

Aviation Noise Impacts White Paper

State of the Science 2019: Aviation Noise Impacts

V. Sparrow, Pennsylvania State University, Pennsylvania, United States
T. Gjestland, SINTEF, Norway
R. Guski, Ruhr-Universität Bochum, Germany
I. Richard, ENVIRONNONS, France
M. Basner, University of Pennsylvania, Pennsylvania, United States
A. Hansell, University of Leicester, United Kingdom
Y. de Kluizenaar, The Netherlands Organization for applied scientific research (TNO),
The Netherlands
C. Clark, ARUP, United Kingdom
S. Janssen, The Netherlands Organization for applied scientific research (TNO),
The Netherlands
V. Mestre, Landrum & Brown, California, United States
A. Loubeau, NASA Langley Research Center, Virginia, United States
A. Bristow, University of Surrey, United Kingdom
S. Thanos, University of Manchester, United Kingdom
M. Vigeant, Pennsylvania State University, Pennsylvania, United States
R. Cointin, Federal Aviation Administration, Washington, DC, United States

**This White Paper represents a summary of the scientific literature review undertaken by researchers and internationally-recognised experts. It does not represent a consensus view of ICAO.*

SUMMARY

This paper provides an overview of the state of the science regarding aviation noise impacts as of early 2019. It contains information on impacts including community noise annoyance, sleep disturbance, health impacts, children's learning, helicopter noise, supersonic aircraft, urban air mobility and unmanned aerial systems. The paper also considers the economic costs of aviation noise. This information was collected during an ICAO/CAEP Aviation Noise Impacts Workshop in November 2017 and in subsequent follow-on discussions.

1. INTRODUCTION

The purpose of this document is to provide an overview of the state of the science in the area of aviation noise impacts. As part of its work programme, CAEP's Impacts and Science Group (ISG) was tasked with providing an updated white paper on the topic of aviation noise impacts. A white paper on aviation noise impacts was provided at the CAEP/10 meeting, and was later published in 2017 as an open access journal article¹, but it did not address some emerging areas in aviation. So instead of merely providing an update, the course taken was to extend the review to the above mentioned topics. An Aviation Noise Impacts Workshop was held for invited scientists and

other observers and guests in Montreal, Canada November 1-3, 2017. The purpose of this workshop was to lay the foundation for this white paper, and over 50 attendees participated. One specific topic requested by the CAEP was for ISG to address the non-technical environmental aspects of the public acceptability for supersonic aircraft noise, and ISG began to explore this topic. In addition, the authors found much material on supersonics that had not previously been summarized for CAEP, and these details are provided in a separate document¹. Subsequent follow-up discussions led to additions to this white paper beyond those discussed at the workshop, and this includes urban air mobility (UAM) and unmanned aerial systems (UAS) noise. The basic of metrics for aircraft noise were defined in a Glossary which can be freely accessed at the ICAO public website² and those will not be repeated here.

2. COMMUNITY NOISE ANNOYANCE

2.1 Definition

Community noise annoyance refers to the average evaluation of the annoying aspects of a noise situation by a “community” or group of people. Annoyance, in this context, comprises a response that reflects negative experiences or feelings such as dissatisfaction, anger, disappointment, etc. due to interference with activities (e.g., communication or sleep) or simply an expression of being bothered by the noise.

To facilitate inter-study comparisons standardized annoyance questions and response scales have been introduced by the International Commission on Biological Effects of Noise, IC BEN.² These recommendations have been adopted by the International Standards Organization³, ISO TS 15666, and translated into a number of new languages, following a standard protocol.⁴

2.2 Exposure-response relationships

Over the years, many attempts have been made to relate the percentage of respondents highly annoyed by a specific noise source to the day-night average noise exposure

level, L_{dn} , or a similar indicator, e.g., day-evening-night average noise exposure level, L_{den} .^{5,6} The standard ISO 1996: 2016 has tables with % HA as a function of L_{dn} and L_{den} for various transportation noise sources.⁷ A review by Gelderblom et al.⁸ confirms these data for aircraft noise. Another review suggests different relationships, particularly for aircraft noise annoyance.⁹

2.3 Generalized versus local exposure-response relationships

While exposure-response relationships have been recommended for assessing the expected annoyance response in a certain noise situation, they are not applicable to assess the effects of a change in the noise climate. Existing survey results reveal a higher annoyance response in situations with a high rate of change, for instance, where a new runway is opened.^{10,11,12} Such heightened annoyance response seems to prevail.

Since airports and communities may differ greatly with respect to acoustic and non-acoustic variables, local exposure-response relationships, if available, may be preferred for predicting annoyance and describing the noise situation with desired accuracy. Still, generalized exposure-response relationships are desirable to allow assessment across communities and to establish recommended limit values for levels of aircraft noise.

2.4 Moderating variables

Analyses show that the common noise exposure variables *per se* explain about one third of the variance of individual annoyance responses. The annoyance response is moderated by a series of other factors, both acoustic and non-acoustic. Acoustic factors can be maximum levels, number of flights, fleet composition, and their respective distribution over time. Non-acoustic factors are for instance, personal noise sensitivity and attitude towards the noise source. In the aviation industry all “non- L_{dn} factors” are commonly referred to as “non-acoustic”.

Two old meta-analyses on the influence of non-acoustic factors on annoyance^{13,14} showed the factors of fear of

1 www.icao.int/environmental-protection/Noise/Documents/ICAO_Noise_White_Paper_2019-Appendix.pdf

2 www.icao.int/environmental-protection/Noise/Documents/NoiseGlossary2019.pdf

danger of aircraft operations, followed by noise sensitivity and age, had the largest effects. More recent results indicate that fear is no longer a dominating modifying factor. Other important modifying factors may be distrust in authorities and expectations of property devaluation.¹⁵ Guski et al. suggested⁹ that the rate of change at an airport with respect to noise and operational procedures could be an important moderating factor. They defined two types: LRC and HRC, low/high rate of change airport. Gelderblom et al. have shown that the average difference in the annoyance response between these two types of airports, LRC and HRC, corresponds to a 9-dB-difference ($9 \text{ dB} \pm 4 \text{ dB}$) in the noise exposure.¹⁷ Guski et al. reported a similar, but smaller difference, about 6 dB.⁹ The difference between the two studies is likely due to different selections and weighting of survey samples.

An important non-acoustic factor seems to be the attitude towards the noise source and/or its owner. Contrary to common beliefs, people that benefit from the air traffic are not more tolerant to aircraft noise.¹⁸ A lack of trust in the authorities, misfeasance, and a feeling of not being fairly treated will increase the annoyance.¹⁵ People may adapt different coping strategies, i.e. to master, minimize or tolerate the noise situation. Noise sensitive people have more difficulties coping with noise than others.¹⁹

If the respondents in a survey are selected according to proper random procedures, and the number of respondents is large enough to be an accurate representation of the population, individual factors will have the same effect in all surveys. However, other factors are location specific, for instance number of aircraft movements, prevalence of night time operations, LRC/HRC categorization, etc. The survey results from different airports will therefore vary unless these location specific factors are the same, or that they are accounted for statistically. Hence the search for a common exposure-response function, a “one curve fits all” solution, may not be applicable for all purposes.

2.5 Temporal trends in aircraft noise annoyance

Systematic surveys on aircraft noise annoyance have been conducted regularly over a good half century. Analyses by some researchers indicate that there has been an increase in aircraft noise annoyance over the past decades.^{20,21} These authors state that at equal noise exposure levels,

people today seem to be more annoyed by aircraft noise than they were 30-40 years ago.

