



MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>		
		Page: 2 of 21	

Preface

This document defines the Memorandum of Understanding for the bilateral interconnection of AMHS systems between the States of the Region. The two States may revise this document when so required.

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>		
Effective date:		Page: 3 of 21	

Approval

Memorandum of Understanding for the Interconnection of AMHS Systems

By **State A**

By **State B**

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>		
		Page: 4 of 21	

Revisions

Revision / Date	Description	Pages changed
0 – 17/09/09		

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>	
Effective date:		Page: 5 of 21

TABLE OF CONTENTS

Preface	2
<i>Approval</i>	3
<i>Revisions</i>	3
1. Section 1 - Introduction and Purpose	6
1.1. Introduction	6
1.2. Purpose.....	6
2. Section 2 - Principles	7
3. Section 3 - Scope.....	7
4. Section – Organisation	7
5. Section 5 - References.....	7
6. Section 6 - Confidentiality	8
7. Section 7 - Operational Aspects	8
8. Section 8 - Technical Aspects	8
9. Section 9 - Administrative Aspects	8
10. Section 10 - Financial Aspects	9
11. Section 11 – Technical-Operational Agreement for the Interconnection of AMHS Systems.....	9

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>	
		Page: 6 of 21

1. Section 1 - Introduction and Purpose

1.1. Introduction

The plans for the implementation of ATN ground-ground applications and the regional ATN router plans are defined in FASID Tables CNS 1Bb and CNS 1Ba, respectively, as formulated in conclusions 13/74 - *Proposed amendment to the Regional ATN Plan* and 13/75 - *Request for information on plans to implement ATN ground-air applications*.

Document: The body of this document contains ten (10) sections and one (1) appendix. The contents of the sections and the appendix are summarised below:

- a) Section 1 - Presents a brief overview and a statement of purpose;
- b) Section 2 – Describes the basic principles for drafting this document;
- c) Section 3 – Considers the cases in which this Memorandum applies;
- d) Section 4 – Describes the version control process;
- e) Section 5 – Lists the references considered;
- f) Section 6 – Establishes criteria and restrictions for the use of the information shared by two countries;
- g) Section 7 – Presents the operational aspects that must be considered for the interconnection of automated systems;
- h) Section 8 - Presents the technical aspects that must be considered for the interconnection of automated systems;
- i) Section 9 - Presents the administrative aspects that must be considered for the interconnection of automated systems;
- j) Section 10 - Presents the financial aspects that must be considered for the interconnection of automated systems;
- k) Section 11 – Technical-operational agreement for the interconnection of AMHS systems.

1.2. Purpose

The goal of this MoU is to provide the planning for the interconnection of AMHS systems, establishing standard procedures that take into account the operational, technical, administrative, and financial aspects involved.

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>		
Effective date:		Page: 7 of 21	

2. Section 2 - Principles

In preparing this document, the following aspects have been considered:

1. This Memorandum is a guide for States to enter into bilateral agreements; and
2. This document takes into account the aspects contained in documents dealing with AMHS interconnection, ICAO SARPs and documents, documents prepared by project RLA 06/901, and in GREPECAS recommendations.

3. Section 3 - Scope

This document only applies to the interconnection of AMHS systems between States A and B.

4. Section – Organisation

This is a document by virtue of which the participating States will agree to revise or modify its details as necessary.

The participating States will coordinate the revisions to this Memorandum, or changes to its paragraphs.

5. Section 5 - References

This Memorandum follows the ICAO recommendations contained in the following documents:

- Report of the SAM IG/2 meeting, Lima, Peru, 3-7 November 2008;
- Report of the SAM IG/3 meeting, Lima, Peru, 20-24 April 2009;
- Report of the sixth meeting of the CNS Committee of the ATM/CNS Subgroup (CNS/COMM/6), Santo Domingo, Dominican Republic, 30 June - 4 July 2008;
- Report of the GREPECAS 15 meeting (Rio de Janeiro, Brazil, 13 to 17 October 2008);
- Fifth meeting of the ATN Task Force of the CNS Committee of the ATM/CNS Subgroup (ATN/TF/5), Mexico City, Mexico, 12-13 June 2009; and
- SAM COM/MET/09 meeting, Lima, Peru, 10–12 August 2009.

