APANPIRG/14-WP/10

International Civil Aviation Organization



FOURTEENTH MEETING OF THE ASIA/PACIFIC AIR NAVIGATION PLANNING AND IMPLEMENTATION REGIONAL GROUP (APANPIRG/14) Bangkok, Thailand, 4 to 8 August 2003

Agenda Item 3: CNS/ATM Implementation and Related Activities

REPORT ON REGIONAL DEVELOPMENTS IN THE MODERNIZATION OF AIR NAVIGATION SYSTEMS

(Presented by the Secretariat)

SUMMARY

This paper provides an overview of the regional developments in the modernization of air navigation systems as well as future plans of CNS/ATM systems.

Action by APANPIRG is proposed at paragraph 3.

1. **INTRODUCTION**

1.1. This paper provides information on technical and operational developments in the field of air navigation systems, as well as future plans related to communications, navigation and surveillance/air traffic management (CNS/ATM) systems.

2. **OVERVIEW**

2.1 This report provides information on the status of the programmes of the ICAO Planning and Implementation Regional Groups (PIRGs). These programmes are designed to modernize the air navigation infrastructure worldwide by increasing airspace and airport capacity and operational efficiency as well as providing higher levels of aviation safety and service regularity. The term "modernization" refers to building on existing air navigation systems, focusing mainly on emerging technologies, such as satellites and data links for improved CNS functions, thus making the advanced air traffic management concepts feasible.

2.2 Work related to air navigation systems and, in particular, development and implementation, continues to rank among the highest priority items on ICAO's work programme. Therefore, there has been a need for the timely completion of necessary Standards and Recommended

Practices (SARPs), Procedures for Air Navigation Services (PANS) and guidance material, in order to provide a sound basis for the implementation of emerging air navigation systems. Through its panels of the Air Navigation Commission and the Secretariat, assisted by study groups, ICAO has made progress in the development of SARPs, PANS and guidance material.

2.3 The regional planning groups, through the subregional groups, have implemented and also put in place a number of ongoing initiatives enveloping ATM, communications, navigation, surveillance as well as economic and institutional areas that would enhance and expedite the process of attaining the concept a global ATM system. A detailed list of these subregional and regional projects/plans covering the past, present and future contributing to regional harmonization are provided in Appendix A. An examination of the list shows that some projects such as Europe, Middle East, Asia Route Structure South of Himalayas (EMARSSH) and reduced vertical separation minimum (RVSM) have been implemented in multiple regions using interregional approach, thereby providing harmonization across regions.

3. ACTION BY APANPIRG

- 3.1 The meeting is invited to:
 - a) note the information provided in this working paper;
 - b) take into account in the work programme of APANPIRG; and
 - c) enhance the ongoing interregional coordination for the harmonized implementation of air navigation systems.

| | | | | AIR TRAFFIC MAN | NAGEMENT | | | |
|----|------------------------------------|--|--|---|--|---|---|------------------------------------|
| No | System | ASIA/PAC | AFI | EUR | CAR/SAM | MID | NAM | NAT |
| 1 | Revision of ATS route structure | South China Sea - implemented on 1 November 2001. | ATS routes, namely: | through Middle East via south of H i m a l a y a s implemented on - 28 November 2002. | a new ATS RNAV route network in | through Middle East | The ATS route structure of the NAM Region is pending to be included in an updated version of the NAM ANP. | |
| | | via south of | Hargeisa (Mogadishu FIR in AFI) to ODAKA (Sana'a FIR | structure in Eastern | | New Middle East ATS route network - 22 December 2003. | | |
| 2 | | implemented on 24 February 2000. Western Pacific airspace implemented | to be determined. Parts of Region | European Region - Implemented on 24 January 2002. | EUR/SAM corridor - 24 January 2002. CAR/SAM Regions - 20 January 2005 | 27 November 2003. | RVSM between FL 290 to FL 410 inclusive in Northern domestic airspace (north of 57N Lat) and transition airspace (between 52N and 57N) on 18 April 2002. United States is to implement RVSM in the domestic airspace from FL 290 to FL 410 | RVSM throughout NAT Region from |

