

International Civil Aviation Organization

### Sixth Meeting of MID Region AIM Database Task Force Eighth Meeting of MIDANPIRG AIM Sub-Group MIDAD TF/6 and AIM SG/8

(Virtual meetings, 13 - 15 September 2021)

### **Agenda Item 4:** AIM Planning and Implementation in the MID Region

# OUTCOME OF THE DIGITAL DATASETS IMPLEMENTATION AD-HOC WORKING GROUP (DDI AD-HOC WG)

(Presented by the secretariat)

#### **SUMMARY**

This Paper presents the outcome of the Digital Datasets Implementation Ad-hoc Working Group (DDI Ad-hoc WG).

Action by the meeting is at paragraph 2.

#### REFERENCES

- MIDANPIRG/18 DECISION 18/17: Digital Datasets Implementation Adhoc Working Group

### 1. Introduction

1.1 The meeting may wish to recall that the MIDANPIRG/18 meeting decided through Decision 18/17, that

the Digital Datasets Ad-hoc Working Group (DDI Ad-hoc WG):

- a) is tasked to develop a detailed Regional Implementation Plan for Digital Datasets and update the MID Doc 008, for review by the AIM SG; and
- b) be composed of: Abdulla Hasan AlQadhi (Bahrain), Moataz Abdel Aziz Ahmed (Egypt), Rouhalah Salehi (Iran), Mohammad Hussien Al Anezi (Kuwait), Bassem Ali Nasser (Lebanon), Faisal Al Busaidi (Oman), Pamela Erice (Qatar), Hind A. Almohaimeed (Saudi Arabia), Maram Khaled and Syed Samiullah (UAE); and ICAO MID Office.
- 1.2 The Digital Datasets Ad-hoc Working Group (DDI Ad-hoc WG) kickoff meeting was held virtually on 25 August 2021.
- 1.3 In addition, the Ad-hoc Working Group rapporteur (The secretariat has been provisionally acting as the rapporteur) kept regular contacts with all members through the exchange of emails.
- 1.4 The expert working group has been drafting a new version of the MID Doc 008 Guidance for AIM Planning and Impl in MID and developing a detailed Regional Implementation Plan for Digital Datasets based on the work that has been achieved by the AIM SG/6.

### 2. DISCUSSION

TASK 1 WORK PROGRESS ON DETAILED REGIONAL IMPLEMENTATION PLAN FOR DIGITAL DATASETS

- 2.1 Discussions about the need for a coordinated deployment of the Digital AIS Data Sets in the MID region started with the AIM SG6. A first action agreed by the expert working group consists in a survey of the MID AISP concerning their plans for the provision of the Digital AIS Data Sets specified in the Annex 15 (16th Edition). The survey is provided **in Appendix A** to this WP.
- 2.2 Another important aspect is the need for States to establish a "transition period" in order to give the chance to their AIP subscribers reaching the technical capability for handling digital datasets.
- 2.3 The transition from AIP tables to digital data sets and the impact that this might have on the AIS clients were discussed by the expert working group. It was agreed to use the survey results of the European AIS clients and their readiness for using digital data sets instead of AIP tables in order to make best use of available resources to save a lot of time and to avoid duplication of efforts. The survey results of the European AIS clients is provided in **Appendix B**.

TASK 2 WORK PROGRESS ON MID DOC 008 - GUIDANCE FOR AIM PLANNING AND IMPL IN MID

- 2.4 The expert working group updated the MID Doc 008 Guidance for AIM Planning and Impl in MID to ensure its alignment with the GANP 6th edition as well as taking into account the revised MID Air Navigation Strategy (Doc002) and the updated MID Region AIM Implementation Roadmap.
- 2.5 An updated version of the MID Doc 008 is at **Appendix C**.
- 2.6 The following Draft Conclusion is proposed:

DRAFT CONCLUSION 8/XX: UPDATED GUIDANCE FOR AIM PLANNING AND IMPLEMENTATION IN THE MID REGION (MID DOC 008)

That:

- a) States be urged to review the MID Doc 008 at **Appendix C** and provide the ICAO MID Office of comments/inputs by 1 of December 2021; and
- b) the revised version of MID Doc 008 be presented to MIDANPIRG/19 for endorsement.

### 3. ACTION BY THE MEETING

- 3.1 The meeting is invited to:
  - a) review the outcomes of the DDI Ad-hoc WG;
  - b) elect a rapporteur for The DDI AD-HOC WG;
  - c) agree on the way forward proposed by the DDI Ad-hoc WG for the development of the detailed Regional Implementation Plan for Digital Datasets; and
  - d) endorse the draft conclusion in 2.6.

\_\_\_\_\_

# QUESTIONNAIRE ON STATES' PLAN FOR THE PROVISION OF THE AIS DIGITAL DATA SETS

Please comp (DD/MM/Y)	-	t to ICAOMID by email: <u>icaomid@icao.int</u> no later than
Date:		
State/Organ	nization:	
Completed	by:	
Email addr	ess:	
A. AIP	Data Set	
A.1. as p	Does your State/Organization provided in the AIP?	provide an AIP data set covering the extent of information
	□ Yes,	□ No.
A.2.	If a complete AIP data set is range a subset(s) that are available?	not provided, does your State/Organization provide the AIP
	□ Yes,	□ No.
A.3.	If the answer is "YES" to que	stion A.1 or A.2, indicate:
Prov	vision date: <year quarter=""></year>	Specification / Format:
A.4.	If the answer is "NO" to ques	tion A.1 and A.2, indicate:
Plan	ned date for the provision of AIP	Data Set: <year quarter=""></year>
B. Obs	stacle Data Set for Area 1	
B.1.	Does your State/Organization ire territory of the State?	provide Obstacle Data Set for Area 1 covering the the
	□ Yes,	□ No.
B.2.	If the answer is "YES", indica	ate:
Prov	vision date: <year quarter=""></year>	Specification / Format:
B.3.	If the answer is "NO", indicat	e:

B.4. Planned d	ate for the provision of th	e Obstacle Data Set for	Area 1: <year quarter=""></year>
----------------	-----------------------------	-------------------------	----------------------------------

# C. Obstacle Data Sets for Airports

C.1. Does your State/Organization provide Obstacle Data Set for aerodromes regularly used by international civil aviation covering the following areas?

