

RECONNECTINGTHEWORLD

CORSIA MRV system:

Monitoring, Reporting and Verification of

ICAO Secretariat







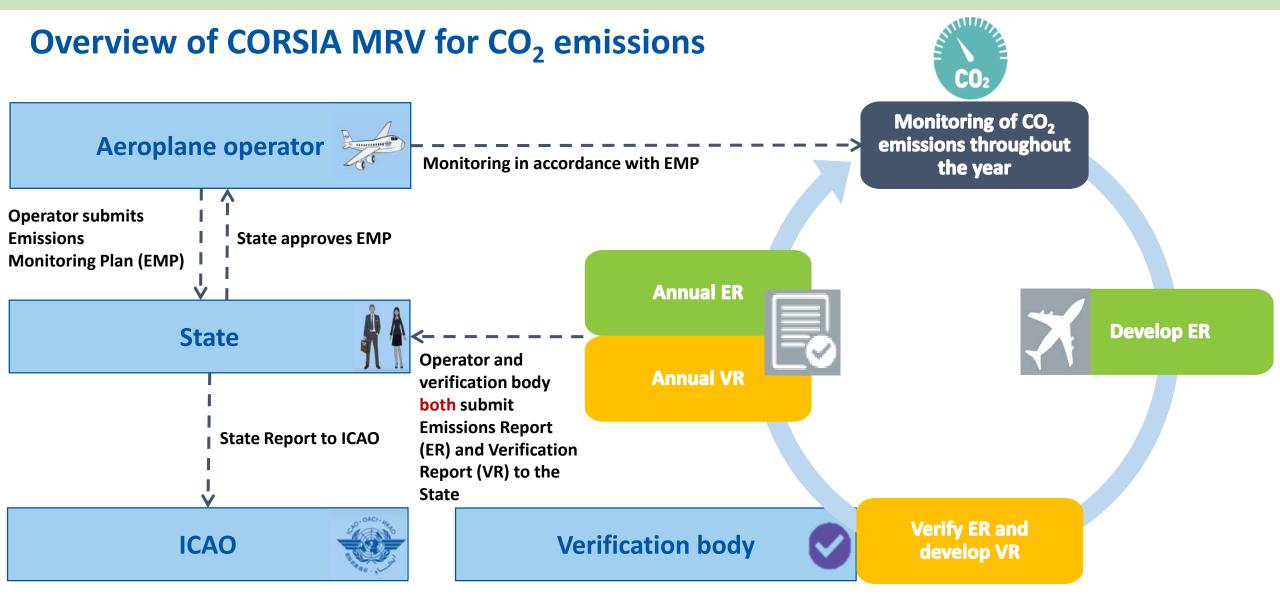
CORSIA MRV Requirements

- Under CORSIA, the MRV of CO₂ emissions has to be undertaken if these are generated:
 - From an operator that produces annual CO₂ emissions greater than 10,000 tonnes
 - From international operations on or after 1 January 2019
 - From the use of an aeroplane with a maximum certificated take-off mass of greater than 5,700 kg
 - With the exception of humanitarian, medical and firefighting operations

Reference: Annex 16, Volume IV, Part II, Chapter 2, 2.1









States' Reporting Requirements



List of attributed aeroplane operators



List of accredited verification bodies



CO₂ emissions



CORSIA eligible fuels



Cancelled emissions units



Timing of Reporting

Information type	Baseline		Pilot Phase			First Phase		
Information type	2019	2020	2021	2022	2023	2024	2025	2026
Aeroplane Operators	✓	✓	✓	✓	✓	✓	✓	✓
Verification Bodies	√	✓	✓	✓	✓	✓	✓	✓
CO ₂ Emissions		√ 2019 data	√ 2020 data	√ 2021 data	√ 2022 data	√ 2023 data	√ 2024 data	√ 2025 data
CORSIA Eligible Fuels*		Optional 2019 data	Optional 2020 data	√ 2021 data	√ 2022 data	√ 2023 data	√ 2024 data	√ 2025 data
Cancelled Emissions Units							√ 2021-2023 data	

^{*} Information can be reported annually or once at the end of each three-year cycle.



