

International Civil Aviation Organization

NORTH AMERICAN, CENTRAL AMERICAN AND CARIBBEAN OFFICE

Twentieth Meeting of Directors of Civil Aviation of the Eastern Caribbean (20th E/CAR DCA)

Miami, Florida, United States 4 to 7 December 2006

Agenda Item 6 Aviation Security (AVSEC) Developments

REVIEW OF THE GLOBAL AND REGIONAL AVSEC DEVELOPMENTS AND ACTIVITIES

(Presented by the Secretariat)

SUMMARY

This paper provides the Meeting with a review of the Global and Regional AVSEC Developments and Activities.

References:

- Report of the AVSEC COMM/3 and 4 Meetings
- State Letter SP 48/1-06/19 dated 24 March 2006
- Annex 17, Amendment 11
- Annex 9, Machine Readable Travel Documents
- Security Manual for Safeguarding Civil Aviation Against Acts of Unlawful Interference (Doc 8973)
- ICAO Universal Security Audit Programme (USAP)

1. Introduction

1.1 This paper presents to the Meeting ICAO's recent Aviation Security (AVSEC) and Facilitation (FAL) global/regional activities and developments.

2. Annex 17 and the Security Manual

On 30 November 2005, the Council adopted Amendment 11 to Annex 17 prescribing 10 April 2006, as the date on which it would become effective and 1 July 2006 as the applicability date. Amendment 11 arises from the proposals o the sixteenth and seventeenth meetings of the Aviation Security (AVSEC) Panel held in May 2004 and March/April 2005, respectively. Amendment 11 covers the following: applicability of Annex 17; reinforcement of national civil aviation security control programme provisions; In-Flight Security Officers (IFSOs); general aviation and aerial work; one-stop security concept for passengers and baggage; risk assessment concept; security for all-cargo operations; and definitions.

2.2 The Security Manual of Safeguarding Civil Aviation Against Acts of Unlawful Interference (Doc 8973) offers leadership and detailed guidance to States relating to the interpretation and implementation of the Standards and Recommended Practices contained in Annex 17 and is in the process of being revised..

3. Restructuring of the Security and Facilitation Branch

- 3.1 The Security and Facilitation Branch have been restructured into two branches, Specification and Guidance Material (SGM) and Coordinated Assistance and Development Section (CAD). The new CAD formerly the AVSEC Section is responsible for the management of:
 - a) the Aviation Security Coordinated Assistance Programme;
 - b) future implications for aviation security; and
 - c) management of the Aviation Security Training Programme
- 3.2 The programme was approved by the ICAO Council and is intended to permit Contracting States to:
 - a) remedy their aviation security deficiencies revealed during the course of the ICAO aviation security audits conducted under the Universal Aviation Security Audit Programme;
 - b) establish a sound aviation security infrastructure in accordance with ICAO Annex 17 and all relevant ICAO aviation security guidance material; and
 - c) assist States to address emerging aviation security challenges.
- 3.3 The programme was launched in April 2006 and will be coordinated with other regional aviation bodies and UN agencies that are involved in combating acts of unlawful interference. The programme will be also coordinated with States that have expert and financial resources in aviation security and are willing to join ICAO in assisting States. Furthermore, CAD will continue to provide assistance under the Aviation Security Plan of Action.

4. Aviation Security Training Programme

- 4.1 ICAO has established a network of 16 designated Aviation Security Training Centres (ASTC) around the world three which are in the CAR/SAM regions. Every year, ICAO publishes and annual aviation security training programme incorporating courses in the various disciplines of the aviation security field which are conducted at the ASTCs. The proposed 2007 Regional ASTCs schedule are as follows:
- 4.2 The course programme of the ICAO Regional Aviation Security Training Centre (ASTC) in Port of Spain, Trinidad and Tobago, is as follows:
 - Airport Security Programme Workshop March 2007 (5 working days)
 - National Quality Control September 2007 (5 working days)
- 4.3 The course programme of the ICAO Regional Aviation Security Training Centre (ASTC) in Quito, Ecuador (Spanish), is as follows:

- Airport Security Programme Workshop February 2007 (5 working days)
- National Quality Control Workshop October 2007 (5 working days)
- 4.4 The course programme of the ICAO Sub-Regional Aviation Security Training Centre (ASTC) in Buenos Aires, Argentina (Spanish), is as follows:
 - Airport Security Programme Workshop March 2007 (5 working days)
 - Screeners Certification Workshop July 2007 (5 Working days)
- 4.5 CAD is responsible for the development of all Aviation Security Training Packages (ASTP) that are provided to all members of the ASTC network. CAD has developed new aviation security training packages during 2006, to include airport and aircraft security design, screener certification and national quality control. They plan to develop other training packages on new and emerging threats in civil aviation.
- 4.6 The following new training packages were made available:
 - ASTP/Airport Design (English, French, Spanish)
 - ASTP/Quality Control (English, French, Spanish)
 - ASTP/National Auditors-Inspectors (English, French, Spanish)
 - ASTP/Certification of AVSEC Instructors (English, French, Spanish)
 - ASTP/Certification of AVSEC Staff (English)

5. Annex 9 and Machine Readable Travel Documents

- Through its Aviation Security and Facilitation Branch (S&F), ICAO pursues the objective to facilitate and strengthen border clearance and security measures in airports and to reduce landside congestion, with a three-part strategy: standardization of passports, visas and other travel documents; improvement of inspection processes with the use of modern technology; and tackling of related security problems. Priority of the programme include: updating of Standards and Recommended Practices in Annex 9- Facilitation; development and implementation of specification for machine readable travel documents (MRTDs) including identity confirmation with biometrics; modernization of border control processes; security and facilitation of the international supply chain; and contingency measures to address health emergencies of international concern.
- The Facilitation Division and the Facilitation Panel assist the Council and Air Transport Committee with amendments to Annex 9. The Technical Advisory Group on Machine-Readable Travel Documents (TAG/MRTD) assists the Secretariat with updating the specifications for MRTDs in the Doc 9303 series. The Secretariat uses regional seminars, Universal Implementation of the MRTD Programme, regional staff missions and a network of Facilitation contact persons to promote the implementation of the aforementioned standards and specifications. ICAO hosted the Second symposium and Workshop on ICAO-Standard MRTD, Biometrics and Security Exhibition on 6-8 September 2006, Montreal, Canada and was coordinated by the Facilitation Specialist Mauricio Siciliano (email: msiciliano@icao.int). In support of the Facilitation Mission in the region the Aviation Security Regional Officer is in the process

of developing a National Facilitation Point of Contact List for the NAM/CAR/SAM Region and would appreciate the States support. Therefore, the following Draft Conclusion is proposed:

DRAFT CONCLUSION 30/XX FACILITATION POINTS OF CONTACT

That, E/CAR States/Territories identify to the ICAO NACC Regional Office the National Facilitation Point of Contact for the E/CAR area in the Format included in **Appendix A** to this Working Paper by **31 January 2007**.

5.2.1 Selected Relevant Documents:

- Annex 9- Facilitation (12 Edition, 2005)
- Doc 9303, Part 1 to 3: Machine Readable Travel Documents
- Circular 309: Passenger Name Record (PNR) Data (April 2006)

5.2.2 Reports of Recent Meetings:

- TAG-MRTD/16- Report of the Sixteenth Meeting of the Technical Advisory Group on Machine Readable Travel Documents (26 to 28 September 2005)
- Symposium on ICAO-Standard MRTDs and Biometric Enhancement (with exhibition):

Web site: http://www.icao.int/icao/en/atb/fal/MRTDsymposium/index.html

MRTD Web site: www.icao.int/mrtd

6. ICAO Universal Security Audit Programme (USAP)

- 6.1 The ICAO USAP AVSEC Audits in States commenced in November 2002 and are currently being conducted on the basis of the audit schedule sent to States. As of 30 September 2006, 140 audit missions had been conducted. Seven of these Follow-up visits are scheduled for 2006, in Cuba, El Salvador, Honduras, Mexico, Nicaragua, Peru and Venezuela. An audit activity report detailing the audits conducted is set forth in **Appendix B** to this paper.
- The audit schedule relating to missions conducted in 2006 and to be conducted in 2007 is attached to this paper as **Appendices C** and **D**. Eight Audits were scheduled in the region for 2006, in Argentina, Barbados, Dominican Republic, Grenada, Guyana, Saint Lucia, Saint Vincent and the Grenadines and Uruguay. And three Audits are scheduled for 2007, at Belize, Haiti and Panama. Audit Schedule involves actual mission dates which will be coordinated directly with the State concerned. Four to six months prior to the date of the audit, Contracting States scheduled for an audit mission will be sent a customized Memorandum of Understanding (MoU) for confirmation of agreement on its terms, based upon the model bilateral MoU endorsed by the Council of ICAO.