Other researchers, however, claim that they can observe no change provided that the comparisons comprise similar and comparable noise situations.¹⁷ Gelderblom et al. point out that the trend observations made by others can be explained by variations in non-acoustic factors, such as the fact that the prevalence of HRC airports are higher among recent surveys than among older ones. When LRC and HRC airports are analyzed separately they claim that there has been no change in the annoyance response over the past 50 years. Guski et al. on the other hand, claim that even at LRC airports the prevalence of highly annoyed people is higher for all exposure levels compared to older studies.⁹

Survey results from different airports show a large variation in the annoyance response. The result of a trend analysis based on a limited sample of surveys is therefore highly dependent on the selection criteria.

2.6 Noise mitigation strategies

Annoyance due to aircraft noise has been recognized by authorities and policy makers as a harmful effect that should be reduced or prevented. Priority is given to noise reduction at the source (e.g., engine noise, aerodynamic noise) and reducing noise impact by adjusting operational procedures and take-off and landing trajectories. Attempts to modify the noise spectrum to produce a more agreeable “sound” were made in the EU-funded COSMA project.²² Such changes gave little or no effect. Sound insulation of dwellings is often applied, but such measures have no consequences for the outdoor experience of aircraft noise. The observed influence on annoyance of personal non-acoustic factors such as perceived control, and trust in authorities suggests that communication strategies addressing these issues could contribute to the reduction of annoyance, alongside or even in the absence of a noise reduction.

2.7 Conclusions

There is substantial evidence that there is an increase in annoyance as a function of noise level, e.g., L_{dn} or L_{den} . The noise level alone, however, accounts for only a part of the annoyance. Location and/or situation specific acoustic



and non-acoustic factors play a significant role and must be taken into account.

There is conflicting evidence that there has been a change in the annoyance response in recent years. Under equal conditions, people today are not more annoyed at a given noise level than they were 30-40 years ago. However, due to changes in both acoustic and non-acoustic factors (more HRC airports, higher number of aircraft movements, etc.), the average prevalence of highly annoyed people at a given noise level (L_{dn} or L_{den}) seems to be increasing. Existing exposure-response functions should be updated and diversified to account for various acoustic and non-acoustic factors. The difference between a high rate change and a low rate change situation seems to be particularly important.

3. SLEEP DISTURBANCE

3.1 Sleep And Its Importance For Health

Sleep is a biological imperative and a very active process that serves several vital functions. Undisturbed sleep of sufficient length is essential for daytime alertness and performance, quality of life, and health.^{23,24} The epidemiologic evidence that chronically disturbed or curtailed sleep is associated with negative health outcomes (like obesity, diabetes, and high blood pressure) is overwhelming. For these reasons, noise-induced sleep disturbance is considered one of the most important non-auditory effects of environmental noise exposure.

3.2 Aircraft noise effects on sleep

The auditory system has a watchman function and constantly scans the environment for potential threats. Humans perceive, evaluate and react to environmental sounds while asleep.²⁵ At the same sound pressure level (SPL), meaningful or potentially harmful noise events are more likely to cause arousals from sleep than less meaningful events. As aircraft noise is intermittent noise, its effects on sleep are primarily determined by the number and acoustical properties (e.g., maximum SPL, spectral composition) of single noise events. However, whether or not noise will disturb sleep also depends on situational

(e.g., sleep depth²⁶) and individual (e.g., noise sensitivity) moderators.²⁵

Sensitivity to nocturnal noise exposure varies considerably between individuals. The elderly, children, shift-workers, and those in ill health are considered at risk for noise-induced sleep disturbance.²⁴ Children are in a sensitive developmental stage and often sleep during the shoulder hours of the day with high air traffic volumes. Likewise, shift-workers often sleep during the day when their circadian rhythm is promoting wakefulness and when traffic volume is high. Sleep depth decreases with age, which is why the elderly are often more easily aroused from sleep by noise than younger subjects.

Repeated noise-induced arousals impair sleep quality through changes in sleep structure including delayed sleep onset and early awakenings, less deep (slow wave) and rapid eye movement (REM) sleep, and more time spent awake and in superficial sleep stages.^{26,27} Deep and REM sleep have been shown to be important for sleep recuperation in general and memory consolidation specifically. Non-acoustic factors (e.g., high temperature, nightmares) can also disturb sleep and complicate the unequivocal attribution of arousals to noise.²⁸ Field studies in the vicinity of airports have shown that most arousals cannot be attributed to aircraft noise, and noise-induced sleep-disturbance is in general less severe than that observed in clinical sleep disorders like obstructive sleep apnea.^{29,30} However, noise-induced arousals are not part of the physiologic sleep process, and may therefore be more consequential for sleep recuperation.¹³² Short-term effects of noise-induced sleep disturbance include impaired mood, subjectively and objectively increased daytime sleepiness, and impaired cognitive performance.^{31,32} It is hypothesized that noise-induced sleep disturbance contributes to the increased risk of cardiovascular disease if individuals are exposed to relevant noise levels over years. Recent epidemiologic studies indicate that nocturnal noise exposure may be more relevant for long-term health consequences than daytime noise exposure, probably also because people are at home more consistently during the night.^{16,33}

3.3 Noise effects assessment

Exposure-response functions relating a noise indicator (e.g., maximum SPL) to a sleep outcome (e.g., awakening probability) can be used for health impact assessments and inform political decision making. Subjects exposed to noise typically habituate, and exposure-response functions derived in the field (where subjects have often been exposed to the noise for many years) are much shallower than those derived in unfamiliar laboratory settings.^{34,35} Unfortunately, sample sizes and response rates of the studies that are the basis for exposure-response relationships were usually low, which restricts generalizability.

Exposure-response functions are typically sigmoidal (s-shaped) and show monotonically increasing effects. Maximum SPLs as low as 33 dB(A) induce physiological reactions during sleep, i.e., once the organism is able to differentiate a noise event from the background, physiologic reactions can be expected (albeit with a low probability at low noise levels).³⁴ This reaction threshold should not be confused with limit values used in legislative and policy settings, which are usually considerably higher. At the same maximum SPL, aircraft noise has been shown to be less likely to disturb sleep compared to road and rail traffic noise, which was partly explained by the frequency distribution, duration, and rise time of the noise events.^{27,36} At the same time, the per cent highly sleep disturbed assessed via self-reports is typically higher for aircraft noise compared to road and rail traffic noise at the same L_{night} level.³⁷

Although equivalent noise levels are correlated with sleep disturbance, there is general agreement that the number and acoustical properties of noise events better reflect the degree of sleep disturbance (especially for intermittent aircraft noise). As exposure-response functions are typically without a clearly discernible sudden increase in sleep disturbance at a specific noise level, defining limit values is not straight forward and remains a political decision weighing the negative consequences of aircraft noise on sleep with the economic and societal benefits of air traffic. Accordingly, night-time noise legislation differs between Contracting States.

3.4 Noise mitigation

Mitigating the effects of aircraft noise on sleep is a three-tiered approach. Noise reduction at the source has highest priority. However, as it will take years for new aircraft with reduced noise emissions to penetrate the market (and will thus not solve the problem in the near future), additional immediate measures are needed. For example, noise-reducing take-off and landing procedures can often be more easily implemented during the low-traffic night-time. Land-use planning can be used to reduce the number of relevantly exposed subjects. Passive sound insulation (including ventilation) represent mitigation measures that can be effective in reducing sleep disturbance, as subjects usually spend their nights indoors. At some airports, nocturnal traffic curfews have been imposed by regulation. It is important to line up the curfew period with the (internationally varying) sleep patterns of the population.

