



ICAO

INTERNATIONAL CIVIL AVIATION ORGANIZATION

**AFI COMPREHENSIVE REGIONAL IMPLEMENTATION PLAN FOR AVIATION
SECURITY AND FACILITATION IN AFRICA, (AFI SECFAL PLAN)**

7TH TH STEERING COMMITTEE MEETING

Niamey, NIGER (19th July 2018)

**Agenda Item 1.1 Matters Arising/Status of Implementation of the 6th SC Meeting
Decisions and Recommendations**

EXECUTIVE SUMMARY

This Working Paper presents the Matters Arising or Status of implementation of the Decisions and Recommendations made by the 6th Steering Committee Meeting held in Montreal Canada on 8 December 2017 and an overview or assessment of implementation of the AFI SECFAL Plan performance with regard to outstanding short term and ongoing Midterm (2018-2020) activities. The Steering Committee is requested to note the information contained in this paper and in particular the implementation of the Recommendations and decisions as highlighted in Attachment A to this Working Paper.

1. BACKGROUND INFORMATION

1.1 The Sixth Meeting of the Comprehensive Regional Implementation Plan for Aviation Security and Facilitation in Africa (AFI SECFAL Plan) Steering Committee (SC) was held on 8th December 2017 in Montreal, Canada. The SC made various Decisions and Recommendations that required action by the Secretariat and other Stakeholders.

1.2 The Meeting further requested the Plan Secretariat to carry out an assessment and overview of the Plans performance with regard to completed short-term activities and strategically undertake medium-term activities.

2. DISCUSSION

2.1 During the period of implementation (Dec 2017- June 2018), some of SC decisions and recommendations have been implemented in full while some are ongoing, as detailed under **Attachment A**. The SC will be provided with a complete update on the implementation status at the next SC meeting.

2.2 With regard to the alignment of the AFI SEC Plan, Windhoek Declaration and Targets, GAsEP and AFI-Mid Targets, the exercise was performed by the secretariat and a specific Working Paper has been developed as detailed in **WP/03**. Similarly, the analysis of USAP-CMA results to determine AFI common deficiencies and needs was done and the details are in **W/P 04**. Furthermore, after the approval of the Resource mobilization strategy, and establishment of the AFI SECFAL Plan Fund, it was also found prudent to provide the Status of the AFI SECFAL Plan Financial Status detailed in **WP/05**.

2.3 Most of the activities planned for the Short Term period (2015-2017) have been completed in line with the timelines given in the Plan Work Programme, and the Plan secretariat has started implementing the activities planned under Mid-Term Phase (2018-2020).

3. CONCLUSION

3.1 Based on the status of implementation of decisions and recommendations of the 6th Steering Committee, the implementation of the work programme for 2018, completion of most of the the short term activities, (2015-2017), and the GAsEP and other existing frameworks, it is evident that the AFI SECFAL Plan Targets will need to be revised during the Plans mid-term review in 2019.

3.2 The AFI SECFAL Plan secretariat has continued to implement the Plan activities utilising limited resources and expertise provided under ICAO regular budget, and in kind or financial voluntary contributions from States/donors partners. Based on the deficiency needs analysis conducted, it is inevitable that more resources are needed to guarantee continuity, effective implementation and achievement of the Plan objectives.

4. ACTION BY THE STEERING COMMITTEE

4.1 The Steering Committee is invited to:

- a) Note the information contained in this paper and in particular the implementation of the Recommendations and decisions as highlighted in **Attachment A** to this Working Paper.
- b) Provide further instruction, guidance and recommendations for the continued implementation of the Plan.
- c) Continue the advocacy to establish a sustainable funding mechanism especially through inclusion of the Plan in the next triennium budget (2020-2022).