APPENDIX SUB-REGIONAL/REGIONAL/INTERREGIONAL INITIATIVES FOR THE HARMONIZED IMPLEMENTATION OF AIR NAVIGATION SYSTEMS APANPIRG/14-WP/10

| | | | | AIR TRAFFIC MA | NAGEMENT | | | |
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| No | System | ASIA/PAC | AFI | EUR | CAR/SAM | MID | NAM | NAT |
| | Establishment of regional airspace safety system performance monitoring structure. | In progress with a target date of September 2003. | Under consideration. | Under development. | Brazil has been designated as monitoring agency for CAR/SAM regions for implementation of RVSM. | Under consideration. | Canada will implement in the designated RVSM airspace. | Implemented. |
| 4 | ACAS II | Mandated from 23 March 2000. | Mandated from 1 January 2000. | Mandated from 1 January 2000. | Mandated from 1 January 2003. | Mandated from 1 July2001. | - | Mandated from 31 March 2001. |
| 5 | RNAV/RNP | RNP 10: 1) North Pacific and Tasman - 23 April 1998; 2) South China Sea - 1 November 2001; 3) Australia and Indonesia - 1 November 2001; 4) Bay of Bengal - November 2002. Other routes in progress. RNP 4 under development in the South Pacific area. | in continental | RNAV/RNP5 implemented in ECAC area from January 1998. Implementation of precision RNAV (nearly equivalent to RNP 1) in terminal areas is planned for 2003. | EUR/SAM corridor RNP10 - implemented on 4 October 2001; Pre-operational implementation of RNP10 for routes UL302 and UL780 was approved on 22/01/04. Four other routes - Under consideration. | RNP 5 Phase 1 Implemented - 14 June 2001. RNP5/RNAV Phase 2 implemented with effect from 28 November 2002. | United States has implemented RNP in domestic and oceanic airspace since 1998. Canada is planning to implement RNP in domestic airspace in 2006. RNAV route structure in the NAM Region is under review. | in 1981. |

| | | | Со | MMUNICATIONS | | | | |
|----|---|--|---|--|---|--|---|--|
| No | System | ASIA/PAC | AFI | EUR | CAR/SAM | MID | NAM | NAT |
| 1 | ATN (Subnetworks, End systems and Intermediate systems) | with a target date of 2005 for ground - ground application namely ATN router and AMHS. | implementation is Under study. Focus is more on | ATN transition plan is being developed. Pre-operational trials are in progress. The Link 2000 programme will gradually introduce operational applications over A-G ATN on VDL2 from 2003 to 2010. | ATN initial transition plan is under revision. With the REDDIG implementation (SAM Digital Network), in second trimester of 2003 AMHS and AIDC implementations would be facilitated. | improved for transition to ATN. | Operational implementation is | Investigation of operational ATN data link scenarios in the Region with focus on air-ground applications is in progress. |
| 2 | Air-ground communication infrastructure | made in VHF voice in continental and terminal areas. VHF data link is used for D-ATIS, DVOLMET and CPDLC AMSS data and voice are used in oceanic and remote areas. SSR Mode S data link for high density airspace are being planned. | progress in several FIRs. HF Voice is | Vertical expansion of 8.33 kHz channel spacing. from FL 245 to FL | VHF voice is provided in continental and terminal areas. HF voice is provide in oceanic areas. Implementation of VDL Mode 2 to support CPDLC and D-ATIS is under study. Tests on HFDL are being conducted. | studied. AMSS for data and Voice in oceanic and remote areas. | AMSS for voice and ATN- compatible sub- networks such as VDL Mode 2, HFDL and AMSS to support CPDLC | HF is the main communication and already saturated with difficulty of obtaining additional frequencies. Trials to use. CPDLC based on FANS 1/A for routine communications are being carried out. AMSS application is being assessed. |

