

Airbus Prosky
Thomas Bernstein

ICAO Doc 9906 Step 8 to 10 Validation Approval

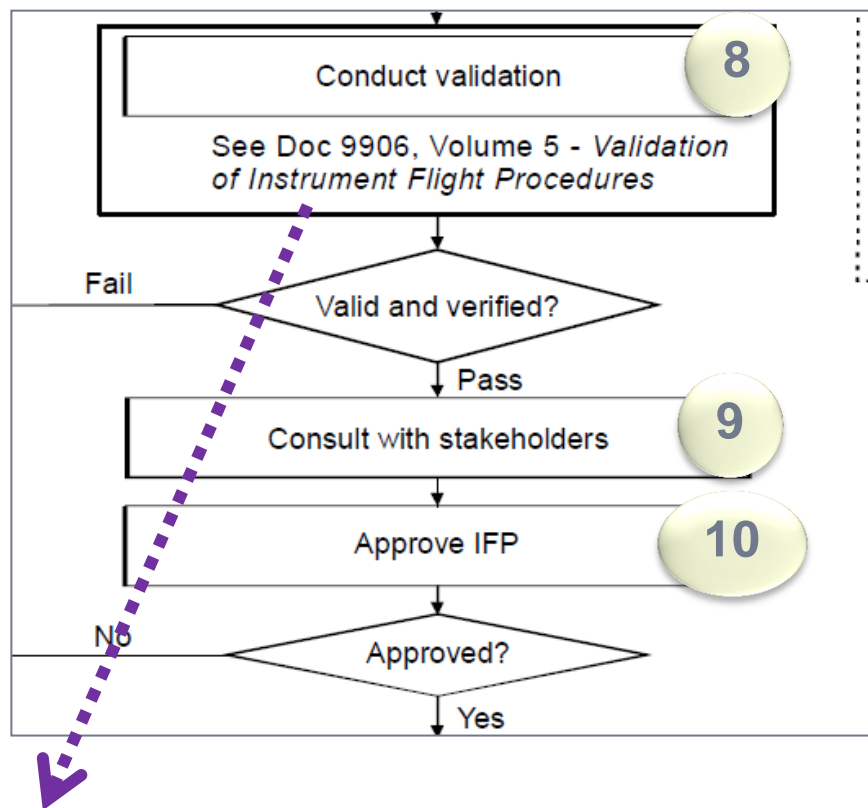
ICAO AFPP Workshop
3rd – 6th November 2015



Validation, Consult and Approval



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Extract of ICAO 9906 Vol. 1
IFP Process flow diagram

