

# ICAO TRIP

REGIONAL SYMPOSIUM

BUILDING **FUTURE RESILIENCE:**  
THE ROLE OF **SEAMLESS**  
AND **CONTACTLESS** TRAVEL



# The Practical Use of the ICAO Guide for Assessing Security of Handling and Issuance of Travel Documents

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# Overview

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**01** What is the Guide?

**02** Guide Structure

**03** Case Study: Document Design

**04** Case Study: Entitlement Processes

**05** Case Study: Internal Controls

**06** ICBWG and the Guide



# 01 What is the Guide?

For Publication on the ICAO Website



## Guide for Assessing Security of Handling and Issuance of Travel Documents


## 02 Guide Structure

**Part 1**  
Best Practices on  
Secure Issuance  
of Travel  
Documents


**Part 2**  
Assessment  
Guide

# 03 Case Study: Document Design


Improved security features to help protect your identity.




Enhanced security features make counterfeiting or altering more difficult.



More secure document streamlines the entry and exit process.





Laser engraved black and white photo image

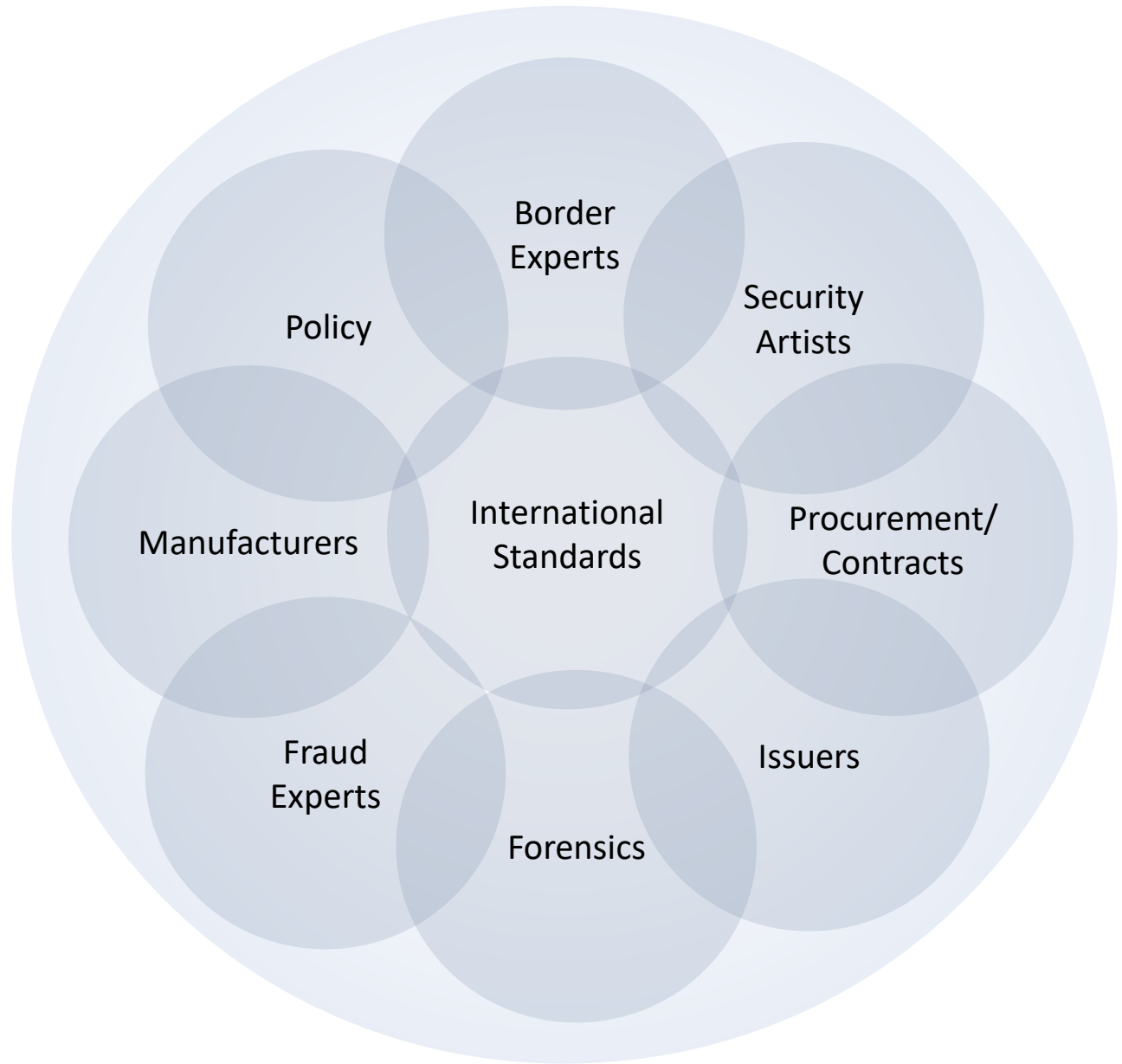