| | | | Со | MMUNICATIONS | | | | |
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| No | System | ASIA/PAC | AFI | EUR | CAR/SAM | MID | NAM | NAT |
| 3 | Ground-ground communication infrastructure | digital networks. (Japan, Australia, Thailand). AFTN based AIDC procedure is | | have upgraded to | networks have been implemented in the Region. | Establishment of a regional satellite based digital network is being studied. | Well developed. Canada, Mexico and United States have upgraded to digital networks. | Well developed. Many of the States have upgraded to digital networks. |

| | | | NAVIGATI | ION | | | |
|--------|---|--|---|--|---|---|---|
| System | ASIA/PAC | AFI | EUR | CAR/SAM | MID | NAM | NAT |
| GNSS | Transition to WGS- 84 is in progress. | Transition to WGS- 84 is in progress. | Transition to WGS-84 is on-going. | Transition to WGS-84 is in progress. | Transition to WGS- 84 is in progress. | Transition to WGS-84 completed in Canada and United States. In Mexico, it is in | completed. |
| | Strategy for implementation of GNSS adopted. A check list to assist GNSS implementation has been developed. Satellite based augmentation system (MSAS) is being developed. GNSS is being used for oceanic and remote continental areas for en- route operations and NPA as supplemental means. | for oceanic and continental en- route operations. Development of harmonized GNSS procedures for States of SADC completed | Specific deficiencies have been identified and corrective action defined. Launching of "Galileo", a new constellation of navigation satellites is under consideration with full operation capability slated for 2008. Satellite based augmentation system (EGNOS) is being developed. GNSS is being used for continental en route operations. | in cooperation with WAAS is being developed. A ionospheric model is under study in order to apply the | implementation of GNSS is under development. An ongoing issue. SBAS test bed in cooperation with | progress. The GNSS/GPS strategy has been adopted. SBAS based on the United States' wide | GNSS is being used for oceanic operations |
| | | GNSS Transition to WGS- 84 is in progress. Strategy for implementation of GNSS adopted. A check list to assist GNSS implementation has been developed. Satellite based augmentation system (MSAS) is being developed. GNSS is being used for oceanic and remote continental areas for en- route operations and NPA as supplemental | GNSSTransition to WGS- 84 is in progress.Transition to WGS- 84 is in progress.GNSSStrategy for implementation of GNSS adopted. A check list to assist GNSSGNSS strategy has been adopted.GNSSStrategy for implementation has been developed.GNSS test bed in cooperation with EGNOS is being implemented. Mobile reference stations to be used.Satellite based augmentation system (MSAS) is being developed.GNSS is being used for oceanic and continental en- route operations.GNSS is being used for oceanic and remote continental areas for en- route operations and NPA as supplementalDevelopment of harmonized GNSS | SystemASIA/PACAFIEURGNSSTransition to WGS- 84 is in progress.Transition to WGS- 84 is in progress.Strategy for implementation of GNSS adopted. A check list to assist GNSSGNSS strategy has been adopted.Specific deficiencies have been identified and corrective action defined.GNSS implementation has been developed.GNSS is being implemented. 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A check list to assist been developed.GNSS strategy has been adopted.Specific deficiencies have been identified and corrective actionSBAS test bed project in cooperation with EGNOS is being implemented. Mobile reference stations to be used.Specific deficiencies have been identified and corrective actionSBAS test bed project wGS-84 is in progress.Satellite based augmentation system (MSAS) is being developed.GNSS is being used for oceanic and continental areas for en- route operations and NPA as supplemental | SystemASIA/PACAFIEURCAR/SAMMIDGNSSTransition to WGS- 84 is in progress.Transition to WGS- 84 is in progress.Strategy for implementation of GNSS adopted. 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| No | System | ASIA/PAC | AFI | EUR | CAR/SAM | MID | NAM | NAT |
| 1 | SSR Modes A/C and SSR Mode S | Currently SSR Modes A/C is employed. | Surveillance in most FIRs is through pilot voice position reporting. | Currently SSR Modes A/C is employed. The ORCAM code management has been extended to the whole Region. | In the near future SSR Mode S in some | The Region is well covered by radars (PSR/SSR Mode A/C). | En-route radar surveillance has seen substantial improvements with the upgrading of radar systems in a large part of the airspace. In several areas of the Gulf of | Surveillance in most of the NAT Region is via position reports using HF Voice at approximately every 10 degrees of longitude. |
| | | | some busy terminals | is planned for implementation: - basic Mode S in 2003 to 2005, - enhanced Mode S | protocol for SSR data sharing was established. | SSR Mode S is planned for some terminal and high density en route areas in 2006. | Mexico and Northern Canada, surveillance has been restricted to position reports sent by pilots via air- ground communications. A plan to improve radar surveillance in the Gulf of Mexico is being developed. | |
| 2 | ADS | FANS-1/A based ADS is used initially for oceanic airspace and in remote areas. | ADS will be used for low density, remote and oceanic airspace as well as outside SSR coverage. | ADS will be used in some parts of the Region. | airspace and later in remote areas. | ADS will be used initially for oceanic airspace and later in remote areas and possibly as a backup to SSR in high density traffic areas in 2005. | ADS will be used in oceanic or remote areas; however, further review is needed for continental domestic airspace areas. | To improve surveillance, the regional plan specifies ADS over the ATN. Nevertheless, provisions have been made to accommodate FANS 1/A equipped aircraft. |
| 3 | ADS-B | ADS-B trials are in progress in a few States such as Australia, Japan and Mongolia. Implementation plans yet to be finalized. | To be determined. | To be determined. Mode S squitter related applications from 2007. | To be determined. | To be determined. | ADS-B trials are in progress in Canada and United States. Implementation plans still have to be finalized. | - |

