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WORKING PAPER

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**Fourteenth Directors of Civil Aviation of the Central Caribbean Meeting (C/CAR/DCA/14)**  
Kingston, Jamaica, 11 to 13 May 2015

**Agenda Item 4: Air Navigation Matters**

- 4.2 Review of the Implementation of Air Navigation under the NAM/CAR Regional Performance Based Air Navigation Implementation Plan (RPBANIP) and the Aviation System Block Upgrades (ASBU) Methodology**
- 4.2.4 Other Regional Implementation Groups**

**U.S. IMPLEMENTATION OF THE AVIATION SYSTEM BLOCK UPGRADES (ASBU)  
BLOCK 0 MODULES AND COLLABORATION IN THE UNITED STATES**

(Presented by United States)

<b>EXECUTIVE SUMMARY</b>	
This paper presents information on the United States' implementation of the ICAO Aviation System Block Upgrades (ASBUs) in support of the Global Air Navigation Plan (GANP). It describes areas of ASBU implementation in the United States, and its broader effects on international aviation.	
<b>Action:</b>	The recommended actions are located in paragraph 4.
<i>Strategic Objectives:</i>	<ul style="list-style-type: none"><li>• Safety</li><li>• Air Navigation Capacity and Efficiency</li><li>• Environmental Protection</li></ul>

**1. Introduction**

1.1 The Global Air Navigation Plan (GANP) and the Aviation System Block Upgrades (ASBUs) concept and documents were developed to provide the framework and strategic direction for a global and harmonized aviation system. With endorsement and approval from the 12<sup>th</sup> Air Navigation Conference and the 38<sup>th</sup> Assembly, the GANP and ASBUs provide the strategic direction and define measurable operational improvements for the next 15 years, and include key civil aviation policy principles to assist ICAO regions, sub-regions and States with the preparation and implementation of their air navigation plans. The benefit of the GANP and ASBU program is that modernization can be implemented based on a State's needs, capabilities, and resources.

## 2. Information on U.S. ASBU Block 0 Implementation Status

2.1 With the GANP and ASBUs now in place, the United States (U.S.) and other Member States are addressing steps toward implementation. The objective of this working paper is to provide the status of U.S. implementation of the ASBUs in support of the GANP. To date, the U.S. has implemented all of the modules in Block 0, either across the National Airspace System (NAS) or at select locations, and is working on additional Blocks.

2.2 The tables provided below show the list of ASBU Block 0 modules and their elements to be implemented. The FAA has identified 47 elements for the 18 Block 0 modules. For each Performance Improvement Area (PIA) table, the first column shows the module acronyms. The second column describes the elements, and the last column presents the FAA's implementation status. We note that our status on these modules and elements may be in different stages of implementation. Table 1 describes the PIA 1, Airport Operations. PIA 1 consists of 5 modules and 15 Elements.

<b>PIA 1: Airport Operations</b>		
<b>B0 Module</b>	<b>Elements</b>	<b>Status</b>
WAKE	1: 6-category wake vortex separation	Implemented
	2: Increasing aerodrome arrival operational capacity	Implemented
	3: Increasing aerodrome departure operational capacity	Implemented
APTA	1: APV with Baro VNAV	Implemented
	2: APV with SBAS(WAAS)	Implemented
	3: APV with GBAS	Implemented
SURF	1: International aerodromes with at least one cooperative surface surveillance system such as Surface Movement Radar, Secondary Surveillance Radar Mode S, ADS-B, and/or Multilateration	Implemented
	2: International aerodromes with a cooperative transponder systems on vehicles	Implemented
	3: Alerting	Implemented
ACDM	1: International aerodromes with Airport CDM	Implemented
	2: Certified international aerodromes	Implemented
	3: International aerodromes with Rescue and Fire Fighting equipment as per Annex 14	Implemented
RSEQ	1: AMAN and time-based metering	Implemented
	2: Departure management	Implemented
	3: Point merge	N/A

**Table 1: Implementation Status of PIA 1 - Airport Operations**

Table 2 describes the PIA 2, Globally Interoperable Systems and Data. PIA 2 consists of 3 modules and 14 Elements.

<b>PIA 2: Globally Interoperable Systems and Data</b>		
<b>B0 Module</b>	<b>Elements</b>	<b>Status</b>
FICE	1: ATS units with AIDC	Implemented
	2: Implementation of AMHS/IPS	Implemented
DAIM	1: Implementation of AIXM	Implemented
	2: Implementation of eAIP	Implemented
	3: Implementation of Digital NOTAM	Implemented
	4: Implementation of WGS-84	Planning
	5: Implementation of eTOD	Implemented
	6: Implementation of QMS for AIM	Implemented
AMET	1: WAFS	Implemented
	2: IAVW	Implemented
	3. Tropical cyclone watch	Implemented
	4. Aerodrome warnings	Implemented
	5. Wind sheer warnings and alerts	Implemented
	6. SIGMET and other operational meteorological (OPMET) information	Implemented

**Table 2: Implementation Status of PIA 2 - Globally Interoperable Systems and Data**

Table 3 describes the PIA 3, Optimum Capacity and Flexible Flights. PIA 3 consists of 7 modules and 13 Elements.

<b>PIA 3: Optimum Capacity and Flexible Flights</b>		
<b>B0 Module</b>	<b>Elements</b>	<b>Status</b>
FRTO	1: Airspace planning	Implemented
	2: Flexible use of airspace (FUA) Time segregated airspaces are available for civil operations in the State	Implemented
	3: Flexible routing	Implemented
NOPS	1: ATS units using ATFM services	Implemented
ASUR	1: International aerodromes with ADS-B implemented	Implemented
	2: Multilateration system implemented	Implemented
ASEP	1: ATSA-AIRB	Implemented
	2: ATSA-VSA	Implemented
OPFL	1: Aircraft used ITP	Implemented
ACAS	1: Aircraft with ACAS logic V7.1	Implemented
SNET	1: Short Term Conflict Alert implementation (STCA)	Implemented
	2: Area Proximity Warning (APW)/ Minimum Safe Altitude Warning (MSAW)	Implemented
	3: Medium Term Conflict Alert (MTCA)	Implemented

**Table 3: Implementation Status of PIA 3 - Optimum Capacity and Flexible Flights**

Table 4 describes the PIA 4, Efficient Flight Path. PIA 4 consists of 3 modules and 5 Elements.

<b>PIA 4: Efficient Flight Path</b>		
<b>B0 Module</b>	<b>Elements/Indicator</b>	<b>Status</b>
CDO	1: International aerodromes with CDO implemented	Implemented
	2: International aerodromes/TMAs with PBN STARs implemented	Implemented
TBO	1: Number of ADS-C/CPDLC procedures available over oceanic and remote areas	Implemented
CCO	1: International aerodromes with CCO implemented	Implemented
	2: International aerodromes with PBN SIDs implemented	Implemented

**Table 4: Implementation Status of PIA 4 - Efficient Flight Path**

2.3 As described in this paper, the United States is approaching air traffic modernization from a systemic and holistic point-of-view, and is viewing modernization as a process that must be developed and implemented with the entire system in mind. This process is being driven by the U.S. NextGen Program.

### **3. Conclusion**

3.1 In order to coordinate the modernization of the global air navigation system, it is important to have a harmonised plan for aviation regulators, operators and industry to follow. The planning, development, training and implementation of a globally harmonized system are contingent on a framework that includes scalable plans and provides operational, economic, and safety benefits.

### **4. Suggested Action**

4.1 The Meeting is invited to:

- a) note the elements in this paper regarding U.S. implementation of the ASBUs; and
- b) support efforts that promote the regional implementation of the ASBUs.