



ICAO

INTERNATIONAL CIVIL AVIATION ORGANIZATION

**AFI COMPREHENSIVE REGIONAL IMPLEMENTATION PLAN FOR AVIATION
SECURITY AND FACILITATION IN AFRICA, (AFI SECFAL PLAN)**

7TH STEERING COMMITTEE MEETING

Niamey, NIGER (19 July 2018)

Agenda Item 2.3: Financial Status of AFI SECFAL PLAN

(Presented by AFI SECFAL Plan Secretariat)

EXECUTIVE SUMMARY

This paper presents for discussion and consideration by the Steering Committee, the financial status of AFI SECFAL Plan since inception in 2015, voluntary resources so far received from AFI States, donors and partners, the performance of the AFI SECFAL Fund, the need for a sustainable funding mechanism and priority project proposals and activities to assist and support five (5) States identified by the Secretariat. The actions of the Steering Committee are contained under paragraph four (4) of this Working Paper.

1. BACKGROUND

1.1 At the inception of the AFI SECFAL Plan in March 2015, a budget estimate of USD 5,600,000 was approved by the Steering committee anchored on activities specified in the 8 year work program approved at the time. However, as new demands emerge, it is evident that additional resources are needed to meet the AFI SECFAL Plan targets as aligned with GAsEP, Windhoek targets and the Africa and Middle East Aviation Security Road Map respectively. Therefore, more resources are needed to establish mitigating measures and mechanisms to address emerging aviation security threats and risks. Effective implementation of the AFI SECFAL Plan requires a robust and sustainable financial mechanism.

2. DISCUSSION

2.1 The AFI SECFAL Plan Resource Mobilization Strategy as approved by the Steering committee involve securing of funds mainly through the Regular budget, fund raising activities and voluntary contributions by AFI States, development partners and donors to support implementation of the Plan work programme. The 6th Steering committee encouraged the approach of securing resources and contributions to a grouping of States at Regional level rather than individual States in support of ICAO NCLB Objectives.

2.2 The major obstacles of establishing a sustainable funding mechanism for the AFI SECFAL Plan can only be addressed through a robust and tested resource mobilization strategy that ensure availability of manpower, tools and funds to support compliance with ICAO SARPs, Global/ Regional Plans and related targets and goals. The capacity to raise adequate resources to meet the objectives of the Plan is underpinned by the awareness and visibility of the benefits delivered through successful implementation of the entire work program spectrum.

2.3 In order to cost effectively utilize the available funds, the Steering Committee directed that as a priority the projects be developed to engage potential donors and other voluntary contributions partners in resource mobilization and also highlight the benefits of the AFI SECFAL Plan to States and the community in enhancing security and facilitation.

2.4 The secretariat has so far implemented the following projects since 2017: capacity building for aviation security, seminar to encourage ratifications of legal instruments, and training on the Control of the Authenticity and Validity of Travel Document at Airport Borders – Level 1.

2.5 The projects so implemented by the Secretariat since in 2017 upto the second quarter of 2018 in areas of aviation security and facilitation under the auspices of AFI SECFAL Plan and the utilizing of the Plan Fund include the following:

- a) Two (2) Capacity building workshops in for aviation security in Djibouti and Malawi
- b) Three (3) sessions on ICAO Training Package on Control of the Authenticity and Validity of Travel Document at Airport Borders – Level 1- (31 July to 4 August,2017 -Moshi, Tanzania); (23 to 26 October, 2017- Abuja, Nigeria) and (9 to 12 April, 2018-Niamey, Niger).
- c) Two(2) workshops on Enhancement of aviation security and facilitation in Africa in a sustainable manner through Crisis Management Workshop (30 October to 3 November, 2017- Dakar, Senegal); (20 to 23 March,2018-Nairobi, Kenya)
- d) Two (2) workshops on Enhancement of aviation security and facilitation in Africa in a sustainable manner through Risk Management Workshops (6 to 9 November,2017- Dakar, Senegal); (20 to 23 March,2018-Nairobi, Kenya)
- e) ICAO legal seminar on Ratification of Instruments and protocols for AFI States (27 to 28 November, 2017-Nairobi, Kenya)

AFI SECFAL PLAN FUND

2.6 The AFI SECFAL Plan Fund established in 2017, has so far received from States voluntary cash contributions of CAD 833,931.98 (Malaysia, Kingdom of Saudi Arabia, Uganda and Belgium) and in kind contribution through an expert from France to support the implementation of the Plan for two years renewable. Attachment D indicates the list of contributions to the AFI SECFAL Plan from September 2017 to 29th June 2018).