Areas Area 2a + TKOF flight path + OLS	☐ Yes	□ No	
Areas 2b, 2c, 2d	□ Yes	□ No	
Area 3	☐ Yes	□ No	
Area 4	☐ Yes	□ No	☐ Not applicable

Note.— Take-off flight path areas are specified in Annex 4, 3.8.2. Aerodrome obstacle limitation surfaces are specified in Annex 14, Volume 1, Chapter 4.

C.2. If the answer is "YES", indicate:

	Provision date	Specification / Format:
Areas Area 2a + TKOF flight path + OLS	<year quarter=""></year>	
Areas 2b, 2c, 2d	<year quarter=""></year>	
Area 3	<year quarter=""></year>	
Area 4	<year quarter=""></year>	

C.3. If the answer is "NO", indicate:

	Planned provision date
Areas Area 2a + TKOF flight path + OLS	<year quarter=""></year>
Areas 2b, 2c, 2d	<year quarter=""></year>
Area 3	<year quarter=""></year>
Area 4	<year quarter=""></year>

# D. Instrument Flight Procedures Data Sets

D.1.	Does your State/Organization provide Instrument flight procedure data sets for aerodromes
regula	rly used by international civil aviation?

□ Yes,	□ No.
--------	-------

D.2. If the answer is "YES", indicate:

Provision date: <year/quarter> Specification / Format:

D.3. If the answer is "NO", indicate:

E. Airp	ort Mapping Data Sets	
	Does your State/Organization provide larly used by international civil aviation	de Aerodrome mapping data sets for aerodromes a?
	□ Yes,	□ No.
E.2.	If the answer is "YES", indicate:	
Provi	ision date: <year quarter=""></year>	Specification / Format:
E.3.	If the answer is "NO", indicate:	
	ned date for the provision of the Aerlarly used by international civil aviat	odrome mapping data sets for aerodromes ion: <year quarter=""></year>
F. Terr	rain Data Set - Area 1	
F.1. territ	Does your State/Organization provitory of the State?	de Terrain Data Set for Area 1 covering the entire
	□ Yes,	□ No.
F.2.	If the answer is "YES", indicate:	
Provi	ision date: <year quarter=""></year>	Specification / Format:
F.3.	If the answer is "NO", indicate:	
Planr	ned date for the provision of the Terr	rain Data Set for Area 1: <year quarter=""></year>
G. Terr	ain Data Sets for Airports	
G.1.	Does your State/Organization provid	le Terrain Data Set for aerodromes regularly used by

Areas Area 2a + TKOF flight path + OLS	☐ Yes	□No	
Areas 2b, 2c, 2d	□ Yes	□ No	
Area 3	☐ Yes	□ No	
Area 4	☐ Yes	□ No	☐ Not applicable

G.2. If the answer is "YES", indicate:

international civil aviation covering the following areas?

	Provision date	Specification / Format:
Areas Area 2a + TKOF flight path + OLS	<year quarter=""></year>	
Areas 2b, 2c, 2d	<year quarter=""></year>	
Area 3	<year quarter=""></year>	
Area 4	<year quarter=""></year>	

# G.3. If the answer is "NO", indicate:

	Planned provision date
Areas Area 2a + TKOF flight path + OLS	<year quarter=""></year>
Areas 2b, 2c, 2d	<year quarter=""></year>
Area 3	<year quarter=""></year>
Area 4	<year quarter=""></year>

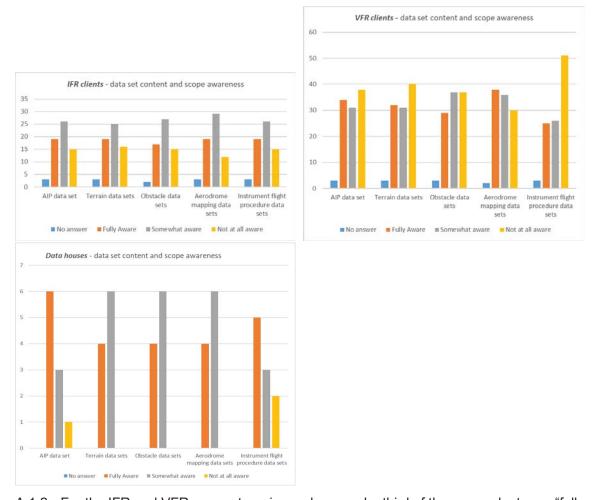
# H. Status

1.	Currently, at what stage of the provision of the AIS Digital Data Sets is your State/Organization?
	Planning phase, specify estimated date to start:/ (MM/YYYY)
	In Progress
	Provision of the AIS Digital Data Sets
	Not Started
I.	Challenges
	What kind of challenges does your State/Organization face in the provision of the AIS Digital Data Sets?
	☐ Regulatory ☐ Technical ☐ Financial ☐ Lack of training and resources ☐ Others
	If others, please indicate them below:
	THANK YOU FOR YOUR TIME

## **Annex A**

#### A.1 DATA SETS AWARENESS

A.1.1 The users were asked to rate their awareness with regard to the intended scope and content of the five categories of digital data sets specified by ICAO Annex 15 and Doc 10066 (which data items need to be included). The following chart shows the answers to this group of questions.