Step 8: See Doc 9906 Vol. 5 – Validation of IFP

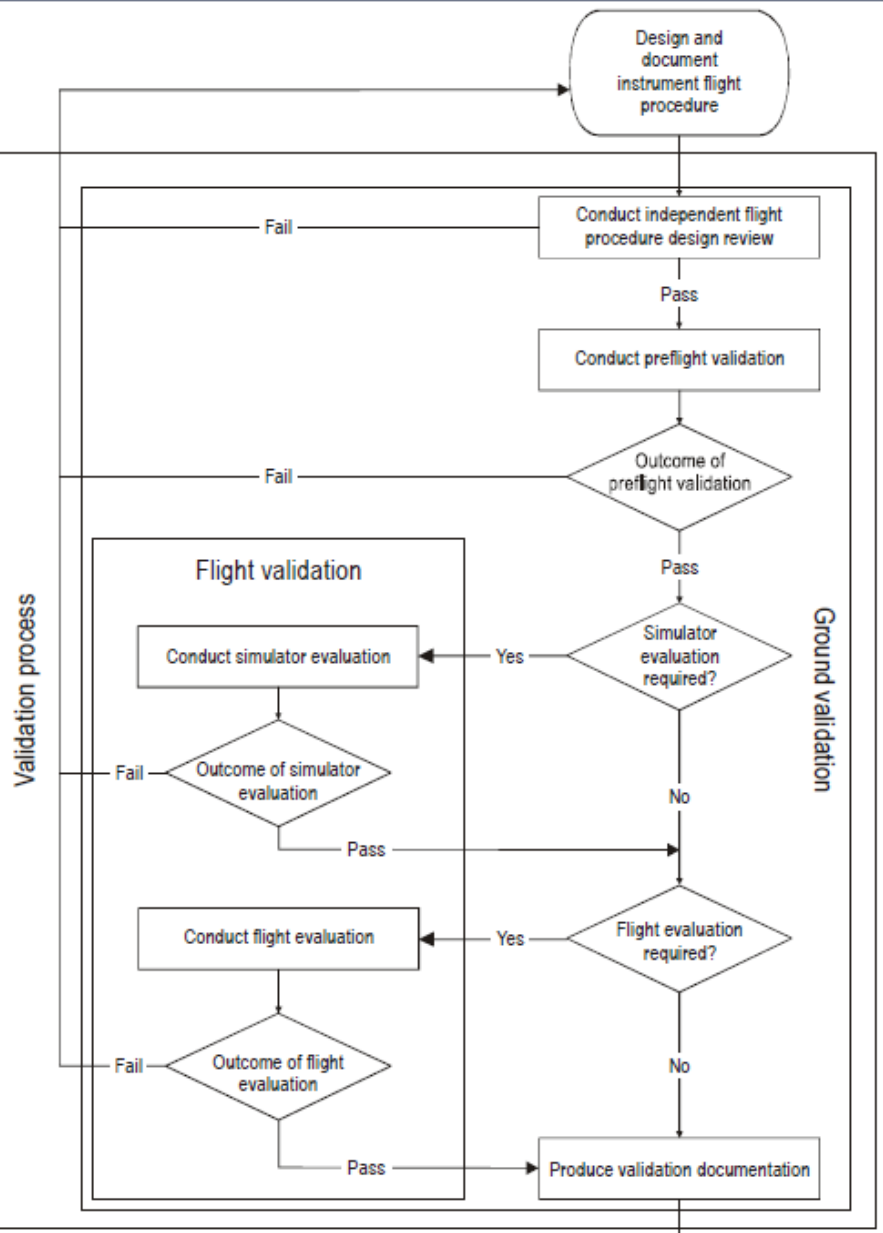
Validation



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See Doc 9906 Vol. 5

Validation Process Flow Chart

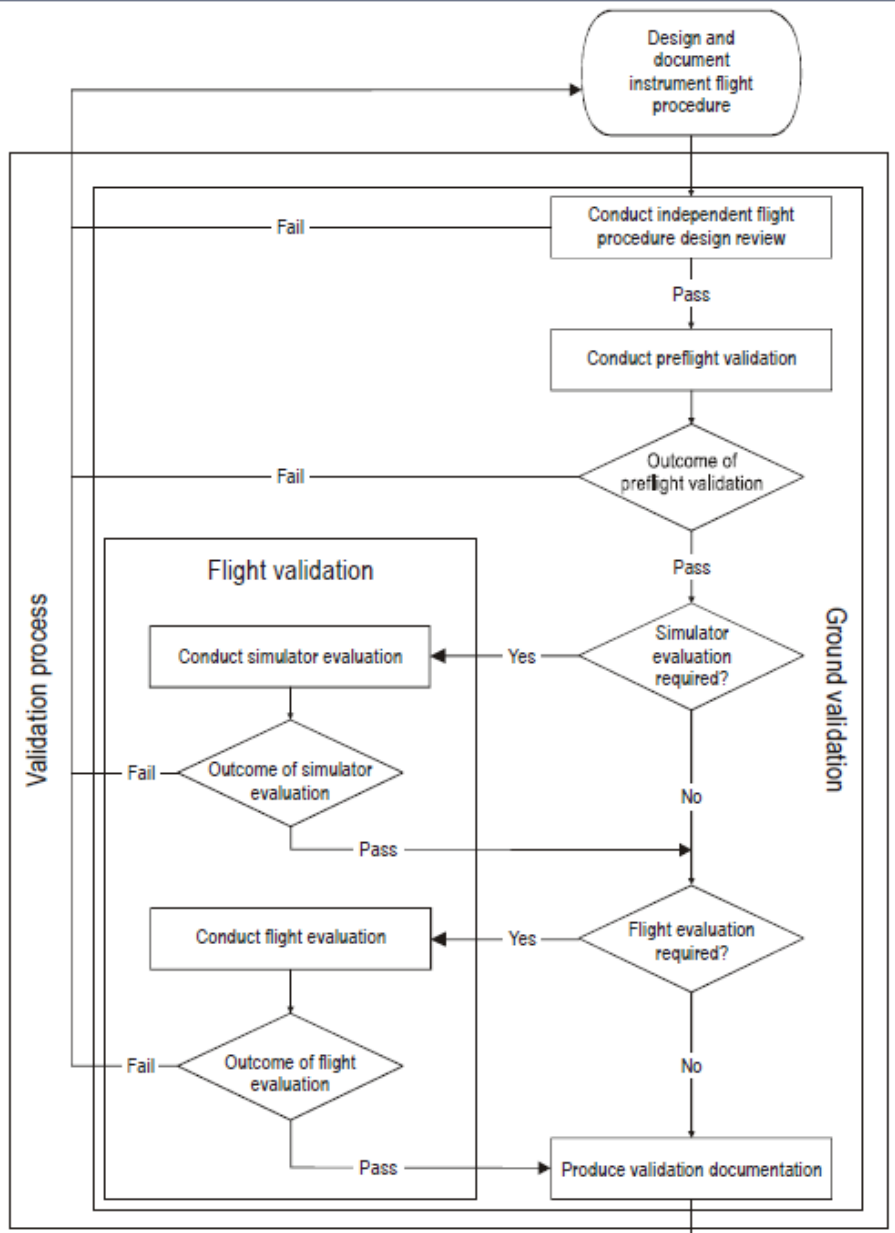


Final Objective: To give the green light for procedure implementation

Validation



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See Doc 9906 Vol. 5

Ground Validation

- Independent FPD review
- Pre Flight validation

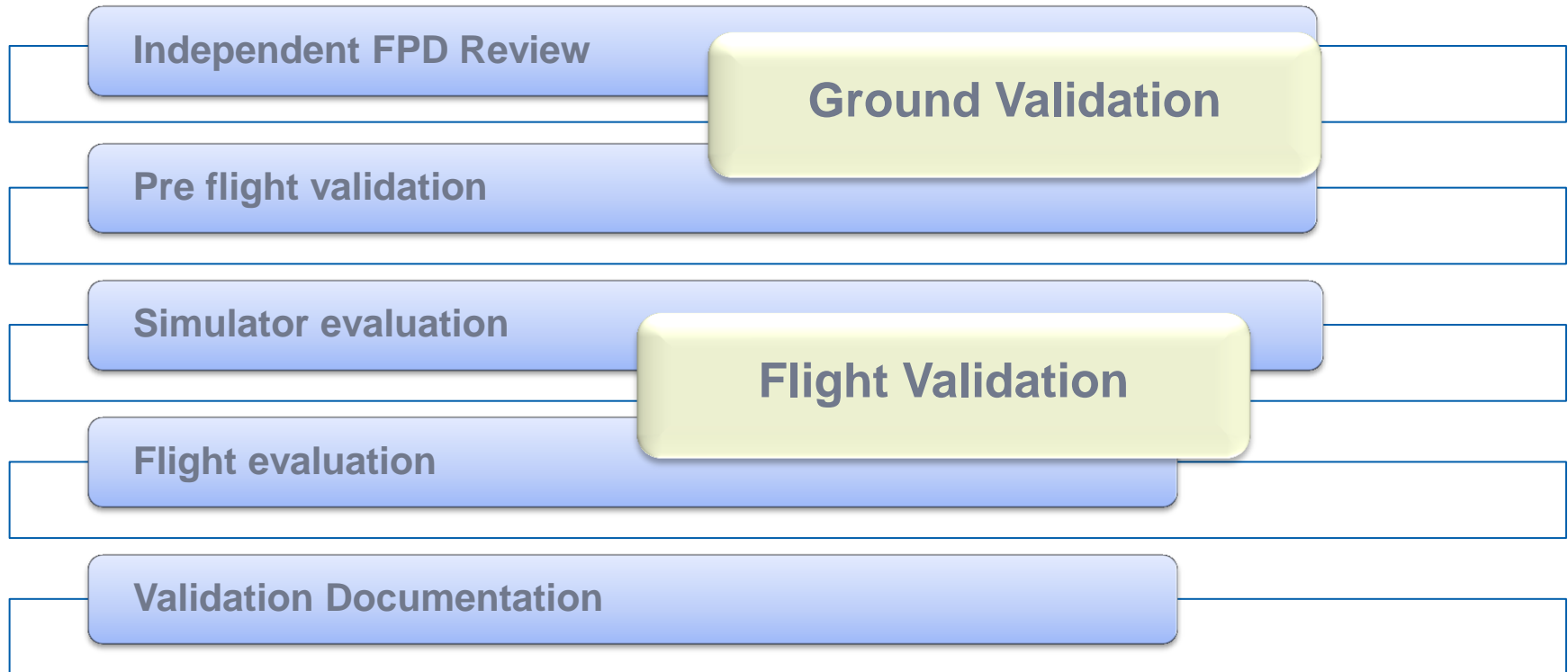
Flight Validation

- Simulator evaluation
- Flight evaluation

Validation



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Ground Validation

Independent FPD Review



Ground Validation



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Independent FPD review

□ A flight procedure designer other than the one who designed the procedure must perform this step. The designer can be assisted by specialists in other fields of expertise as necessary (Pilot, ATC...)

