



NOTA DE ESTUDIO

GRUPO DE EXPERTOS SOBRE FACILITACIÓN (FALP)

SÉPTIMA REUNIÓN

Montreal, 22 – 26 de octubre de 2012

**Cuestión 5 del
orden del día: Enmiendas del Anexo 9**

**PROPUESTA PARA AÑADIR UNA NORMA AL ANEXO 9 – FACILITACIÓN
ENCAMINADA A ESTABLECER CONTROLES PARA EL PROCESO DE EMISIÓN
DE DOCUMENTOS DE VIAJE**

(Nota presentada por Australia, Canadá, Estados Unidos, Nueva Zelanda y el Reino Unido)

Por falta de recursos, sólo se han traducido el resumen y el apéndice

RESUMEN

En los últimos años, debido a la mayor seguridad física de los documentos de viaje, el fraude ha ido orientándose hacia el proceso de emisión. En la presente nota se propone que se enmiende la Norma 3.8 y se añada una nueva Norma 3.8.1 en la que se exigiría que los Estados protejan no sólo los documentos de viaje sino también la totalidad de su proceso de emisión.

Medidas propuestas al Grupo de expertos FALP:

Se invita al Grupo de expertos FALP a examinar las propuestas descritas en la presente nota y convenir en que se enmiende el Anexo 9 como se indica en el apéndice.

1. INTRODUCTION

1.1 This paper proposes that Standard 3.8 of Annex 9 – *Facilitation* be amended by removing wording related to travel document issuance and that a new Standard 3.8.1 be included in the Annex to broaden the scope of travel document integrity by securing the entire travel document issuance process.

1.2 Standard 3.8 was incorporated into Annex 9 in 2005 along with a number of other travel document security-related standards. At the time the security focus was primarily on the physical document. With advent of the ePassport and other enhanced security features of travel documents, fraud is increasingly shifting to the issuance process and as such a Standard is required which focuses on securing the entire issuance process.

2. DISCUSSION

2.1 Standard 3.8 of *Annex 9 – Facilitation* states:

“Contracting States shall establish controls on the creation and issuance of travel documents in order to safeguard against the theft of their stocks and the misappropriation of newly issued travel documents.”

2.2 The Standard is limited in that it calls for the establishment of controls on the creation and issuance of travel documents only in respect to theft of stock and misappropriation of newly issued travel documents. It fails to identify the importance of ensuring the security of the entire issuance process, including the increasing threat of obtaining genuine travel documents by fraudulent means (impersonation, employee malfeasance, etc.).

2.3 In recent years, due to increased physical security of travel documents, fraud has been shifting to the issuance process. To provide additional security and enhance the integrity of travel documents States need to focus on securing the issuance process including, most importantly, ensuring the identity of the person to whom the travel document is being issued. Furthermore, efforts to enhance the security of the issuance of travel documents will contribute to enhanced national and international security.

2.4 Assembly Resolution 37-20 requested Council to develop guidance material to assist States maintain the integrity and security of their passports and other travel documents. ICAO’s Technical Advisory Group on Machine Readable Travel Documents’s Implementation and Capacity Building Working Group has developed the ICAO *Guide for Assessing Security of Handling and Issuance of Travel Documents* which is currently used in assessment activities and workshops and can be used by States in achieving compliance with the amended standard.

3. RECOMMENDATIONS

3.1 Annex 9 should be amended, as set out in the Appendix.

3.2 The Facilitation Manual should be updated to include appropriate guidance or references to existing guidance material.

APÉNDICE

Enmiédese el Anexo 9 de la manera siguiente:

3.8 Los Estados contratantes establecerán controles ~~con respecto a la creación y expedición de los documentos de viaje~~ a fin de protegerse contra el robo de sus ~~inventarios~~ documentos de viaje en blanco y el apoderamiento indebido de documentos de viaje recientemente emitidos.

3.8.1 Los Estados contratantes establecerán controles apropiados respecto a la totalidad del proceso de aplicación, adjudicación y expedición de documentos de viaje a fin de lograr un nivel elevado de integridad y seguridad.

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