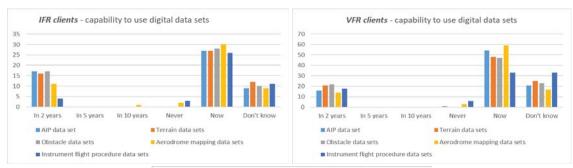


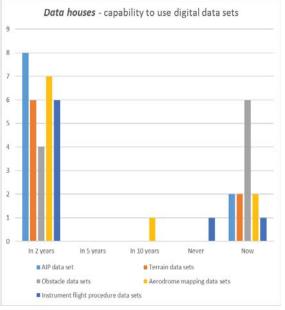
A.1.2 For the IFR and VFR user categories, only around a third of the respondents are "fully aware" of the scope and content of the digital data sets. Obviously, data houses have been the most exposed to the information about the revised Annex 15 and PANS-AIM, therefore this category has the highest awareness rates. Therefore, as detailed specifications are developed and as the digital AIS data sets become available, information about their content and scope should be brought directly to the attention of the end VFR and IFR end users.

### A.2 CAPABILITY TO USE THE DATA SETS

- A.2.1 The users were asked to estimate when they expected to be able to use the digital AIS data sets, considering that they would be available as follows:
  - AIP data set using AIXM 5.1.1 (available in draft status, see www.aixm.aero/confluence), complemented by a standard service which can extract CSV (comma separated values) for specific subjects (such as a list of navaids, waypoints, etc.);

- **IFP data set** using AIXM 5.1.1, complemented by a standard service which can extract CSV for specific subjects (such as a list of legs, FAS Data Block, etc.);
- **Obstacle data set** using AIXM 5.1.1, complemented by a standard service which can extract a list of obstacles in CSV format;
- Terrain data set geoTiFF or Shape, plus metadata
- Airport mapping data set AIXM 5.1.1 and/or AMXM
- A.2.2 The following note was part of the survey form: "The provision of digital AIS data sets in full compliance with the ICAO provisions using earlier AIXM versions, such as AIXM 4.5, is technically not possible because of the limitations of such older versions. For example, AIXM 4.5 lacks support for line and polygon coding for obstacles, it cannot be used to code the PBN data and procedures, etc. A similar comment can be made for other simpler formats, such as CSV, which lack the capability to support the coding of complex aeronautical data structures and associations".
- A.2.3 The following charts show the answers to this group of questions.





A.2.4 Most respondents seem to be capable of and interested in using the digital data sets in the short term (now or in the next two years), with relatively equal interest for the AIP, obstacles, terrain and airport mapping data sets. Obviously, VFR clients are less interested in instrument flight procedures. Data houses show a high interest for obstacle data sets.

#### A.3 DATA FORMAT

A.3.1 The users were asked to indicate their current or near future (within 6 months) capability to use (ingest and process) digital data in AIXM and CSV formats. This also

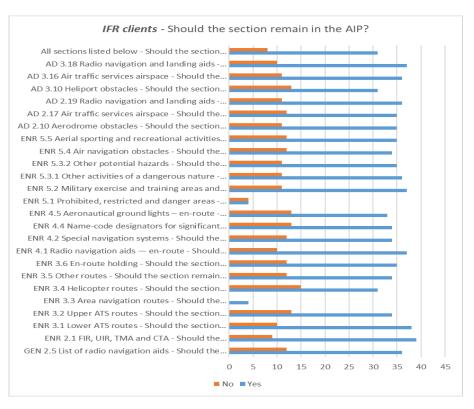
included the possibility to indicate other data coding formats they are able to process. The following chart shows the answers to this group of questions.

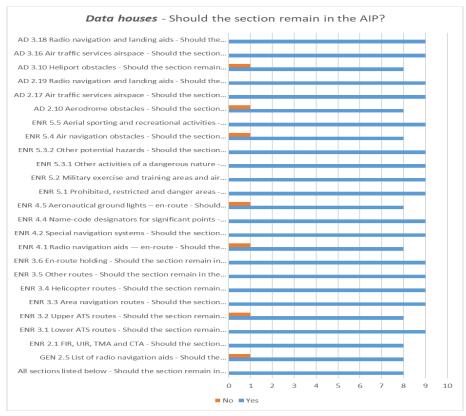


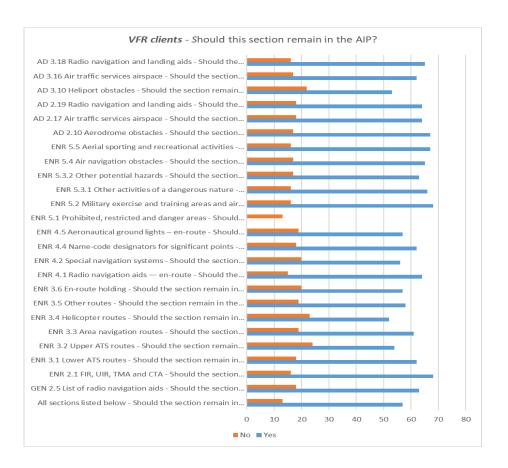
A.3.2 There are no significant differences in the capability to process AIXM 4.5 versus AIXM 5.1 data. The capability to process CSV data is obviously high, in particular for VFR clients, because common office tools (such as Excel) are able to read/write CSV. However, this simple format is unusable for full aeronautical data sets because it cannot represent complex associations and data types, which are necessary for many aeronautical data subjects. Its use is limited to data provided as one table, such as a list of navaids, obstacles, etc. It is relatively simple to extract CSV for specific topics (such as a list of waypoints) from an AIP Data Set provided in AIXM, as demonstrated for the Donlon sample: <a href="https://github.com/aixm/xslt/tree/master/donlon-to-csv">https://github.com/aixm/xslt/tree/master/donlon-to-csv</a>.