□ Tasks:

- Confirm correct application of Criteria
- Confirm data accuracy and integrity
- Verify mitigations for deviations from design criteria
- Verify draft chart is provided and correct
- *Confirm correct FMS behaviour – No mean for Proc Designer to test this*
- Perform obstacle assessment

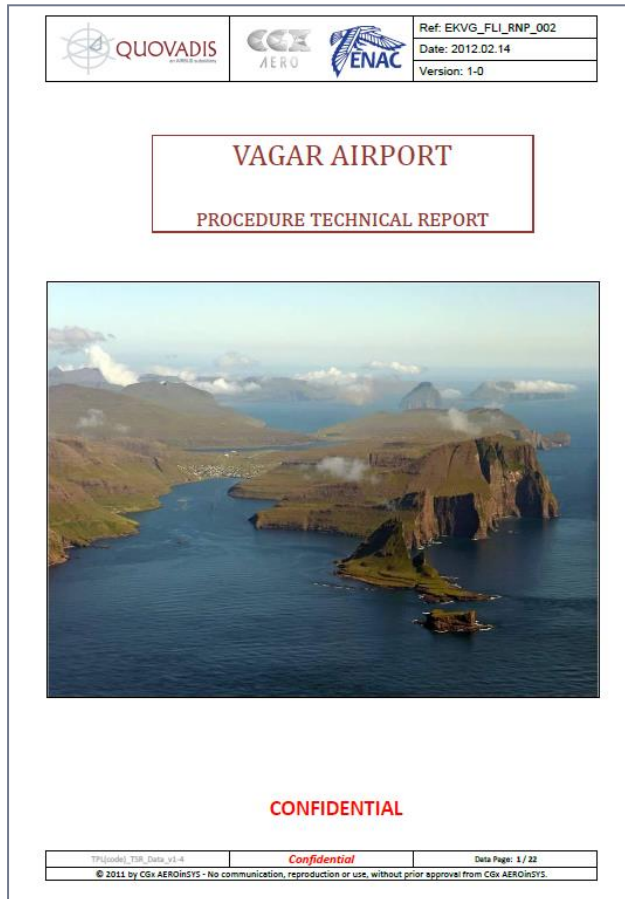
- Catch errors in criteria and documentation
 - Skilled procedure designer
 - Good knowledge of design criteria

- If the procedure documentation is not compliant with requirements : no validation step is possible***

- Doc 9906 contains a description of what an IFP package should contain (Vol. 5, paragraph 1.5.1). States should either endorse that list or publish their own.

- Independent reviewer should sign off IFP package or an equivalent document

- Technical Report : the document describing the procedure design



The Technical Report contains:

- References of Obstacle Data
- Minimum Safety Altitude (MSA) construction details
- Holding construction details
- Approach construction details, including Vertical Error Budget (VEB) and minima calculation .
- Departure construction details

Technical Report: Procedure details

	NP900 TF NPS36	NP536 TF LIP	LIP TF NPS26	NPS26 RF (L) NP524
RNP required (NM)	0.3	0.3	0.3	0.3
Initial Orientation (°)	323.5969	323.5875	323.5057	323.5935
Length (NM)	2	18	1.4777	3.2348
Radius (NM)				5
Angular amplitude (°)				37.0676
Max IAS (Kt)				250
Wind Speed (Kt)				95
Max TAS (Kt)/ (Altitude (ft))				293 / 8700
Max bank angle (°)				23.7 [2]
Significant obstacle	NP1072	NP1079	NP1080	NP1130
Obstacle elevation (m)	991 + 40	992 + 40	520 + 40	650 + 40
MOC (m)	300	300	300	300
MOCA (m/ft)	1331 / 4367	1332 / 4371	860 / 2822	990 / 3249
Safety altitude (ft)	4600 [1]	4600 [1]	4600 [1]	4600 [1]
Procedure altitude (ft)	4600 [1]	4600 [1]	4600 [1]	4600 [1]



Technical Report: Minima assessment details

3.2 FINALS AND MISSED APPROACH PARAMETERS

The minimum reference OAT at ZUNP is -10°C.

By using the new ICAO formula, at maximum VPA tested (4.3°) the maximum temperature is 33°C.

The values provided in the table below were computed with a FAF at 4600ft. The HL has been raised to take into account the VPA at 4°. RDH: 50ft.

The VEB has been computed for wingspan \leq 262ft (80m) and 25° Bank Angle for RF.

	CAT A		CAT B		CAT C		CAT D	
IAS (KT)	100		130		160		185	
HL raised for VPA 4°(ft)	147		166		179		195	
VPA (°)	4		4		4		4	
OAS gradient (%)	6.3862		6.3862		6.3862		6.3862	
Distance origin VEB to threshold (m) TF/RF	1073.82	1220.75	1073.82	1220.75	1073.82	1220.75	1073.82	1220.75
TAS (KT)	105		136.5		168		194.27	
TrD (m)	1894.84		2137.94		2381.03		2583.61	
Missed Approach Surface (Z) origin (m)	-1472.04		-1632.31		-1818.74		-1951.58	
MA Climb Gradient (%)	2.5		2.5		2.5		2.5	

