

# Agenda (13 & 14 Mar)

## Today (Wed) –

1. 0930-1030: A19 Introduction [Gim]
2. 1030-1100: coffee break
3. 1100-1200: SMM 3<sup>rd</sup> edition Part I (Overview) [Gim]
4. 1200-1300: iSTARs
5. 1300-1330: coffee break
6. 1330-1530: 1<sup>st</sup> Working/ Discussion Groups [Marco]

## Tomorrow (Thu) –

1. 0830-1030: SMM 3<sup>rd</sup> edition Part II – SPI, HIRM, ORP [Gim]
2. 1030-1100: Coffee break
3. 1100-1300: 2<sup>nd</sup> Working/ Discussion Groups – SPI/ iSTARs [Marco-Gim]
4. 1300-1330: Coffee break
5. 1330-1500: 3<sup>rd</sup> Working/ Discussion Groups – HIRM/ ORP [Gim]



**INTERNATIONAL CIVIL AVIATION ORGANIZATION**

*A United Nations Specialized Agency*

# **Annex 19 – Introduction**

## **ICAO SARO Meeting on SMS-SSP-RST**

Gim T TEO

Integrated Safety Management Section, ANB-ICAO

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*13 March 2013*

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# New Annex – Safety Management



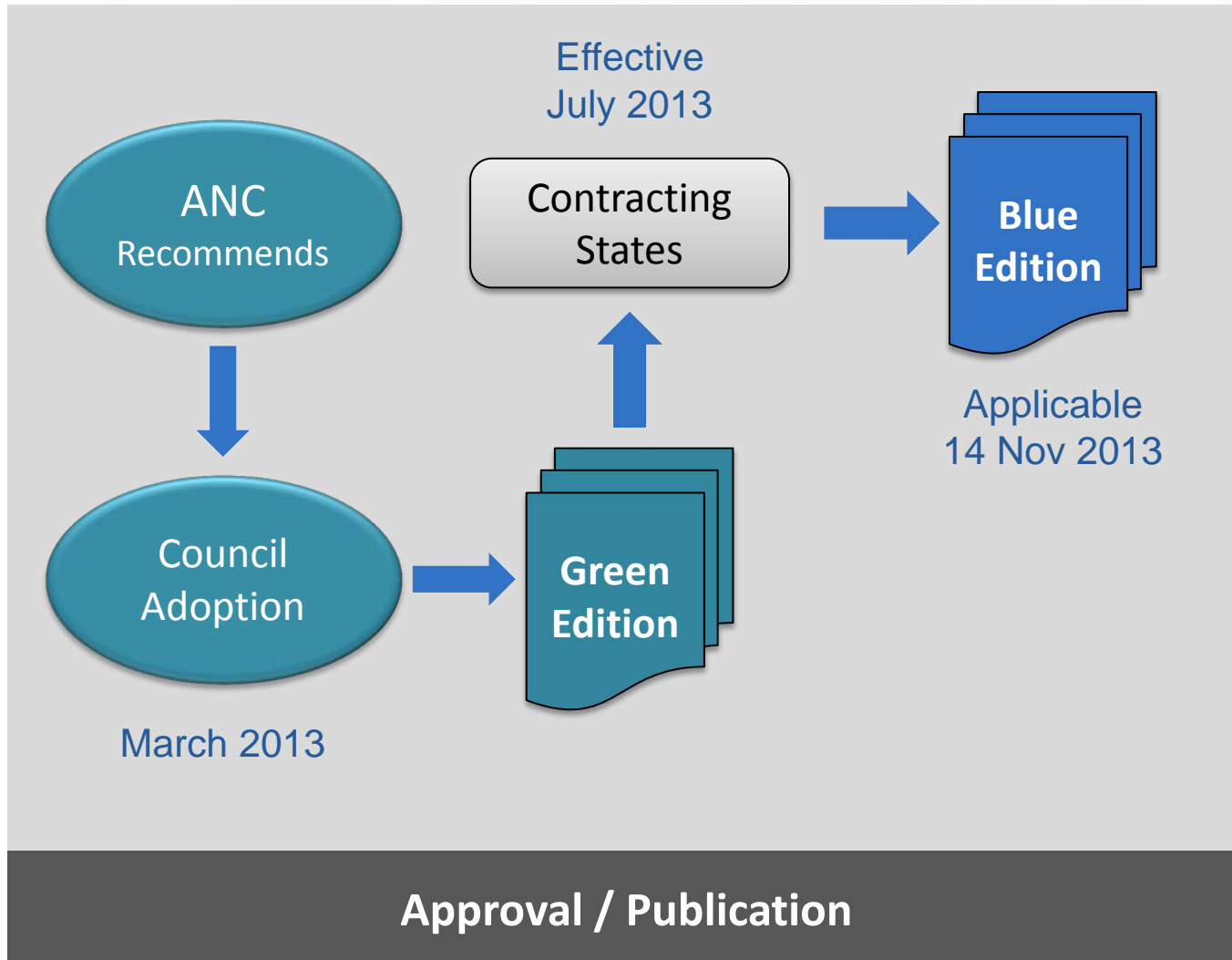
- **High-level Safety Conference 2010 - RECOMMENDATION 2/5**
  - ICAO should develop, in close collaboration with States, international and national organizations, **a new Annex dedicated to safety management responsibilities and processes** which would address the safety management responsibilities of States framed under the State Safety Programme (SSP).
  - The new Safety Management Annex should **facilitate the provision of State and air carrier safety information to the travelling public**, in addition to specifying the high level safety responsibilities of States.
- **ANC recommended and Council accepted that the new safety management Annex be developed in two phases**
  - **First phase** focused on the **reorganization** of the existing Standards and Recommended Practices (SARPs) and supporting guidance material
  - **Second phase** focused on **further development** of the Safety Management Annex and related provisions in other Annexes