3.5 Recent evidence review

For sleep disturbance, a systematic evidence review based on studies published in or after the year 2000 was recently published.³⁷ According to GRADE³⁸ criteria, the quality of the evidence was found to be moderate for cortical awakenings and self-reported sleep disturbance (for questions that referred to noise) induced by aircraft noise, low for motility measures of aircraft noise induced sleep disturbance, and very low for all other investigated sleep outcomes. Significant exposure-response functions were found for aircraft noise for (a) sleep stage changes to wake or superficial stage S1 (unadjusted OR 1.35, 95% CI 1.22-1.50 per 10 dB increase in $L_{AS, \text{max}}$; based on N=61 subjects of a single study) and (b) per cent highly sleep disturbed for questions mentioning the noise source (OR 1.94, 95% CI 1.61-2.33 for a 10 dBA increase in L_{night} ; based on N=6 studies including > 6,000 respondents). For percent highly sleep disturbed, heterogeneity between studies was found to be high ($I^2=84\%$).

4. HEALTH IMPACTS

4.1 Introduction

There is good biological plausibility for health impacts of environmental noise, with potential mechanisms involving sleep disturbance, 'fight and flight' physiological response and annoyance.^{39,40} The number of epidemiological studies investigating impacts of environmental noise on disease risk and risk factors has increased greatly since the previous ICAO white paper¹ and these have been used to define exposure-response relationships. Some variability is expected between epidemiological studies due to differences in populations, methodology, exposures and study design. Therefore, a combined estimate from a meta-analysis of studies with a low risk of bias is used to provide a state of the art estimate of the exposure-response relationship.

This section highlights main findings from the systematic literature reviews and meta-analyses published in 2017-2018. These reviews reference the noise and health literature up to August 2015 for cardiovascular outcomes⁴¹ and December 2016 for birth outcomes.⁴² This section also considers new publications up to end July 2018, including from the NORAH (<http://www.laermstudie.de/en/norah-study/>) and SIRENE (<http://www.sirene-studie.ch/>) studies in Germany and Switzerland respectively. Almost all studies available were conducted in European and North American populations.

In the following paragraphs it is important for the reader to be mindful of scientists' use of the terms association, correlation, and causation. The statistical finding of an association means that two variables are related. It needs additional clarification to say if it is statistically significant. For research investigating links between noise and impacts, linear correlation is usually too strong of a term to use, so the preferred term is association. Hence, associations do not necessarily mean causation. Determining causality requires a combination of evidence including biological plausibility, consistency across studies, and if available from experimental or natural experiment studies.

4.2 Aircraft noise and cardiovascular impacts

The systematic review on cardiovascular and metabolic effects of environmental noise was performed by van Kempen et al.⁴¹ and described in detail in an RIVM (Dutch National Institute for Public Health and the Environment) report.⁴⁶ The authors reviewed studies on the association between environmental noise (different source types) and hypertension in adults (none were identified focusing on children), ischaemic heart disease, stroke and obesity published up to August 2015. Findings for aircraft noise were reported to be consistent with findings for road traffic noise, where there are more studies available.

For hypertension: the van Kempen et al.⁴¹ meta-analysis included nine cross-sectional studies and provided an estimated increased risk of 5% (95% confidence intervals -5% to +17%) per 10 dB (L_{den}) aircraft noise (comprising 60,121 residents, including 9487 cases of hypertension). The one cohort study identified⁵⁰ (4721 residents and 1346 cases in Sweden published in 2010) did not show an overall association with hypertension incidence, but there were significant associations in subgroup analyses of males and of those annoyed by aircraft noise. The authors of the review ranked the quality of the evidence for noise from air traffic as "low" using the GRADE ranking system, meaning that further research is considered very likely to have both an important impact on confidence in the estimate of effect and to change the size of the estimate. Subsequent to the systematic review, a large case-control study (137,577 cases and 355,591 controls) from the NORAH study⁵¹ found no associations overall for aircraft noise with hypertension, but an increased risk for the subgroup of those who went on to develop hypertension-related heart disease, i.e. more severe cases. A subsequent publication from a small cohort (N=420) with up to 9 years follow-up in Athens who formed part of the original HYENA (Hypertension and Exposure to Noise Near Airports) study found a 2.6-fold increased risk of hypertension in association with a 10 dB increase in night-time aircraft noise.⁵²

Hypertension shows a positive but non-statistically significant association overall reflecting inconsistency between studies. This can be a difficult outcome to define precisely – the PURE multi-country study published in 2013 found nearly half of all cases of hypertension were

unrecognised.¹⁹⁸ There are various issues about defining hypertension by medication use, and recognised issues about measuring blood pressure in individuals. Also, hypertension may not be the only or most important mechanism contributing to potential impacts of noise on the heart – inflammation, small blood vessel function and sleep disturbance also need to be considered.^{196,197}

For ischaemic heart disease (IHD) and heart failure, findings were more consistent than for hypertension: the van Kempen et al. systematic review⁴¹ reported a statistically significant increased risk of new cases of ischaemic heart disease of +9% (95% confidence intervals +4% to +15%) per 10 dB L_{den} , derived from a meta-analysis of two very large registry-based studies of 9.6 million participants and 158,977 cases. Taking into account evidence relating to existing as well as new cases and to mortality, the authors of the systematic review concluded “Overall, we rate the quality of the evidence supporting an association between air traffic noise and IHD as ‘low’” [using the GRADE ranking system] “indicating that further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate”. Subsequent published analyses from the SIRENE project using data from the Swiss National Cohort covering 4.4 million people⁵³, reported associations between aircraft noise and myocardial infarction mortality with increased risk of +2.6% (95% confidence intervals +0.4% to +4.8%) per 10 dB L_{den} . Highest associations between noise and IHD were seen with intermittent night-time exposures.⁵⁴ A large case-control study in Germany (19,632 cases and 834,734 controls) forming part of the NORAH study found associations of aircraft noise with diagnosis of myocardial infarction at higher noise levels (>55 dB) in the early morning hours, although not for 24 hour average noise levels. A further large NORAH study analysis⁵⁵ found a statistically significant linear exposure-response relationship with aircraft noise for heart failure or hypertensive heart disease of +1.6% per 10 dB increase in 24 hour continuous noise level (analysis based on 104,145 cases and 654,172 controls).

For stroke: the van Kempen et al. systematic review⁴¹ considered seven studies of different designs including one cohort study (the Swiss National Cohort). Findings were mixed but the meta-analysis did not show statistically significant associations of aircraft noise with stroke

outcomes. This result is consistent with subsequently published SIRENE study findings on stroke mortality also using the Swiss National Cohort but with improved noise exposure estimates.⁵³

Comparisons with findings for road traffic noise: findings for aircraft noise and the cardiovascular disease outcomes presented above are consistent with those for road traffic noise as reported in the van Kempen et al systematic review.⁴¹ In particular, for ischaemic heart disease, the systematic review rated the quality of the evidence supporting an association between road traffic noise and new cases of ischaemic heart disease to be high, providing an increased risk of +8% (+1% to +15%) per 10 dB L_{den} road traffic noise (as compared with findings for aircraft noise for this outcome of +9% (+4% to +15%) as noted above). Analogy with road traffic noise is meaningful, because, as well as impacts on annoyance, noise also functions as a non-specific stressor with non-auditory impacts on the autonomic nervous system and endocrine system. These stressor effects are seen with noise from different sources and result in adverse effects on oxidative stress and vascular function in experimental studies.^{196,197}

4.3 Aircraft noise and metabolic effects (diabetes, obesity, waist circumference, metabolic biomarkers)

The van Kempen et al. systematic review⁴¹ identified one Swedish cohort study considering aircraft noise,⁵⁶ which found a significant association between aircraft noise exposure and increased waist circumference over 8-10 years follow-up, but not for Body Mass Index (BMI) or type 2 diabetes. The authors of the systematic review concluded that further research would be likely to have an important impact on both size and statistical confidence in the estimate of effect. Three more recent publications also report some associations of aircraft noise with metabolic disturbance.⁵⁷⁻⁵⁹ A 2017 Swiss cohort study analysis forming part of the SIRENE project suggested an approximate doubling of diabetes incidence per 12 dB L_{den} increase in aircraft noise exposure⁵⁷ and positive although non-significant associations of aircraft noise exposure with glycosylated haemoglobin, a measure of glucose control over the past three months and a predictor of diabetes.⁵⁸ A 2017 study in Korea of 18,165 pregnant women identified through health insurance records,⁵⁹ found

an association between night-time but not daytime aircraft noise exposure during the first trimester of pregnancy and risk of gestational diabetes mellitus.

