



ICAO Universal Safety Oversight Audit Programme

A COMPREHENSIVE SYSTEMS APPROACH

State Aviation Activity Questionnaire – 2005

for

[CONTRACTING STATE]

[ENTER NAME]

**IMPLEMENTATION
OF A COMPREHENSIVE SYSTEMS APPROACH
TO THE SAFETY OVERSIGHT AUDIT PROGRAMME
STATE AVIATION ACTIVITY QUESTIONNAIRE**

INTRODUCTION

This State Aviation Activity Questionnaire 2005 (SAAQ 2005) addresses the safety oversight audit process relating to the comprehensive systems approach of the ICAO Universal Safety Oversight Audit Programme. It is to be noted that a similar questionnaire relating to Annexes 11, 13 and 14 was forwarded to States in 2003. However, with the transition to the systems approach for conducting safety oversight audits of the implementation of safety-related provisions in all Annexes except Annexes 9 and 17, it was decided to update the questionnaire to cover the area of the audits and resubmit it to States for completion and forwarding to ICAO.

The SAAQ is one of the major tools required for conducting a systems approach-based safety oversight audit of all Contracting States. As such, all Contracting States are required to complete the SAAQ 2005 and compliance checklists and submit them to ICAO together with any supporting documentation requested for proper evaluation and recording. The submitted information will enable ICAO to maintain an up-to-date database on the State activities, as well as to plan and conduct a standardized safety oversight audit of all Contracting States. It is therefore essential that States carefully complete the questionnaire and submit it to ICAO within the prescribed period.

Contracting States are required to update their answers to the SAAQ whenever a change relating to an item contained in the questionnaire occurs.

Contracting States will also be required to update their answers to the SAAQ shortly before the audit mission in order to take account of the most recent changes made to their aviation activities.

Contracting States are encouraged to access the ICAO Safety Oversight Audit (SOA) Section website (<http://www.icao.int/icaonet>) where the questionnaires and forms are located and may be completed online. ICAO will then enter the data into the database and ensure the timely updating of the information. If unable to complete the forms online, States may use routine correspondence to submit a completed questionnaire and forms to ICAO.

Note.— In order to provide for a comprehensive and meaningful audit preparation, States are encouraged to appoint a national safety oversight audit coordinator as the primary contact officer with the ICAO SOA Section. The appointment of a contact person will enable smooth coordination between the particular State authority responsible for the different areas of audit and the ICAO SOA Section. It will also assist the State being audited in the preparation of the audit mission and in the monitoring of the level of implementation of ICAO Standards and Recommended Practices (SARPs). It is recommended that the State's safety oversight audit coordinator contact the SOA Section as soon as practicable after an appointment is made in order to establish a line of communication.

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STATE AVIATION ACTIVITY QUESTIONNAIRE

SAAQ Ref.	SAAQ Question	Information provided by the State								
PART 1 – GENERAL ADMINISTRATIVE INFORMATION										
GEN 1.1	Provide the name, position and address of the person designated as the State coordinator with respect to the ICAO Universal Safety Oversight Audit Programme (USOAP).	Name _____ Position _____ Contact address _____ _____ _____ Tel _____ Fax _____ E-mail _____								
GEN 1.2	What is the normal workweek, e.g. Monday to Friday; Saturday to Wednesday?	_____ to _____								
GEN 1.3	What are the normal working hours, e.g. 0900 to 1700 hours; 0730 to 1430 hours?	_____ to _____								
GEN 1.4	What statutory holidays should be considered not suitable for planning an audit?	<table style="width: 100%; border: none;"> <tr> <td style="text-align: center;">From</td> <td style="text-align: center;">To</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> </table>	From	To	_____	_____	_____	_____	_____	_____
From	To									
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GEN 1.5	Is there an annual vacation period, when many of the essential staff may not be available, that should be avoided when scheduling the audit?	<table style="width: 100%; border: none;"> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> <tr> <td style="text-align: center;">From</td> <td style="text-align: center;">To</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> </table>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	From	To	_____	_____	_____	_____
<input type="checkbox"/> Yes	<input type="checkbox"/> No									
From	To									
_____	_____									
_____	_____									
GEN 1.6	What documentation will be required from and made available to ICAO audit members to access operational sites for audit purposes, e.g. passes, photo ID?	_____ _____								

SAAQ Ref.	SAAQ Question	Information provided by the State
GEN 1.7	<p>Has the State established a civil aviation website?</p> <p>If yes, indicate the URL.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>URL:</p> <p>_____</p>
GEN 1.8	<p>List documentation (such as civil aviation law, civil aviation regulations) not available on the website that may be provided in electronic format and indicate the language(s) in which it is available.</p>	<p>List:</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p>
<p>PART 2 – LEGISLATION</p>		
LEG 2.1	<p>Has the State promulgated primary aviation legislation (civil aviation act, code of civil aviation, aeronautics code, etc.) to enable the State to implement the provisions of the <i>Convention on International Civil Aviation</i> and its Annexes?</p> <p>If yes, what is its formal title and when was it initially promulgated and last amended?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>_____</p> <p>_____</p> <p>_____</p>
LEG 2.2	<p>At what level was the primary aviation legislation promulgated (e.g. Parliament, Council of Ministers, etc.)?</p>	<p>Parliament <input type="checkbox"/></p> <p>Ministerial <input type="checkbox"/></p> <p>Other <input type="checkbox"/></p>
LEG 2.3	<p>Has the State established procedures for the amendment of its primary aviation legislation?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
LEG 2.4	<p>If the State has not promulgated primary aviation legislation, what method is used to meet its obligations as a signatory to the <i>Convention on International Civil Aviation</i>?</p>	<p>Provide brief explanation:</p> <p>_____</p> <p>_____</p>

SAAQ Ref.	SAAQ Question	Information provided by the State
<p>LEG 2.5</p>	<p>Does the State primary aviation legislation establish clear delegation of authority to the Minister, to the Director General of Civil Aviation (DGCA), to inspectors?</p> <p>If yes, provide reference and brief description of authority delegated.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>_____</p> <p>_____</p>
<p>LEG 2.6</p>	<p>Does the primary aviation legislation provide for the introduction/adoption of air navigation regulations and the promulgation thereof?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>LEG 2.7</p>	<p>Does the primary aviation legislation provide for the enforcement of the air navigation regulations and associated operating regulations and rules?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Provide reference and brief description:</p> <p>_____</p> <p>_____</p>
<p>LEG 2.8</p>	<p>Has the State developed and promulgated specific regulations to enable the implementation of the provisions of ICAO?</p> <p>Annex 1 <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Annex 2 <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Annex 3 <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Annex 4 <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Annex 5 <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Annex 6 <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Annex 7 <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Annex 8 <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Annex 10 <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Annex 11 <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Annex 12 <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Annex 13 <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Annex 14 <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Annex 15 <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Annex 16 <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Annex 18 <input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Provide regulations reference:</p>
<p>LEG 2.9</p>	<p>Has the State established a system for implementing amendments to the SARPs in the Annexes listed above as well as for listing and notifying differences, if any, to ICAO?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

SAAQ Ref.	SAAQ Question	Information provided by the State
LEG 2.10	At what level are the technical civil aviation regulations promulgated?	Parliament <input type="checkbox"/> Ministerial <input type="checkbox"/> DGCA <input type="checkbox"/> Other <input type="checkbox"/> _____
LEG 2.11	Are the primary aviation legislation, the air navigation regulations, and the associated operating regulations and rules available to all users?	<input type="checkbox"/> Yes <input type="checkbox"/> No
LEG 2.12	Does the primary aviation legislation make provisions for the delegation of the necessary authority and the assignment of corresponding responsibility to develop, issue and revise operating regulations and rules consistent with the air navigation regulations and with the provisions of the Annexes to the <i>Convention on International Civil Aviation</i> ? If yes, to whom is such authority delegated?	<input type="checkbox"/> Yes <input type="checkbox"/> No Provide reference and brief description to whom authority is delegated: _____ _____
LEG 2.13	Does the primary aviation legislation make provisions for the establishment of a civil aviation organization?	<input type="checkbox"/> Yes <input type="checkbox"/> No
LEG 2.14	If no, what is the basis for the establishment of a civil aviation organization?	_____
LEG 2.15	Do the primary aviation legislation and/or specific regulations provide for the issuance, by the Civil Aviation Authority (CAA), of additional orders/directives and/or instructions in support of its safety oversight activities? If yes, who is authorized to issue the above?	<input type="checkbox"/> Yes <input type="checkbox"/> No Parliament <input type="checkbox"/> Ministerial <input type="checkbox"/> DGCA <input type="checkbox"/> Other <input type="checkbox"/> _____