7. STRUCTURE OF THE ICAO SECRETARIAT

7.1. Due to the administrative integration of the Universal Safety Oversight Audit Programme (USOAP) and the ICAO Universal Security Audit Programme (USAP), a new Safety and Security Audits (SSA) Branch has been created under the Office of the Secretary General with effect from 1 August 2006.

7.2. The new SSA Branch is comprised of three Sections: the existing Safety Oversight Audit (SOA) and Aviation Security Audit (ASA) Sections and a new Section entitled Audit Coordination and Reporting Section (ACR).

8. Organization of American States (OAS) Counter Inter-American Committee against Terrorism (CICTE)

- 8.1 The Organization of American States (OAS) Counter Inter-American Committee against Terrorism (CICTE) offered thirty (30) fellowships for National Aviation Security Officials in the region wishing to attend the Hold Baggage Screening Seminar/Meeting on 28 November 2005, at Monterrey, Mexico. The Director, Kevin Newmeyer was in attendance and stated that OAS/CICTE would continue to work with ICAO and support ICAO sponsored AVSEC training events by offering more fellowships for 2006.
- 8.2. States have encountered difficulties in funding AVSEC/COMM members and National AVSEC Officials wishing to attend annual meetings; therefore, the ICAO NACC Office approached OAS/CICTE to support this very important event to ensure the Regional AVSEC experts attend the GREPECAS AVSEC/COMM Meeting.

9. ICAO/Canada Aviation Security Awareness Training Programme Phase II

Transport Canada and ICAO have finalized the Phase II proposal called "Counter Terrorism Capacity Building Programme" which entails 50 aviation security (AVSEC) workshops, 13 courses and 2 seminars in the CAR/SAM Regions from 2006-2009, targeted for the Civil Aviation Aeronautical Authorities and may include airports, air operators and airport policing authorities. The AVSEC topics for these events will address but are not limited to Airport Security Programme, National Civil Aviation Security Programme, National Quality Control Programme, Passenger Screening, Cargo Security Programme and Human Factors. The Meeting is invited to adopt the following Draft Conclusion in support of this programme:

DRAFT CONCLUSION 20/XX PHASE II ICAO/CANADA AWARENESS TRAINING PROGRAMME

That the E/CAR States/Territories support the Phase II ICAO/Canada Awareness Training Programme in hosting workshops, courses, and seminars and having their AVSEC Specialists participate in these events.

10. Aviation Security Committee (AVSEC COMM)

10.1. The AVSEC COMM/5 5th Annual meeting was held in Buenos Aires, Argentina in conjunction with the 10th Annual la CLAC Group of AVSEC Experts on 8-13 May 2006. The AVSEC COMM meeting discussed AVSEC topics such as Hold Baggage Screening (HBS) Final Report findings and Action Plan, Quality Control Programme, Cargo Security Programme, AVSEC Instructor/Consultant Directory, National Civil Aviation Security Training Programme, Passenger Screening Programme, Passenger Screening Seminar and Regional Mechanism for sharing threat information. The Meeting was attended by 31 participants form 10 Member States and 5 Contracting States located or having Territories in the CAR/SAM Regions, and observers from 4 International Organizations. One Ad Hoc Group was established to review the Final Hold Baggage Screening Report and two Task Forces were established to develop guidance for Cargo Security and Passenger/Cabin Baggage Screening Programs. A third Task Force was established to work on the Annex 9 (Facilitation) provisions. The AVSEC COMM approved

the establishment of a Passenger/Cabin Baggage Screening Seminar/Meeting to assist States with current screening procedures and technologies. Jamaica volunteered to host the Seminar on 15-17 January 2007, in Ocho Rios, Jamaica followed by a Task Force Meeting 18-19 January 2007, to analyze the data. The Cayman Islands is contemplating in hosting the AVSEC/COMM/6 for May 2007.