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| No | System | ASIA/PAC | AFI | EUR | CAR/SAM | MID | NAM | NAT |
| | current single volume ANP to two volumes | Document in final preparation stage for | | published. | | go through approval process. | programme was proposed to update the FASID document. | The trial NAT Basic ANP and FASID are being reviewed and is scheduled to be completed by the middle of 2003. |
| | update of Regional plan for CNS/ATM systems | | | Reviewed and updated. | | | | New plan being developed. |

| | | | | RELATED IS | SUES | | | |
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| No | System | ASIA/PAC | AFI | EUR | CAR/SAM | MID | NAM | NAT |
| 3 | in the implementation of Air Navigation Systems between the Regions | Group (SWACG). Europe/Asia Air Routes Meeting (EAAR). Indian Ocean ATS Co-ordination Group | Indian Ocean ATS Co-ordination Group (IOACG). | South-West Asia ATS Coordination Group (SWACG). Europe/Asia Air Routes Meeting (EAAR). ICAO Meetings for the Planning and Coordination of Implementation of ATS Routes through the airspace of the Eastern Part of the ICAO EUR Region, including Middle Asia (TARTAR). Informal Trans-Asia, Trans-Siberia and Cross-Polar Routes Steering Group | | South-West Asia ATS Coordination Group (SWACG). | Informal Trans-Asia, Trans-Siberia and Cross-Polar Routes Steering Group (ITAPS). | |
| | | | South Atlantic Coordination Group (SAT). | (ITAPS). South Atlantic Coordination Group (SAT). | South Atlantic Coordination Group (SAT) | | | |