Findings are consistent with a hypothesis that noise exposure is related to stress-hormone-mediated deposition of fat centrally and other impacts on metabolic functioning and/or adverse effects of disturbed sleep on metabolic and endocrine function, also with results from a small number of studies considering road traffic noise that also found associations with diabetes, but more studies are needed to strengthen the evidence base for this outcome.

4.4 Aircraft noise and birth outcomes

A systematic review by Nieuwenhuijsen, et al.⁴² published in 2017 considered literature published up to December 2016. Six aircraft noise studies were included, but there were too few studies to conduct a meta-analysis. Four studies (published 1973-2001) considered birth weight and all studies found associations with aircraft noise exposure, but noise exposure levels in these studies were high (> 75 dB, various metrics). A further two studies conducted in the 1970s considered birth defects, of which one found significant associations – again, noise levels considered were high. Evidence was considered such that any estimate of effect is very uncertain. The authors commented that “there may be some suggestive evidence for an association between environmental noise exposure and birth outcomes” with some support for this from studies of occupational noise exposure (which were higher than most current environmental aircraft noise exposures), but that further and high quality studies were needed. No further studies relating birth outcomes to aircraft noise have been published to date.

4.5 Aircraft noise and mental health

There remain very few studies of aircraft noise exposure in relation to wellbeing, quality of life, and psychological ill-health. Since the previous ICAO paper and publication¹ in 2017, there has been one major German analysis⁶⁰ published from the NORAH study, which found a significant association with depression as recorded in health insurance claims. Risk estimates increased with increasing noise levels to a maximum Odds Ratio (OR) of 1.23 (95% CI=1.19-1.28) at 50-55 dB (24 hour average), but decreased at higher

exposure categories. The reason for this is unclear but it may potentially be due to uncertainties related to very small numbers of exposed and cases at higher noise levels. A cohort study following 1185 German school children⁶¹ from age 5-6 to 9-10 years did not find associations of aircraft noise exposure with mental health problems (such as emotional symptoms, hyperactivity and conduct problems), but as the study used parental noise annoyance at place of residence as the measure of exposure as opposed to objectively assessed (modelled or measured) quantitative exposure levels, it is difficult to draw firm conclusions.

4.6 Conclusions

There has been a large increase in studies in recent years examining associations of noise exposure with health outcomes. The best epidemiological evidence relates to cardiovascular disease, which includes analyses from population-based studies covering millions of individuals, in particular for new cases of ischaemic heart disease. Findings for aircraft noise are consistent with those for road traffic noise (for which more studies have been conducted and where the quality of evidence is rated as high). Results from epidemiological studies are also supported by evidence from human and animal field and laboratory experimental studies⁴⁵⁻⁴⁹ showing biological effects of noise on mechanistic pathways relating to risk factors for cardiovascular disease. This experimental evidence, together with consistency with findings for road traffic noise, supports the likelihood that associations for aircraft noise with heart disease observed in epidemiological studies are causal. However, the exact magnitude of the exposure-response estimate for heart disease varies between studies and best estimates (obtained by combining results from good quality studies in a systematic review) are likely to change as further studies add to the evidence base.

There are important gaps in the evidence base for other outcomes. Perhaps surprisingly, few studies have been conducted in relation to impact of aircraft noise on mental health. There are also few studies relating to maternal health and birth outcomes including birth weight.

Generally, health studies to date have used L_{den} , L_{day} and L_{night} metrics, most likely as these were available and had been extensively validated in annoyance studies. There is a need to examine other noise metrics that may be more

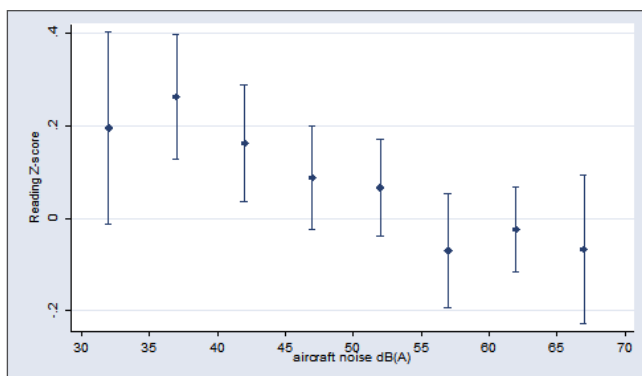
relevant to health endpoints – some of the more recent studies are starting to include other metrics, including intermittency ratio,⁴³ maximum noise level and to examine specific time periods,⁴⁴ especially for night-time exposures. These new metrics should be additional, but not replace the standard equivalent metrics (L_{Aeq} , L_{den}) to allow for comparability of results, at least at present while the evidence base is being compiled.

5. CHILDREN'S LEARNING

5.1 Chronic aircraft noise exposure and children's learning

Several studies have found effects of aircraft noise exposure at school or at home on children's reading comprehension or memory skills⁶² or standardized test scores.^{63,64} The RANCH study (Road traffic and Aircraft Noise and children's Cognition & Health) of 2844 9-10 year old children from 89 schools around London Heathrow, Amsterdam Schiphol, and Madrid Barajas airports found exposure-response associations between aircraft noise and poorer reading comprehension and poorer recognition memory, after taking social position and road traffic noise exposure, into account.⁶⁵ A 5 dB increase in aircraft noise exposure was associated with a two month delay in reading age in the UK, and a one month delay in the Netherlands.⁶⁶ These associations were not explained by co-occurring air pollution.⁶⁷ Night-time aircraft noise at the child's home

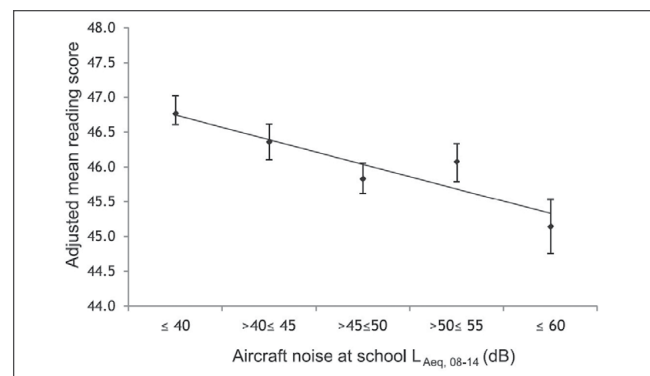
FIGURE 1: Exposure-effect relationship between aircraft noise exposure at school and reading comprehension in the RANCH study. The vertical axis shows the adjusted mean reading z scores and 95% confidence intervals for 5-dB(A) bands of aircraft noise at school (adjusted for age, gender, and country)⁶⁶



was also associated with impaired reading comprehension and recognition memory, but night-noise did not have an additional effect to that of daytime noise exposure on reading comprehension or recognition memory.⁶⁸ The recent NORAH study of 1242 children aged 8 years from 29 primary schools around Frankfurt airport in Germany found that a 10 dB (L_{Aeq} 08.00am-14.00pm) increase in aircraft noise was associated with a one-month delay in terms of reading age. The RANCH and NORAH studies examine the effect of aircraft noise on children's reading comprehension starting from a very low level of exposure. This enables the studies to adequately assess where effects of aircraft begin (i.e. identify thresholds): we should not be concerned by the inclusion of the examination of such low levels of aircraft noise exposure as both the RANCH and the NORAH study adjust the results for other noise exposures (e.g., road noise in RANCH and road and rail noise in NORAH) making the assessment meaningful in terms of considering other noise exposures and ambient noise exposure per se. Effects of aircraft noise on children's learning have been demonstrated across a range of aircraft noise metrics including L_{Aeq} , L_{max} , number of events above a threshold, and time above a threshold.⁶⁴