Standards and Recommended Practices for the MRV of CO₂ emissions are included in

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Annex 16, Volume IV, Part II, Chapter 2

- 2.1 Applicability of MRV requirements
- 2.2 Monitoring of CO₂ emissions (+ Appendices 2, 3, 4)
- 2.3 Reporting of CO₂ emissions (+ Appendix 5)
- 2.4 Verification of CO₂ emissions (+ Appendix 6)
- 2.5 Data gaps
- 2.6 Error correction to Emissions Reports

CHAPTER 2. MONITORING, REPORTING AND VERIFICATION (MRV) OF AEROPLANE OPERATOR ANNUAL CO. EMISSIONS

2.1 Applicability of MRV requirements

Note.— See also Chapter 1 for administration requirements of the State and aeroplane operator

- 2.1.1 The Standards and Recommended Practices of this Chapter shall be applicable to an aeroplane operator that produces annual CO₂ emissions greater than 10 000 tonnes from the use of an aeroplane(s) with a maximum certificated take-off mass greater than 5 700 kg conducting international flights, as defined in 1.1.2, on or after 1 January 2019, with the exception of humanitarian, medical and firefighting flights.
- 2.1.2 Recommendation.— When considering whether a flight is international or domestic, an aeroplane operator and a State should use, for the purpose of this Volume, Doc 7910 Location Indicators, which contains a list of aerodromes and the State they are attributed to. Further guidance material is also provided in the Environmental Technical Manual (Doc 9501), Volume IV Procedures for demonstrating compliance with the Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA).
- 2.1.3 The Standards and Recommended Practices of this Chapter shall not be applicable to international flights, as defined in 1.1.2, preceding or following a humanitarian, medical or firefighting flight provided such flights were conducted with the same aeroplane, and were required to accomplish the related humanitarian, medical or firefighting activities or to reposition thereafter the aeroplane for its next activity. The aeroplane operator shall provide supporting evidence of such activities to the verification body or, upon request, to the State.
- 2.1.4 The Standards and Recommended Practices of this Chapter shall be applicable to a new entrant aeroplane operator from the year after it meets the requirements in 2.1.1 and 2.1.3.
- 2.1.5 Recommendation.— If the aeroplane operator is close to the threshold of annual CO₂ emissions, as defined in 2.1.1 and 2.1.3, from international flights, as defined in 1.1.2, it should consider engaging with the State to which it is attributed for guidance. Likewise, the State should carry out oversight of the aeroplane operators attributed to it, and engage with any that it considers may be close to or above the threshold. The aeroplane operator with annual CO₂ emissions below the threshold may choose to voluntarily engage with the State to which it is attributed.

Note.—See Attachment B Figure B-1 for a process flowchart on the determination of the applicability of Chapter 2 to international flights, as defined in 1.1.2.

2.2 Monitoring of CO2 emissions

2.2.1 Eligibility of monitoring method

2.2.1.1 The aeroplane operator shall monitor and record its fuel use from international flights, as defined in 1.1.2 and 2.1, in accordance with an eligible monitoring method as defined in 2.2.1.2 and 2.2.1.3, and approved by the State to which it is attributed. Following approval of the Emissions Monitoring Plan, the aeroplane operator shall use the same eligible monitoring method for the entire compliance period.

ANNEX 16 — VOLUME IV II-2-1 1/1/19



From 1 January 2019...

All <u>aeroplane operators</u> conducting international flights are required to monitor the CO₂ emissions from these flights

All <u>States</u> with operators performing international flights have to establish the monitoring, reporting and verification (MRV) system for CO₂ emissions

- Under CORSIA, the MRV of CO₂ emissions has to be undertaken if these are generated:
 - From an <u>operator</u> that produces annual CO₂ emissions greater than <u>10,000 tonnes</u>
 - From international operations on or after 1 January 2019
 - From the use of an <u>aeroplane</u> with a maximum certificated take-off mass of <u>greater than</u>
 5,700 kg
 - With the exception of humanitarian, medical and firefighting operations



Standardized templates are available in the ICAO CORSIA website to facilitate implementation of the CORSIA MRV system **CORSIA** IMPLEMENTATION

- **Templates** to be used by aeroplane operators:
 - Emissions Monitoring Plan
 - Emissions Report
 - CORSIA eligible fuels supplementary information to the Emissions Report
- **Templates** to be used by verification bodies:
 - Verification Report
- States will report to ICAO through the CORSIA Central Registry (CCR)

Assembly Resolution A40-19









are here!