Optically variable feature

New perforated alphanumeric passport book number throughout

Multi-layered plastic data page strengthens document durability and integrity

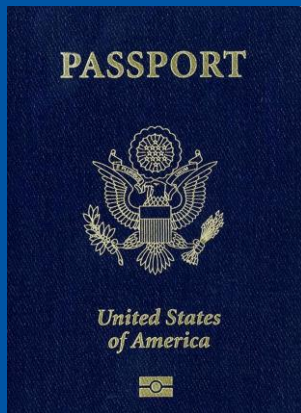
# Gather Team of Experts

Part 1, Section 12:  
National and International Stakeholders



# Gather Team of Experts

Document Design in the United States



Vendors



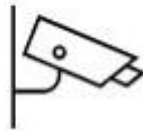
Security Artists



Document Design Officers



Quality Assurance Contractors



Customs and Border Patrol



ICAO Standards/RPs



Government Publishing Office



Fraud Prevention Program



04

## Case Study: Entitlement Processes



# Entitlement Processes: Part 1, Section 3



**Child Support Arrears**



**Seriously Delinquent Tax Debt**



**Law Enforcement Arrest Warrant**



**Person of Interest to Law Enforcement**

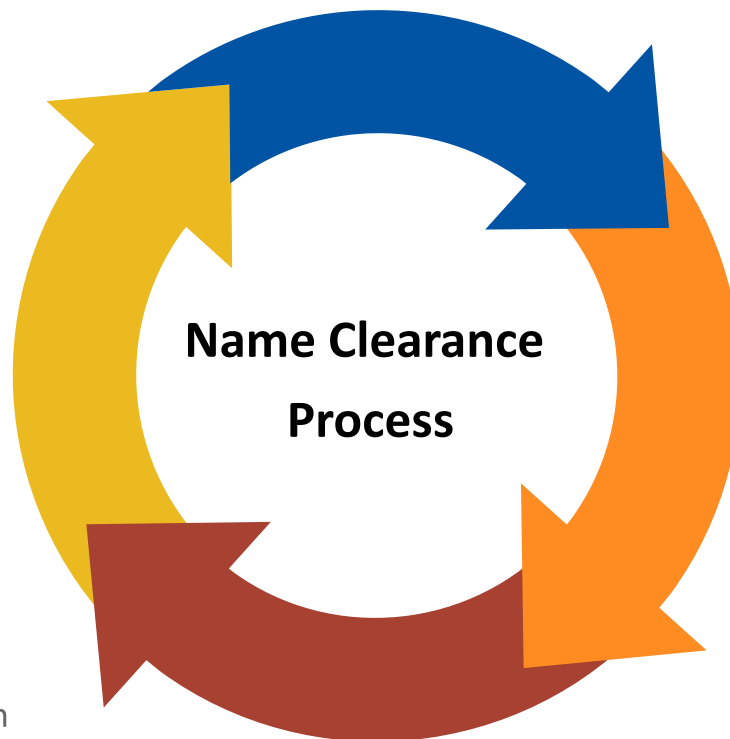
# The U.S. Restrictive Actions Division

## 04 Entitlement Determination

The Restrictive Actions division informs the passport office of the applicant's entitlement status. The applicant is either cleared for issuance or sent a denial letter based on law enforcement's objection.