Data from the RANCH study and the NORAH study enable the exposure-effect association between aircraft noise exposure and children's reading comprehension to be estimated^{69,70} (see Figures 1 and 2). Both studies suggest that the relationship between aircraft noise and reading comprehension is linear, so reducing exposure at any level should lead to improvements in reading comprehension. In the RANCH study, reading comprehension began to

FIGURE 2: Exposure-response function between aircraft noise exposure at school and reading comprehension in the NORAH study⁷⁰



fall below average at exposures greater than 55 dB L_{Aeq} 16 hour at school.

It is possible that children may be exposed to aircraft noise for many of their childhood years, but few studies have assessed the consequences of long-term noise exposure at school on learning or cognitive outcomes. Whilst it is plausible that aircraft noise exposure across a child's education may be detrimental for learning, evidence to support this position is lacking. A six-year follow-up of the UK sample of the RANCH study, when the children were aged 15-16 years of age, failed to find a statistically significant association but did suggest a trend between higher aircraft noise exposure at primary school and poorer reading comprehension at follow-up,⁷¹ as well as a trend between higher aircraft noise exposure at secondary school and poorer reading comprehension at secondary school. This study was limited by its small sample size, which may be why it detects trends rather than significant associations. There remains an urgent need to evaluate the impact of aircraft noise exposure throughout a child's education on cognitive skills, academic outcomes and life chances.

5.2 How might chronic aircraft noise exposure cause learning deficits?

Aircraft noise may directly affect the development of cognitive skills relevant for learning such as reading and memory. A range of other plausible pathways and mechanisms for the effects have also been proposed. Communication difficulties might also account for the effects: teacher behavior is influenced by fluctuations in external noise, with a recent observational study finding associations between aircraft noise events and teacher voice-masking (when the teacher's voice is distorted or drowned out by noise) and teacher's raising their voice).⁷² Effects might also be accounted for by teacher and pupil frustration, reduced morale, impaired attention, increased arousal – which influences task performance, and sleep disturbance from home exposure which might cause performance effects the next day.^{73,74} Noise causes annoyance, particularly if an individual feels their activities are being disturbed or if it causes difficulties with communication. In some individuals, annoyance responses may result in physiological and psychological stress responses, which might explain poorer learning outcomes.

5.3 Interventions to reduce aircraft noise exposure at school

Studies have shown that interventions to reduce aircraft noise exposure at school do improve children's learning outcomes. The longitudinal Munich Airport study⁷⁵ found that prior to the relocation of the airport in Munich, high noise exposure was associated with poorer long-term memory and reading comprehension in children aged 10 years. Two years after the airport closed these cognitive impairments were no longer present, suggesting that the effects of aircraft noise on cognitive performance may be reversible if the noise stops. In the cohort of children living near the newly opened Munich airport impairments in memory and reading developed over the first two-year period following the opening of the new airport. A recent study of 6,000 schools exposed between the years 2000-2009 at the top 46 United States airports (exposed to Day-Night-Average Sound Level of 55 dB or higher) found significant associations between aircraft noise and standardized tests of mathematics and reading, after taking demographic and school factors into account.⁶⁴ In a sub-sample of 119 schools, they found that the effect of aircraft noise on children's learning disappeared once the school had sound insulation installed. These studies evidence the effectiveness of the insulation of schools that may be exposed to high levels of aircraft noise.

Sound-field systems, which ensure even distributions of sound from the teacher across the classroom, could provide a solution to improving children's learning in situations of aircraft noise. However, an evaluation of these systems in schools in the UK, which were not exposed to aircraft noise, found that whilst the systems improved children's performance on tests of understanding of spoken language they did not influence academic attainment in terms of test of numeracy, reading or spelling.⁷⁶ Whether such systems may be an effective intervention for children attending schools with high levels of aircraft noise exposure remains to be evaluated.

5.4 Conclusions

There is robust evidence for an effect of aircraft noise exposure on children's cognitive skills such as reading and memory, as well as on standardized academic test scores. Evidence is also emerging to support the insulation of

schools that may be exposed to high levels of aircraft noise. Whilst a range of plausible mechanisms have been proposed to account for aircraft noise effects on children's learning, future research needs to test these pathways, to further inform decision-making concerning the design of physical, educational and psychological interventions for children exposed to high levels of aircraft noise. Further knowledge about exposure-effect relationships in different contexts, using either individually collected cognitive performance data or standardized school test data, would also further inform decision-making. It would also be productive to derive relationships for a range of additional noise exposure metrics, such as the number of noise events. To date, few studies have evaluated the effects of persistent aircraft noise exposure throughout the child's education and there remains a need for longitudinal lifecourse studies of aircraft noise exposure at school and cognitive skills, educational outcomes and life chances.

6. HELICOPTER NOISE

6.1 Exposure-response relationships

Exposure-response relationships derived for annoyance by aircraft noise were viewed as not necessarily valid for specific sources such as helicopters, low-flying military aircraft or aircraft ground noise.⁶ Although relatively little is known on annoyance induced by helicopter noise, some surveys performed in the past have shown that helicopter noise is more often reported as annoying than fixed-wing aircraft noise, at similar or even lower A-weighted outdoor noise levels.⁷⁸⁻⁸² This was found for heavy military helicopters as well as for lighter civilian helicopters. A more recent survey⁸³ was done in three residential areas under or adjacent to helicopter corridors that were used by light civilian helicopters. The study was limited to only three surveys, but it was clear that for light civilian aircraft there was not a pronounced difference between response to fixed wing and rotary wing aircraft. The study did show that there was a residual annoyance associated with helicopter operations that was not associated with noise exposure level.

6.2 Role of non-acoustic factors

Some field studies^{81,84} have shown that helicopter noise annoyance is heightened by certain non-acoustic factors, in particular fear of a crash, lack of information on the reason of the flights, and low perceived necessity of the helicopter flights themselves (such as when the helicopter is viewed as 'rich person's toy') or of the noise that is produced by them (for instance when it is felt that the pilot or operator could reduce the disturbance by choosing a different flight pattern).

A more recent study⁸³ also found that for three surveys completed under or near light civil helicopter routes there was 'residual annoyance,' not a function of noise exposure level, an annoyance that was constant for all noise exposures with no evident tendency to approach zero at even very low noise levels. This lack of correlation between noise exposure level; and annoyance was associated with the strong influence of non-acoustic factors. These and earlier findings suggest that observed differences in annoyance between helicopters and fixed-wing aircraft may heavily depend on non-acoustic factors.