Table 3-3: Final RNP 0.3 Parameters

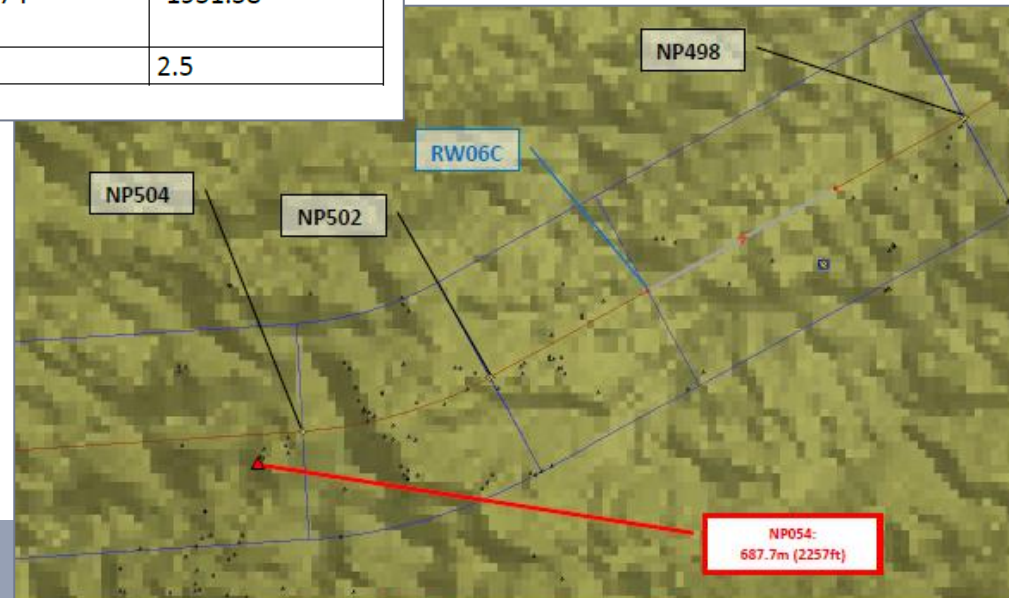


Figure 3-2: Obstacles on Final (MA RNP 0.3)

Ground Validation

Pre flight validation



Pre flight Validation



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- ❑ This may be a joint activity by flight procedure designer and pilots

- ❑ Persons performing pre flight validation must ensure that the IFP documentation is complete and that all necessary charts, data, coding are available. As a minimum, the following tasks must be performed:
 - Ensure the completeness of the IFP package as described in Chapter 1, §1.5.1
 - Ensure that charts are available in sufficient detail for assessment of the IFP during the FV (*very useful for pilot!*)
 - Familiarize with the target population of the procedure (e.g. aircraft categories, type of operation).
 - Discuss the IFP package with the procedure designer, as necessary

Pre flight Validation



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- Compare the IFP design, coding and relevant charting information against the navigation database (NDB) used for flight validation
- Verify that controlling obstacles and obstacles otherwise influencing the design of the procedure are properly identified
- Review the airport infrastructure and special airport regulations
- Review the navigation infrastructure used by the procedure

Pre flight Validation



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Outcomes:

- Determine the need for flight simulator evaluation, especially where there are special or unique design considerations

- Determine the need for flight evaluation in the aircraft

- Record specific additional actions required in a flight validation
(if required)

- Provide a detailed written report of the results of pre-flight validation.

“Simulator evaluation” and/or “flight evaluation”
are not mandatory !

Testing and Validation : NavDB Coding Check



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Coding check is carried out prior to any testing to, proactively preparing for expected behaviour or coding problems, and take full advantage of the testing slots.

TQVSP	MSLPMSFR07-X	ARELTA	010RELTAMSEAOE	A	101TF				+ 09200		U
TQVSP	MSLPMSFR07-X	ARELTA	020LP664MSPCOE		101TF		17490020				U
TQVSP	MSLPMSFR07-X	ARELTA	030LP578MSPCOE	L	L101RF	0065001749	14310036			LPC63	MSPCU
TQVSP	MSLPMSFR07-X	ARELTA	040LP574MSPCOE		302TF		14310026				U
TQVSP	MSLPMSFR07-X	ARELTA	050LP570MSPCOE		R302RF	0085001431	19500077		+ 03900	LPC62	MSPCU
TQVSP	MSLPMSFR07-X	ARELTA	060LP568MSPCOE		302TF		19500014				U
TQVSP	MSLPMSFR07-X	ARELTA	070LP564MSPCOE		302TF		19500066		+ 03700		U
TQVSP	MSLPMSFR07-X	ARELTA	080LP562MSPCOE		302TF		19500024				U
TQVSP	MSLPMSFR07-X	ARELTA	090LP560MSPCOE	B	302TF		19500020				U
TQVSP	MSLPMSFR07-X	ARELTA	100LP526MSPCOEE		302TF		19500100				U
TQVSP	MSLPMSFR07-X	R	010LP526MSPCOE	F	302IF				03500		U
TQVSP	MSLPMSFR07-X	R	020LP504MSPCOE		302TF						U
TQVSP	MSLPMSFR07-X	R	030LP502MSPCOE	L	L302RF	0030001950	06890066			-300	U
TQVSP	MSLPMSFR07-X	R	040RW07	M	MSPGOGE		06890030		00119	-300	LPC58
TQVSP	MSLPMSFR07-X	Z	050LP602MSPCOE	M	101TF		06890047				U
TQVSP	MSLPMSFR07-X	Z	060LP590MSPCOE	R	R101RF	0050000690	18070097				LPC05
TQVSP	MSLPMSFR07-X	Z	070LP533MSPCOE		101TF		18070228		+ 03000		U
TQVSP	MSLPMSFR07-X	Z	080LP533MSPCOEE	HL	HM		3600T010		03000	230	U

	UTC	SPD/ALT
(DECEL)	0910	209 / 9170
MORAM		0NM
LP560	0910	" / 9110
RNV07-X	TRK 194°	10
LP526	0913	167 / * 6670
RNV07-X		1-3.0°
LP504	0913	148 / 6420
3 ARC		7-3.0°
LP502	0916	139 / 2610

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2.2.2 Evaluate data and coding



Determine the need for Flight Validation



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A **Flight Validation** (simulator and/or aircraft as required) is required in the following cases:

- If the flyability of a procedure cannot be determined by other means
- If the procedure contains non-standard design elements (deviations from criteria, e.g. non-standard approach angles/gradients, non-standard segment lengths, speeds, bank angles)
- If the accuracy and/or integrity of obstacle and terrain data cannot be determined by other means
- If new procedures differ significantly from existing procedures

Determine the need for Flight Evaluation in the aircraft

Flight Evaluation (*i.e. actual flight*) is required in the following cases:

- For procedures where runway or landing location infrastructure has not been previously assessed in flight for instrument operations; and
- As determined by the State Authority.

