



ICAO

International Civil Aviation Organization
North American, Central American and Caribbean Office

WORKING PAPER

RLA/09/801 SCM/5 — WP/05
06/05/16

**Fifth Steering Committee Meeting of the
Regional Technical Cooperation Project for the Caribbean Region (RLA/09/801 SCM/5)**
Nassau, Bahamas, afternoon of 11 May 2016

Agenda Item 4 Project Financial Status

SUPPORT AND FINANCIAL STATUS OF PROJECT RLA/09/801

(Presented by the Secretariat)

EXECUTIVE SUMMARY	
This working paper presents the financial status of Project RLA/09/801 based on the contributions, the implementation and budget line commitment, as well as the support received for the implementation on behalf of CAR Region Member States.	
Action:	The suggested action is presented in Section 6.
<i>Strategic Objectives:</i>	<ul style="list-style-type: none">• Safety• Air Navigation Capacity and Efficiency• Environmental Protection
<i>References:</i>	<ul style="list-style-type: none">• Fourth Project Steering Committee Meeting (SCM/4) Report, Kingston, Jamaica, 12 May 2015• Fifth Teleconference of the Steering Committee Meeting of Project RLA/09/801 (RLA/09/801 SCM/Telcon/5), 28 January 2016

1. Introduction

1.1 During the Fourth Project Steering Committee Meeting (SCM/4), the meeting reviewed the financial situation as of 12 May 2015, based on contributions received, the implementation and budget line commitment. Additionally, the estimated budget for the 2015-2016 planned activities was also reviewed.

1.2 During the Fifth teleconference of the SCM, the ICAO NACC Regional Office Regional Director explained that support of Project RLA/09/801 is essential, as limited budgets may hamper the goal of providing much needed technical assistance missions to Member States, while emphasizing that Project RLA/09/801 is one of the most powerful tools the Region has to assist the NACC Regional Office meet Member State's needs.

1.3 With the implementation of the second phase of the Project, 2016 – 2020, the focus of the Project will be to support and assist Project Member States within the ICAO No Country Left Behind (NCLB) strategy and its supporting activities. With assistance of the Project, the goal is to achieve, in a three (3) year timeframe, no more than two (2) States below 80% Effective Implementation (EI) of the 8 critical elements of an effective safety oversight system. Before the end of the three year period, the Project will reach all Member States.

2. Status of the Project Contributions

2.1 The **Appendix** reflects the Project current financial status as of this Meeting. It presents the contributions received by States, Territories and International Organizations since the Project inception in 2011 through May 2016, and a breakdown and total expenses as of the date of this Meeting.

3. NACC Support

3.1 Since Project inception, the NACC Regional Office has provided administrative support for 55 missions, awarded 118 fellowships for events supported by the Project.

4. Balance of the Project as of May 2016

4.1 The estimated balance of RLA/09/801 Project as of 31 March 2016 is USD\$195,952, as shown in the Appendix.

5. In-Kind Support

5.1 Within Project activities, several Members have provided support with the release of subject matter experts. The Project provides for daily subsistence allowance and air tickets for these experts.

6. Future contributions to the Project

6.1 It is assumed that, given the discussions under WP/06 of this Meeting, that there will be no increase to the annual contributions of each Project Member State. However, it is important that all participants of the Project ensure that annual contributions, and any arrears, are deposited to ICAO as soon as their processes will allow.

7. Suggested Action

7.1 The Meeting is invited to:

- a) review the information provided in the Appendix to this paper regarding the financial status of the Project;
- b) remit, as required, the 2016 contribution, as agreed during the Fifth SCM teleconference;
- c) discuss possible solutions to Project finances based upon suggestions made in Paragraph 6 above; and
- d) discuss and agree on any other financial actions deemed appropriate.