- Reservation to Resolution A40-19
- SARPs Annex 16 Volume IV
- Environmental Technical Manual Volume IV Templates
- » Templates
- ICAO CORSIA Implementation Elements
- » CORSIA States for Chapter 3 State Pairs
- » ICAO CORSIA CO2 Estimation and Reporting Tool (CERT)
- » CORSIA Eligible Fuels
- » CORSIA Eligible Emissions Units
- » CORSIA Central Registry (CCR)

Additional Material for CORSIA Implementation



ACT >>> CORSIA

- CORSIA Buddy Partnerships
- Model Regulations
- · Frequently Asked Questions
- Brochure and Leaflets
- Videos
- Seminars
- Online Tutorials
- · Background Information







Emissions Monitoring Plan (EMP)

- Collaborative tool between the State and the aeroplane operator:
 - Identifies the most appropriate means and methods for CO₂ emissions monitoring on an operator-specific basis
 - Facilitates the reporting of required information to the State
- Aeroplane operators prepare EMP on the basis of:
 - Annex 16, Volume IV, Appendix 4, detailing EMP contents
 - ETM, Volume IV, section 3.1, containing guidance
 - Template (Excel format) available in the ICAO CORSIA website

CORSIA

EMISSIONS MONITORING PLAN (EMP)

CONTENTS

- 1 Version control of Emissions Monitoring Plan
- 2 Aeroplane operator identification and description of activities
- 3 Fleet and operations data
- 4 Methods and means for calculating emissions
- 4.1 Fuel Use Monitoring Method: Method A
- 4.2 Fuel Use Monitoring Method: Method B
- 4.3 Fuel Use Monitoring Method: Block-off / Block-on
- 4.4 Fuel Use Monitoring Method: Fuel Uplift
- 4.5 Fuel Use Monitoring Method: Fuel Allocation with Block Hour
- 4.6 ICAO CORSIA CO2 Estimation and Reporting Tool (CERT)
- 5 Data management, data flow, control system, risk analysis and data gaps

Template Information

Template provided by:	
Version (publication date):	

Note: For the purpose of this template, international flight is defined as in Annex 16, Volume IV, Part II, Chapter 1, 1.1.2, and Chapter 2, 2.1.

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Emissions Monitoring Plan (EMP)

- States' interaction with operators regarding EMPs is not a "one-shot" that happened in 2019:
 - An operator shall resubmit the EMP to the State for approval if "a material change" is made to the Plan
 - A new entrant shall submit an EMP to the State within three months of being subject to the CORSIA MRV requirements
- Selected examples of material changes to an EMP:

Type of change to an EMP	Material change?	Follow-up actions for an operator and State		
Means of attributing international flights to the operator	Yes	Operator: Resubmit the EMP to State State: Review and re-approve the EMP		
Fuel Use Monitoring Method	Yes	Operator: Resubmit the EMP to State State: Review and re-approve the EMP		
Eligibility to use ICAO CORSIA CERT	Yes	Operator: Resubmit the EMP to State State: Review and re-approve the EMP		



Emissions Monitoring Options

- An aeroplane operator shall monitor and record its fuel use from international flights in accordance with an eligible monitoring method:
 - Five fuel use monitoring methods
 - ICAO CORSIA CO₂ Estimation and Reporting Tool (CERT) –

from 2021-2035 operators can use CERT to estimate and report emissions if their annual emissions from international flights subject to offsetting requirements are < 50 000 tonnes of CO2 annually.



Emissions Monitoring Options – Five Fuel Use Monitoring Methods

Method A

Method B

Block-off / Block-on

Fuel Uplift

Fuel Allocation with Block Hour

- Those operators that are not eligible to use the ICAO CORSIA
 CERT, have five Fuel Use Monitoring Methods to choose from
- An operator can select different methods for different aeroplane types
- Methods represent the most accurate established practices, and are equivalent; there is no hierarchy for selecting a method
- Each method uses different fuel measurement points.
- Specifications of the methods: Annex 16, Volume IV, Appendix 2
- Guidance on the implementation of the methods: ETM, Volume IV (Doc 9501), Chapter 3, 3.1.4

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Emissions Monitoring Options – ICAO CORSIA CERT

CERT ICAO CORSIA CO2 Estimation and Reporting Tool (accessible through the ICAO CORSIA website)