### A.4 NEED FOR AIP TABLES

A.4.1 The users were asked to indicate which AIP sections should still remain in an AIP even if the information is available in the digital AIP data set or in digital obstacle data sets. The following chart shows the answers to this group of questions.







A.4.2 Overall, a significant proportion of respondents considers that the AIP should continue to include the tabular data, even if the same data is available in the form of digital data sets. Data houses seem to have a higher than average need for these tables to stay in the AIP, probably due to legal concerns related to the status of the digital data sets. This situation might change once the AIS clients start using the digital data sets and gain confidence in the digital services.

### A.5 NEED FOR COORDINATION AND HARMONISATION

- A.5.1 The users were asked to indicate if it is important that States (both for ECAC and world-wide adopt a common approach and use similar specifications for the provision of the digital data sets. The following questions were asked:
  - Is it important for you that **all ECAC States** use the **same format and coding specification** for the provision of the digital data sets?
  - Is it important is for you that all **States world-wide** use the **same format and coding specification** for the provision of the digital data sets?
  - Is it important for you that all *ECAC States* agree on a *common plan* (start date, deadline, etc.) for the provision of the new *AIP data set*?
  - Is it important for you that all ECAC States agree on a common plan (start date, deadline, etc.) for the provision of the new instrument flight procedures data set?
  - Is it important for you that all *ECAC States* adopt a *similar approach in organising* (for each airport, area concerned, etc.) their obstacle, instrument flight procedures, terrain and/or airport mapping data sets?



A.5.2 There are no significant differences between the expectations of the IFR clients, VFR clients and data houses. A high proportion of them expects a harmonised approach, both in ECAC and at global level.



MID Doc 008

### INTERNATIONAL CIVIL AVIATION ORGANIZATION

MIDDLE EAST AIR NAVIGATION PLANNING AND IMPLEMENTATION REGIONAL GROUP (MIDANPIRG)

# GUIDANCE FOR AIM PLANNING AND IMPLEMENTATION IN THE MID REGION

**EDITION FEBRUARY, AUGUST 20172021** 

The designations employed and the presentation of material in this publication do not imply the expression of any opinion whatsoever on the part of ICAO concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontier or boundaries.

# RECORD OF AMENDMENTS

Edition Number	Edition Date	Description	Pages Affected
0.1	1 September 2015	Initial draft version	All
0.2	7 October 2015	Inputs incorporated by AIM SG/2	All
0.3	April 2016	Change in Doc title; improving order and content of chapters; States comments considered; Reviewed by MSG/5	All
0.4	November 2016	Review by ANSIG/2	All
1.0	February 2017	Endorsed by MIDANPIRG/16	All
1.1	<u>August 2021</u>	Alignment the content with the GANP 6 <sup>th</sup> edition, revised MID Air Navigation  Strategy (Doc002) and the updated MID  Region AIM Implementation Roadmap.  Drafted by DDI AD-HOC WG	<u>All</u>

## TABLE OF CONTENTS

FOREWARD 6
ABBREVIATIONS AND ACRONYMS 7
CHAPTER 1 – ICAO AIM CONCEPT 9
INTRODUCTION 9
TRANSITION FROM AIS TO AIM 9
ICAO ROADMAP FOR THE TRANSITION FROM AIS TO AIM  9
AIS-AIM STUDY GROUP 11
INFORMATION MANAGEMENT PANEL (IMP) 11
CHAPTER 2 – REGIONAL AIM PLANNING 13
REGIONAL ROADMAP FOR AIM IMPLEMENTATION 13
MID REGIONAL AIM IMPLEMENTATION ROADMAP 14
CHAPTER 3 – ASBU METHODOLOGY AND THE MID REGION AIR NAVIGATION STRATEGY (AIM/SWIM RELATED ASBU MODULES) 15
ASBU METHODOLOGY 15
MID REGION AIR NAVIGATION STRATEGY 15
BLOCK 0 AIM RELATED MODULE 15
B0-DATM IMPLEMENTATION 15
AERONAUTICAL INFORMATION EXCHANGE MODEL (AIXM) 18
ELECTRONIC AIP (EAIP) 18
QUALITY MANAGEMENT SYSTEM (QMS) 19
WORLD GEODETIC SYSTEM-1984 (WGS-84) 20
ELECTRONIC TERRAIN AND OBSTACLE DATASET (ETOD) 20
AIM/SWIM RELATED MODULES 21
CHAPTER 4 – AIM NATIONAL PLANNING AND IMPLEMENTATION 23
AIM NATIONAL PLANNING 23
IMPLEMENTATION OF A SYSTEM FOR AIRAC ADHERENCE MONITORING  23
AID NAVICATION DEFICIENCIES 24

HUMAN RESOURCE AND TRAINING 25	
CHAPTER 5 -REPORTING AND MONITORING	26
MID EANP VOL III 26	
REGIONAL PERFORMANCE DASHBOARD	26
MID REGION AIR NAVIGATION REPORT	26
DEVELOPING A METHODOLOGY FOR REPIMPLEMENTATION 26	ORTING THE PROGRESS OF AIM
METHODOLOGY FOR ASSESSING AND REI FROM AIS TO AIM 28	PORTING THE PROGRESS OF TRANSITION
APPENDICES 32	
APPENDIX A – NATIONAL AIM IMPLEMENTATION	ROADMAP TEMPLATE33
APPENDIX B – AIRAC ADHERENCE MONITORING	35
APPENDIX C – SAMPLE STATE'S CORRECTIVE AC	TION PLAN 36

