



# State Action Plan Development Process

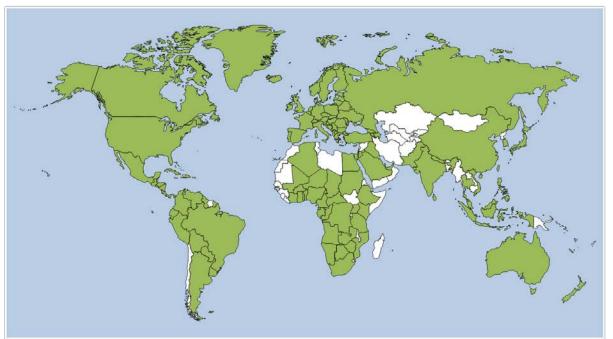
**ICAO** Environment







## **Current Status**



10 years of action towards Green Aviation 129 States
representing
>98% of global RTK
have submitted a State
Action Plan to ICAO

Global SAP Submissions /



- States Submitted Once
- States Updated
- States left to submit



### **State Action Plans**

- State Action Plans are a voluntary planning and reporting tool for States to communicate information on their activities to address CO<sub>2</sub> emissions from international civil aviation to ICAO
  - A living document that should be updated at least every three years
- To provide a big picture view of the State's activities
- For States
  - Opportunity to identify measures that will improve fuel efficiency and reduce emissions
- For ICAO
  - Assess future progress toward the achievement of ICAO global aspirational goals





#### The State Action Plan Process

#### The State:

 Designates a State Action Plan Focal Point and communicates their contact information to ICAO

#### The Focal Point:

- Coordinates with ICAO
- Establishes a National Action Plan Team
- Develops the State Action Plan and submits the document to ICAO







#### **State Action Plan Minimum Contents**

- 1 State Action Plan Focal Point contact information
  - Baseline scenario international fuel consumption, CO<sub>2</sub> emissions and traffic data projected to 2050 (without action)
    - List of selected emissions mitigation measures
      - Expected results international fuel consumption and CO<sub>2</sub> emissions projected to 2050 (with the actions in #3)
        - 5 Assistance needs (if needed)



Doc 9988 Chapter 3

APER, EBT, ICEC

## **Baseline Scenario**

 The baseline scenario describes the historic evolution of fuel consumption, CO<sub>2</sub> emissions, and traffic in the State and the expected future evolution in the absence of action

## Key points:

- Differentiating between international and domestic emissions
- Data from all air carriers can be aggregated
- Understood to be an estimation only
- Not the same as the CORSIA baseline



**Annex 16, Volume IV** 

Doc 9988 Chapter 3

APER, EBT, ICEC

## Differentiating between international and domestic emissions

- International flight: the operation of an aircraft from take-off at an aerodrome of a
  State or its territories, and landing at an aerodrome of another State or its territories.
- **Domestic flight:** the operation of an aircraft from take-off at an **aerodrome of a State** or its territories, and landing at an **aerodrome of the same State** or its territories.
- Methodologies to account for the CO<sub>2</sub> emissions attributed to international flights:
  - a) ICAO: each State reports the CO<sub>2</sub> emissions from the international flights operated by aircraft registered in the State (State of Registry)
  - b) IPCC: each State reports the CO<sub>2</sub> emissions from the international flights departing from all aerodromes located in the State or its territories (State of Origin)



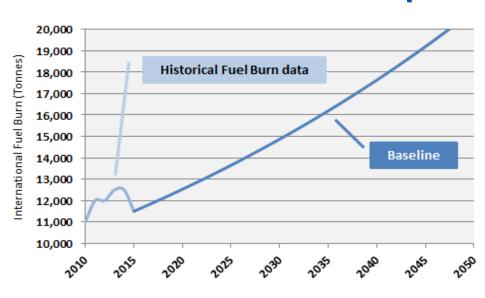


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Example	

Example							
	Historic						
Year	RTK * ('000)	Fuel Burn (tonnes)	Fuel efficiency				
2010	25'000	11'000	0.440				
2011	30'000	12'000	0.400				
2012	32'000	12'000	0.375				
2013	33'000	12′500	0.379				
2014	32'000	12'500	0.391				
2015	30'000	11′500	0.383				