SAAQ Ref.	SAAQ Question	Information provided by the State
<p>LEG 2.16</p>	<p>Has the State developed and promulgated legislation/regulations to ensure that air navigation services called for under Article 28 of the Chicago Convention are provided adequately and safely?</p> <p style="text-align: center;">“Article 28</p> <p style="text-align: center;"><i>Air navigation facilities and standard systems</i></p> <p style="text-align: center;"><i>Each Contracting State undertakes, so far as it may find practicable, to:</i></p> <p>a) Provide, in its territory, airports, radio services, meteorological services and other air navigation facilities to facilitate international air navigation, in accordance with the standards and practices recommended or established from time to time, pursuant to this Convention;</p> <p style="text-align: center;"><i>b) Adopt and put into operation the appropriate standard systems of communications procedure, codes, markings, signals, lighting and other operational practices and rules which may be recommended or established from time to time, pursuant to this Convention;</i></p> <p style="text-align: center;"><i>c) Collaborate in international measures to secure the publication of aeronautical maps and charts in accordance with standards which may be recommended or established from time to time, pursuant to this Convention.”</i></p> <p>If yes, indicate the title of the legislation/regulations and the date when it was enacted and last amended.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>_____</p> <p>_____</p>
<p>LEG 2.17</p>	<p>Does the State primary aviation legislation provide for authority of access to aerodromes, aircraft, maintenance organizations and operators’ facilities in order to enable inspections and surveillance activities?</p>	<p>Provide reference:</p> <p>_____</p> <p>_____</p>
<p>LEG 2.18</p>	<p>Does the State primary aviation legislation provide for authority for the issuance, suspension and/or revocation of aviation documents?</p>	<p>Provide reference:</p> <p>_____</p> <p>_____</p>

SAAQ Ref.	SAAQ Question	Information provided by the State
LEG 2.19	Does the State primary aviation legislation provide for authority for unrestricted and unlimited access for inspection of aircraft and aviation installations and provide an inspector the right to detain aircraft for just cause?	<input type="checkbox"/> Yes <input type="checkbox"/> No Provide reference and brief explanation: _____ _____
LEG 2.20	Has the State established official inspectorate staff credentials?	<input type="checkbox"/> Yes <input type="checkbox"/> No
LEG 2.21	Has the State established requirements in its primary aviation legislation and its regulations regarding documents to be carried on board aircraft in compliance with Article 29 of the Chicago Convention?	<input type="checkbox"/> Yes <input type="checkbox"/> No Provide reference: _____
LEG 2.22	Has the State established requirements for a journey log book to be maintained for every aircraft engaged in international navigation?	<input type="checkbox"/> Yes <input type="checkbox"/> No Provide reference: _____
LEG 2.23	If the State has ratified Article 83 <i>bis</i> of the Chicago Convention, has the State reviewed and modified the primary aviation legislation and operating regulations and procedures in order to: <ol style="list-style-type: none"> 1. recognize certificates of airworthiness and/or personnel licences issued/renewed by the State of the Operator 2. transfer its State of Registry's tasks and functions to the State of the Operator 3. accept as a State of Operator relevant tasks and functions of a State of Registry from another State 4. provide ICAO and other States concerned with notification and information regarding transferred tasks and functions 	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No

SAAQ Ref.	SAAQ Question	Information provided by the State
LEG 2.24	Has the State entered into any agreement on the transfer of functions and duties from the State of Registry to the State of the Operator under the provisions of Article 83 <i>bis</i> of the Chicago Convention?	<input type="checkbox"/> Yes <input type="checkbox"/> No
LEG 2.25	If yes, list the States with whom such agreements have been entered into?	_____ _____
LEG 2.26	Has the State concluded any bilateral agreements transferring responsibilities related to air navigation? If yes, 1. how many? 2. with which States?	<input type="checkbox"/> Yes <input type="checkbox"/> No 1. _____ 2. _____
LEG 2.27	Are national carriers involved in code sharing activities with other airlines? If yes, are they involved in: 1. domestic operations <input type="checkbox"/> 2. international operations <input type="checkbox"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No
LEG 2.28	Is the State involved in the safety oversight tasks of code sharing partners of its operators?	<input type="checkbox"/> Yes <input type="checkbox"/> No
LEG 2.29	Has the State established requirements for a standardized system of units of measurement to be used in civil aviation activities? If yes, specify the system of units.	<input type="checkbox"/> Yes <input type="checkbox"/> No (List as attachment)
LEG 2.30	Does the CAA permit dry or wet leases between commercial air transport operators within the State?	<input type="checkbox"/> Yes <input type="checkbox"/> No
LEG 2.31	Does the CAA permit dry or wet leases from other States?	<input type="checkbox"/> Yes <input type="checkbox"/> No

SAAQ Ref.	SAAQ Question	Information provided by the State
PART 3 – ORGANIZATION		
3.1 ORGANIZATION AND STAFFING		
ORG 3.1.1	What type of organizational structure is in place?: 1. aviation ministry 2. ministry department 3. State’ s aviation office 4. autonomous agency 5. civil aviation department 6. CAA 7. other (specify): _____	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
ORG 3.1.2	What is the actual name and the acronym of the civil aviation organization established?	_____ _____
ORG 3.1.3	What is the legal basis for the establishment of the civil aviation organization system? 1. primary aviation legislation 2. other (specify): _____	<input type="checkbox"/> Ref.: _____ <input type="checkbox"/> Ref.: _____
ORG 3.1.4	Which types of financial resources are available to the CAA? <i>Note.— Please provide individual information should there be several authorities responsible for different areas of civil aviation activities (e.g. CAA; airport authority; accident investigation; air traffic services; etc.)</i>	State funds <input type="checkbox"/> En-route charges <input type="checkbox"/> User charges <input type="checkbox"/> Levies <input type="checkbox"/> Other <input type="checkbox"/>
ORG 3.1.5	Does the CAA have full control of the income it generates and its expenditure?	<input type="checkbox"/> Yes <input type="checkbox"/> No

SAAQ Ref.	SAAQ Question	Information provided by the State																
<p>ORG 3.1.6</p>	<p>Has the State established regional offices with responsibility for safety oversight?</p> <p>If yes, how many regional offices reporting to Headquarters have been established?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>_____</p>																
<p>ORG 3.1.7</p>	<p>If yes, are procedures in place for delegation of authority to the regional offices?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>																
<p>ORG 3.1.8</p>	<p>Are there additional authorities, other than the CAA, dealing with civil aviation activities (e.g. airport authority, aviation accident investigation board, etc.)?</p> <p>If yes, what are they and what is the objective of their establishment?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(Provide brief explanation in an attachment)</p>																
<p>ORG 3.1.9</p>	<p>Attach the State’s organizational chart (from the ministerial level to the operational levels) for the areas of personnel licensing (PEL), aircraft operations (OPS), air navigation services (ANS), aircraft accident and incident investigation (AIG), aerodromes (AGA) and airworthiness (AIR). Distinguish, where applicable, between regulatory functions and the provision of services.</p>	<p>(Provide brief explanation)</p>																
<p>ORG 3.1.10</p>	<p>What is the number and constitution of the technical staff in the CAA?</p> <p>Headquarters:</p> <ol style="list-style-type: none"> 1. management 2. personnel licensing 3. aircraft operations 4. airworthiness of aircraft 5. aerodrome (regulatory activities) 6. air navigation services (regulatory activities) 7. other (specify): _____ 8. total 	<table style="width: 100%; border: none;"> <tr> <td style="text-align: center; width: 50%;">Permanent</td> <td style="text-align: center; width: 50%;">Temporary</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> </table>	Permanent	Temporary	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
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SAAQ Ref.	SAAQ Question	Information provided by the State														
	<p>Regional offices (if applicable):</p> <ol style="list-style-type: none"> 1. management 2. personnel licensing 3. aircraft operations 4. airworthiness of aircraft 5. aerodrome activities 6. air navigation services activities 7. other (specify): _____ 8. total <p><i>Note.— Staff cannot be counted more than once even if they are acting in more than one role. Include separate listing if more than one authority is responsible for civil aviation activities.</i></p>	<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; text-align: center;">_____</td> <td style="width: 50%; text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> </table>	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
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<p>ORG 3.1.11</p>	<p>What is the total number of aerodrome regulatory staff within the CAA?</p> <p>What is the breakdown by function?</p> <p><i>Note.— Staff cannot be counted more than once even if they are acting in more than one role. Include separate listing if more than one authority is responsible for civil aviation activities.</i></p>	<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; text-align: center;">Permanent</td> <td style="width: 50%; text-align: center;">Temporary</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td>Management</td> <td style="text-align: center;">_____</td> </tr> <tr> <td>Technical</td> <td style="text-align: center;">_____</td> </tr> <tr> <td>Non-technical support</td> <td style="text-align: center;">_____</td> </tr> </table>	Permanent	Temporary	_____	_____	Management	_____	Technical	_____	Non-technical support	_____				
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Technical	_____															
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<p>ORG 3.1.12</p>	<p>What is the number of air navigation services regulatory staff within the CAA?</p> <p>What is the breakdown by function?</p> <p><i>Note.— Staff cannot be counted more than once even if they are acting in more than one role. Include separate listing if more than one authority is responsible for civil aviation activities.</i></p>	<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; text-align: center;">Permanent</td> <td style="width: 50%; text-align: center;">Temporary</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td>Management</td> <td style="text-align: center;">_____</td> </tr> <tr> <td>Technical</td> <td style="text-align: center;">_____</td> </tr> <tr> <td>Non-technical support</td> <td style="text-align: center;">_____</td> </tr> </table>	Permanent	Temporary	_____	_____	Management	_____	Technical	_____	Non-technical support	_____				
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SAAQ Ref.	SAAQ Question	Information provided by the State														
ORG 3.1.13	What is the number of clerical support staff employed by the CAA? 1. Headquarters 2. regional offices (if applicable) 3. total CAA	<table border="0"> <tr> <td style="text-align: center;">Permanent</td> <td style="text-align: center;">Temporary</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> </table>	Permanent	Temporary	_____	_____	_____	_____	_____	_____						
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ORG 3.1.14	Is the CAA enabled to recruit and retain adequately qualified and experienced technical staff?	<table border="0"> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> </table>	<input type="checkbox"/> Yes	<input type="checkbox"/> No												
<input type="checkbox"/> Yes	<input type="checkbox"/> No															
ORG 3.1.15	What kind of administrative support and equipment is available in the CAA? 1. photocopiers 2. computers 3. laptops 4. facsimile 5. printing facilities 6. cellular telephones 7. Internet/Intranet	<table border="0"> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> </table>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
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ORG 3.1.16	Has the CAA established a training policy and programme for its technical staff to address the following training: 1. initial 2. recurrent 3. specialized 4. technical 5. upgrading 6. enforcement	<table border="0"> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> </table>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No		
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ORG 3.1.17	Does the CAA have a policy on the development and provision of guidance and procedures for its technical staff?	<table border="0"> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> </table>	<input type="checkbox"/> Yes	<input type="checkbox"/> No												
<input type="checkbox"/> Yes	<input type="checkbox"/> No															