## 03 Research and Review

The Restrictive Actions division liaises with local and federal law enforcement to determine whether the applicant who is a match to the database is entitled to a U.S. passport.







## 01 Match Identified

Passport office identifies an exact or potential match to an applicant's biodata in the electronic name clearance system.

## 02 Application Referral

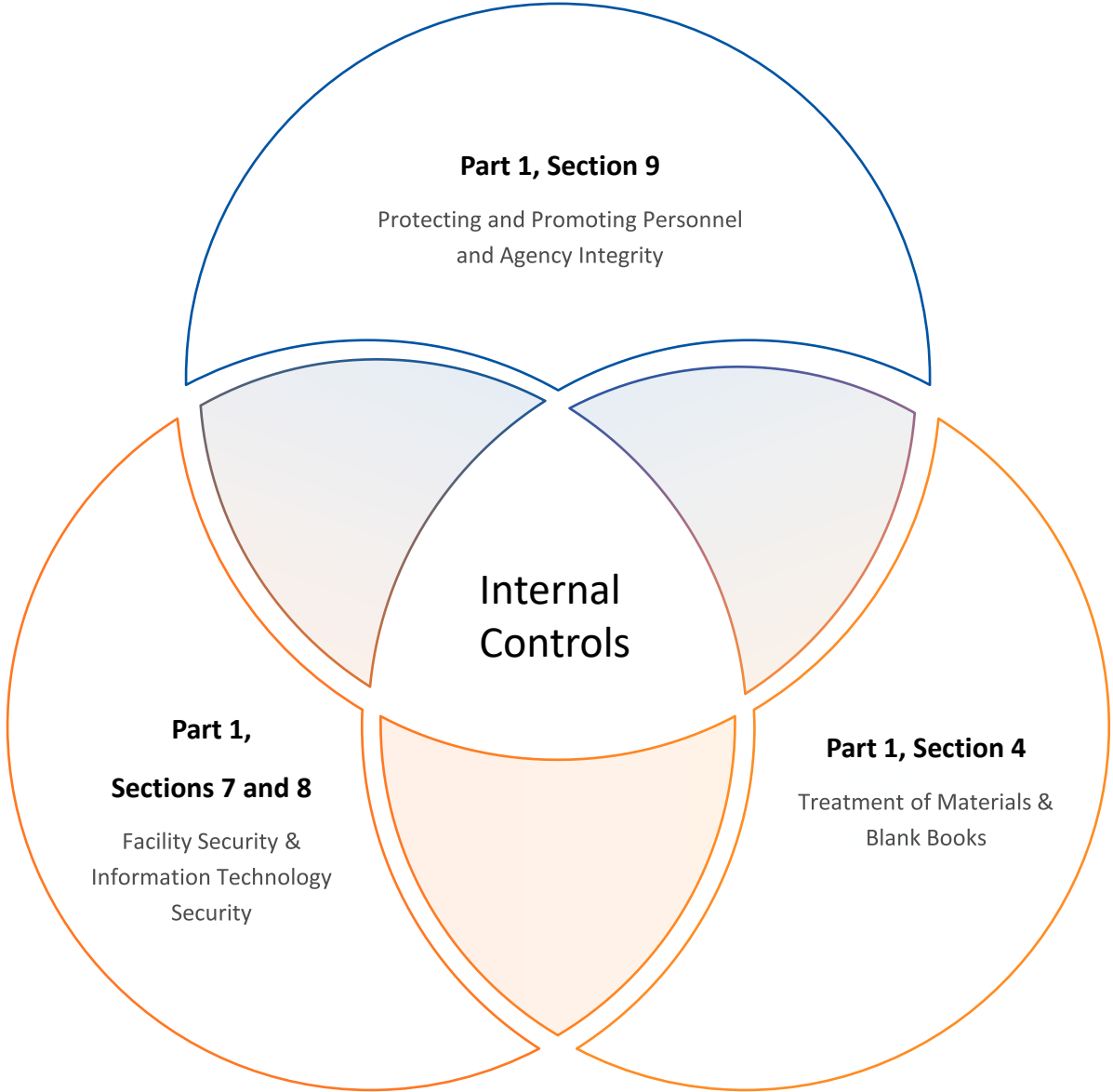
An application with exact or potential matches is referred to the Restrictive Actions division for law enforcement matches. Any matches to debts and child support arrears are sent a letter for resolution.