2.7 As of 29th June, 2018, the AFI SECFAL Plan Fund has a balance of CAD 665.682.92. Details of the statement of income and expenditure for the period 14 Dec 2016 to 29th June 2018 are reflected in **Attachment B and C**.

2.8 It is therefore worthwhile to note that the available funds can be utilized to support proposed projects and activities to assist States between 2018 and 2020 as highlighted under **Attachment A**. Furthermore, following the conclusion of the Deficiencies and needs analysis, 5 more projects are to be developed and considered for implementation for the period August 2018 to 2020 in both areas of aviation security and facilitation under the AFI SECFAL Plan Fund. Details of the projects are detailed in **Working Paper (WP) 4**.

2.9 The AFI SECFAL Plan Steering Committee Chairman and ICAO Secretary General have consistently briefed the ICAO Council on the funding challenges of the Plan and emphasized the need to include the Plan funding in the 2020-2022 triennium Regular Programme budget to boost the Fund.

3. CONCLUSION

3.1 It is anticipated that the current balance on the AFI SCEFAL Plan Fund will enable the commencement of the implementation of the priority projects identified and developed following the reports of the analysis of the USAP CMA results to address the common areas of deficiencies and needs as identified, assist at least five (5) Priority States that have been identified to have significant challenges and undertake ongoing priority activities including those determined following regional office missions.

3.2 In order to have an impact on the Plan activities and projected projects, the resource motivation strategy should be anchored on a sustainable funding mechanism, especially the inclusion of the AFI SECFAL Plan in the next triennium regular budget of 2020-2022.

4. ACTION BY THE STEERING COMMITTEE

4.1 The Steering Committee is invited to:

- a) Note information contained in the paper;
- b) Approve the financial report as presented by the Secretariat;
- c) Encourage member States, International organizations and Partners to continue to contribute towards the AFI SECFAL Plan thus supporting aviation security and facilitation projects;
- d) Approve in principle the 2018-2020 projects and activities;
- e) Request the ICAO Council to include the funding of AFI SECFAL Plan in the 2020-2022 triennium Regular Programme budget of ICAO.

Attachment – A

Projected Priority State Assistance Projects and activities - 2018/2020

1. Technical Assistance to Central African Republic

1.1 Justification: Resolution of 1 outstanding Issue noted since 2011 by the USAP audit (WD Target N°2);

1.2 Assistance : 1 week assistance to State, to be conducted by 2 RASFAL-AFI Experts;

1.2.1 Activities: Implementation of State Corrective Action Plan recommended by the USAP Audit conducted in 2011.

1.3 Estimated Budget:15,000 USD

2. Technical Assistance to The Gambia

2.1 Justification: Low performance recorded as an outcome of the USAP-CMA audit conducted in January 2018.

2.2 Assistance activity : 5 days assistance mission to the State by 1 RASGFAL-AFI Experts for the development of a sound corrective action plan;

2.3 Estimated Budget:.....10,000 USD

3. Technical Assistance to Guinea Bissau

3.1 Justification: Post USAP-CMA audit assistance.

3.2 Assistance Activity: 5 days assistance mission to the State by 1 RASGFAL-AFI Expert for the development of a corrective action plan;

3.3 Estimated Budget estimate:10, 000 USD.

4. Technical Assistance to South Sudan

4.1 Justification: Absence of approved National Civil Aviation Security and Facilitation Programmes.

4.2 Assistance Activities:

4.2.1 Five (5) days assistance by 2 RASFALG-AFI Experts for the conduct of a NCASP workshop

4.2.2 Seven (7) days assistance by 2 RASFALG-AFI Experts for the conduct of a NCASTP workshop.

4.2.3 Five (5) days assistance by 2 RASFALG-AFI Experts for the conduct of a NCASQCP Workshop.

4.3 Budget estimate:20, 0000 USD.

5. Technical Assistance to Djibouti

5.1 Absence of NCASTP and qualified National Instructors and Inspectors;

5.2. Assistance Activities:

5.2.1. Seven (7) days assistance by 2 RASFALG-AFI Experts for the conduct of a NCASTP workshop;

5.2.2. Seven (7) days assistance by 2 RASFALG-AFI Experts for the conduct of a National Inspectors Course;

- 5.2.3. Seven (7) days assistance by 2 RASFALG-AFI Experts for the conduct of an AVSEC Instructors Course;
- 5.3 Budget estimate: 22, 000 USD**

- 6. Conduct of AFI Region NCASP Workshop and NCASQCP Workshop at ASTC Johannesburg**
- 6.1 Five(5) days NCASP Workshop
- 6.2 Five (5) days NCAQCP Workshop
- 6.3 Budget estimate.....12,000 USD**

- 7. Conduct of ICAO legal seminar on Ratification of Instruments and protocols for AFI States (2nd Seminar to be held in Dakar, Senegal);
- 7.1 Estimated Budget 7,500 USD**

- 8. Implementation of the Joint ACI/AFI SECFAL Plan consolidated needs analysis survey outcomes on AVSEC and FAL training
- 8.1. Budget Estimate..... 50,000 USD**

- 9. Development of E-learning and Computer based Training packages on Facilitation in consultation with, GAT, FAL section ,partners and donors;
- 9.1. Budget Estimate.....50,000 USD**

- Estimated Total296, 500 USD**

Note: All the details of the proposed projects budget estimates are conservative based on past experience and could change or vary but not very significantly.