SAAQ Ref.	SAAQ Question	Information provided by the State
ORG 3.1.18	Have guidance and procedures been developed for each technical specialty area (e.g. personnel licensing officers, operations inspectors, airworthiness inspectors, etc.)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
ORG 3.1.19	Does the CAA have a system for maintaining the training records of its technical staff?	<input type="checkbox"/> Yes <input type="checkbox"/> No
ORG 3.1.20	Does the CAA have a central library?	<input type="checkbox"/> Yes <input type="checkbox"/> No
ORG 3.1.21	Are there sufficient resources available to obtain and maintain aviation industry publications?	<input type="checkbox"/> Yes <input type="checkbox"/> No
ORG 3.1.22	In relation to aviation training, aircraft operations, air navigation services, aerodromes, and accident and incident investigation, how are the State's responsibilities and the provision of services organized within the State's civil aviation system?	(Provide brief explanation and an organizational overview as an attachment)
ORG 3.1.23	Is there a distinct separation between the regulatory body and aviation training centres, operators, service providers or aerodrome owners, particularly where the functions of a regulatory body and service provider are vested within the CAA?	<input type="checkbox"/> Yes <input type="checkbox"/> No (Provide brief explanation on how separation of responsibilities is effected)
3.2 STATE POLICY ON SAFETY MANAGEMENT		
SMP 3.2.1	Has the State established a safety management policy? If yes, provide document reference.	<input type="checkbox"/> Yes <input type="checkbox"/> No _____
SMP 3.2.2	Has the State established a process for identifying, implementing and reviewing corrective actions in the areas that present risks to the safety of civil aircraft operations? If yes, provide document reference.	<input type="checkbox"/> Yes <input type="checkbox"/> No _____

<p>SMP 3.2.3</p>	<p>Has the State established a process for coordinating the activity of various regulatory departments within the CAA?</p> <p>If yes, provide document reference.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>_____</p>
<p>SMP 3.2.4</p>	<p>Has the State established a mechanism for promulgating guidance on civil aviation regulations to industry?</p> <p>If yes, in what documentation is the process described?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>_____</p>
<p>SMP 3.2.5</p>	<p>Has the State developed regulations requiring operators and/or service providers to establish a safety management system (SMS)?</p> <p>If yes, provide document reference.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>_____</p>
<p>SMP 3.2.6</p>	<p>Has the State implemented a quality assurance (QA) system or equivalent internal quality audit system?</p> <p>If yes, for which activities?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>_____</p> <p>_____</p> <p>_____</p>
<p>PART 4 – OPERATIONAL ACTIVITIES</p>		
<p>4.1 PERSONNEL LICENSING AND TRAINING</p>		
<p>PEL 4.1.1</p>	<p>Has the State developed and promulgated personnel licensing regulations to implement the provisions of Annex 1?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Provide regulation reference:</p> <p>_____</p>
<p>PEL 4.1.2</p>	<p>If the State has not promulgated specific personnel licensing regulations, what method is used to comply with the provisions of the Chicago Convention and its Annex 1?</p>	<p>Provide brief explanation:</p> <p>_____</p>

PEL 4.1.3	Number of active, State-issued licences, qualifications and ratings.	Private pilot licence (PPL)	
		Commercial pilot licence (CPL)	
		Airline transport pilot licence (ATPL)	
		Instrument rating	
		Flight instructor rating	
		Glider pilot	
		Free balloon pilot	
		Flight engineer	
		Flight navigator	
		Aircraft maintenance engineer	
		Air traffic controller	
		Air traffic controller ratings	
		Flight operations officer/flight dispatcher	
		Other (specify):	
PEL 4.1.4	Number of validations issued by the State.	PPL	
		CPL	
		ATPL	
		Instrument rating	
		Flight instructor rating	
		Glider pilot	
		Free balloon pilot	
		Flight engineer	
		Flight navigator	
		Aircraft maintenance engineer	

		Air traffic controller	
		Air traffic controller ratings	
		Flight operations officer/flight dispatcher	
		Other (specify):	
PEL 4.1.5	Number of training organizations established in the State.	Training provided	Number of training organizations
PEL 4.1.6	Number of approved training organizations (ATOs) for flight crew training established in the State.	_____	
PEL 4.1.7	If training organizations are not available in the State, what are the sources of trained and qualified personnel for aviation duties (e.g. military, foreign school)?	Provide brief explanation: _____	
PEL 4.1.8	Does the State issue national licences or ratings other than those provided for in Annex 1? If yes, specify other licences and ratings issued.	<input type="checkbox"/> Yes <input type="checkbox"/> No _____ _____ _____	
PEL 4.1.9	Has the State established a personnel licensing office/section to enable it to issue licences for flight crew members and for personnel other than flight crew members?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
PEL 4.1.10	Are there regional offices with responsibility for personnel licensing? If yes, what is the scope of their activities and responsibility?	<input type="checkbox"/> Yes <input type="checkbox"/> No Provide brief explanation: _____	
PEL 4.1.11	Are application forms available for the various licences and ratings issued by the State?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
PEL 4.1.12	What type of personnel licences and ratings are issued by the State (expiring type or continuing type)?	<input type="checkbox"/> Expiring <input type="checkbox"/> Continuing	

<p>PEL 4.1.13</p>	<p>Has the State established requirements for granting civil licences and ratings to military aviation personnel and for awarding them exemptions?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>PEL 4.1.14</p>	<p>Has the State established a policy for allowing pilots over the age of 60 to be involved in scheduled international air services or non-scheduled international air transport operations for remuneration or hire?</p> <p>If yes, please briefly describe the level of involvement.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>_____</p> <p>_____</p> <p>_____</p>
<p>PEL 4.1.15</p>	<p>What system is established to ensure the medical fitness of a licence holder:</p> <ol style="list-style-type: none"> 1. independent designated examiners 2. medical examiners employed by the CAA 3. other delegated bodies (civil or military) 4. assessment by a foreign authority 5. other (specify): _____ 	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>PEL 4.1.16</p>	<p>Number of designated medical examiners.</p>	<p>_____</p>
<p>PEL 4.1.17</p>	<p>Who issues the medical assessment?</p> <ol style="list-style-type: none"> 1. designated medical examiners 2. authority after reviewing report from the designated examiners 	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>PEL 4.1.18</p>	<p>Does the State use the services of a medical assessor?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>PEL 4.1.19</p>	<p>If the State has not established a system for the medical assessment of licence applicants, which practices and procedures are used to assess the medical fitness of an applicant?</p>	<p>Provide brief explanation:</p> <p>_____</p>
<p>PEL 4.1.20</p>	<p>Does the State make available information regarding examinations, such as study books, flight test guidelines, etc.?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