APPENDIX/APÉNDICE

CONTRIBUTIONS RECEIVED FROM STATES, TERRITORIES AND INTERNATIONAL ORGANIZATIONS FOR
PROJECT RLA/09/801 SINCE 2011As at 30 April 2016
(in United States dollars)

Contributions	Member State/Territory/International Organizations	USD Amount	
18-Apr-2011	Office National de l'Aviation Civile du Haiti	\$ 25,000	HAITI RLA/09/801
18-Apr-2011	Trinidad and Tobago Civil Aviation Authority	\$ 24,980	TRINIDAD AND TOBAGO RLA/09/801
30-Sep-2011	Jamaica Civil Aviation Authority	\$ 24,980	JAMAICA RLA/09/801
3-Oct-2011	Dirección General de Aeronáutica Civil de México	\$ 25,000	MEXICO RLA/09/801
10-Nov-2011	Barbados Civil Aviation Department	\$ 25,000	BARBADOS RLA/09/801
15-Mar-2012	Eastern Caribbean Civil Aviation Authority (ECCAA)	\$ 7,500	ANTIGUA RLA/09/801
24-May-2012	Netherlands Antilles Air Traffic Control of Curacao	\$ 25,000	CURAÇAO RLA/09/801
19-Jul-2012	Instituto Dominicano de Aviación Civil	\$ 24,985	DOMINICAN REPUBLIC RLA/09/801
3-Oct-2012	Bahamas Civil Aviation Authority	\$ 25,000	BAHAMAS RLA09801
5-Oct-2012	Instituto de Aeronáutica Civil de Cuba (IACC)	\$ 24,910	CUBA RLA09801
1-Nov-2012	Eastern Caribbean Civil Aviation Authority (ECCAA)	\$ 7,500	ANTIGUA RLA/09/801
14-Jan-2013	Eastern Caribbean Civil Aviation Authority (ECCAA)	\$ 5,000	ANTIGUA RLA/09/801
13-Mar-2013	COCESNA	\$ 24,985	COCESNA RLA09801
23-Dec-2013	Instituto de Aeronáutica Civil de Cuba (IACC)	\$ 24,962	CUBA RLA09801
30-Jan-2014	Jamaica Civil Aviation Authority	\$ 25,000	JAMAICA RLA/09/801
19-Mar-2014	Office National de l'Aviation Civile du Haiti	\$ 24,980	HAITI RLA/09/801
3-Apr-2014	Curaçao Civil Aviation Authority	\$ 25,000	CURAÇAO RLA/09/801
7-Apr-2014	COCESNA	\$ 24,985	COCESNA RLA/09/801
29-May-2014	Dirección General de Aeronáutica Civil de México	\$ 25,000	MEXICO RLA/09/801
18-Jun-2014	Trinidad and Tobago Civil Aviation Authority	\$ 24,985	TRINIDAD AND TOBAGO RLA/09/801
21-Jul-2014	Aruba	\$ 13,472	ARUBA RLA/09/801
12-Aug-2014	Eastern Caribbean Civil Aviation Authority (ECCAA)	\$ 10,000	ANTIGUA RLA/09/801
22-Oct-2014	Instituto Dominicano de Aviación Civil	\$ 24,975	DOMINICAN REPUBLIC RLA/09/801
7-Jan-2015	Eastern Caribbean Civil Aviation Authority (ECCAA)	\$ 10,000	ANTIGUA RLA/09/801
18-Feb-2015	Eastern Caribbean Civil Aviation Authority (ECCAA)	\$ 10,000	ANTIGUA RLA/09/801
28-Aug-2015	Instituto de Aeronáutica Civil de Cuba (IACC)	\$ 25,000	CUBA RLA09801
7-Oct-2015	Office National de l'Aviation Civile du Haiti	\$ 24,980	HAITI RLA/09/801
21-Oct-2015	Dirección General de Aeronáutica Civil de México	\$ 25,000	MEXICO RLA/09/801
1-Apr-2016	Dirección General de Aeronáutica Civil de México	\$ 25,000	MEXICO RLA/09/801
13-Apr-2016	Transfer from RLA/09/801 to RLA/06/801 Ref. Aruba Contribution of USD\$ 13,472	-\$ 13,472	ARUBA RLA/09/801 for RLA/06/801
Total Project		\$ 599,707	

**Implementation of Performance based Air Navigation Systems
Management Service Agreement
RLA09801**

As at 31 March 2016
(in United States dollars)