10.2. The AVSEC/COMM Secretariat is currently conducting an AVSEC Survey to validate past conclusions related to the implementation of Annex 17 Standards and Recommended Practices, as per electronic State Letter EMX804 dated 17 August 2006. Therefore, the following Draft Conclusion is proposed:

DRAFT

CONCLUSION 30/X

VALIDATE AVSEC/COMM CONCLUSIONS

That the E/CAR States/Territories participate in the AVSEC survey included in **Appendix E** to this Working Paper to validate past GREPECAS AVSEC/COMM Conclusions related to the implementation of Annex 17 SARPs by **31 January 2007**.

11. Aviation Security/Facilitation Seminar at the Dominican Republic

The ICAO Technical Cooperation Bureau hosted a Aviation Security and Facilitation Seminar at Santo Domingo, Dominican Republic on 27-30 June 2006. The event was coordinated with the ICAO Security and Facilitation Branch (S&F) and the ICAO NACC Office. The topics were Annex 17 Amendment 11, Annex 9, Quality Control, Biometrically Enhanced (ePassport), Hold Baggage Screening, Changes in the S&F Branch, Machine Readable Travel Documents, USAP Programme, AVSEC COMM Initiatives, Air Cargo Security, Border Control & Clearance, Threats to Civil Aviation, Passenger/Baggage Screening and Human Factors.

12. Planned Terrorist Plot against Civil Aircraft over the North Atlantic

- 12.1. The ICAO Council met during a special session on 14 August 2006, regarding the planned terrorist plot against civil aircraft over the North Atlantic and discussed security measures in effect in order to counter the threat.
- 12.2. The ICAO Council recommended that the terrorist plot against civil aircraft posed a major threat against air transport operation and that the issue be included and given the highest priority in the agenda of the forthcoming eighteenth meeting of the Aviation Security Panel scheduled to be in Montreal form 11-15 September 2006.

13. Action Required by the Meeting

13.1 The Meeting is invited to note the content of this paper and take any action which is considered appropriate to encourage regional cooperation and timely implementation by States of the new AVSEC requirements.

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APPENDIX A

CAR, NAM and SAM Regions / Regiones CAR, NAM y SAM National FAL Points of Contact / Puntos de Contacto Nacionales para FAL					
STATE ESTADO	()EICIAI PESDONSARIE DEI ADEA		TL / FAX		
Antigua and Barbuda					
Argentina	Alejandro Daniel Naranjo Asesor Director Nacional	Dirección Nacional Av. Antártida Argentina 1355 Capital Federal Buenos Aires, Argentina	Ipuente@migraciones.gov.ar anaranjo@migraciones.gov.ar	Tel+54 1 4317 0388 / 4317 0252 Fax+54 1 4317 0388	
7. gortina	Daniel Andrés Moreno Comisario Inspector, Jefe del Centro Documentario PFA	Ingeniero Huergo 651, Planta Baja Buenos Aires, Argentina	dpto_ident_pers@policiafederal.gov.ar div_docycet@policiafederal.gov.ar daniel_moreno@fibertel.com.ar	Tel+ 54 1 011 4346 7055 / 4346 5700 int. 3580, 3409	
Aruba					
Bahamas					
Barbados					
Belize					
Bolivia Shirley Mackay Flores Inspectora de Facilitación Dirección de Transporte Aéreo CiviL Direcciòn GeneraL de Aeror CiviL Ay. MariscaL Santa Cruz W 1 Edif. Palaclo de Comunicaci 4to. Piso		Direcciòn GeneraL de Aeronáutica CiviL Ay. MariscaL Santa Cruz W 1278, Edif. Palacio de Comunicaciories	smackay@dac.tv.	Tel. + 591 22115515 Fax. +591 2 2115515 +I 591 22119323	
Brasil	Fernando N. Cerdeira ANAC Agencia Nacional de Aviacac Civil		cerdeira@anac.gov.br	Tel+552 138 247013	
Canada					
Chile					
Colombia	Maria Cecilia Salazar Cruz	Aeropuerto ELDORADO Dirección de Seguridad y Supervisión Aeroportuaria	mariacecilia.salazarcruz@aerocivil.gov co	TeL: (571) 2663770 Fax: (571) 4135295	