REFERENCES 37

# TABLE OF CONTENTS

FOREWORD		<u>8</u> 7
ABBREVIATION	IS AND ACRONYMS	<u>9</u> 8
CHAPTER 1.	ICAO AIM CONCEPT	<u>11</u> 10
1.2.1. ICAO RO	ADMAP FOR THE TRANSITION FROM AIS TO AIM	<u>11</u> 10
.1.2.2 INFORM	NATION MANAGEMENT PANEL (IMP)	<u>14</u> 12
CHAPTER 2.	REGIONAL AIM PLANNING	<u>17<del>13</del></u>
CHAPTER 3. THREADS/ELEN	ASBU METHODOLOGY AND THE MID AIR NAVIGATION STRATEGY(AIM/SWIM RELATIONS)	
3.5.1. DESCRIPT	TION AND PURPOSE:	<u>26<del>20</del></u>
3.5.2. MAIN PE	RFORMANCE IMPACT:	<u>26<del>21</del></u>
3.8.1. DAIM –B	1/1 PROVISION OF QUALITY-ASSURED AERONAUTICAL DATA AND INFORMATION	<u>30<del>22</del></u>
3.8.1.1 QUALIT	Y MANAGEMENT SYSTEM (QMS)	<u>30<del>23</del></u>
3.8.1.2 WORLD	GEODETIC SYSTEM-1984 (WGS-84)	<u>31</u> 23
3.8.1.3 IMPLEN	TENTATION OF A SYSTEM FOR AIRAC ADHERENCE MONITORING	<u>32</u> 24
3.8.1.4 AIXM-B	ASED AIS DATABASE	<u>34</u> 26
3.8.1.5 AERON	AUTICAL INFORMATION EXCHANGE MODEL (AIXM)	<u>35</u> 26
3.8.1.6 FORMA	L ARRANGEMENTS WITH AIS DATA ORIGINATORS	<u>35</u> 27
3.8.1.7 PROVIS	ION OF DIGITAL TERRAIN AND OBSTACLE DATA SETS	<u>39</u> 27
3.8.2. DAIM –B	1/3 PROVISION OF DIGITAL TERRAIN DATA SETS	<u>40</u> 28
3.8.2.1 TERRAII	N DATA - AREA 1	<u>40</u> 28
3.8.2.2 TERRAII	N DATA - AREA 2	<u>40</u> 28
3.8.2.3 TERRAII	N DATA - AREA 3	<u>41</u> 29
3.8.2.4 TERRAII	N DATA - AREA 4	<u>41</u> 29
3.8.3. DAIM –B	1/4 PROVISION OF DIGITAL OBSTACLE DATA SETS	<u>41</u> 29
3.8.3.1 OBSTAC	CLE DATA - AREA 1	<u>42<del>29</del></u>
3.8.3.2 OBSTAC	CLE DATA - AREA 2	<u>42</u> 30
3.8.3.3 OBSTAC	CLE DATA - AREA 3	<u>44</u> 31
3.8.3.4 OBSTAC	CLE DATA - AREA 4	<u>44<mark>32</mark></u>
CHAPTER 4.	AIM NATIONAL PLANNING AND IMPLEMENTATION	<u>48</u> 33
CHAPTER 5.	REPORTING AND MONITORING	<u>52</u> 35
APPENDICES		5841

APPENDIX A - NATIONAL AIM IMPLEMENTATION ROADMAP TEMPLATE	. <u>59</u> 42
APPENDIX B - AIRAC ADHERENCE MONITORING	. <u>64</u> 46
APPENDIX C - SAMPLE STATE'S CORRECTIVE ACTION PLAN	. <u>65</u> 47
REFERENCES	.6648

### **FOREWORD**

The "Guidance for AIM Planning and Implementation in the MID Region" has been developed to harmonize transition from AIS to AIM in the MID Region and to addresses Global and Regional issues related to planning and implementation of Aeronautical Information Management. This Regional AIM Guidance material explains concept and operational elements of AIM; outlines the Regional and National AIM Roadmaps; and provides guidance and tools for their implementation at the Regional and National levels.

This Document consolidates updates and supersedes all previous guidance materials on the AIM implementation in the MID Region (National AIM Roadmap Template, Regional AIM Roadmap, etc.). The "Guidance for AIM Planning and Implementation in the MID Region" will be reviewed and updated, whenever deemed necessary, by the AIM Sub-Group.

First edition of the Document, developed by the ICAO MID Regional Office, was endorsed by MIDAPIRG/16 (Kuwait, 13-16 February 2017).

The Document was prepared in accordance with ICAO provisions related to AIM, the Global Air Navigation Plan, Aviation System Block Upgrades (ASBU) methodology, MID Region Air Navigation Plan and the MID Region Air Navigation Strategy, in addition to the twelfth Air Navigation Conference (AN-Conf/12) Recommendation 3/8 related to AIM. States are invited to take necessary measures to implement provisions of this document and notify their experiences and practices related to transition from AIS to AIM.

