Doc 9303 New Developments and Deadlines

R Rajeshkumar

ISO SC17-WG3/TF5 Leader







Technical Advisory Group

Implementation & Capacity Building Working Group

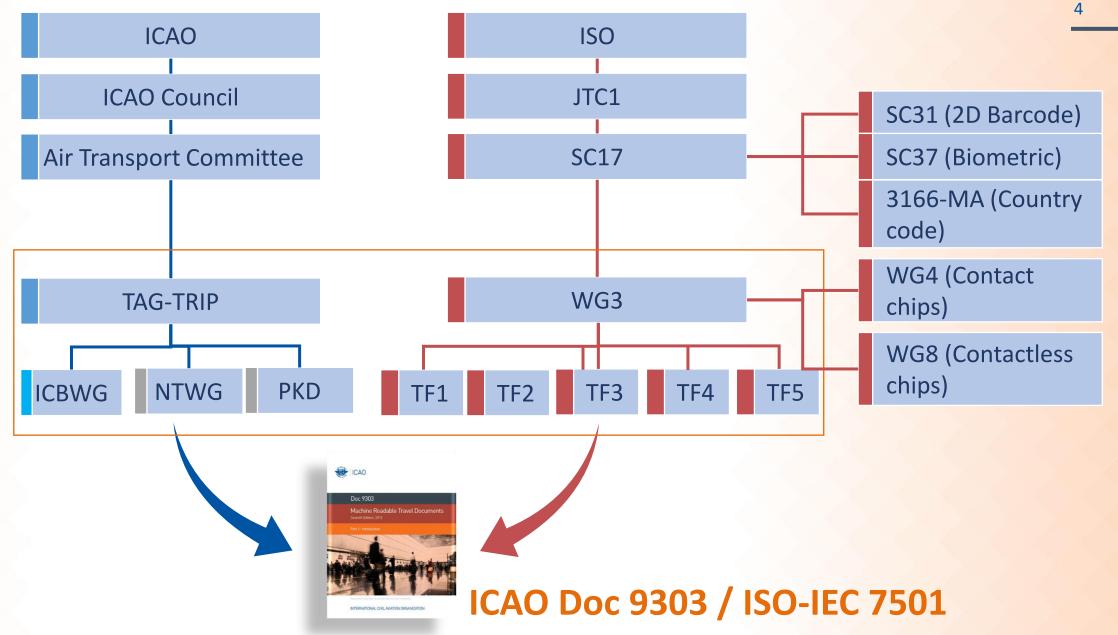
New Technologies Working Group

Relationship governed by MOU between ICAO and ISO/IEC JTC1



ICAO TRIP Strategy Course V.1

Working Relationship between ICAO and ISO



Two letter document type

- TAG/TRIP/3 endorsed the NTWG proposal to introduce a second letter in the Visual Inspection Zone (VIZ) and Machine-Readable Zone (MRZ) indicating the document type
- Use of Automated Border Controls and Advanced Passenger Information systems to identify the document type.
- TAG/TRIP/3 further endorsed a mandatory implementation date of January 1, 2026
- Amendments made to Doc 9303-4
- TAG/TRIP/4 approved the following
 - Issuers that use the second letter in their passports MUST use the new codes from 2026
 - Issuers not using second letter MUST use the new codes from 2028

National Passport – PP

Emergency Passport – PE

Single Sheet ETD - PU

Diplomatic Passport – PD

Official/Service Passport – PO

Refugee Passport – PR

Alien Passport – PT

Stateless Passport – PS

Laissez-passer type documents – PL

(UN, EU, Interpol, CARICOM, ECOWAS etc)

Single Sheet ETD - PU

<<MUSTERMANN<<CLEOPATRE<<<<<<<<< Z7020033V1UT06408125F1710319<<<<<<<<

> P<GBRUK<SPECIMEN<<ANGELA<ZOE<<<<<<<< 9256037787GBR8809117F2007162<<<<<<<<<<<

> > SAHAPPY<<ADVENTURER<SEVEN<<<<<<<<< 3400006603USA6309277M1101258900485205<331112

IS THE PRESENT MRZ FUTURE PROOF?