CAR, NAM and SAM Regions / Regiones CAR, NAM y SAM National FAL Points of Contact / Puntos de Contacto Nacionales para FAL					
STATE ESTADO	OFFICER RESPONSIBLE FOR FAL OFICIAL RESPONSABLE DEL ÁREA FAL	Address Dirección	E-MAIL ADDRESS CORREO ELECTRÓNICO	TL / FAX	
	Nubia Constanza Ramírez	Aeropuerto ELDORADO Piso 4 Oficina Asesora de Planeación (OAP)	nubia.ramirez@aerocivil.gov.co	Tel: (571) 2663769 Fax: (571) 2663767	
Costa Rica					
Cuba					
Dominica (Not an ICAO Contracting State)					
Ecuador					
El Salvador					
France (French Antilles)					
Grenada					
Guatemala					
Guyana					
Haiti					
Honduras					
Jamaica					
Mexico	Ing. Fernando Thompson Director General de Comunicaciones e Informática	S.R.E.	fthompson@sre.gob.mx	Tel: 5255 5062-3042 / 3015	
Netherlands Antilles					
Nicaragua					
Panamá					

CAR, NAM and SAM Regions / Regiones CAR, NAM y SAM National FAL Points of Contact / Puntos de Contacto Nacionales para FAL					
STATE ESTADO OFFICER RESPONSIBLE FOR FAL OFICIAL RESPONSABLE DEL ÁREA FAL		Address Dirección	E-MAIL ADDRESS CORREO ELECTRÓNICO	TL / FAX	
	Raúl A. Montiel Gastó Director de Pasaportes y Servicios Consulares	Ministerio de Relaciones Exteriores 14 de mayo y Palma, Piso 13 Asunción, Paraguay	rgasto@mre.gov.py raulmontiel@hotmail.com	Tel/Fax: 595 21 494 600	
Paraguay	Rolando Agustín Goiburú Director Pasaportes y Visas	Ministerio de Relaciones Exteriores Palma esquina 14 de mayo Asunción, Paraguay	rgoiburu@mre.gov.py	Tel+ 595 21 445 939 Fax+ 595 21 445 939	
	Raquel Güastella Gerente de Facilitación y Gestión Aeroportuaria DINAC	Ministerio de Defensa Nacional Mral. López esq. 22 de septiembre Asunción, Paraguay	fal@dinac.gov.py	Tel+ 595 21 210764 Fax+ 595 21 713365	
Perú					
República Dominicana Dominican Republic	Giovanni Romero Sub-director de Migración	Migración República Dominicana	gromero_migracion@hotmail.com	Tel+ 809 508 2555 ext. 295 Fax+ 809 508 6565	
Saint Kitts and Nevis					
Saint Lucia					
Saint Vincent and the Grenadines					
Suriname					
Trinidad and Tobago					
United Kingdom (Anguilla, Bermuda, British Virgin Islands, Cayman Islands, Montserrat and Turks and Caicos)					
United States					
Uruguay	Alf. (TP) Dr. Roberto Perdomo Protti Coordinador General del Comité Nacional de Facilitación	Dirección Transporte Aéreo Comercial Av. Wilson Ferreira Aldunate 5519 Uruguay	rperdomo@adinet.com.uy dtacuru@adinet.com.uy	Tel. (598-2) 6040408 ext.4041 Fax. (598-2) 6040424	

CAR, NAM and SAM Regions / Regiones CAR, NAM y SAM National FAL Points of Contact / Puntos de Contacto Nacionales para FAL					
STATE ESTADO OFFICER RESPONSIBLE FOR FAL OFICIAL RESPONSABLE DEL ÁREA FAL ADDRESS E-MAIL ADDRESS CORREO ELECTRÓNICO TL / F.					
Venezuela	Amador Duarte Jefe Grupo Facilitación	Torre Británica de Seguros, Piso 3, Altamira Sur Av. José Félix Sosa Chacao, Caracas	a.duarte@inac.gov.ve	Tel + 58 212 277 4561 Fax+ 58 212 277 4507	