6.3 Role of impulse noise

Several laboratory studies have explored whether the degree of impulsiveness of the helicopter noise may contribute to annoyance.⁸⁵⁻⁸⁹ No consistent differences in annoyance were found between helicopter and aircraft noise, again suggesting that observed differences in the field were partly due to non-acoustic factors, nor did annoyance depend on the degree of impulsiveness. Therefore, the overall consensus is that there is no evidence to justify the application of an impulse correction to the noise level of helicopters with impulsive characteristics.⁹⁰⁻⁹¹

6.4 Role of rattle noise and vibrations

There is evidence that helicopter noise characterized by large low frequency components may impact the building and produce rattle (i.e. sounds of rattling objects or windows within the dwelling) or vibration (the perception of vibrating building elements or furniture), which in turn may lead to increased annoyance by the helicopter noise.⁹² While rattle noise and vibration may also be induced by the low-frequency components of ground noise during

aircraft landing and take-off,^{93,94} it is only sporadically induced by overflying fixed-wing aircraft.⁹⁵ In a large field study in the United States⁹⁶ it was found that noise from helicopters flying over was rated by subjects (seated in a wooden frame building) as more annoying than a control stimulus, but only when the helicopter induced rattle noise or vibration within the building. The results suggest a decibel offset of at least 10 dB to account for the extra annoyance when rattle or vibration were induced by the helicopter noise (i.e. the control stimulus had to be at least 10 dB higher to induce equal annoyance). An extension of this study suggested similar offset values of 10 and 8 dB for two helicopter types inducing rattle and vibration.⁸⁰ A recent study in the Netherlands suggests a lower offset, around 5-6 dB, for helicopter noise in combination with rattle noise induced within the building.⁹⁷ This conclusion is not supported for light civil helicopter surveys⁸³ where survey respondents did not report vibration or rattle as a source of annoyance. The relatively small degree of low frequency energy associated with light civil helicopters as compared to heavy lift helicopters is not expected to produce rattle noise, which is the most plausible explanation for the difference.

7. EN-ROUTE NOISE FROM SUPERSONIC AIRCRAFT

7.1 Introduction

Sonic booms are the unique sounds produced by supersonic aircraft. This section summarizes many of the properties and impacts of sonic booms, as we know them today.

Conventional sonic booms are widely considered to be loud, and this forms the basis of current regulations in many countries that prohibit supersonic overland flight. However, new research has enabled aeronautical engineers the tools to develop quiet “low-boom” aircraft designs that may be available in 5 to 10 years. Hence, sonic boom research needs to clearly distinguish whether the sonic booms are the conventional N-wave sounds, so called because of their letter N pressure versus time shape, or the new low-booms which are considerably smoothed. The low-booms, or “sonic thumps”, can be as much as 35 dB quieter than conventional booms.

7.2 Human response studies

Studies have shown that sonic booms can be reproduced quite accurately in the laboratory, and this makes it possible to perform subjective experiments under controlled conditions. Although no supersonic aircraft has produced a low-boom signature yet, a similar surrogate sound can be created using a special aircraft dive manoeuvre. This makes it possible to conduct tests with real aircraft outdoors for either N-waves or low-booms, complementing the laboratory tests.

A number of subjective tests have been conducted. One trend seen in studies from both the U.S. and Japan is that annoyance to sonic boom noise is greater indoors compared to outdoors. The findings show that indoor annoyance can be estimated based on the outdoor sonic boom exposure. There has been recent work to establish that both rattle and vibration contribute to indoor annoyance of sonic booms. One interesting point is that although conventional N-waves can be accompanied by a startle response, it turns out that low-booms are of low enough amplitude that they don't induce a consistent physiological startle response.

There has been substantial work in recent years to establish metrics to assess sonic boom noise. Out of a list of 70 possible metrics, a group of 6 metrics has been identified for the purposes of use in certification standards and in developing dose-response curves for future community response studies. Clearly the low-booms are much quieter than the conventional N-wave booms, but additional community studies with a low-boom aircraft need to be conducted to assess public response.

7.3 Non-technical aspects of public acceptability for sonic boom

An additional aspect that should be considered for sonic booms includes the non-technical aspects of acceptability. The CAEP Steering Group specifically requested that ISG look into this topic. A preliminary discussion has revealed a strong resemblance to the non-acoustical factors of subsonic aircraft noise, previously mentioned in Section 2 “Community Noise Annoyance” of this white paper. There are currently no peer-reviewed studies on the topic of non-acoustical factors for sonic boom noise, but it seems plausible that the knowledge of subsonic aircraft



non-acoustical factors could be extended for application to sonic boom noise non-technical aspects.

7.4 Impacts of sonic boom on animals

Recently there has been renewed interest regarding the impacts of sonic boom noise on animals. Fortunately there is an extensive literature extending from before the days of Concorde to recent years, mostly for conventional N-wave aircraft.

There have been substantial studies for both livestock and other domesticated animals, and detailed studies of some wildlife species. For conventional sonic booms the animals usually show no reactions or minimal reactions, although occasionally they may startle just as humans do. There are no reported problems of developing fish eggs or of avian eggs due to sonic boom exposures. NASA conducted a number of studies in the late 1990s and early 2000s to assess the impact of overwater sonic booms on marine mammals. There is a good bit of knowledge as to how much sonic boom noise transitions from air into water, and fortunately, very little of the sound gets into the water. For the California sea lion, elephant seals, and harbor seals, careful lab experiments showed no temporary hearing shifts in those species.

In 1997 and 1998 a study of a colony of seals exposed to Concorde booms on a regular basis showed that the booms didn't substantially affect the breeding behavior of gray or harbor seals. It instead seems that these animals substantially habituated to hearing these N-wave sonic booms on a routine basis.

Most of what is known about noise impacts on animals comes from the literature of the effects of subsonic aircraft and other anthropogenic noise sources, not sonic booms, on animals. It is well known that human activities can interfere with animal communication, for example.

There have not been many specific studies on the effects of sonic boom noise on animals in recent years. Some species with good low-frequency hearing, such as elephants, have never been evaluated regarding sonic boom noise. But it makes sense that if the already tested animals were not negatively affected by sonic boom noise from conventional N-waves, that they will likely not be affected

by the proposed lowbooms of the future. Long-term effects of sonic boom exposure on animals seem unlikely.

7.5 Conclusions

Much progress has been made to model and mitigate the effect of sonic booms from supersonic flight. Ongoing research to assess the impact on the public indicate that new supersonic aircraft designs will create quieter sonic thumps that are much less annoying than conventional sonic booms. Upcoming community tests with a low-boom demonstrator aircraft will collect the data needed on noise exposure and resulting public reactions.

8. UAM/UAS NOISE

8.1 Current status

New aircraft technologies for increased mobility are likely to lead to new sources of community noise. Urban Air Mobility (UAM) refers to a range of vehicle concepts and missions operating in a community, from small Unmanned Aerial Systems (sUAS) to vehicles large enough for several passengers. The sUAS are envisioned for package delivery, surveillance, agriculture, surveying, and other similar applications that can benefit from use of a small and agile autonomous system, while the larger vehicles are envisioned for on-demand urban passenger transportation.¹⁶⁵ Electric propulsion is seen as a key technology that could enable these kinds of systems, across the range of vehicle types and sizes.¹⁶⁵

UAM vehicles have the potential to alter the community soundscape due to their noise characteristics that are qualitatively different from traditional aircraft.¹⁶⁶⁻¹⁶⁸ In addition, similar to sonic booms from supersonic aircraft en route, the noise may not be concentrated around traditional airports. There is very little scientific research on the human impacts of noise from UAM aircraft, although there have been increased efforts to measure and model the noise generated by them and their components.^{167,169-172} Two psychoacoustic studies are briefly described here.