<p>PEL 4.1.21</p>	<p>Does the licensing authority develop, conduct and correct its own written and oral examinations for the issuance of licences and ratings issued by the State?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>PEL 4.1.22</p>	<p>If yes, for which licences and ratings?</p>	<p>_____</p> <p>_____</p>
<p>PEL 4.1.23</p>	<p>If yes, which types of questionnaires are used (multiple choice, open questions, etc.)?</p>	<p>Provide brief explanation:</p> <p>_____</p>
<p>PEL 4.1.24</p>	<p>If yes, what is the life expectancy of a questionnaire or question?</p>	<p>_____</p>
<p>PEL 4.1.25</p>	<p>If the licensing authority does not develop, conduct or correct its own written and oral examinations for the issuance of licences and ratings issued by the State, by whom and where are written examinations for the issuance of licences and ratings developed, conducted and corrected?</p>	<p>Provide brief explanation:</p> <p>_____</p> <p>_____</p> <p>_____</p>
<p>PEL 4.1.26</p>	<p>What system is established for the selection of examiners to conduct written examinations?</p> <ol style="list-style-type: none"> 1. individual designated examiners 2. CAA full-time employed examiners 3. authorized training organizations within the State 4. foreign authorized training organizations 5. foreign licensing authorities under State's arrangements 6. other (specify): _____ 	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>PEL 4.1.27</p>	<p>What system is established for the provision of practical and flight examinations?</p> <ol style="list-style-type: none"> 1. individual designated examiners 2. CAA full-time employed examiners 3. delegated training organizations within the State 4. delegated foreign training organizations 5. foreign licensing authorities under State's arrangements 6. other (specify): _____ 	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

PEL 4.1.28	Number of aviation examiners designated by the State (specify number for each category, pilots, aircraft maintenance engineers, etc.).	_____ _____ _____ _____
PEL 4.1.29	Do aviation training organizations operating in the State conduct examinations and testing on behalf of the licensing authorities?	<input type="checkbox"/> Yes <input type="checkbox"/> No
PEL 4.1.30	Does the CAA operate a training organization to satisfy its need for the training and qualification of flight crews?	<input type="checkbox"/> Yes <input type="checkbox"/> No
PEL 4.1.31	Does the State authorize foreign training organizations to provide approved flight crew training to its nationals?	<input type="checkbox"/> Yes <input type="checkbox"/> No
PEL 4.1.32	Has the State established a plan to implement relevant ICAO provisions to ensure that licence holders would demonstrate their ability to speak and understand the language used for radiotelephony communications, at the level required for their licence?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4.2 AIRCRAFT OPERATION AND AIR OPERATOR CERTIFICATION		
AOC 4.2.1	Number of commercial air transport operators certified by the State (do not include foreign operators authorized to operate into and out of the State).	_____
AOC 4.2.2	Number of air operator certificates (AOCs) issued by the State?	Aeroplanes _____ Helicopters _____
AOC 4.2.3	Are there helicopter operations within the State? If yes, who conducts them?	<input type="checkbox"/> Yes <input type="checkbox"/> No Domestic operators <input type="checkbox"/> Foreign operators <input type="checkbox"/>
AOC 4.2.4	Has the State developed and promulgated specific aircraft operation regulations, procedures and guidance material?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AOC 4.2.5	Please provide references of the: 1. regulations 2. procedures 3. documents	_____ _____ _____

<p>AOC 4.2.6</p>	<p>Is there an aircraft operations organization established within the CAA as part of the certification and supervision system of the State?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>AOC 4.2.7</p>	<p>Number of aircraft operations inspectors in the organization. <i>Note.— Inspectors cannot be counted more than once even if they are acting in more than one role.</i></p>	<p>Permanent Temporary _____ _____</p>
<p>AOC 4.2.8</p>	<p>Are different categories of AOCs available to commercial air transport operators? If yes, please provide list of the types of AOC issued:</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No _____ _____</p>
<p>AOC 4.2.9</p>	<p>Has the State established a policy to authorize foreign operators to provide domestic or international air transport service?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>AOC 4.2.10</p>	<p>With respect to certified air operators, does the CAA delegate any of its inspection or supervision responsibilities, such as competency checks, route checks, instrument ratings checks and conversion and upgrading checks, to qualified non-CAA personnel (designated inspectors or check airmen)?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>AOC 4.2.11</p>	<p>Does the State authorize extended range operations by twin-engined aeroplanes (ETOPS)?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>AOC 4.2.12</p>	<p>Are there operators authorized to carry out ETOPS?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>AOC 4.2.13</p>	<p>Are operators authorized to conduct operations in any areas requiring specific approvals such as:</p> <ol style="list-style-type: none"> 1. reduced vertical separation minimum (RVSM) 2. minimum navigation performance specifications (MNPS) 3. required navigation performance (RNP) 4. CAT II/CAT III precision approach and landing operations 5. other (specify): _____ 	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>AOC 4.2.14</p>	<p>Is there an established system to ensure that a commercial air transport operator is not certified without demonstrating maintenance arrangements consistent with the nature and extent of the operations specified?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

AOC 4.2.15	Within the CAA, what is the mandate of the Airworthiness Inspection Division (AID) with respect to the issuance of an AOC?	Provide brief explanation: _____
AOC 4.2.16	Is a formal surveillance programme established for the continuing supervision of the operations conducted by AOC holders?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AOC 4.2.17	Do State regulations provide for the AID to conduct continuing supervision of the operations conducted by AOC holders?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AOC 4.2.18	Do State regulations require that an operator may only operate an aircraft if it has been maintained and released to service by an approved maintenance organization (AMO)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AOC 4.2.19	If not, does the State have an equivalent system?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AOC 4.2.20	If not, are the persons signing the maintenance releases required to be licensed in accordance with Annex 1 requirements?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AOC 4.2.21	Do State regulations require that an operator's maintenance control manual be submitted to the State authority?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AOC 4.2.22	Is there a requirement for the maintenance programme to be approved by the State of the Operator and by the State of Registry?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4.3 AIRCRAFT NATIONALITY AND REGISTRATION MARKS		
RM 4.3.1	Does the CAA keep records for every civil aircraft registered in the State?	<input type="checkbox"/> Yes <input type="checkbox"/> No
RM 4.3.2	Has the State established requirements for the information to be recorded in the certificate of registration?	<input type="checkbox"/> Yes <input type="checkbox"/> No
RM 4.3.3	Has the State established an electronic database for its registration process?	<input type="checkbox"/> Yes <input type="checkbox"/> No
RM 4.3.4	Number of aircraft registered in the State.	_____

4.4 AIRWORTHINESS CERTIFICATION AND INSPECTION																
AIR 4.4.1	Has the State established an AID?	<input type="checkbox"/> Yes <input type="checkbox"/> No														
AIR 4.4.2	How many airworthiness inspectors are there? 1. large aeroplanes 2. small aeroplanes 3. helicopters 4. engines/propulsion 5. systems and equipment 6. avionics 7. total	<table border="0"> <tr> <td>Permanent</td> <td>Temporary</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> </table>	Permanent	Temporary	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
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AIR 4.4.3	What is the administrative staffing of the AID?	<table border="0"> <tr> <td>Permanent</td> <td>Temporary</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> </table>	Permanent	Temporary	_____	_____										
Permanent	Temporary															
_____	_____															
AIR 4.4.4	Has the State established an airworthiness engineering division (AED)?	<input type="checkbox"/> Yes <input type="checkbox"/> No														
AIR 4.4.5	How many airworthiness engineers are there? 1. large aeroplanes 2. small aeroplanes 3. helicopters 4. engines/propulsion 5. systems and equipment 6. avionics 7. total	<table border="0"> <tr> <td>Permanent</td> <td>Temporary</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> </table>	Permanent	Temporary	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
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AIR 4.4.6	What is the administrative staffing of the AED?	<table border="0"> <tr> <td>Permanent</td> <td>Temporary</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> </table>	Permanent	Temporary	_____	_____										
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AIR 4.4.7	If no AED has been established, how and to whom has the airworthiness engineering responsibility been assigned?	_____														

AIR 4.4.8	Number of valid certificates of airworthiness.	_____
AIR 4.4.9	Do State regulations specify that a certificate of airworthiness be: 1. renewed at set intervals 2. issued on a continuing basis	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.10	Does the State: 1. issue certificates of airworthiness 2. validate certificates of airworthiness issued by other Contracting States	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.11	Do the airworthiness regulations provide for the right of inspection?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.12	Has the State ensured that sufficient legal authority has been delegated to the airworthiness inspectors to allow them to execute their duties?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.13	Have the procedures used by the airworthiness inspectors been compiled into an approved handbook?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.14	Is there a document that clearly establishes the duties and responsibilities of the AID?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.15	Have written terms of reference been established for the AID staff?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.16	Do AID inspectors have educational and technical experience that compares favourably with the one of maintenance personnel they are expected to inspect or regulate?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.17	Do AID inspectors and airworthiness engineers have conditions of service and remuneration consistent with their education, technical knowledge and experience, and comparable to those of personnel they are expected to inspect or supervise?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.18	Have the procedures used by the AED inspectors been compiled into an approved handbook?	<input type="checkbox"/> Yes <input type="checkbox"/> No