Flight Validation

Simulator Evaluation



Procedure Testing and Validation: Objectives



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1.1 The need for validation

The purpose of validation is to obtain a qualitative assessment of procedure design including obstacle, terrain and navigation data, and provides an assessment of flyability of the procedure.

The validation is one of the final quality assurance steps in the procedure design process for instrument flight procedures (IFP) and is essential before the procedure design documentation is issued as part of the integrated aeronautical information package.

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PROCEDURE VALIDATION OBJECTIVES:

- 1) TAWS system: No Alert triggered
- 2) Fly-ability check

Procedure Validation Process (ICAO)



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1.2 Validation process

The full validation process includes ground validation and flight validation.

Ground validation consists of an independent IFP design review and a pre-flight validation. Flight validation consists of a flight simulator evaluation and an evaluation flown in an aircraft. An overview of the necessary steps in the validation process can be found in Figure 1-1. The validation process of IFP(s) must be carried out as part of the initial IFP design as well as an amendment to an existing IFP.

If the State can verify through ground validation the accuracy and completeness of all obstacle and navigation data considered in the procedure design, and any other factors normally considered in the flight validation, then the flight validation requirement may be dispensed with.

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- ✓ Ground testing is required.
- ✓ Flight testing and validation may be dispensed if validation activities can be fully conducted during the Ground Validation phase

Validation Process (ICAO)



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Benefits of simulator evaluation compared to actual flight evaluation:

4.3.4.3 Many of these factors can be evaluated, entirely or in part, during ground validation. Initial flyability checks should be conducted with software tools allowing the flyability of the procedure to be confirmed for a range of aircraft and in a full range of conditions (wind/temperature, etc.) for which the procedure is designed. The verification of the flyability of an RNAV or RNP procedure can also include independent assessments by procedure designers and other experts using specialized software or full-flight simulators. Flyability tests using flight inspection aircraft can be considered, but it must be borne in mind that this only proves that the particular aircraft used for the test can execute the procedure correctly. This is probably acceptable for the majority of less complex procedures. The size and speed of flight test aircraft can seldom fully represent the performance of a fully loaded B747 or A340 and therefore simulation is considered the most appropriate way to carry out the flyability test. Flight simulator tests should be conducted for those more complex procedures, such as RNP AR APCH, when there is any indication that flyability may be an issue. Software tools that use digital terrain data (typically digital terrain elevation data (DTED) level 1 being required) are available to confirm appropriate theoretical navaid coverage.

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**Unique testing capabilities provided by simulator are fully recognized
for RNP APCH and RNP AR operations**

Flight Validation – Simulator evaluation



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6.2.4.4 State ground and flight validation

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6.2.4.4.1 As RNP AR APCH procedures do not have a specific underlying navigation facility, there is no requirement for flight inspection of navigation signals. Due to the importance of publishing correct data, validation (ground and flight) of the procedure must be conducted in accordance with PANS-OPS (Volume II, Part I, Section 2, Chapter 4, 4.6). The validation process prior to publication should confirm obstacle data, basic flyability, track lengths, bank angles, descent gradients and compatibility with aircraft predictive terrain hazard warning functions (e.g. TAWS) as well as the other factors listed in PANS-OPS. When the State can verify, by ground validation, the accuracy and completeness of all obstacle data considered in the procedure design, and any other factors normally considered in the flight validation, then the flight validation requirement may be dispensed with regarding those particular factors.

6.2.4.4.2 Because of the unique nature of RNP AR APCH procedures, simulator assessment of each procedure should be accomplished during ground validation to evaluate the factors, including basic flyability, to be considered in the flight validation, to the extent possible, prior to flight validation. To the maximum extent possible, this simulator assessment should evaluate the factors considered in the flight validation, including basic flyability.

Note.— The evaluation of procedure flyability, and the performance of navigation and flight control systems, including speeds, aircraft weights and other operational variables, is the responsibility of the operator.

- ✓ Ground testing is required
- ✓ Flight testing and validation may be dispensed if validation activities can be fully conducted during the Ground Validation phase

Flight Validation – Simulator evaluation

- The simulator used should be suitable for the validation tasks to be performed. For complex or special procedures where simulator evaluation is desired, the evaluation should be flown in a simulator which matches the procedure requirements.
- When the procedure is designed for a specific aircraft model or series and specific FMS and software, simulator evaluation should be flown in a simulator with the same configuration used by the operator in daily operations.

Consideration should be given to what should / will be part of an Operational Approval

From the initiation of the project, simulation means availability and necessity to include an air operator should be considered

Procedure testing and validation



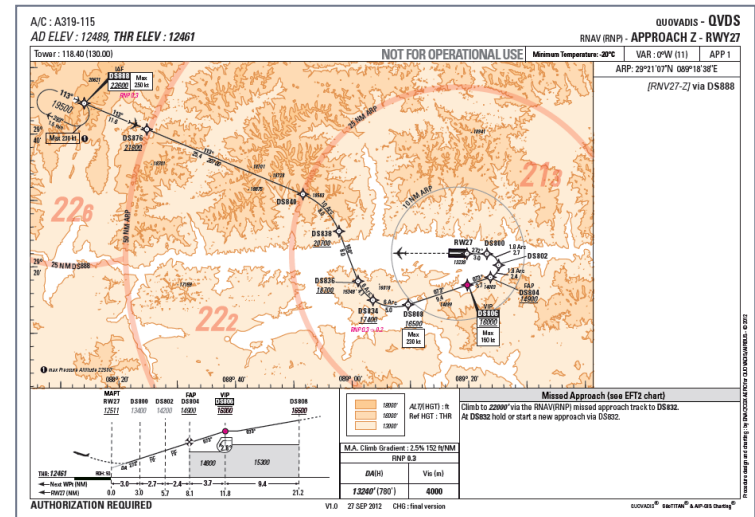
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Seq N	PT	VFP ID	Overfly	Fix role	TD	FWD YRF	THETA (°)	RHD Val	RHD uom	OBD CRS Val (°)	OBD CRS Type	TIME val	TIME uom
15	F	EGP224		JAF									
20	TF	VG476	N										
30	TF	VG474	N										
40	PF	VG473	N		R		265.691			332.841	TT		
50	PF	VG472	N		IF	R	332.841			006.430	TT		
60	PF	VG468	N		R		006.430			084.973	TT		
70	F	VG465											
20	TF	VG464	N	FAF									
30	TF	VG462	N										
40	PF	VG462	N		R		102.526			07.871	TT		
50	TF	RV32	N	MAPT									
60	TF	VG448	N										
70	PF	VG447	N		R		181.071			306.674	TT		
80	TF	VG440	N										
90	HMI	VG410	N		R		296.000	2.639	NM	18.000	TT	60,000	S
10	F	VG390		JAF									
20	TF	VG475	N										
30	TF	VG473	N										
40	PF	VG472	N		IF	R	332.841			006.430	TT		
50	PF	VG468	N		R		006.430			084.973	TT		
60	F	VG465		JAF									
20	TF	VG470	N										
30	TF	VG469	N		IF								
40	TF	VG467	N										
50	TF	VG466	N										