P<\$WEJENSEN<<LUDVIG<SOEREN<<<<<<< 00000000<0SWE6709279M1303100196709272691<<52

D0042823<6MEX8108201F1712209<<<<<<<<00

XT001111<2can8101017F0610021<<<<<<<<



The MRZ has been developed for semi-automated use The MRP itself was always physically handed to a border guard



The MRZ has been developed for semi-automated use

The MRTD itself was always physically handed to a border guard for scanning the MRZ

P<GBRUK<SPECIMEN<<ANGELA<Z0E<<<<<<<>9256037787GBR8809117F2007162<<<<<<<000

For instance:

* (country code) issuing country

* (name holder) is the holder of this document wanted by authorities

* (passport nr.) is the document missing or stolen

* (nationality) what is the nationality of de holder

* (date of birth) in combination with the name.

* (sex) male or female

* (date of expiry) is the document valid

The MRZ has been developed for semi-automated use

The MRTD itself was always physically handed to a border guard for inspection of the cover and document number

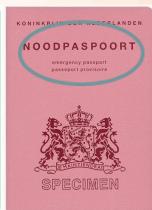












National passport

Diplomatic passport

Service passport

Refugee
Passport
Convention
28-07-1951

Alien Passport

Emergency passport

The MRZ has been developed for semi-automated use

The MRTD itself was always physically handed to a border guard for inspection of the cover and document number



PTD<<MUSTERMANN<<CLEOPATRE<<<<<<<<<<<<<<
<Z7020033V1UT06408125F1710319<<<<<<<

P<GBRUK<SPECIMEN<<ANGELA<Z0E<<<<<<<>925603 988809 2007162 <<00

AHAP

0066

IS THE PR SE ME FUT! E PROOF

N<<<<<<<<<

8900485205<331112

00000000<0swE6709279M1303100196709272691<<52

DVENTURER

A6309277M11

PDMEXPEREZ<GUZMAN<<LAURA<<<<<<<<>> 00042823<6MEX8108201F1712209<<<<<<<000



The MRZ has been developed for semi-automated use

The MRP itself was always physically handed to a border guard, but nowadays we use electronic MRTDs instead of MRTD and make use of ABC systems



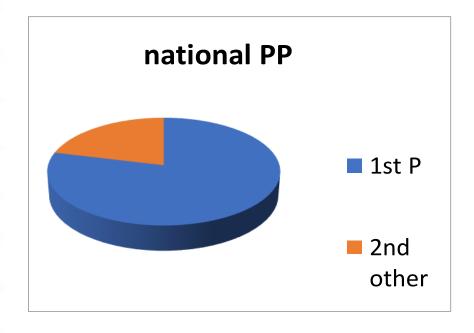


The MRZ has been developed for semi-automated use

The MRP itself was always physically handed to a border guard Nowadays we use electronic MRTDs instead of MRTD and make use of ABCs However, ABCs cannot differentiate between the different types of passports

THIS MEANS THAT ALL MRPs
MUST BE PROVIDED
WITH A SECOND LETTER
AFTER THE P (for passport) TO
INDICATE THE TYPE OF THE MRP

Research to investigate the presence of the second letter after the "P" for passports to indicate the type of the MRP.



- 146 Countries issue passports with P
- 39 other combinations; S, PA, PB, PC, PE, PL, PM, PN, PO, PR and PV.

ACTION TAKEN BY THE TAG/TRIP 2021

Endorsed the mandatory introduction of a second letter in the MRZ after the 'P' to indicate the type of MRP as defined in 3.1;

NATIONAL PASSPORT	PP
EMERGENCY PASSPORT	PE
DIPLOMATIC PASSPORT	PD
OFFICIAL/SERVICE PASSPORT	PO
REFUGEE TRAVEL DOCUMENT	PR
ALIEN PASSPORT	PT
STATELESS PASSPORT	PS
TRAVEL DOCUMENTS (eu. interpol etc)	PL



ACTION TAKEN BY THE TAG/TRIP/3 2021

 Endorsed that this document type indication is also mentioned in the VIZ (Visual Inspection Zone);

 Endorsed that member states have to introduce this from 01-01-2026;

 Instructed NTWG and ISO/JTC1/WG3 to incorporate these specifications in Document 9303.



Benefits

"Increasing uniformity in the use of the second letter in the MRZ after "P" will

- not affect the current structure of the MRZ and
- eventually assist Automated/Assisted Border Control and other systems in recognising every type of MRP."