<p>AIR 4.4.19</p>	<p>Has the State established requirements for adopting mandatory continuing airworthiness information from the State of Design or does the State take its own action?</p>	<p><input type="checkbox"/> State of Design <input type="checkbox"/> Own action <input type="checkbox"/> No requirement established</p>
<p>AIR 4.4.20</p>	<p>Do State regulations provide for the circumstances under which the certificate of airworthiness shall not be in force and require that the aircraft shall not be flown during such time?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No Provide reference: _____</p>
<p>AIR 4.4.21</p>	<p>Do State regulations allow for the ferry flight of aircraft?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No Provide reference: _____</p>
<p>AIR 4.4.22</p>	<p>Do State regulations give the requirements for a maintenance release, the circumstances under which a maintenance release shall be made and the persons who are entitled to sign the release?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No Provide reference: _____</p>
<p>AIR 4.4.23</p>	<p>Is there a State regulation requiring operators of aeroplanes over 5 700 kg to obtain and assess airworthiness information from the type design organization?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No Provide reference: _____</p>
<p>AIR 4.4.24</p>	<p>Is there a State regulation requiring operators of aeroplanes over 5 700 kg to monitor and assess maintenance and operational experience with respect to airworthiness?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No Provide reference: _____</p>
<p>AIR 4.4.25</p>	<p>Has the State's CAA established a system for the receipt of, adoption of, and action taken on continuing airworthiness information?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>AIR 4.4.26</p>	<p>Has the State established a system to receive regularly mandatory continuing airworthiness information such as airworthiness directives, service bulletins, etc., for the types of aircraft registered in the State?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>AIR 4.4.27</p>	<p>Upon receipt of mandatory continuing airworthiness information for aircraft registered in the State, does the State:</p> <ol style="list-style-type: none"> 1. adopt it directly 2. asses it and take appropriate action 3. take no action 	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No</p>

AIR 4.4.28	If the State is involved in maintenance or operation activities, is there a clear separation of authority between the State operating agency and the State regulatory authority?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.29	Is the State involved in design or manufacturing activities?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.30	If the State is involved in design or manufacturing activities, is there a clear separation of authority between the State involved in manufacturing/design and the State as an airworthiness regulatory authority?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.31	Does the State issue design organization approvals?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.32	Does the State issue type certificates?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.33	Does the State issue amendments or supplement to the type certificates?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.34	Number of design organizations.	_____
AIR 4.4.35	Are there requirements for the approval of design organizations?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.36	Number of aircraft manufacturing organizations?	_____
AIR 4.4.37	Number of aircraft parts or equipment manufacturing organizations.	_____
AIR 4.4.38	Number of aircraft type certificates issued.	_____
AIR 4.4.39	Number of type certificates other than aircraft type certificates issued.	_____
AIR 4.4.40	Is a formal schedule established for conducting the inspections related to the ongoing surveillance of approved manufacturing organizations?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.41	Does the State have special requirements for imported aircraft or aeronautical products to the State?	<input type="checkbox"/> Yes <input type="checkbox"/> No

AIR 4.4.42	Has the State developed regulations for the airworthiness approval for export of aircraft?	<input type="checkbox"/> Yes <input type="checkbox"/> No Provide reference: _____
AIR 4.4.43	Has the State developed regulations for the airworthiness approval for export of aeronautical products other than aircraft?	<input type="checkbox"/> Yes <input type="checkbox"/> No Provide reference: _____
AIR 4.4.44	Has the State's CAA established a system whereby information on faults, malfunctions and defects for aircraft registered, being operated, or receiving maintenance under an approval issued by the State is transmitted to the organization responsible for the type design of the aircraft?	<input type="checkbox"/> Yes <input type="checkbox"/> No Provide reference: _____
AIR 4.4.45	Has the State's CAA established a mandatory reporting system for the reporting of defects for aircraft registered, being operated, or receiving maintenance under an approval issued by the State?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.46	Are companies under the State's jurisdiction engaged in the manufacture of aeronautical products? If so, how many? _____	<input type="checkbox"/> Yes <input type="checkbox"/> No _____
AIR 4.4.47	Does the State require that all materials used in those parts of an aircraft which are essential for its safe operation shall conform to approved specifications?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.48	What are the requirements for production approval?	Provide brief explanation: _____
AIR 4.4.49	Does the State require production approval certificate holders to submit a manual which describes the production inspection system and quality control?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.50	Is there a system to receive information on faults, malfunctions, defects and other occurrences for aeronautical products designed in the State?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.51	For aeronautical products designed in the State, does the State transmit mandatory continuing airworthiness information to States of Registry and other Contracting States on demand?	<input type="checkbox"/> Yes <input type="checkbox"/> No

<p>AIR 4.4.52</p>	<p>Has the State established or adopted an airworthiness code? If so, what is the formal title of the airworthiness code?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No _____</p>
<p>AIR 4.4.53</p>	<p>Are there minimum qualifications/experience required for airworthiness inspectors?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>AIR 4.4.54</p>	<p>Have airworthiness inspection duties been delegated to:</p> <ol style="list-style-type: none"> 1. other CAA divisions 2. other State bodies 3. regional organizations 4. private agencies 5. designated inspectors 6. other (specify): _____ 	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>AIR 4.4.55</p>	<p>Have the following functions been delegated and to whom:</p> <ol style="list-style-type: none"> 1. issuance/renewal of certificates of airworthiness 2. approval of modifications and repairs 3. authority to issue a flight permit 4. approval of maintenance programme 5. approval of maintenance organizations 6. authority to suspend approvals 7. authority to inspect any aircraft 8. authority to inspect premises 9. authority to seize documents 10. authority to detain aircraft 	<p style="text-align: right;">Delegated to</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No _____ <input type="checkbox"/> Yes <input type="checkbox"/> No _____ <input type="checkbox"/> Yes <input type="checkbox"/> No _____ <input type="checkbox"/> Yes <input type="checkbox"/> No _____ <input type="checkbox"/> Yes <input type="checkbox"/> No _____ <input type="checkbox"/> Yes <input type="checkbox"/> No _____ <input type="checkbox"/> Yes <input type="checkbox"/> No _____ <input type="checkbox"/> Yes <input type="checkbox"/> No _____ <input type="checkbox"/> Yes <input type="checkbox"/> No _____ <input type="checkbox"/> Yes <input type="checkbox"/> No _____</p>
<p>AIR 4.4.56</p>	<p>Has the State established a surveillance programme for the delegated activities?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>AIR 4.4.57</p>	<p>Is there a requirement for the approval of maintenance organizations?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>AIR 4.4.58</p>	<p>Do State regulations require that a maintenance procedures manual be submitted to the State's CAA?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>AIR 4.4.59</p>	<p>Number of approved maintenance organizations (AMOs) in the State.</p>	<p>_____</p>

AIR 4.4.60	Number of non-approved aircraft maintenance organizations in the State.	_____
AIR 4.4.61	Does the State validate maintenance organization approvals issued by other States?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.62	Number of approvals issued to foreign maintenance organizations.	_____
AIR 4.4.63	Is a formal schedule established for conducting inspections related to the ongoing surveillance of AMOs?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIR 4.4.64	Is there a requirement for the approval of modifications and repairs?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4.5 ENVIRONMENTAL PROTECTION		
ENV 4.5.1	Does the State have a requirement for all aeroplanes to comply with noise certification Standards in Annex 16, Volume I?	<input type="checkbox"/> Yes <input type="checkbox"/> No
ENV 4.5.2	Does the State regulate noise abatement procedures for departing and arriving aircraft?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4.6 SAFE TRANSPORT OF DANGEROUS GOODS BY AIR		
DG 4.6.1	Has the State designated an authority within its administration to be responsible for ensuring compliance with Annex 18?	<input type="checkbox"/> Yes <input type="checkbox"/> No
DG 4.6.2	Has the State designated (specialists) authorities other than the CAA to be responsible for ensuring compliance with Annex 18? If yes, which authority and for which class of dangerous goods?	<input type="checkbox"/> Yes <input type="checkbox"/> No _____ _____
DG 4.6.3	Are the ICAO <i>Technical Instructions for the Safe Transport of Dangerous Goods by Air</i> (Doc 9284) used as reference or as part of national regulations relating to the safe transport of dangerous goods by air?	<input type="checkbox"/> Yes <input type="checkbox"/> No