## **Baseline Scenario Example**



<sup>\*</sup> Revenue-Tonne Kilometre (RTK) = revenue load (persons and cargo) in tonnes (t) \* distance flown in kilometres (km) RTK represents a measure of the size of air transport





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## **Mitigation Measures**

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APER, EBT, ICEC

- Selection of measures and quantifying their expected results
  - Review of the basket of measures, their feasibility and emissions reduction potential
  - Prioritization and selection of mitigation measures
  - Quantifying the effects on fuel consumption and CO2 emissions from the measures selected



## **Basket of CO<sub>2</sub> mitigation measures**

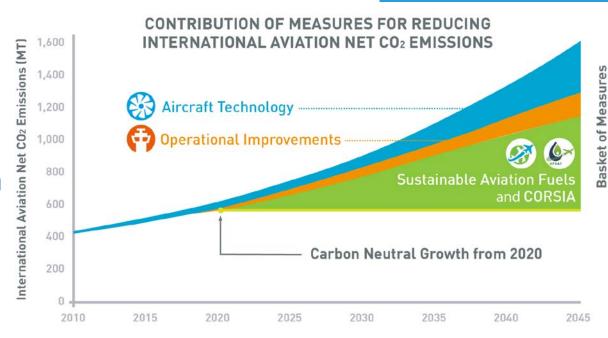
Aircraft technology	First-ever global CO <sub>2</sub> certification Standard for new types and in- production aeroplanes. Fast-paced innovation (new designs, composite materials, hybrid-electric aircraft, renewable energy sources, etc.).	
Operational improvements	CO <sub>2</sub> benefits from air traffic management; air navigation; green airports; etc.	(( <del>\(\)</del> ))
Sustainable aviation fuels	Around 200,000 commercial flights with drop-in aviation fuels; 8 conversion processes; 9 airports distributing drop-in aviation fuels	
Market-based measures	Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA)	



## The Basket of Measures

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APER, EBT, IFSET, MACC

- Aircraft Technology
- Operational Improvements
- Sustainable Aviation Fuels (SAF)
- Market-Based Measures



→ Select measures and quantify their expected results: feasibility, emissions reduction potential, prioritization of measures, quantification of fuel & CO2 reduction results

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EBT, MACC

## **Selection of Mitigation Measures**

- The Focal Point should always work in collaboration with the National Action Plan Team
- Context is key for the selection of appropriate mitigation measures







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## **Expected Results**

 The expected results provide the estimated fuel consumption and CO<sub>2</sub> emissions with the implementation of the selected mitigation measures from the latest available year to 2050.

#### It should:

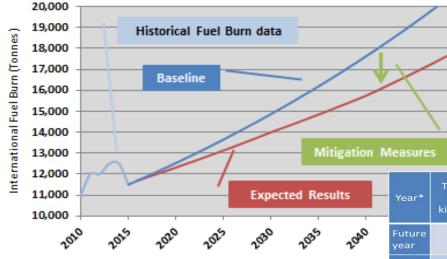
- Project fuel consumption, emissions, and traffic for the same future years provided in the baseline scenario; and
- Quantify the effect of the selected mitigation measures.



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## **Expected Results**



#### Baseline scenario

List of Mitigation Measures

#### **Expected Results**

Year*	Total RTKs (tonne- kilometres)	International RTKs* (tonne- kilometres)	Total fuel (litres)	International fuel (litres)*	Total CO <sub>2</sub> emissions (metric tonnes)	International CO <sub>2</sub> emissions* (metric tonnes)
Future year						
2020						
Future year						
2050						

\*Minimum data to be entered.

Note: the future years should match the baseline's future years.

Note: the traffic data (RTK) may not be identical to the baseline. Some measures may enable an increase in traffic or aim to reduce demand.