A study¹⁶⁶ was conducted by NASA to evaluate human annoyance to sUAS noise, including the effect of variation in operational factors and a comparison of annoyance to

noise from road vehicles. The noise from four commercially available sUAS and four road vehicles, ranging in size from a passenger car to a step van, were recorded and presented to test subjects in a specialized simulation facility. For this limited set of noise sources, a systematic offset was found that indicates the noise of sUAS is more annoying than noise from road vehicles when presented at the same loudness.

Another NASA psychoacoustic study¹⁶⁸ concentrated on annoyance to noise from a simulated distributed electric propulsion (DEP) aircraft. Using auralizations from noise predictions of spatially-distributed, isolated propeller noise sources, the subjective study in a specialized psychoacoustic facility found that the number of propellers and inclusion of time-varying effects were significant factors in annoyance, while variation of the relative revolutions-per-minute (RPM) between propellers was not significant. The study also developed an annoyance model based on loudness, roughness, and tonality for predicting annoyance to these DEP sounds. Despite the limitations in prediction methods and simplifications, the study identified the relevant parameters and metrics that should be studied further.

8.2 Conclusions

Growing interest in UAM aircraft has been observed from different sectors, such as hobbyists, commercial entities, the military, government agencies, and scientists.¹⁶⁵ There is preliminary evidence that the public may be concerned with these new noise sources intended for transportation and package delivery.¹⁷³ Although there is only a very limited amount of research on subjective reaction to noise from these new aircraft types, indications that the noise characteristics differ from traditional aircraft warrant further research to understand and predict human perception of these sounds.

9. ECONOMIC COST OF AVIATION NOISE / MONETIZATION

9.1 Introduction

Sleep disturbance, myocardial infarction, annoyance, stroke, dementia, and other health effects are increasingly recognized as economic costs of noise.¹⁷⁴ Recent studies

estimating annual noise costs around specific major world airports are useful in considering the scale of the challenge and include: Taipei Songshan Airport €33 million¹⁷⁵ and Heathrow £80.3 million.¹⁷⁶ An unpublished student thesis by Kish (2008) suggests annual costs for aviation noise at 181 airports worldwide in excess of \$1 billion, which is not out of line with the individual airport estimates.¹⁷⁷ It is clear that noise can be a key factor when airport expansion is considered. Values of disturbance from aircraft noise are used in analysis and planning decisions affecting airport development and operations. Their main application is in estimating the costs or benefits arising from changes in noise levels and/or exposure. It is therefore important to look at the evidence that underpins these value estimates. There are three main approaches for monetizing noise costs, two of which value the nuisance according to individual preferences: revealed preference, usually hedonic pricing, and stated preference methods, which include contingent valuation and stated choice. The third type of approach, the impact pathway, links health effects of noise nuisance to monetary values from reducing morbidity risks that are typically derived from elsewhere. These are discussed in turn below.

9.2 Hedonic Pricing (HP)

The main method using revealed preference is hedonic pricing whereby the market for an existing good or service, in this case housing, is used to derive the value for components of that good, in this case the noise environment. House price in HP is modelled as a function of property characteristics that should include all social, spatial, and environmental factors. HP then provides the percentage change in house prices resulting from a 1 dB change in noise levels.^{178,179} The method has been extensively applied to the problem of aircraft noise, especially in North America. Individual studies yield a wide range of price changes from 0% to 2.3% per dB.¹⁸⁰ Thus a key challenge is to derive values that are applicable or transferable in different contexts.

Meta-analyses have sought to estimate consensus values based on pooled evidence from individual studies.¹⁸¹⁻¹⁸³ These meta-analyses are based on a reasonably small number of, US dominated studies, observations of 30, 29 and 53 respectively. Nelson (2004) and Wadud (2013) converge on 0.5 to 0.6% house price fall in response to a

1 dB increase in aviation noise, with caveats concerning the broad range of estimates and a dearth of studies in less developed countries. Using data on income, Kish (2008) carried out a meta-analysis on US based HP evidence, estimating a model with a low but reasonable fit, which he found did not transfer well to UK data. He et al. (2014) built on this work¹⁸⁴ but their model fit was poor. The evidence from these studies also suggests that values in Canada are higher^{182,183} or more generically that values outside the US are higher.¹⁸⁴ Interestingly, Kopsch (2016) reports a meta-analysis including air and road noise, finding that aviation noise increases the NDI by 0.4 to 0.6% relative to road.¹⁸⁵ To conclude, the best available evidence from the HP is that house prices fall by 0.5 to 0.6%, on average, per 1 dBA increase in aircraft noise, and there is also some support for country specific effects.^{182,183}

9.3 Stated Preference (SP)

Stated preference approaches have been increasingly applied to value noise nuisance especially in Europe. These involve either direct questioning on value, contingent valuation, or trade-off approaches, stated choice or ranking. As with HP, individual studies exhibit a wide range in values per unit of noise. A data set of 258 values of transportation noise derived from SP studies, adjusted to 2009 prices, yielded an average value per decibel change per household per annum of \$141.59, 95% Confidence Interval (CI) +/- \$30.24 with a range from \$0 to \$3,407.67. However the aviation noise values within this data, 69, exhibit less variation with a mean of \$292.24 and a CI of +/- \$23.10 and smaller range of \$15.05 to \$1097.83. Such variation in values may reflect genuine variations in preferences, the impact of contextual variables, variations in approach, systematic study or country effects, and changing preferences over time or some combination of these effects.¹⁸⁶ Again, meta-analysis can assist in explaining some of this variation. Only one meta-analysis has been conducted on studies of transportation noise, utilising 258 values derived from 49 studies across 23 countries conducted over a 40-year period.¹⁸⁶ As might be expected, the value of noise reduction or the cost of noise increases were found to be dependent on level of annoyance and income. The income elasticity was close to one, suggesting that the value placed on reduced noise increases broadly in line with income; this is higher than

estimates from cross sectional studies. There were no country effects found in this meta-analysis, suggesting that the model and values derived from it are transferable. Additionally, aviation noise was found to have a higher cost per dBA than road and rail noise. A result that is consistent both with studies of annoyance,⁶ and HP meta-analysis.¹⁸⁵ Furthermore, comparison with the then HP-based approach applied by the UK Department for Transport at the time (2014) indicated that the values from the SP meta-analysis and the HP-based approach were broadly comparable.¹⁸⁶ This is also supported by the primary research of Thanos *et al.* (2015), applying SP and HP in the same context.¹⁹⁵

9.4 Impact pathway

The third approach is rather different by exploring the impact pathway (IP) for noise effects on human health, and expressing those endpoints in terms of Disability Adjusted Life Years (DALYs) or Quality Adjusted Life Years (QALYs) to quantify healthy life years lost. The World Health Organization adopted this approach¹⁷⁴ and identified disability weights (DW) for cardiovascular disease, sleep disturbance, tinnitus and annoyance resulting from environmental noise. The evidence on the health impacts in all areas has been growing over the years. However, the evidence base underpinning the DWs for sleep disturbance and annoyance is extremely sparse, with a high degree of uncertainty.¹⁸⁰ This is reflected in the WHO (2011, p: 93) weight on annoyance where “a tentative DW of 0.02 is proposed with a relatively large uncertainty interval (0.01-0.12)”. This DW is only applicable those who are “highly annoyed”, so any individuals experiencing annoyance who are not highly annoyed are assigned a value of zero.