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| No | System | ASIA/PAC | AFI | EUR | CAR/SAM | MID | NAM | NAT |
| | Meteorological component of CNS/ATM systems | Migration from traditional alphanumeric code forms to digital code forms (WMO BUFR and GRIB code forms) is being addressed. | Migration from traditional alphanumeric code forms to digital code forms (WMO BUFR and GRIB code forms) is being addressed. | Migration from traditional alphanumeric code forms to digital code forms (WMO BUFR and GRIB code forms) is being addressed. | Initial discussions have taken place to address migration from traditional alphanumeric code forms to digital code forms (WMO BUFR and GRIB code forms). | Migration from traditional alphanumeric code forms to digital code forms (WMO BUFR and GRIB code forms) is being addressed. | Initial discussions have taken place to address migration from traditional alphanumeric code forms to digital code forms (WMO BUFR and GRIB code forms). | Not applicable as this issue is being addressed by the States as part of EANPG. |
| | | Workshops on the use of the GRIB and BUFR coded WAFS data have been orgnized by the WAFC Provider States in coordination with ICAO and WMO. | use of the GRIB and BUFR coded WAFS data have been orgnized by the WAFC Provider | data are planned to be orgnized by the WAFC Provider | of the GRIB and BUFR coded WAFS | of the GRIB and BUFR coded WAFS data are planned to be orgnized by the WAFC Provider | Workshops on the use of the GRIB and BUFR coded WAFS data are planned to be orgnized by the WAFC Provider States in coordination with ICAO and WMO. | |
| | Transition to final phase of World Area Forecast System (WAFS) | as the phasing-out of the Regional Area Forecasts Centres are concerned. The T4 charts will be discontinued in 2005 and are being replaced by WAFS | the Regional Area Forecasts Centres are concerned. The T4 charts will be discontinued in 2005 and are being | as the phasing-out of the Regional Area Forecasts Centres are concerned. The T4 charts will be discontinued in 2005 and are being replaced by WAFS | The transition has been completed as far as the phasing-out of the Regional Area Forecasts Centres are concerned. The T4 charts will be discontinued in 2005 and are being replaced by WAFS forecasts in the GRIB and BUFR code forms. | The transition has been completed as far as the phasing-out of the Regional Area Forecasts Centres are concerned. The T4 charts will be discontinued in 2005 and are being replaced by WAFS forecasts in the GRIB and BUFR code forms. | The transition has been completed as far as the phasing-out of the Regional Area Forecasts Centres are concerned. The T4 charts will be discontinued in 2005 and are being replaced by WAFS forecasts in the GRIB and BUFR code forms. | Not applicable. |

| | | | | RELATED IS | SUES | | | |
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| No | System | ASIA/PAC | AFI | EUR | CAR/SAM | MID | NAM | NAT |
| | Review of deficiencies | the PIRG work programme. | the PIRG work programme. The list of deficiencies are grouped on the basis | the PIRG work programme. There are currently no | | Addressed as part of the PIRG work programme. | - | Addressed as part of the PIRG work programme. There are currently no outstanding formal deficiencies. |
| | | established to | Establishment of an aviation safety board is under consideration. | | | | | |
| 5 | Specific to the region | information services activities within the Region has been | to evaluate the impact on aerodromes of the AFI Region. | increasing the | training issues are being addressed. | Formulation of a plan for development of regional training capabilities within specific training centres is being addressed. | - | Work has been initiated for the development of operational and economic performance indicators to be used throughout NAT Region. |
| | | developed. | Establishment of an appropriate body for addressing regional human resource and training issues is under consideration. | | The development of an ATS and AIS Quality Assurance Programme and its associated activities are being carried out. | | | |

| | | | Ec | CONOMIC AND ORGAN | IZATIONAL ISSUES | | | |
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| No | System | ASIA/PAC | AFI | EUR | CAR/SAM | MID | NAM | NAT |
| | Subregional approach for business case development and cost recovery system | | has been carried out | Business case and cost benefit analysis are being carried out by Eurocontrol. | economic aspects of | A business case illustrative application has been developed for the region. | | The feasibility of creating a common cost-recovery system for the provision of air navigation services in the northern part of the region is being considered. |
| | Establishment of Sub-regional entities/groups for provision of air navigation services. | | Southern Africa Development Community (SADC) Agency for the Safety of Air Navigation in Africa and Madagascar (ASECNA) Common Market for Eastern and Southern Africa (COMESA) | (EUROCONTROL) | Corporation for Air | European Middle- East Air Traffic Management Coordination (EMAC) | Sub-regional group comprising of Canada, Mexico, United States of America (CAN/MEX/USA) | |