#### **Quantification within State Action Plans**

- Including quantified information within State Action Plans ensures that:
  - Your State develops a clear understanding of the share and projections of international aviation CO<sub>2</sub> emissions
  - ICAO can assess progress towards the global aspirational goals
- ICAO has developed a range of tools to support the quantification of the State Action Plans

**A40-18, para 11** – ... **the action plans should include** information on the basket of measures considered by States, reflecting respective national capacities and circumstances, **quantified information** on the expected environmental benefits from the implementation of the measures chosen from the basket, and information on any specific assistance needs;





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Doc 9988 Chapter 5

### **Assistance Needs**

- Clearly define the assistance needed to implement mitigation measures and to achieve the expected results
  - Technical, financial, research, training/capacity building
- Could facilitate support from other government entities, financial institutions, potential future ICAO assistance projects



## **Data confidentiality**

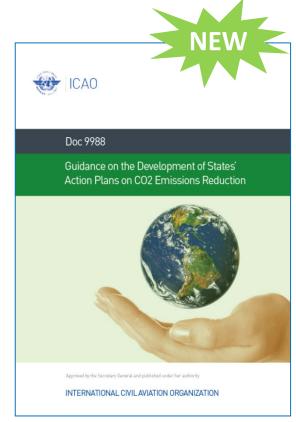
- To protect confidentiality, the State may elect not to make certain data publicly available, or aggregate/de-identify the data before including it in the action plan
- In the event that confidential data is collected (e.g., from individual air carriers or on specific international routes), appropriate procedures should be followed by the State for the designation and treatment of such information in accordance with the applicable national legislation and regulations
- A State could improve transparency by explaining in its action plan how confidential information has been treated
- Action plans are submitted to ICAO on a confidential basis. They are <u>only published on the ICAO</u>
   <u>public website at the request of the State</u>
- Assembly Resolution A40-18 "Encourages States (...) to make the submitted action plans available to the public, taking into account the commercial sensitivity of information contained in States' action plans;", in which case States can anonymize data, e.g. by aggregating/de-identifying the data before including it in the action plan





#### **ICAO Doc 9988**

- Guidance on the Development of States' Action Plans on CO<sub>2</sub> Emissions Reduction Activities
  - Describes what a State Action Plan should include and provides a step-by-step guide on how to develop it
- More details about everything presented in this Seminar can be found in this document
  - Overview and introduction
  - Baseline calculation
  - Mitigation measures and expected results
  - Implementation and assistance
  - Appendix with examples and detailed information





## **Next Steps**

- Further engage with States to support the submission of quantified State Action Plans in 2023.
  - States will be called upon to submit or update their State Action Plans in preparation for assessing global progress towards Carbon Neutral Growth from 2020.
  - This information can also feed into ICAO's work on assessing the feasibility of a long-term aspirational goal for international aviation.
- Update ICAO Doc 9988, the APER website and the other ICAO tools.
- Continue to explore means to facilitate States' access to financial resources through new possible partnerships.

## **No Country Left Behind**





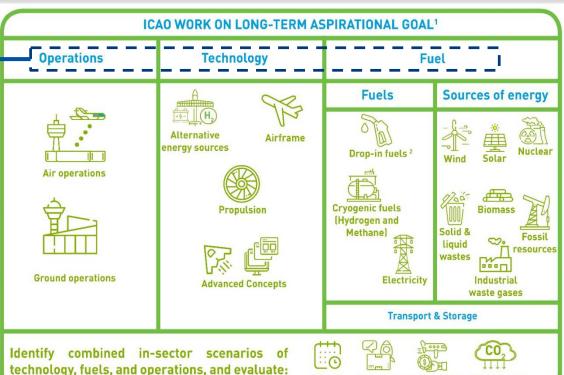
## Interactions between Long-Term Aspirational Goal (LTAG)

& State Action Plan (SAP)

"In-sector" measures from the basket of measures

- LTAG work is assessing both existing and innovative in-sector emissions reductions measures.
- SAP → LTAG: source of information, experiences and good practices to be shared (bottom up)
- LTAG 

  SAP: source of inspiration for you to build your next State Action Plan (top down)



 $^1$ This work should identify and evaluate existing, foreseen, and innovative in-sector measures in technology, fuels and operations, and their enablers, including information of probable costs. This will assist in identifying gaps, and information and expertise needed, in order to complete a thorough assessment of all in sector  ${\rm CO_2}$  reductions for international aviation. This should include timing, readiness, attainability and the quantity of  ${\rm CO_2}$  reduction possible, based on a feasible roll out into the aviation sector.  $^2$  Sustainable Aviation Fuels (SAF), Low Carbon Aviation Fuels (LCAF), E-Fuels. Icons made by Freepik from www.flaticon.com