ATTACHMENT A:

STATUS OF IMPLEMENTATION OF THE 6TH SC MEETING DECISIONS AND RECOMMENDATIONS

<i>Decision/ Recommendation reference</i>	Decision / Recommendation text	Action Taken/Current Status	Remarks	
	PROGRESS REPORT OF AFI SECFAL PLAN IMPLEMENTATION SINCE THE 5TH STEERING COMMITTEE MEETING			
<i>SC6/Dec 01</i>	<i>The Steering Committee (SC) Requested the Secretariat to:</i>	<i>a) Carry out an assessment and overview of the Plans performance with regard to completed of short-term activities and strategically undertake medium-term activities</i>	Short term uncompleted activities have been carried forward to mid- term phase (2018-2020) and the mid-term activities are on-going as per the stipulated timelines	Status of completion of carried over short activities will be provided at next SC meeting
		<i>b) Analyze, as a priority, the results of the USAP-CMA audits and Regional Office mission reports to provide a cohesive in-depth report of States' deficiency status in collaboration with AFCAC and other stakeholders,</i>	An analysis of USAP-CMA Results of 21 States audited was conducted by the secretariat to identify areas of common deficiencies and needs.	Detailed report of the Analysis and proposed Projects will be presented in Working Paper 04.
		<i>c) Consult with ATB on possible increase in the number of USAP-CMA missions to AFI region to enable validation of the progress towards realization of the Windhoek targets:</i>	Consultation with ATB was done and the number of USAP-CMA missions to AFI region is yet to increase due to lack of capacity within ASA section.	The increase in the number of USAP missions to AFI Region will only increase with increase in ASA capacity.
		<i>d) Align the AFI SECFAL Plan work program with GAsEP, Windhoek declaration and targets and AFI-MID Ministerial roadmap on security;</i>	The alignment of AFI SECFAL Plan work program with GAsEP, Windhoek Declaration and Targets and AFI-MID Ministerial roadmap on security has been done by the secretariat and details of proposed aligned targets are detailed in WP 3	The SC to consider and approve the aligned AFI SECFAL Plan targets

Decision/ Recommendation reference	Decision / Recommendation text		Action Taken/Current Status	Remarks
		e) <i>Assist States to establish Quality Assurance and data management systems to build the capacity for risk assessment and risk management.</i>	<ul style="list-style-type: none"> • Two Risk assessment and management courses have been conducted by ICAO and ECAC (CASE). • The secretariat is in the exploring mechanisms of developing quality and data management system. 	Progress to be provide to the during the next meeting
SC06/Dec 02	SC urged the Secretariat to:	a) <i>Strengthen the collaborative efforts and programmes for capacity building in Africa under the umbrella of the No Country Left Behind (NCLB) initiative supported by other non-Africa ICAO Members States, international organizations and partners in order to achieve the objectives of AFI SECFAL Plan;</i>	Collaboration, Coordination and implementation of capacity building is ongoing with training activities done with AUC, AFCAC and UK Government.	The secretariat shall continue with the collaborative efforts.
		b) <i>Advance the possibility of comprehensive auditing of the provisions of Annex 9 - Facilitation in order to enhance aviation facilitation systems;</i>	Discussions on this matter is still is ongoing.	
		c) <i>In collaboration with stakeholders, launch an outreach and awareness programme on the benefits of air transport for the economic development of Africa with support from the President of ICAO Council, ICAO SG and AFCAC SG.</i>	The President of ICAO Council, ICAO SG and AFCAC SG have continued to provide awareness at various forums. Launch of a main outreach event is yet to be undertaken.	Specific programme to be presented to next SC

Decision/ Recommendation reference	Decision / Recommendation text		Action Taken/Current Status	Remarks
Agenda Item 1 2.2	REPORT ON THE RASFALG-AFI ACTIVITIES			
SC06/Rec 03:	The SC requested AFCAC to:	a) <i>Establish in coordination with Plan secretariat, a Cooperative Experts Scheme (CES) under the Regional Aviation Security and Facilitation Group (RASFALG)-AFI to support the AFI SECFAL Plan activities especially in assisting the States to existing or potential Significant Security Concerns (SSeCs), and other aviation security and facilitation deficiencies;</i>	The Establishment of Cooperative Aviation Security Experts Scheme (CES) under the Regional Aviation Security and Facilitation Group (RASFALG)-AFI was discussed at 5 th RASFALG-AFI meeting held in Nairobi, 11-12 June 2018. Details are to be presented in WP7	Modalities to operationalize the AFI CES will be coordinated with Secretariat and presented to next SC meeting.
		b) <i>Conduct whenever possible joint missions with ICAO and to share data to the extent practicable without compromising Security.</i>	ICAO and AFCAC undertook a joint visit to one State to assist resolve the remaining SSeC. More joint missions will be organised under RASFALG-AFI/ CES.	AFCAC should expedite the operationalisation of AFI CES to support joint activities.
SC06/Rec 01:	The SC strongly urged AFCAC to:	a) <i>Complete the ongoing registration process of aviation security and facilitation experts and focal points as a priority and to recommend modalities of aimed effectively utilizing their services under the CES.</i>	So far 17 States have designated a total of 68 Experts and details submitted to AFCAC. As a follow-up mechanism, AFCAC has established a list of focal points to support its efforts in communicating with States.	Follow up on State responses to continue
		b) <i>Secure signed Memorandum of understanding with States for assistance and support within the framework of RASFALG AFI and CIS</i>	So far only three states have signed the MoU and in addition, AFCAC has received Two (2) requests for technical assistance	AFCAC to enhance follow up with States yet to respond to the State letter.