The ICAO CORSIA CERT is a tool to help aeroplane operators estimate and report their international aviation emissions (Annex 16, Volume IV, Appendix 3)

- All operators can use the ICAO CORSIA CERT for a preliminary CO₂ assessment, and for filling in possible data gaps
- Only eligible operators can use the ICAO CORSIA CERT for:
 - Estimating CO₂ emissions; and
 - Populating the Emissions Report template
- ICAO CORSIA CERT is available on the ICAO CORSIA webpage

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Preparation of Emissions Reports by Aeroplane Operators



- CORSIA requires aeroplane operators conducting international flights^(*) to report on related CO₂ emissions information
 - First reporting year: 2019
 - Frequency of reporting: on an annual basis
 - Reporting format: <u>Emissions Report</u>

Annual ER







- Reporting recipient: State
- (*) aeroplane operators that produce annual CO_2 emissions greater than 10 000 tonnes from international flights conducted by aeroplanes with a maximum certificated take-off mass greater than 5 700 kg (with the exception of humanitarian, medical and firefighting flights)



Emissions Report - Rationale

- A means to communicate CO₂ emissions data from aeroplane operator to State
- Purpose of the Emissions Report:
 - Communication mechanism between AO and State for CO₂ emissions data and other info
 - Key document within the CORSIA MRV as it includes all relevant CO₂ emissions data as described in Annex 16, Volume IV, Appendix 5
 - Basis for calculation of operator's offsetting requirements from 2021 onwards

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EMISSIONS REPORT (ER)

CONTENTS

- 1 Aeroplane operator identification and description of activities
- 2 Underlying basic information of the Emissions Report
- 3 Aeroplane fleet and fuel types
- 4 Fuel density
- 5. Reporting
- 5.1 Reporting State pairs
- 5.2 Reporting Aerodrome pairs
- 6 Data gaps

Template Information

Template provided by:	
Version (publication date):	

Note: For the purpose of this template, international flight is defined as in Annex 16, Volume IV, Part II, Chapter 1, 1.1.2, and Chapter 2, 2.1.

Emissions Report – Contents

- Aeroplane operator information
 - Name, contact information, State of attribution, etc.
- Reporting year (year during which emissions were monitored)
 - E.g. 2019 (for the Emissions Report to be prepared in 2020)
- Reference of the aeroplane operator's Emissions Monitoring Plan that is the basis for the emissions monitoring in the reporting year
 - Version number, date of approval, date of validity, date of last update, etc.
- List of operator's aeroplane fleet
 - Applicable to all operator's aeroplanes (with MTOM > 5 700 kg) operating international flights during the reporting year
 - Leased aeroplanes have to be included

Emissions Report – Contents (cont'd)

- Details on use of ICAO CORSIA CERT (if operator is eligible for use of the Tool)
- Standard and / or actual density used to determine the fuel uplift in the reporting year
 - Standard value: 0.8 kg per litre
- Total fuel mass per type of fuel
 - When using ICAO CORSIA CERT, operators will not report this information
- Number of international flights during the reporting period, including:
 - Total number of operator's international flights during the reporting period
 - Breakdown per State pair (minimum information requirement) OR per aerodrome pair*

^{*} During the preparation of the Emissions Monitoring Plan, the operator will be informed by the State of the level of aggregation to be used when reporting on international flights



Emissions Report – Contents (cont'd)

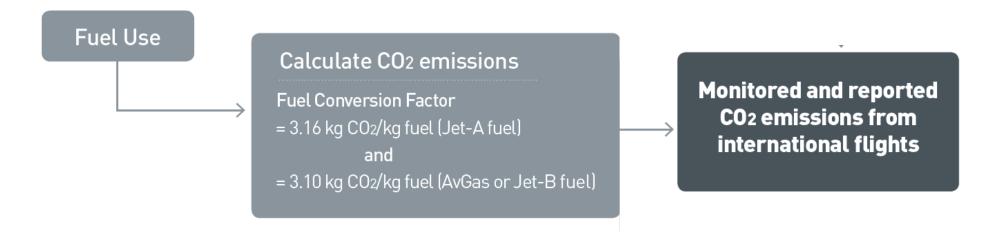
- CO₂ emissions:
 - Total CO₂ emissions from reported flights
 - Breakdown per State pair OR per aerodrome pair (same level of aggregation as for the reporting of the number of international flights)
 - From the start of CORSIA's pilot phase (i.e. reporting of CO_2 emissions for 2021 and beyond), reporting will include sub-totals for flights subject to offsetting requirements and flights not subject to offsetting requirements
- Information on verification body that has verified the Emissions Report
 - Name, contact information



