



**WORKING PAPER**

**FACILITATION PANEL (FALP)**

**TENTH MEETING**

**Montréal, 10-13 September 2018**

**Agenda Item 3: Amendments to Annex 9**

**BACKGROUND CHECKS**

(Presented by International Air Transport Association (IATA) and  
International Federation of Air Line Pilots' Associations (IFALPA))

**SUMMARY**

This working paper proposes the extension of the existing background check requirement for crew member certificates (Annex 9, Standard 3.65) to crew identity cards.

**Action by the FAL Panel:**

The FAL Panel is invited to consider the proposal described in this paper and agree that Annex 9 be amended, as set out in the Appendix.

**1. INTRODUCTION**

1.1 The threats posed by insiders represent one of the most serious risks to civil aviation operations worldwide and crew members are unfortunately not exempted from infiltration, manipulation or malicious intentions. One of the most effective preventive measures against insiders are effective initial and recurrent background checks and good selection procedures.

1.2 Annex 9 currently requires background checks when crew member certificates (CMCs) are issued (existing Standard 3.65), but not in the case of crew identity cards (Recommended Practice 3.64). As CMCs are not mandatory in all States, the requirement for background checks should cover both CMCs and crew identity cards to reasonably mitigate the crew member's insider risks using existing national initial and recurrent background checks procedures.

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**APPENDIX**

*Amend* Annex 9 as follows:

3.65 CMCs and crew identity cards shall be issued only after a background check has been carried out by or on behalf of the relevant public authority. In addition, adequate controls such as a certification of employment status of an applicant prior to issuance, controls on blank card stock, and accountability requirements for issuing personnel, shall be placed on the issuance of CMCs and crew identity cards.

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