Financial Status from accounts:			
Balance of Surplus (Deficit) as at 1 January 2016		215,634	
Adjustment		-	
Adjusted Balance of Surplus (Deficit) as at 1 January 2016		<u>215,634</u>	215,634
Add/(Deduct):			
	Contributions Received (Schedule I)	-	
	Transfer of Funds to/from Other Funds (Schedule I)	-	
	Interest Earned and Accrued	149	
	Foreign Currency Exchange Gain(Loss) and other Income (Note 5)	(1)	
	Refund of Contributions	-	
	Interest Expenses	-	
	Refund of Interest Earned	-	
		<u>149</u>	
			215,783
Deduct Expenses (Note 1):			
	Experts (Schedule II)	-	
	Administrative Support Personnel (Schedule III)	-	
	United Nations Volunteers (Schedule IV)	-	
	Travel on Official Business (Schedule V)	-	
	Mission Costs (Schedule VI)	13,392	
	National Professionals (Schedule VII)	-	
	Subcontracts (Schedule VIII)	-	
	Fellowships (Schedule IX)	4,640	
	Equipment (Schedule X)	-	
	Sundry (Schedule XI)	50	
	Administrative Overhead (Schedule XII) (Note 2)	1,808	
		<u>19,890</u>	
Fund Balance/(Deficit Balance) as at 31 March 2016			195,893
Deduct outstanding commitments known up to 31 December 2016 (Note 3):			
	Experts (Schedule II)	-	
	Administrative Support Personnel (Schedule III)	-	
	United Nations Volunteers (Schedule IV)	-	
	Travel on Official Business (Schedule V)	-	
	Mission Costs (Schedule VI)	(54)	
	National Professionals (Schedule VII)	-	
	Subcontracts (Schedule VIII)	-	
	Fellowships (Schedule IX)	-	
	Equipment (Schedule X)	-	
	Sundry (Schedule XI)	-	
	Administrative Overhead (Schedule XII)	(5)	
		<u>(59)</u>	
Estimated funds available/(required) (Note 4)			195,952
Future Commitments: beyond 31 December 2016 (Note 3):			-
Estimated funds available/ (required) including future commitments beyond 2016 (Note 4)			195,952

	Bahamas	Barbados	Cuba	Curacao	Dominican Republic	Haiti	Jamaica	México	Trinidad and Tobago	COCESNA	ECCAA	Total
2011-2013 Contributions	\$ 25,000.00	\$ 25,000.00	\$ 24,909.51	\$ 25,000.00	\$ 24,985.00	\$ 25,000.00	\$ 24,980.00	\$ 25,000.00	\$ 24,980.00	\$ 24,985.00	\$ 20,000.00	
2014-2015 Contributions	PENDING PENDIENTE	PENDING PENDIENTE	\$ 24,962.29	\$ 25,000.00	\$ 24,975.00	\$ 24,980.00	\$ 25,000.00	\$ 25,000.00	\$ 24,985.00	\$ 24,985.00	\$ 30,000.00	
2016 Contributions	PENDING PENDIENTE	PENDING PENDIENTE	\$ 25,000.00	PENDING PENDIENTE	PENDING PENDIENTE	\$ 24,980.00	PENDING PENDIENTE	\$ 25,000.00	PENDING PENDIENTE	PENDING PENDIENTE	PENDING PENDIENTE	
2017 Contributions								\$ 25,000.00				
Total Contributions	\$ 25,000.00	\$ 25,000.00	\$ 74,871.80	\$ 50,000.00	\$ 49,960.00	\$ 74,960.00	\$ 49,980.00	\$ 100,000.00	\$ 49,965.00	\$ 49,970.00	\$ 50,000.00	\$ 599,706.80

United States contributes to Project RLA/09/801 with in-kind support. / Estados Unidos contribuye al Proyecto RLA/09/801 con apoyo en especie.

	Total Fellowships per Member Total de becas por miembro
3	Bahamas
11	Barbados
26	Cuba
2	Curacao
18	Dominican Republic / República Dominicana
12	Haiti / Haití
7	Jamaica
4	Mexico / México
13	Trinidad and Tobago / Trinidad y Tabago
16	COCESNA
6	ECCAA
118	