Emissions Report – Contents (cont'd)

• An operator using a Fuel Use Monitoring Method shall determine the CO₂ emissions by using the following equation:

CO₂ Emissions = Mass of fuel * Fuel Conversion Factor of given fuel type



ICAO CORSIA CERT automatically estimates the CO₂ emissions for aeroplane operators who
have been approved to use the CERT



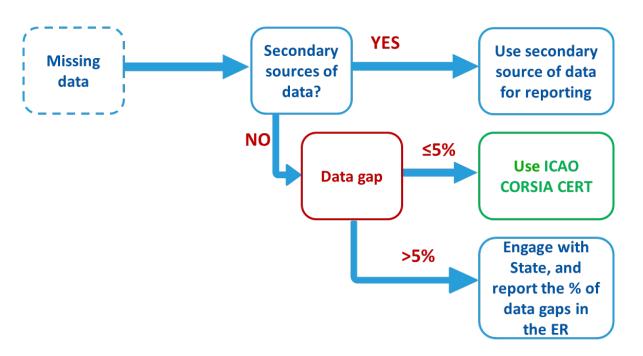
Data gaps in Emissions Reports

- Gaps in emissions-related data can occur due to various reasons (e.g. irregular operations, data feed issues or critical system failures)
- Data gaps can be identified at various stages:
 - By the aeroplane operator when preparing the Emissions Report
 - By the verification body when receiving the Emissions Report submitted by the aeroplane operator
 - It can lead to an Emissions Report being assessed as "not satisfactory"
 - By the State in its review of the verified Emissions Report submitted by the aeroplane operator and the verification body



Data gaps in Emissions Reports

- Actions to address data gaps by the aeroplane operator
 - The aeroplane operator shall fill identified data gaps and correct systematic errors and misstatements prior to the submission of the Emissions Report



- Definition of the data gap threshold:
 - a) 2019-2020 period: 5 per cent of international flights
 - b) 2021-2035 period: 5 per cent of international flights subject to offsetting requirements





Verification in CORSIA - Rationale

- Process to ensure that the information is accurate without errors prior to an aeroplane operator's reporting to State
- Scope of CO₂ emissions verification:
 - Aeroplane operators' annual Emissions
 Reports are subject to verification
 - State reporting to ICAO is not subject to verification
- 3 steps for verification of CO₂ Emissions Report:
 - 1) Pre-verification by aeroplane operator (Recommended)
 - 2) Verification by a verification body
 - 3) State's order-of-magnitude check



Verify ER and develop VR

Verification body



27



Verification Report

- Contents of the VR is provided in the Annex 16, Volume IV, Appendix 6, 3.10.1
 - Includes all verification-related information
- CORSIA specific content:
 - Determination of compliance of the Emissions Report with the Emissions Monitoring Plan
 - Determination of any non-compliances of the Emissions Monitoring Plan with SARPs