<p>DG 4.6.4</p>	<p>Are dangerous goods inspectors:</p> <ol style="list-style-type: none"> 1. appointed; or 2. do existing operations inspectors receive additional training to carry out this function? 	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>4.7 INSTRUMENT FLIGHT PROCEDURES</p>		
<p>IFR 4.7.1</p>	<p>Has the State established a procedure design office/entity to oversee the process of development and maintenance of instrument flight procedures?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>IFR 4.7.2</p>	<p>Does the State provide the procedure design service(s):</p> <ol style="list-style-type: none"> 1. itself 2. through an agency 3. through another State (by delegated authority) 4. through one or more States in a joint venture 	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>IFR 4.7.3</p>	<p>If other than the State itself, who is responsible for providing this service?</p>	<p>_____</p>
<p>IFR 4.7.4</p>	<p>Does the State apply ICAO <i>Procedures for Air Navigation Services — Aircraft Operations</i> (PANS-OPS, Doc 8168) criteria as a basis for procedure design?</p> <p>If not, what criteria are used for this purpose?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Provide brief explanation:</p> <p>_____</p> <p>_____</p>
<p>IFR 4.7.5</p>	<p>Does the State maintain a database of obstacles relevant to procedure design?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>IFR 4.7.6</p>	<p>Does the State have an approved training programme for procedure designers?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

PART 5 – AIR NAVIGATION SERVICES		
	5.1 AIR TRAFFIC SERVICES	
ATS 5.1.1	Attach a chart of the organizational structure of the air traffic services (ATS) system.	(Include attachment)
ATS 5.1.2	Are the air traffic services provided by the State or by other organizations? 1. State 2. another State 3. another organization (specify): _____	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No
ATS 5.1.3	Has the State established an ATS provider separate from the ATS regulatory authority?	<input type="checkbox"/> Yes <input type="checkbox"/> No
ATS 5.1.4	Has the State promulgated legislation and regulations for the oversight and the provision of ATS?	<input type="checkbox"/> Yes <input type="checkbox"/> No
ATS 5.1.5	If yes, indicate the title of the applicable legislation/regulations and when they were enacted and last amended?	Provide reference: _____
ATS 5.1.6	Does the legislation authorize the CAA or ATS service provider to enter into agreements with other CAAs or ATS service providers in respect of the coordination of air traffic control?	<input type="checkbox"/> Yes <input type="checkbox"/> No
ATS 5.1.7	Has the CAA or service provider implemented an ATS safety management programme?	<input type="checkbox"/> Yes <input type="checkbox"/> No
ATS 5.1.8	Does the State have an appropriate administrative structure and adequate staff to ensure effective safety oversight for the implementation of safety management systems by the service providers?	<input type="checkbox"/> Yes <input type="checkbox"/> No
ATS 5.1.9	Has the State established and designated an appropriate authority within its administration to be responsible for the development of airspace policy and the designation of airspace?	<input type="checkbox"/> Yes <input type="checkbox"/> No
ATS 5.1.10	Is the airspace designation within the State administered by the CAA or by military authorities?	Civil <input type="checkbox"/> Military <input type="checkbox"/>
ATS 5.1.11	Who provides training to personnel responsible for conducting ATS functions?	Provide brief explanation: _____

ATS 5.1.12	If training is provided by other than the State authority, has the State established a method for verifying the quality of training received by its nationals?	<input type="checkbox"/> Yes <input type="checkbox"/> No
ATS 5.1.13	Has the State established an air traffic flow management (ATFM) system?	<input type="checkbox"/> Yes <input type="checkbox"/> No
ATS 5.1.14	Has the CAA or service provider established a system for reporting air traffic incidents?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5.2 METEOROLOGICAL SERVICES		
MET 5.2.1	Does the State provide meteorological services?	<input type="checkbox"/> Yes <input type="checkbox"/> No
MET 5.2.2	Has the State designated a meteorological authority to provide meteorological services for international air navigation?	<input type="checkbox"/> Yes <input type="checkbox"/> No
MET 5.2.3	If yes, provide name, address and location of the meteorological authority providing the service.	_____ _____ _____
MET 5.2.4	Is the meteorological authority function separate from the CAA?	<input type="checkbox"/> Yes <input type="checkbox"/> No
MET 5.2.5	If yes, is there an agreement in place with the CAA relating to the provision of meteorological services?	<input type="checkbox"/> Yes <input type="checkbox"/> No
MET 5.2.6	Are there any agreements in place with neighbouring States relating to the provision of meteorological services?	<input type="checkbox"/> Yes <input type="checkbox"/> No Provide brief explanation: _____ _____
MET 5.2.7	Has the meteorological authority established any aerodrome meteorological offices for the issuance and dissemination, in accordance with the regional air navigation plan, of: 1. aerodrome routine meteorological reports (<i>in meteorological code</i>) (METAR) 2. aerodrome special meteorological reports (<i>in meteorological code</i>) (SPECI) 3. aerodrome forecasts (<i>in meteorological code</i>) (TAF)	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No
MET 5.2.8	Is up-to-date meteorological information easily accessible to flight crews?	<input type="checkbox"/> Yes <input type="checkbox"/> No

MET 5.2.9	Has the State established meteorological watch office(s) for the issuance and dissemination of SIGMETs in accordance with the regional air navigation plan?	<input type="checkbox"/> Yes <input type="checkbox"/> No
MET 5.2.10	Is the meteorological authority required to comply with the requirements of the World Meteorological Organization (WMO) with respect to qualifications and training of meteorological personnel providing service for international air navigation?	<input type="checkbox"/> Yes <input type="checkbox"/> No
MET 5.2.11	Has the State implemented a cost recovery scheme for the provision of meteorological services?	<input type="checkbox"/> Yes <input type="checkbox"/> No
MET 5.2.12	If yes, are such costs included in the cost basis for airport and air navigation services charges?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5.3 AERONAUTICAL INFORMATION SERVICES AND AERONAUTICAL CHARTS		
AIS 5.3.1	Has the State established, within the CAA, aeronautical information/aeronautical charts (AIS/MAP) services?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIS 5.3.2	Is the provision/availability of aeronautical information/data and charts for use by all envisaged users: <ol style="list-style-type: none"> 1. done by the State itself 2. accomplished via arrangements made with one or more Contracting State(s) for the provision of a joint service 3. delegated to a non-governmental agency 	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No
AIS 5.3.3	If another Contracting State is involved, provide name of the State.	_____
AIS 5.3.4	Are aeronautical charts prepared and produced by the AIS/MAP Section of the State?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIS 5.3.5	If charts are produced by an outside agency, name the agency.	_____
AIS 5.3.6	If another Contracting State is involved in the production of aeronautical charts, name the State.	_____
AIS 5.3.7	Has an international NOTAM office (NOF) responsible for the issuance and distribution of NOTAM, SNOWTAM and ASHTAM been established by the State?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIS 5.3.8	Has the State established more than one NOTAM office?	<input type="checkbox"/> Yes <input type="checkbox"/> No

AIS 5.3.9	Does the State publish an Aeronautical Information Publication (AIP)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIS 5.3.10	If yes, is the AIP available in electronic format?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIS 5.3.11	Does the State publish the following elements of the Integrated Aeronautical Information Package: 1. AIP amendments 2. AIP Supplements 3. NOTAM and Pre-flight Information Bulletins (PIB) 4. Aeronautical Information Circulars (AIC) 5. Checklists and lists of valid NOTAM	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No
AIS 5.3.12	Has the State published aeronautical geographical coordinates indicating latitude and longitude in terms of the World Geodetic System — 1984 (WGS-84) geodetic reference datum?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIS 5.3.13	Does the State produce and publish the following aeronautical charts: 1. Aerodrome Obstacle Chart – ICAO Type A* 2. Aerodrome Obstacle Chart – ICAO Type B 3. Aerodrome Obstacle Chart – ICAO Type C 4. Precision Approach Terrain Chart – ICAO* 5. Enroute Chart – ICAO 6. Area Chart – ICAO* 7. Standard Departure Chart – Instrument (SID) – ICAO* 8. Standard Arrival Chart – Instrument (STAR) – ICAO* 9. Instrument Approach Chart – ICAO* 10. Visual Approach Chart – ICAO* 11. Aerodrome/Heliport Chart – ICAO* 12. Aerodrome Ground Movement Chart – ICAO* 13. Aircraft Parking/Docking Chart – ICAO* 14. Radar Minimum Altitude Chart – ICAO* 15. World Aeronautical Chart – ICAO 1: 1 000 000 16. Aeronautical Chart – ICAO 1: 500 00 17. Aeronautical Navigation Chart – ICAO Small Scale	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No