Readiness Attainability CO, reduction





## **Stocktaking Process**

## LTAG work



- Questionnaires
- Open and inclusive

All ICAO Member States and relevant stakeholders are invited to <u>submit a</u>

<u>Stocktaking Questionnaire</u> to share information on measures they implement or plan to implement

 $\stackrel{}{\searrow}$ 

1 - Data Gathering

All publically-available SAPs will be assessed within the context of the LTAG work

BOTTOM UP APPROACH

**State Action Plans** 



## **Stocktaking Process**

## LTAG work



- Questionnaires
- Open invitation



1 - Data Gathering

- TOP DOWN APPROACH
- Work on LTAG and Stocktaking: great source of inspiration for you to build your next State Action Plan
- Submitted questionnaires from stakeholders in your State may also provide new or updated quantified information that may be relevant for the State Action Plan
  - → important role of the SAP Focal Point in coordinating with national Stakeholders

State Action Plans





## **Including New Activities in a State Action Plan**

- The adoption of new measures or technologies may require coordination with new stakeholders
  - Research organizations and academia
  - Start-up companies
  - Energy suppliers
  - Battery producers
  - New fuel suppliers
  - Waste management companies
  - NGOs and local communities





















## **Publically-available State Action Plans**

- States that have granted ICAO permission to make their State Action Plan publically-available are posted on:
  - https://www.icao.int/environmental-protection/Pages/ClimateChange\_ActionPlan.aspx
- States are encouraged to make their SAP publically available
  - Showcases your State's commitment to environmental actions
  - Provides an example for States that have not yet developed a SAP
  - Ensures that your State's information will be considered within:
    - ICAO Work on the Feasibility of a Long-Term Aspirational Goal (LTAG) for International Aviation





## **In Summary**

- ICAO encourages all Member States to develop a State Action Plan and keep it up-to-date – every 3 years – NEXT UPDATE 2023
- State Action Plans provide States an opportunity to identify measures that will improve fuel efficiency and reduce emissions
- Assembly encourages robust and quantified State Action Plans allow ICAO to assess future progress toward the achievement of ICAO global aspirational goals
- Prompt the exchange of information between national stakeholders to facilitate the implementation of mitigation measures





#### **Conclusions**

- This 10-year anniversary is an opportunity to encourage all States to develop and update their fully quantified State Action Plans
- Robust State Action Plans could provide an opportunity for States to access green financing
- ICAO will continue to pursue the establishment of additional assistance projects
- ICAO will continue to explore **innovative green measures** for use in State Action Plans













## Three "in-sector" measures aligned with the Global Coalition for Sustainable Aviation

In-sector aviation CO2 emissions reduction initiatives - Tracker tool

Updates on recent **in- sector** aviation CO<sub>2</sub>
emissions reduction
initiatives is
continuously monitored
through the Tracker
tool





The main objective of the ICAO Global Coalition for Sustainable Aviation is to promote the sustainable growth of international aviation.

As part of the Coalition, the ICAO in-sector aviation CO2 emissions reduction initiatives tracker tool provides a variety of information related to initiatives to reduce the environmental footprint of aviation, including details on past and ongoing measures and initiatives.

The tracker tool has three main streams: Technology, Operations and Sustainable Aviation Fuels.







Sustainable Aviation Fuels

www.icao.int/ENV

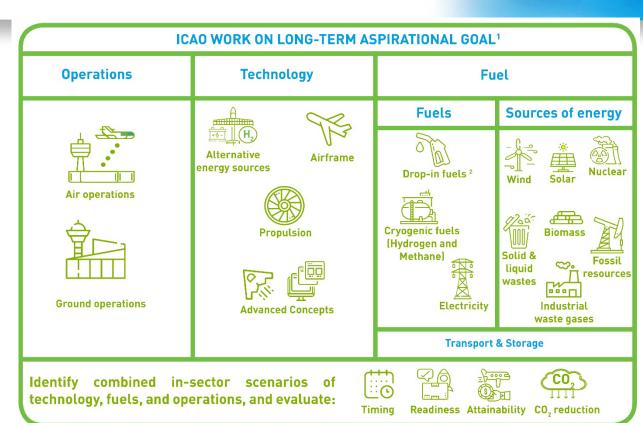






# Considering New Actions within the Basket of Measures

- New activities are arising which could further reduce aviation emissions
- Focused on assessing the three in-sector emissions reductions measures



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