# Safety Management Panel (SMP)



- ANC established the SMP to provide recommendations on the development of a new Annex on safety management responsibilities and processes
- 27 members representing 20 States and 7 international organizations
- 2 meetings (November 2011 & February 2012)
- SMP recommendations
  - Safety management provisions to be transferred from existing Annexes
  - Modifications to the transferred provisions only for purpose of consistency and clarity

# Annex 19 Establishment Process



# Summary of Annex 19 Initial Edition



- **Comprises overarching SSP and SMS provisions** based on existing Annexes and Guidance Material.
- The provisions are structured and modified to **enhance clarity or consistency.**
- **Consequential amendments** to existing Annexes (1, 6, 8, 11, 13 and 14) to transfer overarching SSP and SMS provisions, but **retain sector-specific provisions.**
- Applicability date: **14 November 2013**

# Key areas of changes in Annex 19 – Safety Management



- **SMS framework applies to type design and manufacture of aircraft (4.1.1)**
- **4 Components of SSP framework elevated to Standard (3.1.1)**
  - State Safety policy and objectives
  - State Safety Risk Management
  - State Safety assurance
  - State Safety promotion
- **State Safety Oversight (3.2; Appendix 1)**
  - Applicable to oversight of all service providers
- **Safety Data Collection Analysis and Exchange (Chapter 5) and Guidance for Protection of Safety Information for Safety Data Collection (Attachment B)**
  - Applicable to SSP



# Benefits of A19

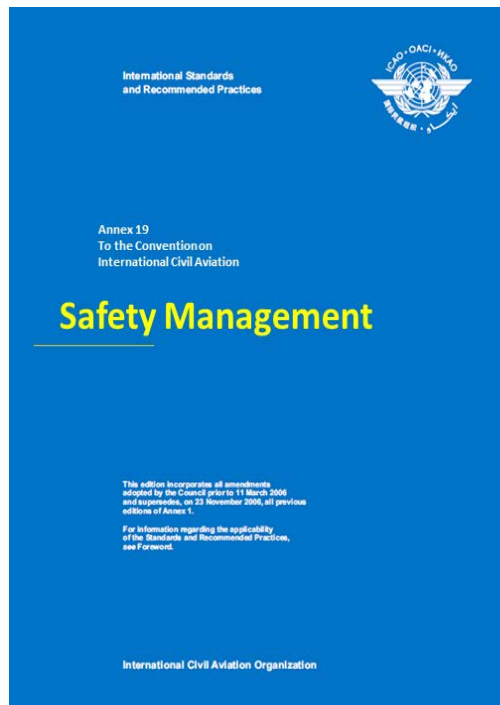
- **Highlights the importance** of safety management at the State level;
- **Facilitates the evolution** of safety management provisions;
- An **opportunity to further promote the implementation** of SMS and SSP provisions; and
- **Panel of experts** established to analyze feedback regarding the implementation of SMS and SSP.



# Annex 19 – Contents

- ➔ CHAPTER 1 – Definitions
- ➔ CHAPTER 2 – Applicability
- ➔ CHAPTER 3 – State safety management responsibilities
- ➔ CHAPTER 4 – Safety management system (SMS)
- ➔ CHAPTER 5 – Safety data collection, analysis and exchange
- ➔ APPENDIX 1 – State safety oversight system
- ➔ APPENDIX 2 – SMS Framework
- ➔ ATTACHMENT A – SSP Framework
- ➔ ATTACHMENT B – Legal guidance for the protection of information from safety data collection and processing systems

# Annex 19 – Next Steps



- Council **adopted** (25 Feb 2013), C-WP/13935 refers
- Effective date (July 2013)
- Applicability date (Nov 2013)
- Finalization of SMM, Doc 9859, 3rd edition
- Roll out plan
- Phase 2 work

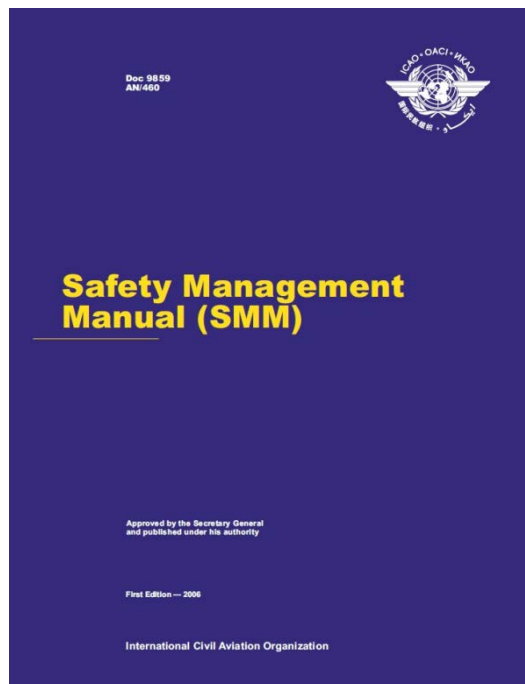
# Annex 19 Roll Out Plan



- **ANC and Secretariat will work in collaboration** to develop a roll out plan supporting the issuance of Annex 19
- **Communication plan** to ensure accurate interpretation and application
- Support required from States identified by **Regional Aviation Safety Groups (RASGs)**
- **Updates** to ICAO safety management training



# Safety Management Guidance Material



- **Safety Management Manual**  
*(Doc 9859)*
  - 3<sup>rd</sup> edition Update **completed**
  - **Restructured** according to the SSP and SMS Frameworks
  - **Enhanced guidance and tools** for SSP and SMS implementation



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**Thank You**