Deprecation of Basic Access Control

- Password Authenticated Connection Establishment (PACE) is successor to Basic Access Control
- Till December 31, 2017 Countries had to issues passports that had both BAC and PACE
- From Jan 1, 2018 Countries allowed to issue passports with PACE only
- From Jan 1, 2025 PACE is Mandatory
- From Jan 1, 2028 BAC MUST be dropped

ISO/IEC 39794-5 Application Profile

- SC37 has published 39794 in 2021 not backward compatible with 19794
- NTWG agreed to transition from 19794 to 39794 for DG2, DG3 and DG4
- TF5 worked on Application Profile for Facial image
 - Applicable only to the first facial image stored in DG2
 - DG3 and DG4 encoding currently out of scope
 - Some metadata elements have additional restrictions
 - Gender (Sex) Male, Female, Other in line with Doc 9303
 - Image representation block only 2D representation allowed
 - Image data formats JPEG, JPEG2000 lossy and JPEG2000 lossless
 - 2D Face Image Kind restricted to MRTD
 - 3D shape representation block MUST NOT be used
 - ASN1 for 39794-1 and 39794-5 published to WG3 Github page

ISO/IEC 39794-5 Application Profile

- Doc 9303-10 amended to clarify the tags used to detect which encoding is used.
- Approved by TAG/TRIP/4
- Inspection Systems must implement by Jan 1,2026
- Issuer must switch by Jan 1, 2030
- WG3 working on a silver data set for testing will be published to WG3 github site
- Similar Application Profile will be created for Fingerprint and Iris.



Guidance document on Physical conformance

- ICBWG and ICAO working on Compliance scheme to Doc 9303
- Requested WG3/TF4-D to work on physical compliance test specifications TR
- The Technical Report contains a summary of the ICAO [Doc9303-3] and [Doc9303-4] compliance check to be performed on (e)MRTD specimens. The structure defined for this Technical Report will:
 - identify if a feature is either MANDATORY, OPTIONAL, CONDITIONAL or could not be tested
 - list the criteria/ methodology defined to evaluate the feature
 - if available, appropriate remarks are given to identify details of expected results
- To receive a final PASS result of the conformance test; all tests defined as MANDATORY MUST be passed.
- GUIDANCE DOCUMENT ON PHYSICAL CONFORMANCE COMPLEMENTARY TESTS TO DOC 9303-PART 3&4, Version 1.0, 07.2023 approved by TAG/TRIP/4



RF and Protocol Testing

- PART 3 Test standard for eMRTD, application and logical data structure tests are specified – updated to support the 8th edition of Doc 9303
- PART 5 Test standard for eMRTD, tests for PKI objects are specified – update to support the 8th edition of Doc 9303
- PART 6 Tests for Visible Digital Seal new
- All TRs approved by TAG/TRIP/4

ICAO Datastructure for Barcode (IDB)

- Doc 9303 currently specifies Visible Digital Seal (VDS)
- VDS created for primary use on visa stickers and constrained spaces cryptographic signature mandatory – binary – cannot be read by scanners in travel industry
- VDS-NC was specified for Health Proofs and Digital Travel Authorization (DTA) – human readable with signature optional
- SMB New barcode requirement to allow for encoding CAN and MRZspace constrained but without signature and human readable for new TD1 layout

ICAO Datastructure for Barcodes

- NTWG agreed that an attempt should be made to define a single barcode structure that could be applied to all use cases that VDS, VDS-NC and SMB are defined for. Each of the use cases would then be defined as a message type, and additional use cases can be added as a new message type
- This new structure should also cater for extensibility, allowing for national uses cases to be encoded along with the ICAO use cases, into a single barcode
- The barcode must be readable by scanners currently in use in the travel continuum
- Technical Report ICAO Datastructure for Barcode V1.10
 - Currently has worked examples for Secure Messaging Parameters. Others will be added later – worked examples published on ISO SC17/WG3 github site
- TR endorsed by TAG/TRIP/4

Additional TD1 layout

 Main driver is to increase space for larger photo and add barcode for easy scanning of CAN and MRZ

Two Layouts for Front (with some variants) and two layouts

for the reverse

Approved by TAG/TRIP/4











Review of Data Elements in MRTDs

- The data elements used in MRTDs were defined in the early days of Doc 9303 and have remain unchanged for a long time
- Newer innovations like Digital Travel Authorization (DTA) or ICAO Datastructure for Barcodes (IDB) used the same data elements as already defined in Doc 9303
- With new innovations like the additional layout for TD1 cards and IDB, a review of the relevance of existing data elements and possibility of new data elements to be considered
- Modern implementations of passenger flows may require additional information to be included in travel documents or existing information to be removed
- Approved as a New Work Item by TAG/TRIP/4



Thank you!

R.Rajeshkumar@auctorizium.com