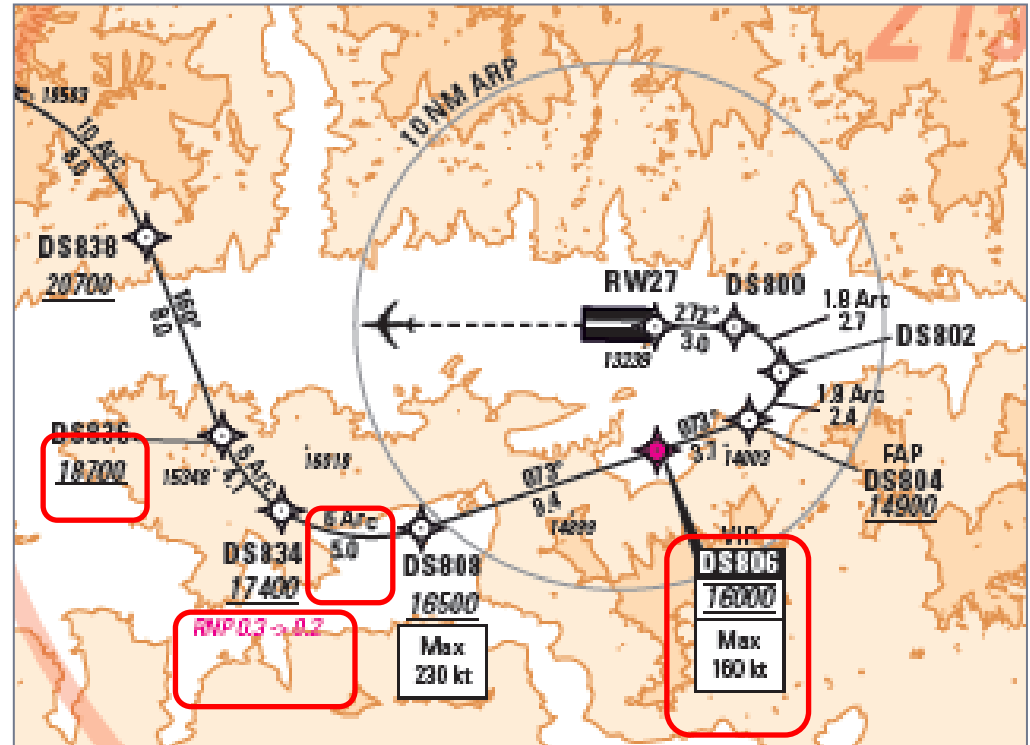
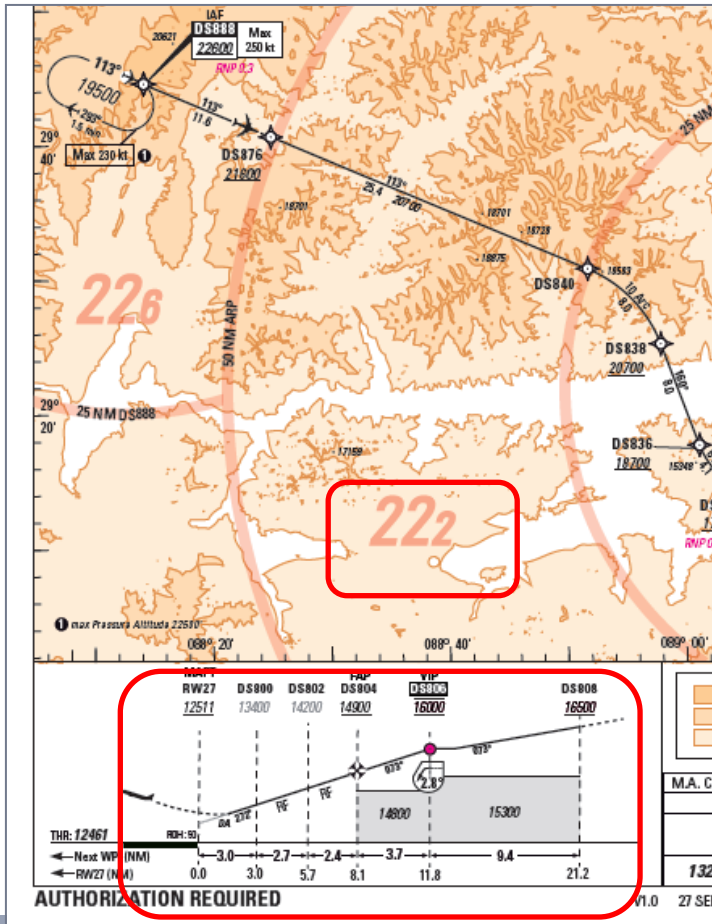
Coding Table



Charting tool
+
Manual customization



Customized charts to accurately reflect RNP specificities



- Normal and non normal operations testing
 - Fly-ability checks
 - Any Lateral Deviation (XTK) observed
 - Energy management (eg : final slope -3.7°), maximum bank angles
 - Terrain alerts
 - FMS predictions (speed/altitudes, level offs, deceleration points)
 - Failures (EO, navigation failure, loss of RNP capability...)
 - Wide range of temperature, wind and QNH environmental conditions

Example for
RNP AR

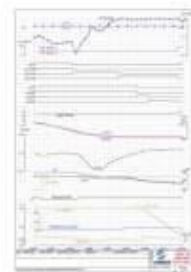


Procedures Testing and Validation – Fly-ability check



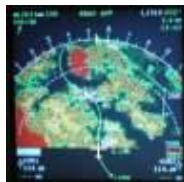
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Wind and temperature effects