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Agenda Item 1 2.3	STATUS REPORT ON IMPLEMENTATION OF AVSECFAL PLAN PROJECTS			
SC06/Dec 04	The SC directed the Plan Secretariat to:	a) Reinforce the project-based approach established for the AFI SECFAL Plan to effectively accomplish the tasks of existing and upcoming programmes and to complete the ongoing of needs analysis exercise;	3 projects have been completed, 5 are projected between 2018-2020 after a needs analysis and 8 identified by the secretariat as indicated in working WP4 and WP 5 respectively.	Secretariat will continue the project based approach for all Plan activities.
		b) Develop a matrix of ongoing aviation security and facilitation related projects in Africa aimed at providing data to ensure enhanced cooperation and alignment of projects to avoid duplication of resources and efforts and provide annual report on the impact of the projects;	The Secretariat is in the process of developing a matrix of aviation security and facilitation related projects in Africa in coordination with partners.	A matrix of the projects will be presented to the next SC for consideration.
		c) Follow up on the offer by DRC to host the training on Travel Documents evaluation as part of the ongoing facilitation programme; d)	Follow up with the authorities in DRC to host the training on Travel Documents are ongoing.	Secretariat will enhance efforts to follow up on this activity.
Agenda Item 1 2.4	RESOURCE MOBILIZATION STRATEGY			
SC06/Dec 05:		a) Urged the Secretary General and the Council to make a modest provision in the Regular Budget of ICAO under the spirit of “No Country Left Behind”;	The request to include the AFI SECFAL Plan in the Regular Budget of ICAO under the spirit of “No Country Left Behind”; has been expressed by the Chairman of the Steering committee.	
		b) Directed the Plan Secretariat to ensure that the Resource Mobilization Strategy, include partnerships with States, donors/international organizations to	The secretariat has continued to advocate through various forum and activities the need for resources to support the implementation of the Plan.	Ongoing activity.

Decision/ Recommendation reference	Decision / Recommendation text		Action Taken/Current Status	Remarks
		<i>attract voluntary financial and in-kind contributions towards the implementation of medium and long term work programme;</i>		
		<i>c) Directed the Plan secretariat to propose for approval by SC a mechanism for effective follow up with potential partners particularly those that have made pledged contributions to the Plan or African States.</i>	Potential partners have been approached, but a specific mechanism is under development by the secretariat to be presented to next SC for approval.	
SC06/Dec 02:		<i>a) Urged States and donor community to continue to support the implementation of the Plan through financial and in-kind voluntary contributions;</i>	Promotion and advocacy of support to the plan through financial and in-kind voluntary contributions continues to done by the secretariat to States and donors.	Ongoing activity but to be enhanced.
		<i>b) Urged States, international organisations and other partners to support the Resource Mobilization Strategy.</i>	Promotion and advocacy of support to the plan through financial and in-kind voluntary contributions continues to done by the secretariat to States and donors.	Ongoing activity but to be enhanced
Agenda Item 1 2.5	AFI SECFAL PLAN WORK PROGRAMME			
SC06/Dec 06:	The Steering Committee:	<i>a) Approved the 2018 work programme with slight amendments particularly alignment with GAsEP;</i>	The Work Programme is being implemented as approved	Implementation of the work programme is continuing
		<i>b) Urged the Secretariat to ensure that the 2018 programme is fully implemented, in coordination and cooperation with all stakeholders taking into consideration the medium term priorities (2018 – 2020)</i>		Regular progress to be submitted to the SC
		<i>c) Directed the Plan secretariat to present regular progress reports on the implementation of the work programme and other activities approved by the SC.</i>	The Secretariat continues to implement the 2018 Work Plan with focus on medium term priorities (2018 – 2020)	Regular progress to be submitted to the SC