### ABBREVIATIONS AND ACRONYMS

The abbreviations and acronyms used in this document along with their expansions are given in the following List:

AI Aeronautical Information

AICM Aeronautical Information Conceptual Model

AIP Aeronautical Information Publication

AIRAC Aeronautical Information Regulation and Control

AIS Aeronautical Information Services

AIS-AIM SG AIS to AIM Study Group

AIM Aeronautical Information Management

AIM SG Aeronautical Information Management Sub-Group

AIXM Aeronautical Information Exchange Model

AN-Conf/11 Eleventh Air Navigation Conference

AN-Conf/12 Twelfth Air Navigation Conference

ANP Air Navigation Plan

ANSP Air Navigations Services Provider

ASBU Aviation System Block Upgrade

ATM Air Traffic management

CBTA Competency-based training and assessment

eAIP electronic Aeronautical Information Publication

eANP electronic Air Navigation Plan

eTOD electronic Terrain and Obstacle Data

GANP Global Air Navigation Plan

GANR Global Air Navigation Report

GIS Geographic Information System

GML Geography Markup Language

IM Information Management

IMP Information Management Panel

ISO International Organization for Standardization

MET Meteorology

MIDAD MID Region AIM Database

MIDANPIRG Middle East Air Navigation Planning and Implementation Regional Group

MIL Military

MSG MIDANPIRG Steering Group

PBN Performance-Based Navigation

QMS Quality Management System

RWY Runway

SARPs Standards and Recommended Practices

SMART Specific, Measurable, Achievable, Relevant and Timely

SWIM System Wide Information Management

TOD Terrain and Obstacle Data

TORs Terms of Reference

UML Unified Modelling Language

WGS-84 World Geodetic System-1984

XML Extensible Markup Language

### **CHAPTER 1**

# CHAPTER 1. ICAO AIM CONCEPT

### 1.1. Introduction

The Eleventh Air Navigation Conference (AN-Conf/11) held in Montréal, 22 September to 3 October 2003, endorsed the Global ATM Operational Concept (Doc 9854) and recognized that, in the global air traffic management (ATM) system environment envisioned by the operational concept, aeronautical information service (AIS) would become one of the most valuable and important enabling services. As the global ATM system foreseen in the operational concept was based on a collaborative decision-making environment, the timely availability of high-quality and reliable electronic aeronautical, meteorological, airspace and flow management information would be necessary. Some recommendations of AN-Conf/11 addressed the importance of aeronautical information in particular.

Aeronautical Information Management (AIM) during its evolution has been defined as the provision of the right Aeronautical Information (quality assured), at the right place (through digital exchange), and at the right time (timeliness). ICAO Annex 15 defines AIM as the *dynamic*, *integrated management of aeronautical information through the provision and exchange of quality-assured digital aeronautical data in collaboration with all parties*.

The Twelfth Air Navigation Conference (AN-Conf/12) held in Montréal, 19 to 30 November 2012, through Recommendation 3/8, supported and pushed:

- Transition from AIS to AIM by implementing a fully automated digital aeronautical data chain;
- Implementing necessary processes to ensure the quality of aeronautical data; and
- Engage in intraregional and interregional cooperation for an expeditious transition from AIS to AIM in a harmonized manner and to using digital data exchange and consider regional or subregional AIS databases as an enabler for the transition from AIS to AIM information from the origin to the end users

### 1.2. TRANSITION FROM AIS TO AIM

# 1.2.1. ICAO ROADMAP FOR THE TRANSITION FROM AIS TO AIM

The aeronautical information/data based on paper and telex-based text messages can not satisfy anymore the requirements of the ATM integrated and interoperable system. AIS is required to evolve from the paper product-centric service to the data-centric aeronautical information management (AIM) with a different method of information provision and management.

ICAO published in 2009 the "Roadmap for the transition from AIS to AIM". The changes foreseen are such that this development is being referred to as the transition from aeronautical information services (AIS) to aeronautical information management (AIM). It identifies the major milestones recommended for a uniform evolution across all regions of the world and specific steps that need to be achieved for implementation.

The Roadmap envisaged the transition into three phases and twenty one (21) steps. Three (3) phases of action are envisaged for States and ICAO to complete the transition to AIM:

*– Phase 1 — Consolidation* 

Phase 1 is the pre-requisite for the transition from AIS to AIM (implementation of the current SARPs). In Phase 1, QMS implementation is still a challenge for some States.

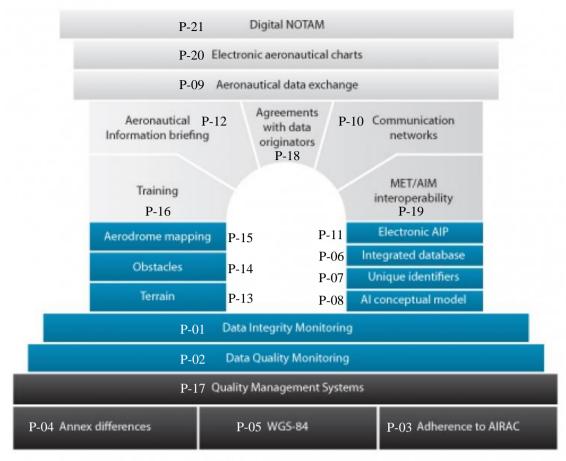
Phase 2 — Going digital

Main components of the Phase 2 are:

- Data-driven processes for the production of the current products;
- Introduction of structured digital data from databases into AIS/AIM processes;
- Introduction of highly structured databases and tools such as GIS;
- Electronic Terrain and Obstacle Datasets; and
- Implementation of aeronautical information conceptual model (AICM).
- Phase 3 Information management

Main components of the Phase 3 are:

- Enabling AIM functions to address the new requirements of the Global ATM Operational Concept in a net-centric information environment;
- Transfer of information in the form of digital data based on the established databases; and
- Aeronautical data exchange model ensuring interoperability between all systems.