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>	
		Page: 8 of 21

6. Section 6 - Confidentiality

Each participating State must implement all the measures necessary to ensure the safety, integrity, and confidentiality of the information.

The dissemination of these data to other organisations not considered in this Memorandum can be done only if previously authorised by the participating States.

7. Section 7 - Operational Aspects

The application of this Memorandum may require adjustments to the operational agreements that exist between the participating States.

The Administrations undertake to provide training on the appropriate parts of this MOU to their personnel working in the systems involved.

8. Section 8 - Technical Aspects

The technical considerations for the establishment, by the States, of the interconnection scenarios, the implementation strategy, the implementation of the solution, the monitoring of the operation, and personnel training aspects that will best meet their needs are presented in Section 6 of the Appendix to this Memorandum.

9. Section 9 - Administrative Aspects

For the orderly implementation of the selected interconnection solution, the participating States agree to the creation of an administrative structure based on an Interconnection Management Committee, whose powers, composition, and activities are described in Section 7 of the Appendix to this Memorandum.

The States must designate representatives, members of their respective groups, to be part of the basic structure of the aforementioned Committee.

The States must choose a forum for discussing cases of non-compliance and for the resolution of possible conflicts.

This Memorandum is of a continuous nature, and may be interrupted at any time, by agreement of the parties involved.

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>		
Effective date:		Page: 9 of 21	

10. Section 10 - Financial Aspects

The participating States, as individual administrations, will be responsible for any financial obligation to cover direct or indirect expenditures related to the implementation of this Memorandum, including those related to the procurement of equipment, spare parts, training of technical and operational personnel, lines of communications, and others.

Each State will be responsible for its respective portion of any expenses related to REDDIG upgrades to address increased traffic, in keeping with guidance provided by the REDDIG Administration.

The parties to this Memorandum understand that they shall not commit to any action that may result in a financial obligation for other parties without previously obtaining the written consent by all the other parties involved.

The States may establish financial mechanisms to carry out the interconnection, for example, through ICAO Technical Cooperation Projects.

11. Section 11 – Technical-Operational Agreement for the Interconnection of AMHS Systems

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>	
		Page: 10 of 21

APPENDIX A
MEMORANDUM OF UNDERSTANDING
TECHNICAL-OPERATIONAL AGREEMENT FOR THE INTERCONNECTION OF AMHS
SYSTEMS

TABLE OF CONTENTS

1.	Purpose	11
2.	Summary	11
3.	Reference	12
4.	Safety	12
5.	Operational Aspects	13
6.	Technical Aspects	13
7.	Administrative Aspects	17
8.	Financial Aspects	19

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>		
Effective date:		Page: 11 of 21	

1. Purpose

To provide a detailed description of the technical, operational, and administrative aspects of the Memorandum of Understanding that are needed for the interconnection of AMHS systems between States A and B.

2. Summary

- The plans for the implementation of the ATN ground-ground application and the plans for regional ATN routers, as defined in FASID Tables CNS 1Bb and CNS 1Ba, respectively, were formulated through conclusions 13/74 - *Proposed amendment to the Regional ATN Plan* and 13/75 - *Request of information on plans for the implementation of ATN ground-air applications* and reviewed at the sixth meeting of the CNS Committee of the GREPECAS ATM/CNS Subgroup (ATM/CNS/SG/6). Tables CNS1Ba and CNS1Bb were reviewed at the ATN/TF/5 meeting, held in Mexico, on 12-13 June 2009.
- The regional AMHS addressing plan that the States should apply when implementing AMHS systems in the SAM Region was presented at the GREPECAS 15 meeting (Appendix M to agenda item 3) and reviewed by the COM/MET/09 meeting held in Lima, Peru, on 10-12 August 2009.
- The States that have implemented or are planning to implement AMHS systems should register before the ATS message transmission management centre (AMC), according to ICAO State letter AN 7/49.1-09/34 of 14 April 2009 on management and updating of information on addresses of the air traffic service (ATS) message handling system (AMHS), and the procedure for registering a State representative as user of the AMC.