## Restrictive Actions Statistics - Fiscal Year 2022

-  **21,995,760** Applications processed
-  **31,125** referrals to Restrictive Actions
-  **87** Applications denied from law enforcement
-  **2,379** Revocations completed post-issuance

Total Restrictive Actions:  
**2,466 denials and revocations**

# 05 Case Study: Internal Controls

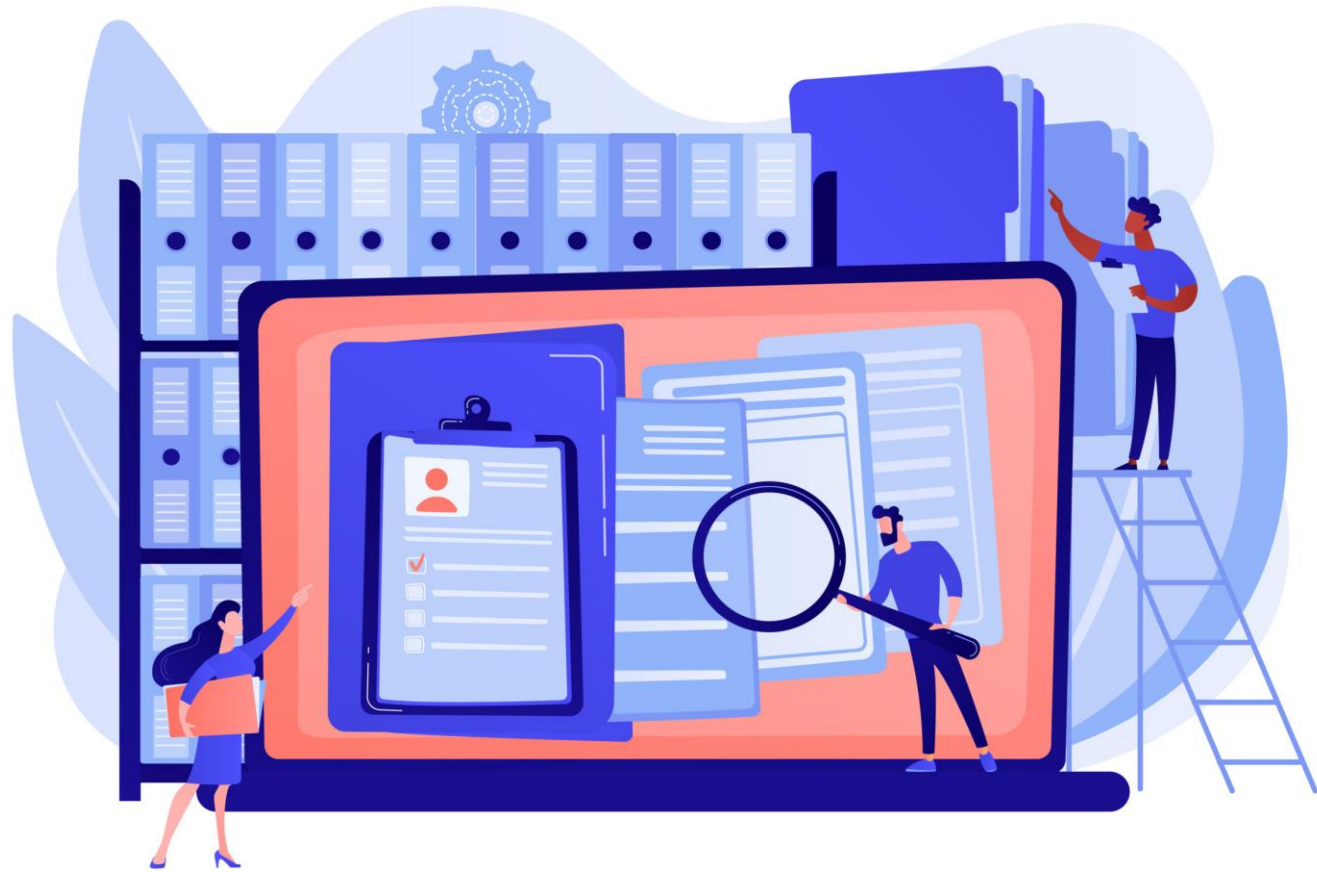


# Internal Controls Guide



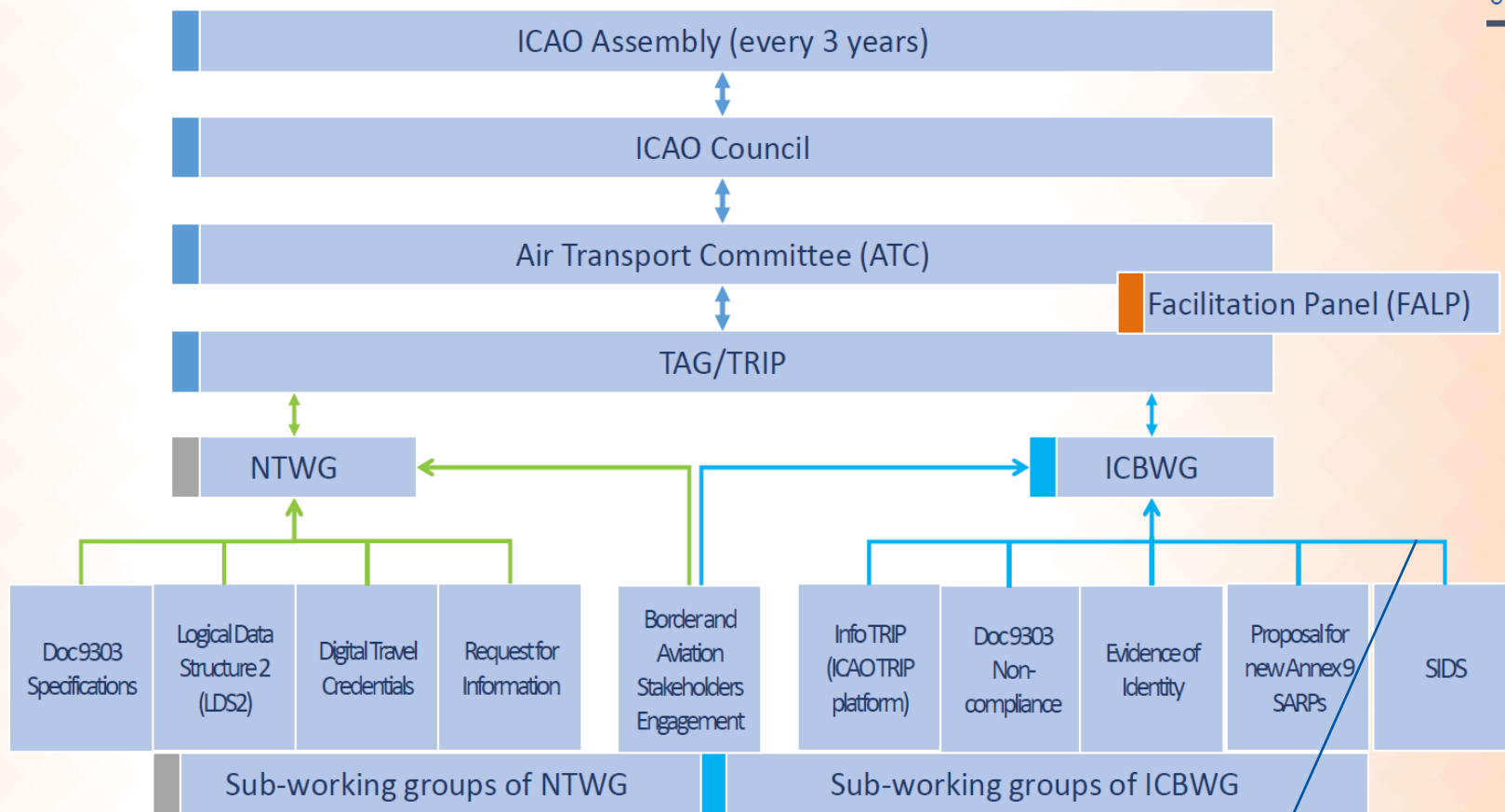
# Internal Controls Guide For Employees