Positioning of the 21 steps of the roadmap in the three phases

### AIS-AIM Study Group

- 1.7 The Air Navigation Commission in 2008 agreed to the establishment of AIS AIM SG in order to assist with the development of:
- A global strategy/roadmap for the transition from AIS to AIM;
- SARPs and guidance material related to the provision of a standard AICM and standard AIXM to enable the global exchange of data in digital format; and
- Other SARPs, guidance material and training material necessary to support AIM implementation.
- 1.8 Some achievements of the AIS-AIM Study Group have been as follows:
  - ICAO Roadmap for transition from AIS to AIM;
  - Amendments to Annex 15:
    - Amendment 36: New provisions related to the operational use of the public Internet; volcanic ash deposition; QMS; use of automation enabling digital data exchange; eAIP; NOTAM Format; and eTOD.

- Amendment 37: Annex 15 restructuring; Chapter 1 (General), Chapter 2 (Responsibilities and functions) and Chapter 3 (Aeronautical Information Management) introduced in Nov 2014;
- Amendment 40: Chapters 4 (Scope of AI and data), Chapter 5 (AI Products and services) and Chapter 6 (AI updates) instead of current Chapters 4-11 (in progress, applicability date would be November 2018).
- Development of new PANS AIM (in progress, applicability date would be November 2018)
- Development of Aeronautical Data Catalogue (in progress; Appendix A to the new PANS AIM)
- Development of Training Manual, Quality Manual, update of AIS Manual (Doc 8126) (in progress)
- 1.9 AIS AIMSG/12 was the last AIS AIMSG held in Montreal, Canada from 19 to 23 October 2015. Materials related to the AIS AIM SG including the meetings' Study Notes, Information Papers and Summary of Discussions are available on the ICAO AIM website at:

http://www.icao.int/safety/ais-aimsg/Pages/default.aspx

## 1.2.2. INFORMATION MANAGEMENT PANEL (IMP)

1.10 Information management is identified in the ICAO Global Air \*Traffic Management Operational Concept (Doc 9854) as the fundamental enabler allowing the future ATM system to achieve its full operational potential. The Information Management Panel (IMP) has thus been formed to further elaborate on the concepts, means, practices, procedures and technologies needed to provide accredited, quality- assured information on a timely basis across the spectrum of ATM community operations.

- The Air Navigation Commission in 2014 agreed to the establishment of the Information Management Panel (IMP) to elaborate on necessary concepts and develop a global and interoperable approach to ensure effective management of information within the global air navigation system. The IMP will undertake tasks relating to the global transition from AIS to AIM, based upon Recommendations 3/1, 3/2, 3/3 and 3/9 of the Twelfth Air Navigation Conference in 2012 (AN-Conf/12).
- 4 Five (54) Working Groups were established to undertake tasks of the Panel:
- 5
- 6 Information Services Working Group
- 7 Architecture working Group
- 8 Awareness Working Group WIM Governance

Five (5) Working Groups were established to undertake tasks of the Panel:

- Information Services Working Group
- Architecture working Group
- Awareness Working Group
- Governance Working Group
- AIM Working Group

Materials related to the IMP including the meetings' Working/Information Papers and Reports are available on the ICAO AIM website portal at:

http://www.icao.int/airnavigation/IMP/Pages/default.aspx				

# CHAPTER 2

# CHAPTER 2. REGIONAL AIM PLANNING

### 2.1. REGIONAL ROADMAP FOR AIM IMPLEMENTATION

Having Phase I-1 of the transition from AIS to AIM mostly completed in the MID Region, the current focus should be the implementation of phase Phase II-2 of the Roadmap for the transition from AIS to AIM to prepare further transition to Phase III-3 in a timely manner. Accordingly, States should take into consideration the following "MID Region AIM Implementation Roadmap" in planning for the transition from AIS to AIM in a prioritized manner.

# 2.2. MID REGION AIM IMPLEMENTATION ROADMAP

		2(	)14			<del>2</del> 0	<del>915</del>			20	16			<del>20</del>	<del>17</del>			<del>20</del>	18		<b>Priority</b>	Remarks
	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	4	2	3	4	1	
AIXM																					1	The target is to have, 80% by 2018
eAIP																					1	The target is to have 80% by 2020
Terrain A-1																					2	The target is to have 70% by 2018
Obstacle A-1																					2	The target is to have 60% by 2018
Terrain A-4																					2	The target is to have 100% by 2018
Obstacle A-4																					2	The target is to have 100% by 2018
Terrain A-2a																					3	The target is to have 50% by 2018
Obstacle A-2a					:																3	The target is to have 50% by 2018
Data Quality Monitoring																					3	Target for 2018: To be implemented by 50% of the States that have implemented QMS at least for
Data Integrity Monitoring																					3	the segment originator AIS (excluding the segment AIS End user)
Agreement with data originators																					3	Target for 2018: 50% of the States that have implemented QMS
Terrain and Obstacle for Areas 2b, 2c, 2d and 3																					4	Optional based on the States' decision to be reflected in the States' national Regulations and AIM National Plans, in accordance with operational needs
Aerodrome Mapping																					4	Optional based on the States' decision to be reflected in the States' national Regulations and AIM National Plans, in accordance with operational needs