CORSIA

Verification Report

CONTENTS

Scope of Verification Report

Identification

Time allocation and scope of the verification

General information

Process and analysis

Conclusions

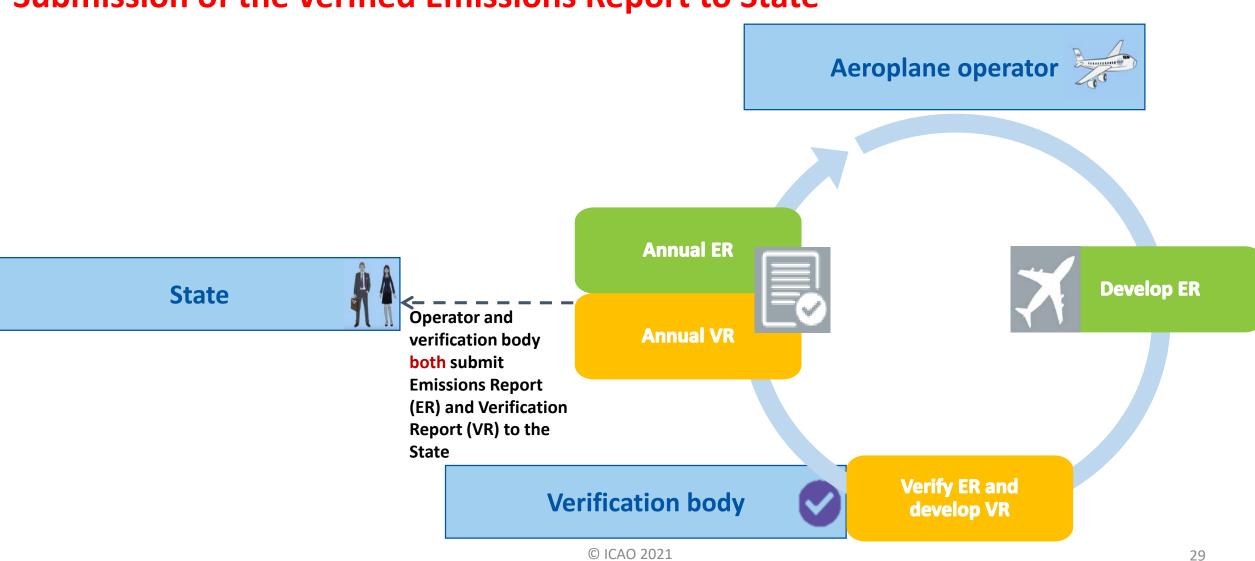
Concluding verification statement

Template Information

Template provided by:	
Version (publication date):	



Submission of the verified Emissions Report to State





State's order of magnitude check of Emissions Reports



Rationale

 The objective of the State's order of magnitude check of an aeroplane operator's Emissions Reports is to assess the completeness of data reported by the operator

• For an operator with an Emissions Report verified as "satisfactory", the order of magnitude check will take approximately 3 hours

Reference: Annex 16, Volume IV, Part II, Chapter 2, 2.4.1



Guidance

- Table 3-9 of the ETM provides checklist for States' order of magnitude check of Emissions Reports
- Main sections:
 - Aeroplane operator
 - **Emissions Report information**
 - Aeroplane fleet
 - **OPTION 1: State pairs**
 - **OPTION 2: Aerodrome pairs**
 - Data gaps
 - Verification body
 - Change of data by State
 - Communication with aeroplane operator
 - Communication with verification body (Example of guidance in ETM, Vol. IV)

No.	Question/Issue	Additional information	Status: OK/Yes/No/ Not Applicable	Notes and results of checks
	Aeroplane operator			
1	Aeroplane operator/verification body both separately submit Emissions Report and Verification Report. Is the content of both submissions identical?	Minimum check: reported fuel consumption and number of flights. Get back to aeroplane operator in case of deviations.		
2	Is the name of the aeroplane operator given and unambiguous?	Ensure unambiguous identification of aeroplane operator. Get back to aeroplane operator in case of uncertainties.		
3	Is there a valid ICAO Designator for aeroplane operating agencies? Does it have the correct character length?	Ensure unambiguous identification of aeroplane operator. Get back to aeroplane operator in case of uncertainties.		
4	Basic information (address, AOC, etc.) plausible?	Ensure unambiguous identification of aeroplane operator. Get back to aeroplane operator in case of uncertainties.		



Example – Check Amount of CO₂ Emissions Reported

- Is the amount of reported CO₂ emissions roughly plausible? (ETM (Doc 9501), Volume IV, Table 3-9, #23)
 - Since emissions factors are fuel type-specific, deviation might lead to implausible amount of calculated emissions

Example:

An aeroplane operator has reported the following information in its ER:

- Total amount of Jet A1 Fuel = 250,000 tonnes (FCF = 3.16 tonnes of CO₂/tonne of fuel)
- Total amount of AvGas = 50,000 tonnes (FCF = 3.10 tonnes of CO₂/tonne of fuel)

You can use this information to calculate the total CO_2 emissions: CO_2 emissions= $(250,000 \times 3.16) + (50,000 \times 3.10) = 790,000 + 155,000 = 945,000 tonnes$



Compare the result with total reported CO₂ emissions



Example – Check Number of Flights per Aeroplane

- Is the given information regarding number of flights plausible? (ETM (Doc 9501), Volume IV, Table 3-9, #24)
- Does the aeroplane operator report more than 3500 flights on an aerodrome pair? (ETM (Doc 9501), Volume IV, Table 3-9, #50)