ATTACHMENT B

INTERNATIONAL CIVIL AVIATION ORGANIZATION
AFI SECFAL
ASF17102-01 - ASF18102-02
STATEMENT OF INCOME AND EXPENDITURE BY WO_s
FOR THE PERIOD FROM 14 DECEMBER 2016 TO 29 JUNE 2018
(in Canadian dollars)

Work Order	Work Order description	Balance in CAD
ASF17102-01	AFI SECFAL Plan Fund Unearmarked General Workorder	676,903.56
ASF17102-02	Legal Seminar to be conducted in Nairobi (27-28 Nov 2017)	7,181.84
ASF17102-03	Crisis Management Training Course (30 Oct – 03 Nov 2017)	(353.39)
ASF17102-04	Risk Management Workshop (07 – 10 Nov 2017)	(353.38)
ASF17102-05	6th AFI SECFAL Steering Committee Meeting (8 Dec 2017)	.00
ASF18102-01	Risk management Course & Crisis management training Nairobi, Kenya (20-29 Mar 2018)	(18,734.65)
ASF18102-02	Travel Documents Authentication training, Niamey, Niger (9-12 Apr 2018)	13,375.15
	Total exchange gain/(loss) and interest	(12,156.17)
	Total Fund Balance	665,862.96

ATTACHMENT C

AFI SECFAL
ASF17102-01 - ASF18102-02
STATEMENT OF INCOME AND EXPENDITURE BY WOs
FOR THE PERIOD FROM 14 DECEMBER 2016 TO 29 JUNE 2018
(in Canadian dollars)

AFI SECFAL
ASF17102-01 - ASF18102-02
STATEMENT OF INCOME AND EXPENDITURE BY WOs
FOR THE PERIOD FROM 14 DECEMBER 2016 TO 29 JUNE 2018
(in Canadian dollars)

		Total without WOs	Total WOs	ASF17102-01	ASF17102-02	ASF17102-03	ASF17102-04	ASF17102-05	ASF18102-01	ASF18102-02
Transfers (to)/from other funds	(24 725)		(24 725)	(72 743)		3 027	3 027	3 003	7 511	31 451
REVENUE										
Contribution	858 657		858 657	833 932	24 725					
Other Revenue	4 189	4 189								
TOTAL REVENUE	862 846	4 189	858 657	833 932	24 725					
EXPENDITURE										
Staff salaries and employee benefits	3 615		3 615					6		3 609
Supplies, consumables and others	873		873		873					
Travel Cost	82 886		82 886	41 429	5 867	3 333	3 333		18 681	10 242
Meeting Expense	30 131		30 131	4 541	10 804			2 997	7 564	4 224
Grants and other transfers	14 718		14 718	14 718						
Administrative overhead charges	23 597		23 597	23 597						
Miscellaneous other expenses	16 439	16 345	94			47	47			
TOTAL EXPENDITURE	172 258	16 345	155 913	84 286	17 543	3 380	3 380	3 003	26 245	18 076
ACCUMULATIVE SUPLUS	665 863									
		(12 156)	678 019	676 904	7 182	(353)	(353)		(18 735)	13 375

ATTACHMENT D

AFI SECFAL fund contribution list
Period covered from September 2017 to 29 June 2018

Trans.date	Period	Text	Amount in CAD	Currency	Currency amount
14/12/2016	201709	SAUDI ARABIA VOLUNTARY CONTRIBUTION AFI SECFAL PLAN FUNDS MANAGEME	-201,600.00	USD	-150,000.00
09/05/2017	201709	MALAYSIA AFI-SECFAL VOLUNTARY CONTRIBUTION 2017	-68,100.00	USD	-50,000.00
15/06/2017	201709	UGANDA AFI-SECFAL VOLUNTARY CONTRIBUTION 2017	-67,400.00	USD	-50,000.00
07/03/2018	201803	Transfer from project BEL14801 to AFISECFAL	-496,831.98	USD	-390,284.35
			-833,931.98		-640,284.35