<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>		
		Page: 12 of 21

- The ATN/TF/5 meeting reviewed the IPv4 addressing scheme and, in this respect, considered that, at the national level, the States, when implementing AMHS systems based on IP, could adopt the Ipv4 addressing scheme. The meeting also considered that, for intra-regional links between AMHS systems, the Ipv4 addressing scheme shall be used, and, accordingly, formulated conclusion 5/1 - *Proposed Ipv4 addressing scheme for ATN ground-ground applications at the intra-regional level.*
- For the interconnection of the AMHS systems installed in the Region, consideration has been given to conducting trials between MTAs to check the interoperability of AMHS systems, and a study of the bandwidth required for their interconnection.

3. Reference

This Agreement follows the recommendations contained in the following documents:

- Report of the SAM IG/2 meeting, Lima, Peru, 3-7 November 2008;
- Report of the SAM IG/3 meeting, Lima, Peru, 20-24 April 2009;
- Report of the sixth meeting of the CNS Committee of the ATM/CNS Subgroup (CNS/COMM/6), Santo Domingo, Dominican Republic, 30 June - 4 July 2008;
- Report of the GREPECAS 15 meeting (Río de Janeiro, Brazil, 13-17 October 2008).
- Fifth meeting of the ATN Task Force of the CNS Committee of the ATM/CNS Subgroup (ATN/TF/5), Mexico City, Mexico, 12-13 June 2009; and
- SAM COM/MET/09 meeting, Lima, Peru, 10–12 August 2009.

4. Safety

Each State must ensure that its communication networks involved in the interconnection have the required protection for this type of service, taking into account, at least, the following aspects:

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>		
Effective date:		Page: 13 of 21	

- Protection against intrusion by unauthorised people and/or systems;
- Protection against attacks from computer viruses; and
- Use of the equipment exclusively for the interconnection of automated systems.

5. Operational Aspects

The Administrations undertake, within their respective jurisdiction, to provide direct training on the contents of this Memorandum of Understanding to the personnel working in the systems involved.

The selected interconnection option entails that States will have to establish specific operational procedures, taking into account the functionality available in each automated system.

