</td>
<td>CE 4.2</td>
<td>CE 5.2</td>
<td>CE 6.2</td>
</tr>
<tr>
<td>Inspection and decontamination</td>
<td>CE 1.3</td>
<td>CE 2.3</td>
<td>CE 3.3</td>
<td>CE 4.3</td>
<td>CE 5.3</td>
<td>CE 6.3</td>
</tr>
<tr>
<td>Provision of information</td>
<td>CE 1.1</td>
<td>CE 2.1</td>
<td>CE 3.1</td>
<td>CE 4.1</td>
<td>CE 5.1</td>
<td>CE 6.1</td>
</tr>
<tr>
<td>Provisions concerning passengers and crew</td>
<td>CE 1.2</td>
<td>CE 2.2</td>
<td>CE 3.2</td>
<td>CE 4.2</td>
<td>CE 5.2</td>
<td>CE 6.2</td>
</tr>
<tr>
<td>Provisions to aid recognition of undeclared dangerous goods</td>
<td>CE 1.3</td>
<td>CE 2.3</td>
<td>CE 3.3</td>
<td>CE 4.3</td>
<td>CE 5.3</td>
<td>CE 6.3</td>
</tr>
<tr>
<td>Helicopter operations</td>
<td>CE 1.1</td>
<td>CE 2.1</td>
<td>CE 3.1</td>
<td>CE 4.1</td>
<td>CE 5.1</td>
<td>CE 6.1</td>
</tr>
<tr>
<td>Provisions for dangerous goods carried by passengers or crew</td>
<td>CE 1.2</td>
<td>CE 2.2</td>
<td>CE 3.2</td>
<td>CE 4.2</td>
<td>CE 5.2</td>
<td>CE 6.2</td>
</tr>
</tbody>
</table>