| | | | Ec | CONOMIC AND ORGAN | IZATIONAL ISSUES | | | |
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| No | System | ASIA/PAC | AFI | EUR | CAR/SAM | MID | NAM | NAT |
| 3 | Cost benefit study, business case analysis and cost recovery system | | cost benefit analysis has been carried out | Business case and cost benefit analysis are being carried out by Eurocontrol. | | A business case illustrative application has been developed for the region. | are being carried out by CAN/MEX/USA. | The feasibility of creating a common cost-recovery system for the provision of air navigation services in the northern part of the region is being considered. |
| | | | 1 11 1 | No ICAO Secretariat involvement. | The Secretariat also participated in the organization of a Seminar in Honduras (Oct. 2002) on the economics and institutional aspects of CNS/ATM systems. GREPECAS Task Force on Institutional Aspects for CNS/ATM implementation has been reactivated. | | involvement. | Cost effectiveness of implementation of new systems are studied as an ongoing exercise. |

| | AIR TRANSPORT - TRAFFIC FORECASTS | | | | | | | | | | | | |
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| No | System | ASIA/PAC | AFI | EUR | CAR/SAM | MID | NAM | NAT | | | | | |
| 1 | Traffic Forecasting Groups (TFGs) | Traffic Forecasting Group is in existence since 1991. | Group formed in | Data developed by EUROCONTROL are being used. | Traffic Forecasting Group established in 1996. | 0 | No ICAO Secretariat involvement. | NAT Forecasting Group was established in 1965. | | | | | |
| | | Bangkok from 30 | Third meeting of TFG was held in Dakar from 24 to 26 March 2001. | | Fifth meeting of TFG was held in Lima from 5 to 9 August 2002. | The fifth meeting of the TFG was held in Cairo from 15-19 January 2002. | | | | | | | |
| | | Next meeting: tentatively 2004 | Next meeting: tentatively either 2004 or 2005 | | - | Next meeting: tentatively October 2003 | | | | | | | |
| 2 | Traffic forecasts | Traffic forecasts have been developed for major route groups up to the year 2015 and updated to reflect the impact of the 11 September events. | identified. Forecasts are under development. | | for 6 major route groups up to the year 2012 and reviewed taking into account the impact of the 11 September events. | Forecasts of major traffic flows to, from and within the Middle East region as well as aircraft movement forecasts for the city -pairs within each major traffic flow up to the year 2015 has been developed. | involvement. | Traffic forecasts are developed bi- annually. They were reviewed early in 2002 to reflect the impact of the 11 September events. | | | | | |

| No | System | ASIA/PAC | AFI | EUR | CAR/SAM | MID | NAM | NAT |
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| 1 | Addressing legal issues such as universal accessibility, continuity, certification and liability. | Legal issues have been raised but these are beyond the scope of regional bodies. HQ should continue to provide guidance and address and resolve them at global level. | yet been examined by | context of the Galileo | Legal issues are beyond the resolution at the regional level. HQ must provide the leadership in this domain. | The question is too large and complex. MIDANPIRG did not look into the matter deep. | - | - |
| | Development of an interim legal framework | Assembly in the form The ICAO headquarte Secretariat Study Groupath toward its impler | of Resolution A32-19, ers is accelerating its eff up on Legal Aspects of nentation, including the e Assembly in 2004. T | which embodies certain forts to resolve the com CNS/ATM systems with consideration of an inter- | n fundamental principle plex legal issues involv Il finalize the concept of ternational convention. | to GNSS Services", was es applicable to GNSS. yed and will continue to of a contractual framewo The results of the worl inlawful interference wi | provide guidance at g ork for CNS/ATM syst of the Group will be | lobal level. The tems and provide a reported to the next |