Decision/ Recommendation reference	Decision / Recommendation text		Action Taken/Current Status	Remarks
Agenda Item 2 3.0	PRESENTATION BY PARTNERS			
SC06/Dec 07:	The Plan secretariat was requested to;	<i>a) enhance cooperation and coordination with UN entities especially UNCCT, CTED, ICAO, IOM and UNODC in areas of aviation security and facilitation towards implementation of Windhoek Targets in Africa and take advantage of common areas of their programmes;</i>	Cooperation and coordination with UN entities especially UNCCT, CTED, ICAO, IOM and UNODC continue to be enhanced.	Coordination and Cooperation with Partners is continuing
		<i>b) Urge ACI to expand APEX security activities in Africa and share information of best practices and improvements done on the APEX programme.</i>	Cooperation and coordination with ACI for continuity and expansion of APEX security activities in Africa continues. ACI to give details of Apex security activities in WP 8.	Ongoing activity
Agenda Item 3 4.0	AVIATION SECURITY AND FACILITATION PROGRAMME REPORTS			
SC06/Dec 08	The Steering committee requested the secretariat to:	<i>a) Use AFI SECFAL Plan as the platform for promoting awareness of the requirements and benefits of full implementation of the ICAO TRIP Strategy, membership to ICAO PKD, API programmes and the GAsEP through workshop, seminars and State mission;</i>	AFI SEC FAL Plan continues to provide the necessary platform for promotion of TRIP.	Ongoing
		<i>b) Assist States in establishing National Air Transport Facilitation Programmes and associated committees in accordance with the Standards 8.17, 8.18 and 8.19 of Annex 9 Facilitation;</i>	Facilitation related Projects have been developed to support States in addressing Facilitation deficiencies.	

Decision/ Recommendation reference	Decision / Recommendation text		Action Taken/Current Status	Remarks
		c) <i>ensure that African States which have not yet done so, nominate a National Focal Point for Facilitation matters, and provide necessary assistance to those States yet to comply with requirement to remove non-MRTDs from circulation;</i>	So far only 3 States in AFI region are yet to nominate National Focal Points for Facilitation.	Continue to urge the remaining States to comply.
		d) <i>Provide necessary support and guidance to AUC in collaboration with AFCAC to ensure that the planned African E-passport conforms to the requirements of Annex 9, Doc 9303 Specifications, as well as encourage the African States to join ICAO PKD.</i>	ICAO supported the Meetings of AUC/ICAO member States Chiefs of Immigration to develop Guidelines for Design, Production and Issuance of the African Passport was were held in Seychelles in May and in Nairobi July,2018	ICAO Shall continue supporting the process of issuance of African passport
SC06/Rec 03:	<i>The Steering committee requested the secretariat to urge States:</i>	a) <i>Implement the ICAO TRIP Strategy in order to enhance aviation security and facilitation, and to prevent illegal migration and the movement of potentially inadmissible persons;</i>	The Secretariat has continued to coordinate the implementation of the ICAO TRIP Strategy.	Specific projects to effectively supplement the ongoing efforts in implementation of ICAO TRIP Strategy will be developed
		b) <i>Promptly report accurate information about stolen, lost, and revoked travel documents to INTERPOL for inclusion in the Stolen and Lost Travel Documents (SLTD) database in accordance Annex 9 provisions;</i>	ICAO sent out State letter i requesting the usage of Interpol data. So far only 11 responses received from AFRICA States accredited to ICAO.	More efforts in coordination with INTERPOL is ongoing to increase response to State letter
		a) <i>Equip their borders with the technologies capable of accurately reading identification data contained in MRTDs and eMRTDs to enhance security and facilitation;</i>	Promotion of the implementation of ICAO TRIP Strategy continues through seminars	On-going activity

Decision/ Recommendation reference	Decision / Recommendation text		Action Taken/Current Status	Remarks
		c) <i>Closely collaborate with the Plan Secretariat, IOM, INTERPOL, UNCTED, WCO, AFCAC, and AUC in implementation of national, regional and global requirements.</i>	Close collaboration continuing with Plan Secretariat, IOM, INTERPOL, UNCTED, WCO, AFCAC, and AUC in implementation of national, regional and global requirements.	On-going activity