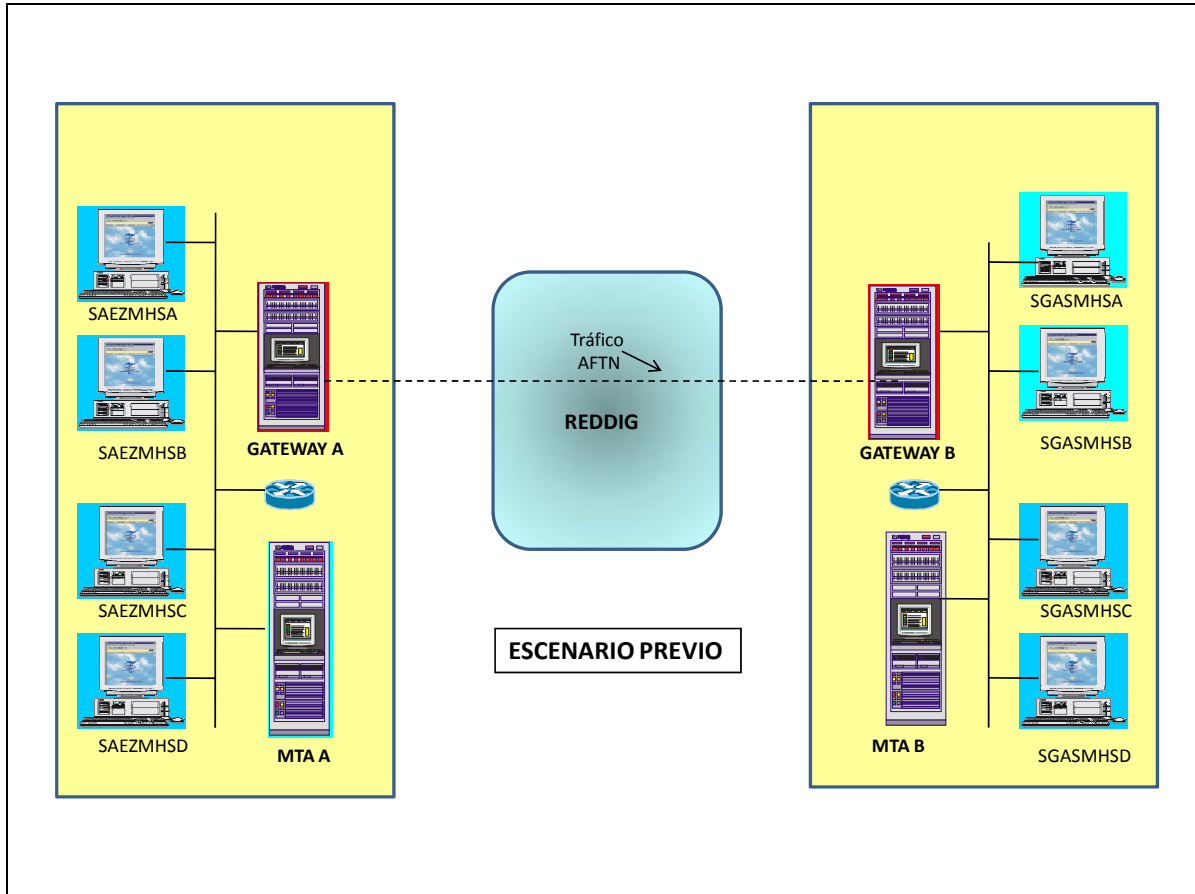
6. Technical Aspects

The interconnection must permit the automatic transfer of messaging plans between the two States, using the respective MTAs;

The main aspects are:

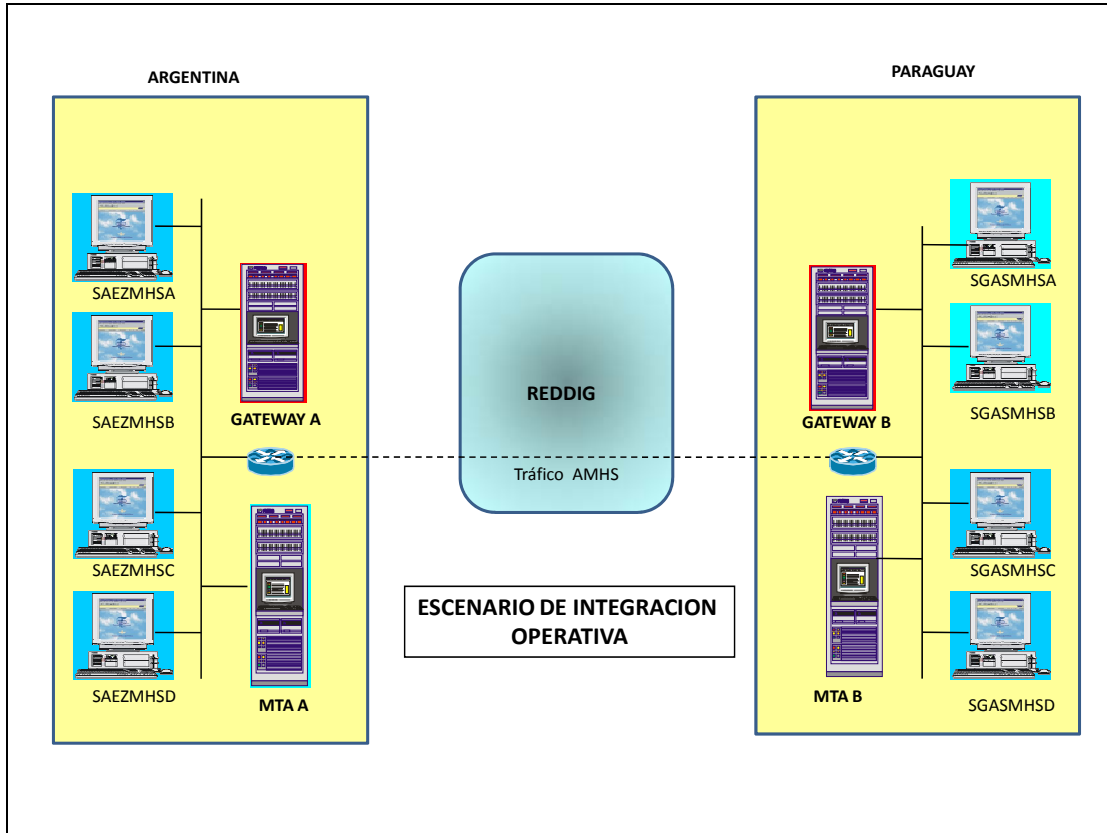
- 1) Analysis of the current scenario: Currently, both States have completed the deployment of their AMHS systems at the national level, but the operating mode between the two is still AFTN, that is, using the gateway, as shown in the following graphical example:

	MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS	
		Page: 14 of 21



- 2) Selection of the exchange scenario: the functional scenario can only be as follows, in which the exchange of traffic between States is already being carried out through the interconnection of the respective MTAs, leaving the gateway operational for the exchange of messages with those States that have not migrated to the AMHS:

MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS		
Effective date:		Page: 15 of 21



3) Implementation Strategy:

In order to achieve the desired objective, the following action must be taken, indicating, in each case, whether such action has already taken or the tentative date for its implementation:

- Data transportation network: Intra-regional IP ATN
- Means: REDDIG
- Channel: DLCI
- ATN boundary elements: routers provided by each State
- IP addressing of router link ports: to be configured according to the Regional IP Addressing Plan, Link Ports
- Serial interfaces: V.35

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>	
		Page: 16 of 21

- Tests:
 - *Network transport:* by REDDIG administrator
 - *Network connectivity:* by *State A* and *State B*
 - *Message exchange:*
 - *Exchange of technicians between States:*
 - *Preparatory phase:*
- *Operational status:*

4) Implementation:

- The Interconnection Management Committee will be in charge of implementation management, and will be made up by personnel listed in Attachment A.
- This Interconnection Committee will perform its functions until three (3) months after the beginning of the Operational Phase; thereon, the integrated operation will be entrusted to the respective Communication Stations.

5) Operation Monitoring

Each State must be responsible for monitoring the operation of its systems, including the maintenance of its equipment and systems, ensuring the required availability, performance, safety, and efficiency.

All problems of uncertain origin must be analysed jointly by the States through the Interconnection Management Committee, which will coordinate the actions required for their resolution.

However, each State must do its best to carry out the actions under its responsibility, informing the Interconnection Management Committee about their implementation.

In any case, the Interconnection Management Committee must be constantly informed about the occurrence of anomalies, regardless of their origin.

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>		
Effective date:		Page: 17 of 21	

6) Training

The participating States must develop training plans for the technical teams responsible for system maintenance, taking into account extent, periodicity, and technical evolution.

7) Maintenance

Teams must be prepared for contingencies and be technically capable of analysing anomalies.