# Electronic Records Access



# 06 ICBWG and the Guide

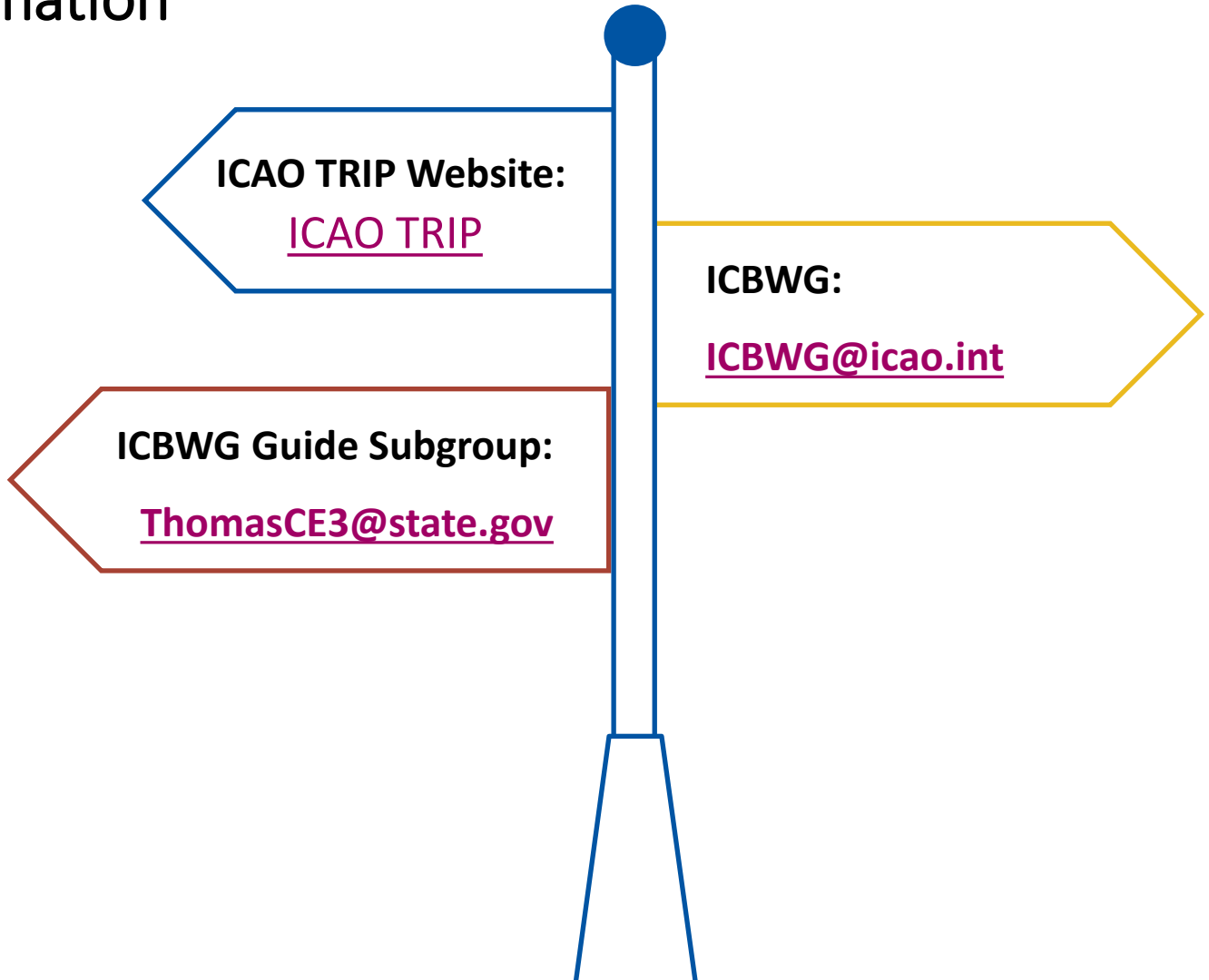
## TAG/TRIP Structure



Guide for Assessing Security of Handling and Issuance of Travel Documents



# ICBWG Guide Contact Information



# Thank You