APPENDIX B

ICAO UNIVERSAL SECURITY AUDIT PROGRAMME (USAP) AUDIT ACTIVITY REPORT

PROGRAMME IMPLEMENTATION AS OF 30 SEPTEMBER 2006

	STATE	AIRPORTS AUDITED	DATE OF AUDIT	FOLLOW-UP VISIT DATES
1.	Antigua and Barbuda	Antigua V.C. Bird International Airport (ANU)	November 2005	2007
2.	Canada	Toronto Pearson International Airport (YYZ)	May 2005	2007
3.	Costa Rica	San Jose Juan Santamaria International Airport (SJO)	February 2005	2007
4.	Cuba	Havana Jose Marti International Airport (HAV)	March 2004	March 2006
5.	El Salvador	San Salvador El Salvador International Airport (SAL)	August 2004	2006
6.	Guatemala	Guatemala City La Aurora International Airport (GUA)	January/February 2005	2007
7.	Honduras	Tegucigalpa Toncontin International Airport (TGU)	March 2004	2006
8.	Jamaica	Kingston Norman Manley International Airport (KIN)	September 2003	October 2005
9.	Mexico	Mexico City International (MEX) and Guadalajara Miguel Hidalgo (GDL) Airports	January 2004	May/June 2006
10.	Nicaragua	Managua Internacional Augusto C. Sandino Airport (MGA)	August/September 2004	September 2006
11.	Saint Kitts and Nevis	St. Kitts Robert L Bradshaw Airport (SKB)	November/December 2005	2007
12.	Trinidad and Tobago	Port of Spain Piarco International Airport (POS)	June/July 2003	October 2005
13.	United States	New York John F. Kennedy International Airport (JFK)	April 2005	2007

APPENDIX C

ICAO UNIVERSAL SECURITY AUDIT PROGRAMME (USAP) AUDIT SCHEDULE

JANUARY TO DECEMBER 2006

First Quarter 2006 (January to March)	Second Quarter 2006 (April to June)
Dominican Republic	Grenada Saint Lucia Saint Vincent and the Grenadines
Third Quarter 2006 (July to September)	Fourth Quarter 2006 (October to December)
NONE	Barbados

APPENDIX D

ICAO UNIVERSAL SECURITY AUDIT PROGRAMME (USAP) AUDIT SCHEDULE

JANUARY TO DECEMBER 2007

First Quarter 2007 (January to March)	Second Quarter 2007 (April to June)
NONE	NONE
Third Quarter 2007 (July to September)	Fourth Quarter 2007 (October to December)
NONE	Bahamas Belize Haiti

APPENDIX E

STATUS OF VALID AVSEC GREPECAS CONCLUSIONS

State/1	Territory:					
1.	the Marking of Pla	Has your State/Territory ratified the Montreal Protocol (24 February 1988) and Convention on the Marking of Plastic Explosives for the Purpose of Detection (1 March 1991)? (GREPECAS Conclusion 12/109 refers)				
	YES		NO			
2.	latest provisions of	the 7th Edition	of Annex 17 and Ar	nendmen	ty programmes to incorporate the t 27 to the 8th Edition of Annex 6 AS Conclusion 12/111 refers)	
	YES		NO			
3.	programme (NCA)	SP) to incorporate <i>uarding Civil Av</i>	te the latest provision	ons of An	national civil aviation security nex 17, and Doc 8973 – Security wful Interference? (GREPECAS	
	YES		NO			
4.			to ICAO your needs n 13/8 item b) refe		stance to review and approve your	
	YES		NO			
5.	Has your State/Territory implemented and updated your national quality control programme tensure the effectiveness of its national civil aviation security programme? (GREPECA Conclusion 13/9 item a) refers)					
	YES		NO			
6.					tance to revise and approve your 13/9 item b) refers)	
	YES		NO			
7.	ensure the implem	entation of secur ge on aircraft to	rity measures to pro	tect cargo	nal cargo security programme to b, baggage, mail, and other goods awful interference? (GREPECAS	
	YES		NO			
8.	•		to ICAO the need Conclusion 13/10 it		tance to implement their national 'ers')	
	YES		NO			