Example based on reporting Aerodrome pairs:

An aeroplane operator has reported the following information in its ER:

- Total no of flights per year = 7,500
- Total number of aeroplanes = 5

You can use this information to calculate an average number of flights per aeroplane: Average = 7,500 flights / (365 days x 5 aeroplanes) = about 4 flights/aeroplane/day

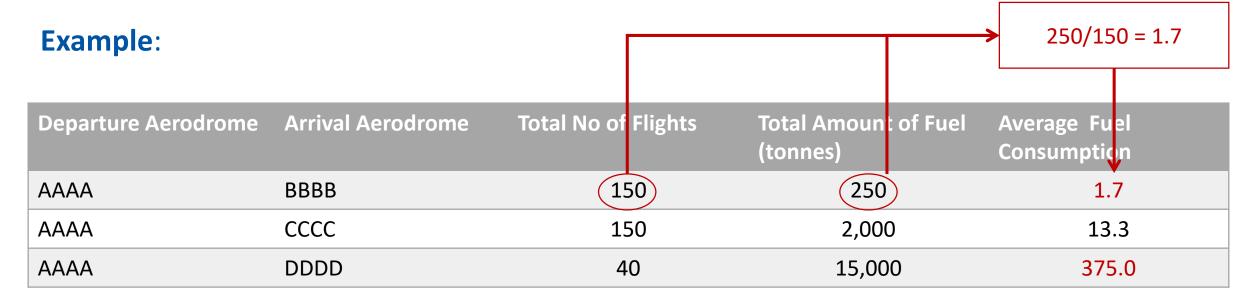


Could be considered as plausible for an operator on short- and mediumhaul flights



Example – Check Fuel Consumption per Flight

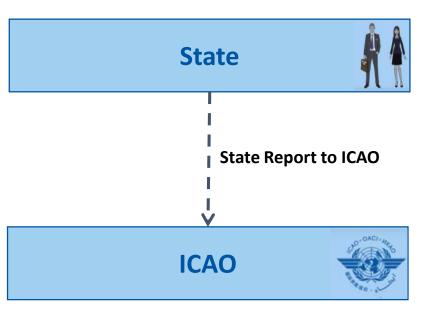
- Are there aerodrome pairs with more than 250 tonnes fuel consumption per flight? (ETM (Doc 9501), Volume IV, Table 3-9, #51)
- Are there aerodrome pairs with less than 2.5 tonnes fuel consumption per flight?
 (ETM (Doc 9501), Volume IV, Table 3-9, #52)





State reporting of CO₂ data to ICAO

- CORSIA requires States with aeroplane operators conducting international flights^(*) to report on related CO_2 emissions information:
 - First reporting year: 2019
 - Frequency of reporting: on an annual basis
 - Reporting recipient: ICAO
 - Reporting through the <u>CORSIA Central Registry (CCR)</u>



(*) aeroplane operators that produce annual CO_2 emissions greater than 10 000 tonnes from international flights conducted by aeroplanes with a maximum certificated take-off mass greater than 5 700 kg (with the exception of humanitarian, medical and firefighting flights)



State reporting of CO₂ emissions to ICAO

- Total annual CO₂ emissions (in tonnes):
 - Per State pair
 - For each State pair, data aggregated for all aeroplane operators attributed to the
 State that conduct operations in that State pair
 - a) For a given State pair, no operator-specific data
 - b) For a given State pair, emissions from operators not attributed to the State are not taken into consideration



Confidentiality of data

- In specific circumstances, an aeroplane operator may request to its State of attribution not to publish data at the aeroplane operator level:
 - If the aeroplane operator operates a very limited number of State pairs
 - If aggregated State pair data may be attributed to an identified aeroplane operator as a result of a very limited number of aeroplane operators conducting flights on a State pair
- Based on received requests, the State will determine whether this data is confidential and will inform ICAO of any reported data deemed confidential

Reference: Annex 16, Volume IV, Part II, Chapter 2, 2.3.1.6, 2.3.1.7 and 2.3.2.3



Data gaps in Emissions Reports

- A State can identify a data gap in its review of the verified Emissions Report submitted by the aeroplane operator and the verification body
 - If this occurs during the State's order of magnitude check of the Emissions Report (i.e. prior to submission of information to ICAO), the State will provide guidance to the operator regarding the way to address the identified data gap
- An aeroplane operator may not provide its annual Emissions Report in accordance with the timeline as defined in Annex 16, Volume IV, Appendix 1
 - If this occurs, the State shall engage with the operator to obtain the necessary information
 - If this proves unsuccessful, then the State shall estimate the operator's annual emissions using the best available information and tools (i.e. ICAO CORSIA CERT)