	18. Plotting Chart – ICAO	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AIS 5.3.14	Are the above charts marked by an asterisk (*) part of the AIP or distributed separately to recipients of the AIP?	AIP _____ _____	Separately _____ _____
AIS 5.3.15	Has the State implemented an automated system for AIS?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AIS 5.3.16	Has the State implemented an automated system for aeronautical chart production?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AIS 5.3.17	Does the State make aeronautical charts available in electronic format?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AIS 5.3.18	Has the State established and implemented a cost recovery mechanism in respect of the provision of aeronautical information services and aeronautical charts?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AIS 5.3.19	If yes, are such costs included in the cost basis of airport and air navigation services charges?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AIS 5.3.20	Has the State established a quality management system for AIS/MAP?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
5.4 COMMUNICATIONS, NAVIGATION AND SURVEILLANCE			
CNS 5.4.1	Has the State designated an authority to be responsible for the provision of an aeronautical communication, navigation and surveillance (CNS) system/service?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
		Name: _____	
CNS 5.4.2	Does the State provide CNS service(s): 1. itself 2. through an agency 3. through another State (by delegated authority)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
		<input type="checkbox"/> Yes	<input type="checkbox"/> No
		<input type="checkbox"/> Yes	<input type="checkbox"/> No
CNS 5.4.3	If another Contracting State or an agency is involved, provide name.	_____	
CNS 5.4.4	Has the State established a CNS unit within the CAA?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	Attach a chart of the organizational structure for the provisioning of CNS services.	(Attach chart)	

<p>CNS 5.4.5</p>	<p>Has a unit been established within the CAA for the management of aeronautical CNS-related addresses such as location indicators, selective calling (SELCAL) codes, and 24-bit aircraft addresses?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>CNS 5.4.6</p>	<p>Has the State established regulations and procedures for the commissioning and/or certification of CNS facilities?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Provide reference:</p> <p>_____</p> <p>_____</p>
<p>CNS 5.4.7</p>	<p>Are the following communications systems used within the State?</p> <ol style="list-style-type: none"> 1. aeronautical fixed telecommunication network (AFTN) 2. Common ICAO Data Interchange Network (CIDIN) 3. ATS direct speech circuits/switches (ATS/DS) 4. very high frequency (VHF) voice (for AMS(R)S) 5. high frequency (HF) voice (for AMS(R)S) 6. SELCAL system 7. air-ground data links (using FANS-1/A or other aircraft communications addressing and reporting system (ACARS)-based technologies for AMS(R)S) 	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>CNS 5.4.8</p>	<p>Are the following navigational aids used within the State?</p> <ol style="list-style-type: none"> 1. non-directional radio beacons (NDB) 2. VHF omnidirectional radio range (VOR) 3. distance measuring equipment (DME) 4. en-route VHF marker beacons 5. instrument landing system (ILS) 6. microwave landing systems (MLS) 7. VHF direction finding stations 8. global navigation satellite system (GNSS) 	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

<p>CNS 5.4.9</p>	<p>Are the following surveillance systems used within the territory of the State?</p> <ol style="list-style-type: none"> 1. primary surveillance radar 2. secondary surveillance radar (SSR) Modes A/C 3. SSR Mode S 4. automatic dependent surveillance (ADS) (using FANS-1/A technology); 5. ADS-broadcast (ADS-B) <p>If yes to 5) above, identify the system(s).</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>_____</p>
<p>CNS 5.4.10</p>	<p>Has the State established a flight inspection office/section to oversee serviceability of navigational and surveillance systems?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>CNS 5.4.11</p>	<p>Does the State provide the flight inspection service:</p> <ol style="list-style-type: none"> 1. itself 2. make arrangements with one or more Contracting States for the provision of a joint flight inspection service 3. delegate the provision of the service to a non-governmental agency 	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>CNS 5.4.12</p>	<p>Has the State established a unit for aeronautical frequency management and aeronautical spectrum protection within the CAA?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>CNS 5.4.13</p>	<p>Are regulations and/or procedures in place to address occurrences of radio frequency interference?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>5.5 SEARCH AND RESCUE</p>		
<p>SAR 5.5.1</p>	<p>Has the State designated an authority to provide search and rescue (SAR) services for international air navigation?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>SAR 5.5.2</p>	<p>If yes, by what name is it identified?</p>	<p>_____</p>
<p>SAR 5.5.3</p>	<p>Is there staff permanently assigned to the performance of SAR duties within the designated authority?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>SAR 5.5.4</p>	<p>Has the State enacted legislation to govern the provision of SAR services?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Provide reference:</p> <p>_____</p>

SAR 5.5.5	Have specific SAR regulations been enacted?	<input type="checkbox"/> Yes <input type="checkbox"/> No Provide reference: _____
SAR 5.5.6	Does the legislation authorize the search and rescue service provider to enter into agreements with other search and rescue service providers?	<input type="checkbox"/> Yes <input type="checkbox"/> No
SAR 5.5.7	Has the State established an integral working relationship with the Cospas-Sarsat satellite alert and location system?	<input type="checkbox"/> Yes <input type="checkbox"/> No
SAR 5.5.8	Has the State established a system for receipt of Cospas-Sarsat alert and location messages and exchange of emergency locator transmitter (ELT) data including an ELT register?	<input type="checkbox"/> Yes <input type="checkbox"/> No
SAR 5.5.9	Has the State implemented its own Cospas-Sarsat local user terminal?	<input type="checkbox"/> Yes <input type="checkbox"/> No
SAR 5.5.10	Does the State have, or is party to, a speedy and reliable Cospas-Sarsat alert and location message processing and distribution system?	<input type="checkbox"/> Yes <input type="checkbox"/> No
PART 6 – AERODROMES		
AGA 6.1	Attach a chart of the organizational structure of the aerodrome safety regulator within the CAA.	(Include attachment)
AGA 6.2	Are aerodromes State-owned or privately owned?	State <input type="checkbox"/> Private <input type="checkbox"/>
AGA 6.3	If some are owned and operated by the State and others by private companies, which is the predominant group?	State <input type="checkbox"/> Private <input type="checkbox"/>
AGA 6.4	Is this ownership profile changing? If so, towards which group?	<input type="checkbox"/> Yes <input type="checkbox"/> No State <input type="checkbox"/> Private <input type="checkbox"/>
AGA 6.5	Provide the number of aerodromes/heliports in the State used for commercial purposes. <div style="text-align: right;"> International operation: Aerodromes Heliports Domestic operation: Aerodromes Heliports </div>	State-owned Private Other _____ _____ _____ _____ _____ _____ State-owned Private Other _____ _____ _____ _____ _____ _____

<p>AGA 6.6</p>	<p>How many of those aerodromes provide air traffic control (ATC) services?</p> <p style="text-align: center;">International Domestic Total</p>	<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 33%;">State-owned</th> <th style="width: 33%;">Private</th> <th style="width: 33%;">Other</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> </tbody> </table>	State-owned	Private	Other	_____	_____	_____	_____	_____	_____	_____	_____	_____
State-owned	Private	Other												
_____	_____	_____												
_____	_____	_____												
_____	_____	_____												
<p>AGA 6.7</p>	<p>Do the aerodromes have apron management services?</p> <p>If yes, does the apron management service form a part of the aerodrome control tower responsibility?</p>	<table style="width: 100%;"> <tr> <td style="width: 50%; text-align: center;"><input type="checkbox"/> Yes</td> <td style="width: 50%; text-align: center;"><input type="checkbox"/> No</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/> Yes</td> <td style="text-align: center;"><input type="checkbox"/> No</td> </tr> </table>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No								
<input type="checkbox"/> Yes	<input type="checkbox"/> No													
<input type="checkbox"/> Yes	<input type="checkbox"/> No													
<p>AGA 6.8</p>	<p>Does the State require aerodromes to be certified?</p>	<table style="width: 100%;"> <tr> <td style="width: 50%; text-align: center;"><input type="checkbox"/> Yes</td> <td style="width: 50%; text-align: center;"><input type="checkbox"/> No</td> </tr> </table>	<input type="checkbox"/> Yes	<input type="checkbox"/> No										
<input type="checkbox"/> Yes	<input type="checkbox"/> No													
<p>AGA 6.9</p>	<p>Are aerodrome certificates issued for a specified duration, or are they open-ended?</p>	<table style="width: 100%;"> <tr> <td style="width: 75%;">Specified duration</td> <td style="width: 25%; text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Open-ended</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </table>	Specified duration	<input type="checkbox"/>	Open-ended	<input type="checkbox"/>								
Specified duration	<input type="checkbox"/>													
Open-ended	<input type="checkbox"/>													
<p>AGA 6.10</p>	<p>If open-ended, is there a process to assess continuing competence and validity?</p>	<table style="width: 100%;"> <tr> <td style="width: 50%; text-align: center;"><input type="checkbox"/> Yes</td> <td style="width: 50%; text-align: center;"><input type="checkbox"/> No</td> </tr> </table>	<input type="checkbox"/> Yes	<input type="checkbox"/> No										
<input type="checkbox"/> Yes	<input type="checkbox"/> No													
<p>AGA 6.11</p>	<p>Are certified aerodromes grouped or categorized?</p> <p>If yes, indicate the nature of the groups or categories, as well as the number of certified aerodromes (e.g. commercial and general aviation).</p>	<table style="width: 100%;"> <tr> <td style="width: 50%; text-align: center;"><input type="checkbox"/> Yes</td> <td style="width: 50%; text-align: center;"><input type="checkbox"/> No</td> </tr> <tr> <td colspan="2" style="text-align: center;">_____</td> </tr> <tr> <td colspan="2" style="text-align: center;">_____</td> </tr> </table>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	_____		_____							
<input type="checkbox"/> Yes	<input type="checkbox"/> No													