Each State shall develop its own Action Plan, which will define the technical information required for the interconnection with adjacent ACCs, and will contain, at least:

1. The topology of the networks involved, with technical details about the bandwidth, availability, latency, and redundancy required;
2. The specifications of the equipment used;
3. Maintenance requirements;
4. Maintenance procedures: preventive, predictive, and corrective; and
5. All related technical documents;
7. The States agree that the means of communication for the implementation of the interconnection will be the REDDIG.

7. Administrative Aspects

This Agreement is a dynamic document that can be revised at any time, in keeping with the technological evolution of the systems and communication networks of the participating States.

Interconnection management will be entirely the responsibility of the Interconnection Management Committee established by the two (2) States, in accordance with the following:

1. Organisational Structure

In order to carry out its activities, the Committee will be organised as follows:

1. Coordinator

The coordinators for AMHS interconnection between States A and B are listed in **Annex A**.

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>	
		Page: 18 of 21

Coordinators will be responsible for general coordination of all the activities of the technical and operational groups, and for maintaining contact with other organisations to address interconnection issues.

2. Technical Group

It must include technicians designated by the two States, with training in their respective fields, especially in communication networks and computer automation systems.

They will be responsible, in their respective country, for the implementation and/or coordination of the technical activities required for the implementation, maintenance, and support of automated systems, communication networks, and interconnection equipment.

3. Operational Group

It must include experts in the operation of electronic messaging systems.

2. Functions

The Committee is responsible for all the coordination required for the planning, implementation, maintenance, and operational support of the systems and equipment involved in the interconnection of AMHS systems.

It must also ensure the continued safety of the information to be transmitted between the automated systems involved in the interconnection.

Its functions include controlling and updating all technical and operational documentation.

It is also responsible for the network topology to be used for the interconnection, which must be approved by the two (2) States.

Interconnection implementation must be coordinated and controlled by the Committee, through action plans previously approved by the two (2) States.

The Committee must advise the States about the need for technological evolution of the equipment and systems involved in the interconnection.

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>		
Effective date:		Page: 19 of 21	

Its teams must monitor the performance, stability, reliability, and integrity of the equipment and systems involved in the interconnection, and propose and monitor corrective action.

The Committee must establish the necessary procedures for correcting faults.

Also, together with the participating States, it must provide for the resolution of problems.

3. Management Process

In order to carry out its activities, the Interconnection Management Committee will apply the following management system:

1. Periodic meetings and discussions to identify requirements, preferred technical solutions, alternatives, and options for the interconnection of AMHS systems;
2. Exchange of technical reports and documentation, plans and schedules as required for a successful and timely culmination of these efforts.
3. Joint planning, technical coordination, and implementation of activities by the two (2) States.

8. Financial Aspects

Regarding financial aspects, the States agree to the following:

1. Acquisition of equipment, components, and systems;

The equipment necessary for the interconnection will be acquired by each State, according to the technical specifications approved by the Interconnection Management Committee;

2. Acquisition of spare parts

Spare parts for the equipment involved in the interconnection will be purchased by each State, according to its specific needs, but in keeping with the maintenance guidelines issued by the Interconnection Management Committee.

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>		
		Page: 20 of 21	

3. Acquisition of third-party services

Each State agrees to pay for incidental third-party services, such as software adjustments, projects, and implementation of communication networks.

Each State will be responsible for its share of the incidental cost of upgrades to the REDDIG to address traffic increases, in keeping with the guidance issued by the REDDIG Administration.

	<i>MEMORANDUM OF UNDERSTANDING FOR THE INTERCONNECTION OF AMHS SYSTEMS</i>		
Effective date:		Page: 21 of 21	

ANNEX A

AMHS SYSTEM INTERCONNECTION MANAGEMENT COMMITTEE

COORDINATORS OF THE MANAGEMENT GROUP

State A

Name:
Phone number:
Email:

State B

Name:
Phone number:
Email: