



INTERNATIONAL CIVIL AVIATION ORGANIZATION



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ASSESSING SECURITY OF HANDLING AND ISSUANCE OF TRAVEL DOCUMENTS: USING THE ICAO GUIDE FOR REFERENCE AND IN CONDUCTING PRACTICAL ASSESSMENTS

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INTRODUCTION

- Background to the Guide
- Guide outline in brief
- Why have an assessment?
- Independent or in-house assessment?
- Carrying out an assessment
- Outcomes

Background to the Guide

- Economic, environmental and political drivers
- Fraudsters look for weak spots in any system
- Travel document fraud is responding to more sophisticated travel document security features
- Weak issuance systems can significantly devalue good quality travel documents
- Guide developed by ICAO to respond to this threat

The Guide in Brief (1)

- Two Parts

Part 1

- Explains reason for the guide
- Contains 12 chapters on individual areas relating to the handling and issuance of travel documents
- Best practice/recommended practices
- Also contains links to other useful reference material – some of it Government produced

The Guide in brief (2)

Part 2

- Assessor's Excel worksheet
- Each of the 12 chapters is assessed for compliance
- Assessment also involves level of risk score – low, medium, high
- Automatic calculation of risk score to identify where highest vulnerabilities exist

WHY HAVE AN ASSESSMENT?

- As part of overall border and travel document assessment
- As part of visa relaxation/imposition process
- As part of a scoping study

IN-HOUSE or INDEPENDENT

- Degree of assessor's experience
- Bias
- Level of assurance required

THE ASSESSMENT

- Government commitment
- Carrying out the assessment
- Site visits
- Using the ICAO assessment guide/tool

ASSESSMENT REPORT

- Timing
- Content

SUMMARY

- ICAO guide and tool responds to increasing need
- Assessment is not a test!
- Preparation and planning essential
- Report should not only highlight weaknesses but offer possible solutions

USING THE GUIDE – AN EXAMPLE

Chapter 9 of the Assessment Guide

- Protecting and Promoting Personnel and Agency Integrity

PRACTICAL EXERCISE!

- Aims of the exercise
- Organisation and facilitators
- Timing
- Report back

THANK YOU

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