There is uncertainty around the value of a healthy life year lost, which is combined with the DW weights to derive monetary values. In practice, value of life has been derived from stated preference studies of traffic fatalities in the UK,¹⁸⁸ or reduced mortality risk based on stated preference studies in Europe.¹⁸⁹ As these values do not stem from analysing the health risks of noise nuisance, there is an added element of uncertainty regarding transferability of values from diverse contexts. Furthermore, the impact pathway approach has many steps each with potential to add error and uncertainty

to the value/cost estimates. As Freeman et al., (2014, p: 441) put it, “significant work is needed to improve and update the values of reducing risks that lead to morbidity and/or mortality.”¹⁹⁰ Nevertheless, the method has been adopted into policy analysis by the UK Department of Transport¹⁹¹ in assessing transport schemes and by the European Commission in evaluating the environmental noise directive.¹⁹²

9.5 The abatement and mitigation costs of dealing with noise

The costs imposed by noise lead to efforts to measure, manage and mitigate. Airports can bear substantial costs, for example at the high end of the scale, Amsterdam Schiphol spent approximately €644.6m largely on insulation between 1984 and 2005.¹⁹³ Nevertheless this only amounted to €0.58 per passenger. Whilst manufacturers have produced quieter aircraft, there is a trade-off between achieving energy efficiency and quieter design and operation. The benefits of any mitigation activity should outweigh the costs. The costs of mitigation are relatively straightforward to estimate, as they have a market price of implementation and maintenance, in the case of noise insulation or barriers, or of estimating forgone benefits, for instance, of noise curfews. It is also rational to compare the costs of different routes to achieving a noise reduction target, for example through regulation or market incentives. Once both the costs of noise and any additional costs of mitigation are established; cost benefit analysis (CBA) can be used to guide towards solutions with the highest net benefits.

9.6 Conclusions

Economic valuation of noise nuisance and health effects is necessary and robust values are available. Most importantly, these values are applied and used in decision making. Meta-analysis of both hedonic pricing and stated preference studies suggests that these approaches, when properly applied, deliver robust values of noise nuisance. These preference-based approaches do not capture the health effects of noise that are not perceived by the exposed population. The impact pathway approach provides nonmarket values for these health effects. However, IP does not value annoyance at levels less than “highly annoyed”, has a less well developed evidence base than HP and SP, and requires more steps that have the potential to introduce

more error. Furthermore, HP and SP meta-analyses have improved the transferability of values providing confidence intervals for their variation, whereas there is no robust evidence on value transferability for the IP approach. This approach should be viewed with caution in the absence of a well-developed evidence base, and especially in the case of annoyance effects perceived by the exposed populations, for which robust values of noise nuisance can be delivered by tested methods.

10. OVERALL CONCLUSIONS AND FUTURE WORK

This paper has provided an overview of the many different aircraft noise impacts. There is substantial evidence that increases in noise levels lead to increases in community annoyance, but there are other nonacoustical contributors to annoyance. In future work, existing exposure-response functions should be updated and diversified to account for various acoustic and non-acoustic factors. The difference between a high rate change and a low rate change situation seems to be particularly important.

Undisturbed sleep is a prerequisite for high daytime performance, well-being and health. Aircraft noise can disturb sleep and impair sleep recuperation. Further research is needed to (a) derive reliable exposure-response relationships between aircraft noise exposure and sleep disturbance, (b) explore the link between noise-induced sleep disturbance and long-term health consequences, (c) investigate vulnerable populations, and (d) demonstrate the effectiveness of noise mitigation strategies. This research will inform political decision making and help mitigate the effects of aircraft noise on sleep.

Epidemiological evidence from a systematic review published in 2018 covering studies up to 2016 and subsequent published studies involving several million participants show associations of aircraft noise with ischaemic heart disease. This is consistent with the evidence for road traffic noise, with larger numbers of studies. There is biological plausibility for impacts of noise on health and experimental evidence of effects of noise on the mechanistic pathways relating to cardiovascular disease, supporting the likelihood that associations are causal. Associations between aircraft noise and hypertension or stroke are less consistent across

epidemiological studies, but other biological mechanisms than hypertension are available to explain associations with heart disease. However, the evidence base for aircraft noise remains limited and further research may result in changes to exposure-response relationships with cardiovascular disease, such as those derived from the systematic review of studies published in 2018. The evidence base is limited for non-cardiovascular outcomes; further research is particularly needed on diabetes and obesity, mental health, and pregnancy and birth outcomes. Further research is also needed using additional noise metrics, including those that better characterise air traffic events than average sound level (e.g., number of events above a certain noise threshold) and that consider time period (e.g., late evening and early morning).

There is robust evidence for an effect of aircraft noise exposure on children's cognitive skills such as reading and memory, as well as on standardized academic test scores. Future research needs to test the different mechanisms and to inform key individuals who can intervene on the behalf of exposed children. Longitudinal studies over the lifecourse need to be conducted.

While some surveys suggest a higher response to helicopter noise than to noise from fixed-wing aircraft, any observed differences in annoyance seem to heavily depend on non-acoustic factors. Overall, there is no evidence for a pronounced difference between response to fixed-wing and to rotary wing aircraft at equal noise levels that would justify a stricter evaluation of helicopter noise. Only when the helicopter noise is characterized by a large degree of low-frequency energy, which may produce rattle noise or vibration in buildings, there is evidence that annoyance is markedly increased. Further research should consider the consequences of rattle noise to the evaluation of helicopter noise, as well as the important role of non-acoustic factors.

Using laboratory simulators and testing in the field with special aircraft manoeuvres, progress has been made on understanding and predicting human response to sonic boom noise from overflight of new proposed quiet supersonic aircraft. To confirm these results and extend the applicability of derived models, a new low boom flight demonstrator aircraft is being built to conduct sonic boom community response studies. Plans are underway for designing these experiments to develop exposure-response models for

this new kind of quiet supersonic aircraft. Several aspects of human response to low-boom supersonic flight still remain to be researched. Subjective studies have not fully investigated perception of focus booms, booms from other parts of the trajectory outside the cruise portion, noise in the shadow zone beyond lateral cut-off, Mach cut-off booms, and secondary booms. In addition, sleep disturbance relating to low-boom supersonic cruise flight or any of these other conditions has not been studied. Finally, community studies are needed using quiet supersonic aircraft in areas where people are not accustomed to hearing sonic booms, in order to develop a dose-response relationship for this new sector of commercial transportation. Regarding the non-technical aspects of public acceptability for supersonic aircraft noise, there is nothing in the literature that directly applies. However, it may be possible in the future to draw from the existing literature on the topic of non-acoustical factors for subsonic aircraft noise. We are fortunate that there already have been many studies on how animals react to conventional sonic booms, and current thinking is that the new low-boom aircraft would even have less of an impact. It is still unknown if large animals with good low-frequency hearing such as elephants will respond any differently compared to the medium and small sized animals that have already been studied.

There is preliminary evidence that the public may be concerned with the new UAM noise sources intended for transportation and package delivery. Although there is only a very limited amount of research on subjective reaction to noise from these new aircraft types, indications that the noise characteristics differ from traditional aircraft warrant further research to understand and predict human perception of these sounds.

Evidence from hedonic pricing and stated preference studies suggests that these approaches, when properly applied, deliver robust monetary values of noise nuisance. Although the impact pathway approach additionally provides non-market values for health effects, it should be viewed with caution especially in the absence of a well-developed evidence base and evidence on value transferability. There remains a need for further research to improve the robustness of the impact pathway approach and comparisons with other approaches. A further issue is that of evidence for lower income countries which is very sparse.

Comparisons between aircraft noise impacts and other noise source impacts, such as rail, road, and industrial noise, are beyond the scope of this current white paper. Others have already pointed out some of the similarities and differences in impacts between different types of noise sources, so much of that information is currently available.¹⁹⁴

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