Data Gaps – CO₂ Emissions

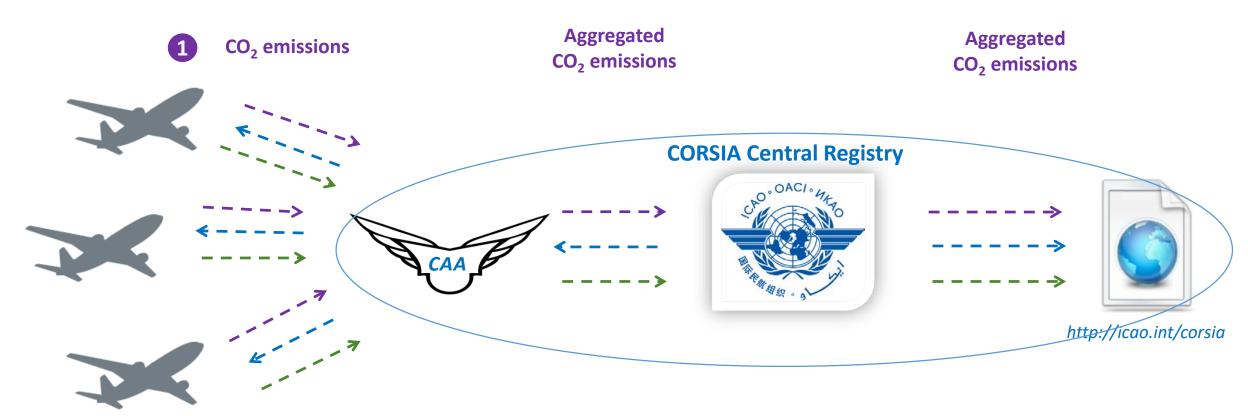
- If a State does not submit CO₂ emissions data through the CCR, then ICAO will provide data in accordance with the provisions of Annex 16, Volume IV
 - The emissions gap is filled using traffic data (ADS-B) and the ICAO CORSIA CERT
 - The data will be used to estimate the total emissions for a specific year, and for the calculation of the Sector's Growth Factor that is used to determine the offsetting requirements of individual operators

Reference: Annex 16, Volume IV, Part II, Chapter 2, 2.5.2.2

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CORSIA General Information Flow



- Offsetting requirements
- Info on cancelled emissions units

Sector's Growth Factor

Aggregated info on cancelled emissions units
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Sector's Growth Factor

Aggregated info on cancelled emissions units



Key Features of the CCR

The CORSIA Central Registry (CCR) has been implemented as an online and user-friendly web application that is hosted using Cloud services

One CCR account per State

- Authorized users only (nominated by the State)
- Access to only one State account

Secure interface

- Password protection Authentication protocol
- Confidential data

Simple info/data entry

- Data entry through predefined forms
- Manual (one by one) and bulk upload possible

Traceability and data integrity

- Time-stamped and recorded actions (who, what, when)
- No deletions; in case of re-submission, archive previous version

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2022 CORSIA Deadlines

Timeline	Responsibility	Action	
1 January to 31 December 2022	Operator	Monitor 2022 CO ₂ emissions from international flights	
1 January to 30 April 2022	Operator and Verification Body	 Operator to compile 2021 CO₂ emissions data Verification body to verify 2021 Emissions Report 	
30 April 2022	Operator and Verification Body	Submit Emissions Report and associated Verification Report to the State of attribution	
1 May 2022 to 31 July 2022	State	Conduct order of magnitude check of verified Emissions Report	
31 July 2022	State	Submit aggregate 2021 CO ₂ emissions data to ICAO through the CCR	
31 October 2022	ICAO	 Publish 2021 CO₂ emissions Publish 2021 SGF 	
30 November 2022	State	Submit to ICAO through the CCR: - List of operators attributed to the State - List of verification bodies accredited in the State	
31 December 2022	ICAO	Publish list of aeroplane operators and list of verification bodies	







For more information, please visit our website: http://www.icao.int/corsia

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