Example for RNP AR

Free of TAWS



Contingency procedure check

Fly-ability Check

- Bank record
- Leg transitions
- Energy Management
- Failures cases



Recording

Design

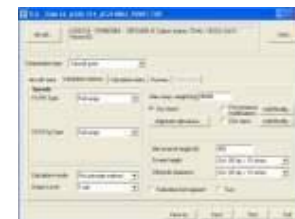
Performance

Testing

Design optimization
Performance optimization
Coding check
FOSA
Training Items

Coding

Charting



Altitude	Speed	Distance	Time	Energy
10000	240	100	00:00	100%
8000	240	100	00:00	100%
6000	240	100	00:00	100%
4000	240	100	00:00	100%
2000	240	100	00:00	100%
1000	240	100	00:00	100%

Coding check



ARC	UTC	SPD/ALT	TIME
3 ARC	2044	150/10200	4-2.8*
2 ARC	2046	150/10110	4-2.8*
1 ARC	2046	150/10020	4-2.8*
0 ARC	2047	150/10000	4-2.8*
0 ARC	2049	142/11700	4-2.8*
0 ARC	2049	91/71	4-2.8*

Testing and Validation : Fly-ability of procedures



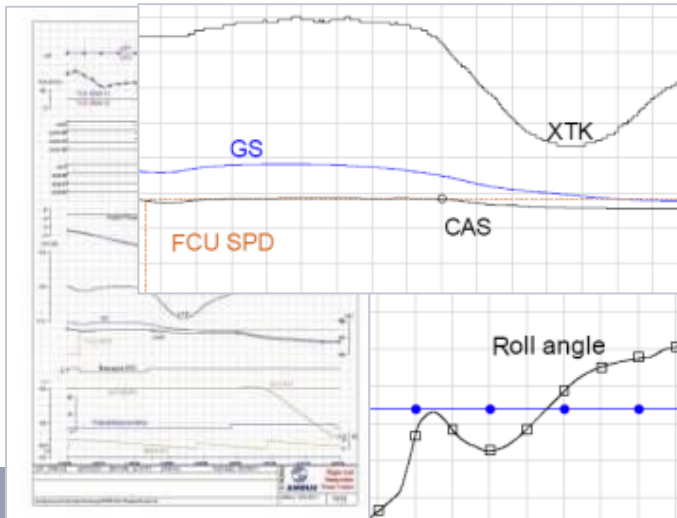
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Fly-ability check (normal & failures) with Aircraft / Engines model + equipments (EIS, FMS,...)



SIMULATOR Test Report #3

Example for RNP AR



Testing: Conclusion



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Simulator testing is the only mean allowing evaluation of:

- ✓ Failure cases (*if required, i.e. RNP-AR*)
- ✓ Wide range of temperature, wind, pressure, weight conditions to assess fly-ability, TAWS warnings, track keeping and bank angle limitations.

Flight inspection aircraft may not be representative of operator's aircraft systems behavior, fly ability, and performance.

Demonstration flight could be conducted to check the integration with ATC, and is recommended as part of the operator ops approval process.

- ✓ *E.g.: May be performed during a revenue flight, in VMC conditions*

Conclusion:

Simulator testing may be organized with the participant operator to the project

Ask your operators whether simulator may be available for such validation

Flight Validation

Flight Evaluation



Flight Validation / Flight Evaluation



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- If it has been declared necessary!
- Mandatory in some cases:
 - For procedures where runway or landing location infrastructure has not been previously assessed in flight for instrument operations; and
 - As determined by the State Authority.

Conclusion:

- A demonstration flight may be organized with **participant operator** to the project
- Flight Inspector/Procedure Designer may be on-board
- Demonstration of satisfactory integration of the procedure in the ATM environment
- ATC/Pilot use of (new) phraseology
- Check of satisfactory radio communication
- Useful for Flight Inspector to observe Crew in the frame of Operator Operational Approval of the operator

Flight Inspection



The terms “flight validation” and “flight inspection” are often misinterpreted as the same concept. Flight validation and flight inspection are separate activities that, if required, may or may not be undertaken by the same entity.

- a) Flight validation is concerned with factors other than the performance of the navigation aid or system that may affect the suitability of the procedure for publication, as detailed in PANS-OPS, Volume II, Part I, Section 2, Chapter 4, Quality Assurance.
- b) Flight inspection is conducted with the purpose of confirming the ability of the navigation aid(s)/system upon which the procedure is based, to support the procedure, in accordance with the Standards in Annex 10 — *Aeronautical Telecommunications* and guidance in the *Manual on the Testing of Radio Navigation Aids* (Doc 8071). Personnel performing flight inspection duties should be qualified and certified in accordance with Doc 8071, Volume I, *Testing of Ground-Based Radio Navigation Systems*.

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6.2.4.4 *State ground and flight validation*

6.2.4.4.1 As RNP AR APCH procedures do not have a specific underlying navigation facility, there is no requirement for flight inspection of navigation signals. Due to the importance of publishing correct data, validation (ground and flight) of the procedure must be conducted in accordance with PANS-OPS (Volume II, Part I, Section 2, Chapter 4, 4.6). The validation process prior to publication should confirm obstacle data, basic flyability, track lengths, bank angles, descent gradients and compatibility with aircraft predictive terrain hazard warning functions (e.g. TAWS) as well as the other factors listed in PANS-OPS. When the State can verify, by ground validation, the accuracy and completeness of all obstacle data considered in the procedure design, and any other factors normally considered in the flight validation, then the flight validation requirement may be dispensed with regarding those particular factors.

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- ❑ The EASA AMC 20-26 document (*Airworthiness Approval and Operational Criteria for RNP-AR operations*) does not make the Flight Inspection as mandatory. Extract of the AMC 20-26: “As RNP-AR does not have a specific underlying navigation facility, there is no requirement for flight inspection of navigation signals”
- ❑ The EUROCONTROL document “Guidance material for the flight inspection of RNAV procedures” mentions that “In the case of GNSS, the orbiting constellation provides a continually changing set of point sources and, for this reason, flight inspection cannot generate any meaningful assessment of positioning accuracy or coverage”

Conclusion:

Flight Inspection is not required for RNP procedure validation

- Regarding the previous recommendations, a recommended method should be to put in place a **RNP monitoring program** to analyze a large set of flights data collected from flights done in conditions defined by the authority (VMC conditions, increased Decision Altitude for a given period, etc)

Conclusion:

- Flight Inspection is not required for RNP procedure validation
- Process of Procedure Approval should not be stopped if “Flight Inspection” not achieved
- Participant operator to the project may be authorized to start operations (in given conditions) to gather Flight Data/Pilot reporting/ATC reporting
- Procedure may be published, but restricted to trials flight phase (NOTAM)
- Flight Data/pilot reporting/ATC reporting may be analysed to demonstrate satisfactory integration of the procedure

Validation Documentation



Validation Documentation

Document the results of the validation process as follows:

- Complete a detailed written report of the results of the validation process including justification for any steps in the validation process deemed not required. This involves a compilation of reports provided by the individual steps in the validation process
- Ensure that any findings and operational mitigations are documented
- Forward uncharted controlling obstacle position and elevation data to the procedure designer
- Ensure that recorded data are processed and archived together with the IFP and validation documentation

Validation conclusion

- Assess the results of the validation process as follows:
 - Review all aspects of the validation process to complete the assessment.
 - Make a determination of satisfactory or unsatisfactory results, based on criteria established by the State.