<p>AGA 6.12</p>	<p>Identify any of these that are military aerodromes, which are certified and available for civil international operations.</p>	<p style="text-align: center;">(Provide a list or number)</p>												
<p>AGA 6.13</p>	<p>Identify other military aerodromes which are made available for civil international operations, but which are not certified.</p>	<p style="text-align: center;">(Provide a list or number)</p>												
<p>AGA 6.14</p>	<p>Has the State promulgated aerodrome legislation/regulations?</p> <p>If yes, indicate the title of the legislation/regulations and the date when they were enacted and last amended.</p>	<table style="width: 100%;"> <tr> <td style="width: 50%; text-align: center;"><input type="checkbox"/> Yes</td> <td style="width: 50%; text-align: center;"><input type="checkbox"/> No</td> </tr> <tr> <td colspan="2" style="text-align: center;">_____</td> </tr> <tr> <td colspan="2" style="text-align: center;">_____</td> </tr> </table>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	_____		_____							
<input type="checkbox"/> Yes	<input type="checkbox"/> No													

<p>AGA 6.15</p>	<p>If the State has not promulgated aerodrome legislation/regulations, what method is used to regulate aerodrome operations?</p>	<p style="text-align: center;">(Attach a brief note, including the method that the State uses to enforce safety oversight demands, and how it resolves safety concerns.)</p>												

<p>AGA 6.16</p>	<p>Is the State's aerodrome certification process:</p> <ol style="list-style-type: none"> 1. developed and fully implemented? 2. developed, but only partly implemented? If so, when will full implementation be achieved? 3. being developed? Have interim certificates been granted, pending finalization of a certification process? If so, when will implementation of the certification process be achieved? 3. being developed? 4. not developed? Have interim certificates been granted, pending development and finalization of a certification process? If so, when will full implementation of the certification process be achieved? 	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>_____</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>_____</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>_____</p>
<p>AGA 6.17</p>	<p>Is an assessment of the competence of, and resources for, operational safety by aerodrome operators part of the certification process?</p> <p>If so, in what manual is the process described?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Provide reference:</p> <p>_____</p>
<p>AGA 6.18</p>	<p>Are the certified aerodromes required to submit an aerodrome manual to the aerodrome regulatory authority for acceptance or approval?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>AGA 6.19</p>	<p>Does the aerodrome safety regulator within the CAA have a transparent process, that is published in the public domain, to satisfy itself about the organizational competence and level of resources of aerodrome operator and aerodrome certificate holder?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>AGA 6.20</p>	<p>Is there a regulatory requirement for certified aerodromes to have a safety management system (SMS) in operation?</p> <p>If not, is there a plan to introduce the requirement?</p> <p>If so, when will it be introduced?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>_____</p>

AGA 6.21	Are SMS requirements coordinated with other regulated areas, e.g. ATS and aircraft operations?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AGA 6.22	Do the regulations provide for: 1. restricting, suspending or revoking of an aerodrome certificate 2. environmental considerations	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AGA 6.23	Has the State established a programme to provide aerodrome facilities in accordance with the Regional Air Navigation Plan?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AGA 6.24	Has the State established a system for coordination between aerodromes, ATS and AIS?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AGA 6.25	Is the surface movement guidance and control system (SMGCS) used at any aerodromes?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AGA 6.26	In providing the SMGCS, are the traffic density and visibility conditions at all aerodromes that are certified, or are to be certified, assessed on the SMGCS?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AGA 6.27	If so, has the State provided these aerodromes with the scale of SMGCS for the appropriate combination of traffic density and visibility conditions?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AGA 6.28	Have emergency planning and rescue procedures been established for all aerodromes being used for commercial operations?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AGA 6.29	Are all these aerodromes provided with fire fighting services?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AGA 6.30	Has the State established minimum classes of fire fighting services to be provided?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AGA 6.31	Has the State established an arrangement between the aviation security agency and the aerodrome regulatory agency, and promulgated that arrangement to staff, as appropriate?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AGA 6.32	Are human factor principles incorporated into the aerodrome design and operating processes?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AGA 6.33	If there are exemptions (or exceptions) to national requirements, is there a process for determining the acceptability of the exemptions, and for recording and reviewing them?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
AGA 6.34	Has the State established a national bird-strike reporting system?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

AGA 6.35	Has a process been established for reporting, reviewing and following up aerodrome-related incidents and accidents?	<input type="checkbox"/> Yes <input type="checkbox"/> No
PART 7 – AIRCRAFT ACCIDENT AND INCIDENT INVESTIGATION		
AIG 7.1	Has the State promulgated legislation/regulations related to aircraft accident and incident investigation? If yes, provide the title of the legislation/regulations and the date when enacted and last amended.	<input type="checkbox"/> Yes <input type="checkbox"/> No _____ _____
AIG 7.2	Has the State designated an accident investigation authority (agency, commission, board or any other body within the State) to conduct aircraft accident investigations?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIG 7.3	Is the accident investigation authority independent/separate from the CAA? To whom does it report?	<input type="checkbox"/> Yes <input type="checkbox"/> No _____
AIG 7.4	When was the accident investigation authority established?	_____
AIG 7.5	Is the accident investigation authority specific to aviation or is it multi-modal (e.g. rail, marine, highway)?	_____
AIG 7.6	Attach an organizational chart of the accident investigation authority.	(Include as attachment)
AIG 7.7	Indicate the name, address and phone numbers of the accident investigation authority. If the accident investigation authority has a website, indicate the URL.	_____ _____ _____
AIG 7.8	Does the accident investigation authority have regional offices? If yes, where are they located?	<input type="checkbox"/> Yes <input type="checkbox"/> No _____
AIG 7.9	Does the State have judicial or administrative proceedings to apportion blame or liability? If yes, please indicate what type of proceedings they are.	<input type="checkbox"/> Yes <input type="checkbox"/> No _____ _____
AIG 7.10	Are these judicial or administrative proceedings separate from the investigation conducted as per Annex 13?	<input type="checkbox"/> Yes <input type="checkbox"/> No

AIG 7.11	Does the accident investigation authority have a procedures manual or equivalent guidance material? If yes, please provide the title(s) of the document(s).	<input type="checkbox"/> Yes <input type="checkbox"/> No _____
AIG 7.12	Has the State promulgated legislation on occupational health and safety applicable to aircraft accident investigations?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIG 7.13	How many aircraft accidents and serious incidents have occurred in the State over the past three years?	Year ____ ____ ____ Accid. ____ ____ ____ Ser. Inc. ____ ____ ____
AIG 7.14	How many aircraft accidents and serious incidents have been investigated in the State (investigation completed with final report released) over the past three years?	Year ____ ____ ____ Accid. ____ ____ ____ Ser. Inc. ____ ____ ____
AIG 7.15	Have experience and qualifications requirements been established for aircraft accident investigators?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIG 7.16	Number of aircraft accident investigators employed in the State.	Full-time ____ Part-time ____
AIG 7.17	Does the accident investigation authority have flight recorder read-out facilities?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIG 7.18	Does the accident investigation authority have facilities for material failure analyses (e.g. workshop, laboratory)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
AIG 7.19	Has the State established a mandatory incident reporting system? If yes, which organization manages it?	<input type="checkbox"/> Yes <input type="checkbox"/> No _____
AIG 7.20	Has the State established a voluntary incident reporting system? If yes, which organization manages it?	<input type="checkbox"/> Yes <input type="checkbox"/> No _____
AIG 7.21	Has the State established an aircraft accident and incident database? If yes, which organization manages it?	<input type="checkbox"/> Yes <input type="checkbox"/> No _____
AIG 7.22	If yes, is the database accident/incident data reporting (ADREP) system/European Co-ordination Centre for Aviation Incident Reporting Systems (ECCAIRS) compatible?	<input type="checkbox"/> Yes <input type="checkbox"/> No

— END —