



ICAO

International Civil Aviation Organization
North American, Central American and Caribbean Office

WORKING PAPER

C/CAR/DCA/14 — WP/19
16/04/15

Fourteenth Directors of Civil Aviation of the Central Caribbean Meeting (C/CAR/DCA/14)
Kingston, Jamaica, 11 to 13 May 2015

Agenda Item 6: Aviation Security (AVSEC) and Facilitation (FAL)
6.3 ICAO/Latin American Civil Aviation Commission (LACAC)
NAM/CAR/SAM Aviation Security and Facilitation Regional Group
(AVSEC/FAL/RG)

**ICAO/LACAC NAM/CAR AND SAM AVIATION SECURITY AND FACILITATION
REGIONAL GROUP (AVSEC/FAL/RG) ACTIVITIES**

(Presented by the Secretariat)

EXECUTIVE SUMMARY	
This working paper presents information on the progress of the ICAO/LACAC NAM/CAR and SAM Aviation Security and Facilitation Regional Group (AVSEC/FAL/RG) projects.	
Action:	Suggested action is presented in Section 4.
<i>Strategic Objectives:</i>	<ul style="list-style-type: none">• Security & Facilitation
<i>References:</i>	<ul style="list-style-type: none">• State Letter EMX0565, dated 7 July 2014, on the ICAO Facilitation Regional Seminar held in Lima, Peru, from 9 to 12 September 2014• The final Report of the Fourth Meeting of the ICAO/LACAC NAM/CAR and SAM Aviation Security and Facilitation Regional Group (AVSEC/FAL/RG/4, ICAO NACC Regional Office, Mexico City, Mexico from 3 to 5 June 2014, and State Letter EMX0568, dated 28 July 2014 (http://bit.ly/lzokHIo)• State Letter EMX0225 dated 2 April 2014, on the Fourth Meeting of the ICAO/LACAC NAM/CAR and SAM Aviation Security and Facilitation Regional Group (AVSEC/FAL/RG/4, held in Mexico City, Mexico from 3 to 5 June 2014• State Letter EMX1012, EMX003 and EMX0070 dated 2, 6 and 28 January 2014, on the ICAO/LACAC NAM/CAR and SAM Aviation Security and Facilitation Regional Group (AVSEC/FAL/RG) - Strategic Plan Working Group Meeting, held in Buenos Aires, Argentina, from 10 to 14 March 2014

1. Introduction

1.1 The AVSEC/FAL/RG/4 Meeting was held at the ICAO Regional Office for North America, Central America and Caribbean (NACC) in Mexico City, Mexico, from 3 to 5 June 2014. 44 delegates from 20 States of the NAM/CAR and SAM Regions and one international organization (ECCAA) attended this event.

1.2 The Secretariat recalled the ICAO strategic objectives for the 2014-2016 triennium, that, besides the aviation security, Facilitation is now also involved in the passenger, baggage and cargo transportation, urging States to comply with the ICAO Annex 9 – *Facilitation* Standard and Recommended Practices (SARPS), and also to take into consideration the implementation of this important objective within their own States.

1.3 The Meeting analysed the work programme and, based on the progress and results of the implementation of the projects, as well as in the deliberations and agreements of the Meeting, the Terms of Reference, Programme and Working Projects were updated. The Strategic Plan developed by the respective working group leadership by Argentina was reviewed, which methodology will assist in achieving the efficiency and effectiveness of the works agreed. Nevertheless, it was reiterated to the Meeting the importance of their participation and their active commitment to achieve the planned objectives for their own benefit and of all the AVSEC/FAL/RG member States.

1.4 The coordination with the Latin American Civil Aviation Commission (LACAC) in this event was emphasized, as well as the work derived from the previous conclusions. A State Letter sent by the ICAO/LACAC Secretariat, dated 28 July 2014, urged States to take note and review the final report of the AVSEC/FAL/RG/4, as well as to comment and approve the meeting conclusions by 20 August 2014. No adverse comments were received and the conclusions were considered accepted and approved. The list of the approved conclusions is presented in **Appendix A** to this paper.

2. Progress Achieved since the AVSEC/FAL/RG/4 Meeting

2.1 With respect to Conclusion 4/1, ICAO organized and carried out a *Regional Facilitation Seminar* from 9 to 12 September 2014, in the SAM Regional Office, Lima, Peru, where 65 representatives from 14 States, seven airlines and four international organizations attended the Seminar. The result identified the need for awareness of FAL matters among the authorities of their States and the compliance with the SARPS. The seminar Conclusions are presented in **Appendix B** to this note. In this regard, LACAC also convened the Air Transport Facilitation Seminar from 9 to 12 March 2015, in Cochabamba, Bolivia.

2.2 Regarding Conclusion 4/2, the *Symposium on Innovation in Aviation Security* was convened in ICAO Headquarters in Montreal, Canada, from 21 to 23 October 2014, where 360 representatives from around 60 States participated. The Symposium analysed and supported strategies that allow improving efficiency and effectiveness of the aviation security processes taking advantage of technology. Likewise it offered a platform to provide the opportunity of promoting and evaluating innovation measures that allow facing present and future difficulties on aviation security matters.

2.3 In relation to Conclusion 4/3. ICAO convened the *Regional Facilitation Seminar, and Symposium and Exhibition on Machine Readable Travel Documents (MRTD) Biometrics and Border Security*, which was carried out in Montreal, Canada, from 7 to 9 October 2014, with the participation of over 505 delegates from 86 States and seven international organizations. Therein, the importance of the ICAO Traveller Identification Programme (ICAO TRIP), the appropriate use of the electronic verification toolkit and information integrity were reiterated; as well as the importance of the civil registry and population vital statistics, and the identity evidence in the States

2.4 Regarding Conclusion 4/4 on the *Course on Instructor Familiarization in the Region*, related to the Detection of Suspicious Behaviour Individuals Course, the Aviation Security Training Center/Centro Internacional de Instrucción de Aeropuertos y Servicios Auxiliares “Ingeniero Roberto Kobeh González” (ASTC/CIASA) experts are in the process of reviewing the course material to complete the translation and delivered it during the next Regional Group meeting.

2.5 As a result of Conclusion 4/5, the AVSEC/FAL/RG shared the Report on the Homogenization of Standards and Procedures Related to Certification and Oversight of AVSEC Instructors/Certification of AVSEC Instructors. The Secretariat expects that this guidance material be discretionarily implemented by the AVSEC/FAL/RG member States. LACAC in turn will inform in the next meeting the resolution of its Executive Committee on this matter.

2.6 With respect to Conclusion 4/6 and the implementation of Annex 9 – *Facilitation and MRTD Standards*, the Meeting decided to extend the deadline to deliver the Secretariat questionnaire on these matters. Although it was verified that all States of the NAM/CAR Regions issue MRTDs, still some Central Caribbean States have not sent the corresponding questionnaire. Likewise, it can be seen that not yet all States have established communication channels between the Civil Aviation Authorities and the authorities in charge of the issuing passports within their States.

2.7 On Conclusion 4/7, LACAC will provide in the next AVSECFAL/RG meeting the information received of States on the Facilitation best practices, which will be published in the LACAC Internet site.

2.8 In relation to Conclusion 4/8, being a continuous task, the assigned Task Force continues monitoring the application of the Strategic Plan implementation and its methodology of AVSEC/FAL/RG projects, and will present results in the next meeting of this group.

2.9 Regarding Conclusion 4/9, in view that the issue presented on Air Traffic Management Systems Interference involves other civil aviation areas in addition to AVSEC, representatives were asked to bring the concern set out in AVSEC/FAL/RG/4 - WP/17 to their States to be studied, and present their conclusions to the AVSEC/FAL/RG Secretariat at the next meeting of the group.

2.10 With respect to Conclusion 4/10 on the Standardization of Passenger and Carry-on Bag Inspection Procedures Report, the Meeting concluded that the Secretariat raise the project to the LACAC Executive Committee, in order that a resolution be made and presented to the States as a guidance document to be used in the region. LACAC will present the results at the next meeting of the group.

3. Conclusion

3.1 That in order to share information and benefit from the successful results obtained in the different projects and guidance material developed, which will result in the improvement of their own national aviation security and facilitation systems, as well as in the region, the Civil Aviation Authorities of the Central Caribbean are urged to support the participation of the AVSEC and FAL units of their States in the AVSEC/FAL/RG activities

4. Suggested action

4.1 The appropriate Aviation Security (AVSEC) and Facilitation (FAL) Authorities from the Central Caribbean are invited to support their AVSEC and FAL Units so they can participate in an active and committed way to the AVSEC/FAL/RG activities for the benefit of their own States and of the region.

APPENDIX A

AVSEC/FAL/RG/4 MEETING CONCLUSIONS

Conclusion 4/1 Regional Facilitation Seminar scheduled from 9 to 12 September 2014

That the States send their facilitation experts to the Regional Facilitation Seminar to be held at the ICAO Regional Office in Lima, Peru, from 9 to 12 September 2014, and extend the invitation to aircraft and airport operators, border control authorities, and other interested authorities so that they also participate in the seminar.

Conclusion 4/2 Symposium on Innovation in Aviation Security

That States encourage the corresponding authorities to participate in the Symposium on Innovation in Aviation Security to be held in Montreal, Canada, from 21 to 23 October 2014.

Conclusion 4/3 Regional Facilitation Seminar, and Symposium and Exhibition on MRTDs, Biometrics and Border Security

That States encourage their appropriate Facilitation authorities, aircraft and airport operators, border control authorities, and other interested authorities at airports to participate in the Regional Facilitation Seminar planned in September 2014 in Lima, Peru, and in the Symposium and Exhibition on MRTDs, Biometrics and Border Security to be held in Montreal, Canada, from 7 to 9 October 2014.

Conclusion 4/4 Course on Instructor Familiarization in the Regions

That, with the support of ASTC/CIASA, a course to familiarize instructors in the regions be conducted, and that the course material be presented to the AVSECP after translation into English by ASTC/CIASA by the first week of November 2014.

Conclusion 4/5 Report on the Homogenization of Standards and Procedures Related to Certification and Oversight of AVSEC Instructors

That:

- a) the model presented in the Appendix to WP/06 could be used, with the adaptation of States, for bilateral agreements between States in the Region; and
- b) LACAC will process and publish the document through a resolution or recommendation by its Executive Committee to the States.

Conclusion 4/6 Facilitation and Machine Readable Travel Document (MRTD) Questionnaire

That, all States complete the updated Facilitation and MRTD Questionnaire in coordination with the relevant subject competent authorities within their States, and provide the AVSEC/FAL/RG Secretariat with the information by **15 August 2014**.

Conclusion 4/7 Facilitation Best Practices Database

That, Facilitation best practices received from States through the AVSEC/FAL/RG Secretariat will be published on the LACAC website.

Conclusion 4/8 ICAO/LACAC NAM/CAR and SAM Aviation Security and Facilitation Regional Group (AVSEC/FAL/RG) Strategic Plan

That the Task Force continue monitoring Strategic Plan implementation and its methodology of AVSEC/FAL/RG projects.

Conclusion 4/9 Air Traffic Management Systems Interference

That:

- a) the Secretariat of the ICAO/LACAC AVSEC/FAL/RG study the issue with their ATM and CNS experts; and
- b) that the representatives bring the concern set out in WP/17 to their States to be studied and present their conclusions to the AVSEC/FAL/RG Secretariat at the next meeting.

Conclusion 4/10 Standardization of Passenger and Carry-On Bag Inspection Procedures Report

That:

- a) the Secretariat elevate the project to the LACAC Executive Committee in order to create a resolution for submission to States as a guidance document for regional use;
- b) a 60 working-day deadline be established so States can present their contributions to the document; and
- c) continue phase two of the project.

APPENDIX B

REGIONAL NAM/CAR AND SAM FACILITATION SEMINAR CONCLUSIONS

1. Attention by the State to the establishment, approval and implementation of the Air Transport Facilitation National Programmes.
2. Attention by the State to the establishment and implementation of the Air Transport Facilitation National/Airport Committees.
3. Incorporation of the different airport entities in Facilitation awareness and activities.
4. That States civil aviation and competent authorities ensure the timely distribution of Facilitation documentation and circulars issued by ICAO to all entities involved in this issue within their States.
5. That States ensure, with the ICAO Regional Office to which the State is accredited, the update of Facilitation Points of Contact in the different entities involved.
6. The next (Fourth) Facilitation Regional Seminar, in case that Colombia cannot confirm sponsorship as offered during the Fourth Meeting of the ICAO/CLAC AVSEC/FAL Regional Group, will take place in the ICAO NACC Regional Office, tentatively on 01 to 04 September 2015.
7. States seeking to implement a passenger data exchange program, either API or PNR, are encouraged to comply with existing standards adopted by ICAO, the World Customs Organization and IATA, and to coordinate with other interested stakeholders as early in the process as practicable. Further information can be obtained from IATA: davidsonr@iata.org
8. States are encouraged to participate in Facilitation Panel meetings; the 8th meeting will take place from 24-28 November 2014, in Montreal, Canada.
9. States should implement TRIP strategy and are encouraged to join the PKD.

— END —