- For satisfactory validation, complete the IFP processing as follows:
 - Ensure the completeness and correctness of the IFP package to be forwarded.
 - Propose suggestions for improved operation of the procedure when such factors are outside the scope of the procedure design (e.g. ATC issues).

- For unsatisfactory validation, return the IFP to the procedure designer for corrections:
 - Provide detailed feedback to the procedure designer and other stakeholders.
 - Suggest mitigation and/or corrections for unsatisfactory results.

Step 9: Review with Stakeholders
Step 10: Procedure Approval



Review with Stakeholders



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Once Validation accomplished:

- All stakeholders should be consulted to get their opinion on the proposed final procedure
- Gathering their input at this stage allows the creation of a statement on the fulfilment of the initially agreed requirements (*at the “Kick Off Meeting”*)

A “Detailed Design Review” meeting should be organized

Procedure Approval



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- Once Validation accomplished
- Once reviewed by stakeholders
- Clear organisation = Clear responsible and efficient process

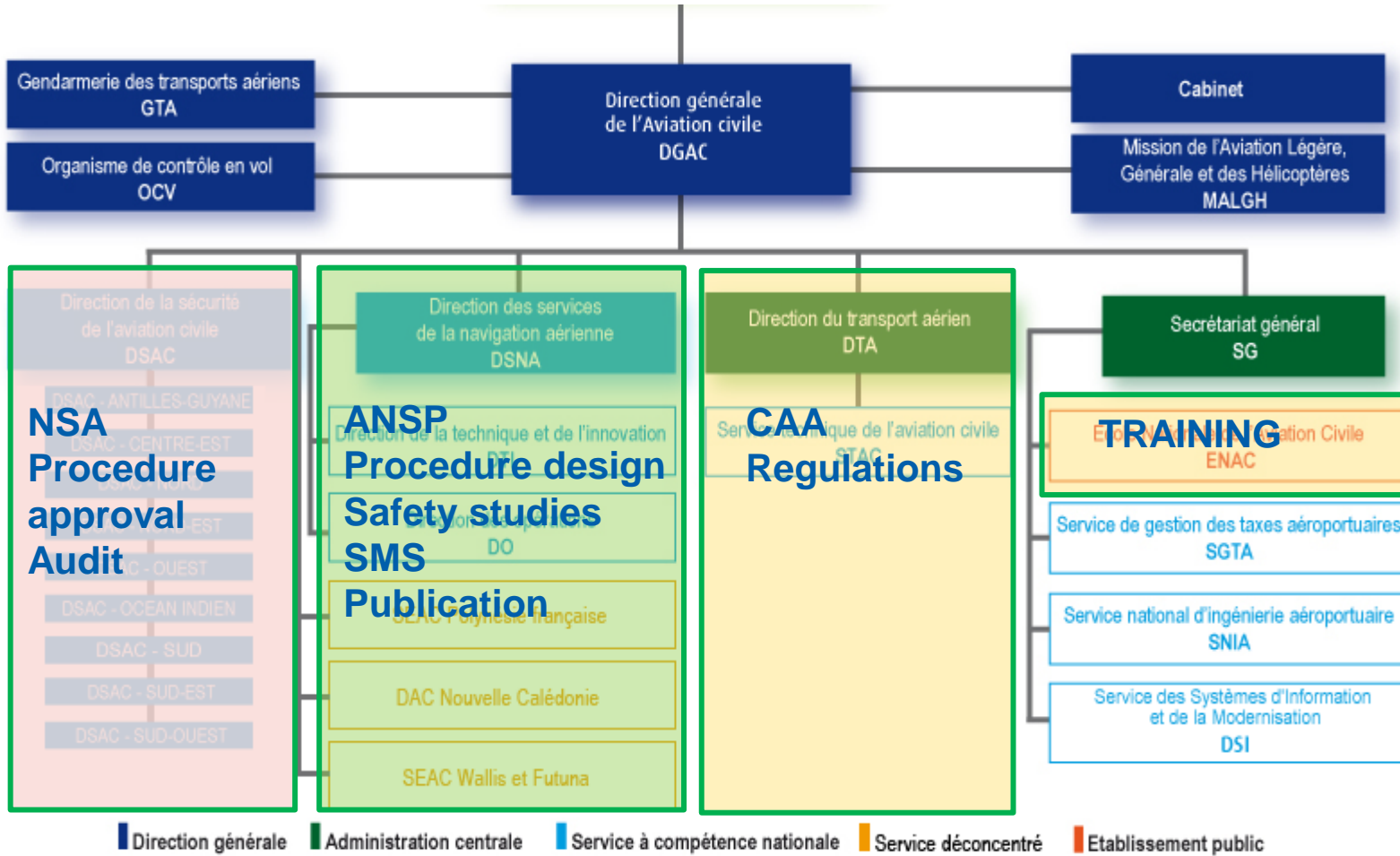
Extract of ICAO 9906 Vol. 1:

“The IFP must be approved by the State or by an authority designated by the State, prior to publication. This approval process must ensure that all the appropriate steps within the IFP process have been completed, documented and signed off by the competent authority”

Example of organisation – in France



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**Procedure Approval is different
from Operational approval**



Recommendations & Regulations



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Applicable recommendations & regulations used for design are the base for the validation requirements:

PROCEDURE APPROVAL

Procedure Design	ICAO	DOC 9905 & 8168
Procedure Validation	ICAO	DOC 9906 volume 5 <i>Validation of Instrument Flight Procedures</i>



OPERATIONAL APPROVAL

Local Regulations – (where applicable)

Operational Approval	FAA	AC90-101A <i>Approval Guidance for RNP Procedures with AR</i>
	EASA	AMC 20-26 for RNP AR Operations AMC 20-27 for RNP APCH

Performance Based Navigation (PBN) solutions



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Any Questions?