White: Not started

<mark>Yellow: Initial Target</mark>

Orange: Intermediate Target

Green: Target for full implementation

-----

												Light Green: Timeframe for implementation (implemented / ongoing)  Dark Green: Implementation completed (by all States)									
Steps/Elements	2019 & before	<u>2020</u>	<u>2021</u>	2022	2023	2024	2025	<u>2026</u>	2027	2028	2029	2030 2031+ Priority Remarks									
AIXM database (AIXM 5.1+)														1							
<u>eAIP</u>														<u>1</u>							
Terrain area 1, 2a and 4 Datasets														1	Terrain area 2a dataset (and its supplementary areas according to Annex 15, 5.3.3.3.3)						
Obstacle area 1, 2a and 4 Datasets												r		1	Obstacle area 2a dataset (and its supplementary areas according to Annex 15, 5.3.3.4.5)						
Terrain area 2b, 2c, 2d and 3 Datasets														2	Based on the States' decision to be reflected in the States' national Regulations and AIM National Plans, in accordance with operational needs						
Obstacle area 2b, 2c, 2d and 3 Datasets														2	Based on the States' decision to be reflected in the States' national Regulations and AIM National Plans, in accordance with operational needs						
AIP Datasets														1	(sub-datasets/grouping TBD)						
Aerodrome Mapping Dataset(s)														<u>2</u>	Based on the States' decision to be reflected in the States' national Regulations and AIM National Plans, in accordance with operational needs						
Instrument Flight Procedure (IFP) Dataset(s)														1							
Agreement with data originators														1							
Provision of quality-assured aeronautical data and information														1							
Training														1	Continuous						
NOTAM Improvements														2	Step 1: identification of operational conditions under which a NOTAM shall or shall not be originated Step 2 (TBD): replacement of current NOTAMs by a digital version through the use of AIXM						
Aeronautical Data Exchange														2	Continuous trials between States' AISs should be ongoing						

												Light Green: Timeframe for implementation (implemented / ongoing)  Dark Green: Implementation completed (by all States)						
Steps/Elements	2019 & before	<u>2020</u>	<u>2021</u>	2022	2023	2024	<u>2025</u>	<u>2026</u>	2027	2028	2029	<u>2030</u>	<u>2031+</u>	Priority	<u>Remarks</u>			
Dissemination of Aeronautical Information in SWIM environment														<u>2</u>				
<b>Electronic Aeronautical Charts</b>														<u>2</u>				
Interoperability with MET														<u>2</u>				
Aeronautical Information Briefing														<u>2</u>	(Digital briefing)			

## MEASURE OF NATIONAL AIM IMPLEMENTATION ROADMAP

2.2 Taking into consideration the "MID Region AIM implementation Roadmap" MID States are requested to provide the ICAO MID Regional Office with their National AIM Implementation plan roadmap Using the template below, in planning for the transition from AIS to AIM in a prioritized manner.

																	STATE DATE	
Steps/elements							Tim	<u>eline</u>		<u>Start</u>	<b>End</b>	Re	<u>marks</u>					
	<u>2019-</u>	<u>2020</u>	<u>2021</u>	<u>2022</u>	<u>2023</u>	<u>2024</u>	<u>2025</u>	<u>2026</u>	<u>2027</u>	<u>2028</u>	<u>2029</u>	<u>2030</u>	<u>2031</u>	<u>2032+</u>				
<u>WGS-84</u>																		
AIRAC																		
<u>QMS</u>																		
AIXM database (AIXM 5.1+)																		
<u>eAIP</u>																		
Terrain Area 1																		

	ſT	т	т	т	 1	 T	ι	1	т	т	т		f	 
Obstacle Area 1														 
Terrain Area 2a (plus TKOF flight path and OLS)														
Obstacle Area 2a (plus TKOF flight path and OLS)														
Terrain Area 2b, 2c, 2d														
Obstacle Area 2b, 2c, 2d														
Terrain Area 3														
Obstacle Area 3														 
Terrain Area 4						 								
Obstacle Area 4						 								
AIP Datasets						 								 
Aerodrome Mapping Datasets														
IFP Datasets														
Agreement with data originators														
Provision of quality-assured aeronautical data and information														
Training														 
NOTAM Improvements														

Aeronautical data exchange								
Dissemination of Aeronautical Information in SWIM environment								
Electronic aeronautical charts								
Interoperability with MET products								
Aeronautical information briefing								

Legend	Not Started
	In Progress
	Implemented

# CHAPTER 3. CHAPTER 3

### CHAPTER 4.

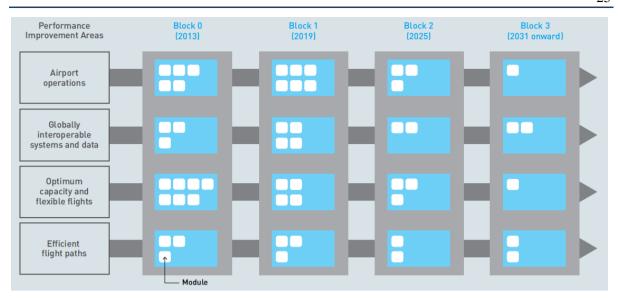
## CHAPTER 5. ASBU METHODOLOGY AND THE MID AIR NAVIGATION STRATEGY

CHAPTER 6. (AIM/SWIM RELATED ASBU MODULES THREADS/ELEMENTS)

# CHAPTER 7. CHAPTER 3. ASBU METHODOLOGY AND THE MID AIR NAVIGATION STRATEGY(AIM/SWIM RELATED ASBU THREADS/ELEMENTS)

### 7.1.3.1. ASBU METHODOLOGY

- 3.1 ICAO introduced the Aviation System Block Upgrades (ASBU) methodology in the fourth edition of the Doc 9750 (Global Air Navigation Plan), endorsed by the ICAO Assembly in 2013 (further revised by Assembly 39 in 2016), as a systemic manner to achieve a harmonized implementation of the air navigation services. An ASBU designates a set of improvements that can be implemented globally from a defined point in time to enhance the performance of the ATM system.
- The GANP represents a rolling, 15-year strategic methodology which methodology, which leverages existing technologies and anticipates future developments based on State/industry agreed operational objectives. The Block Upgrades are organized in six-year time increments starting in 2013 and continuing through 2031 and beyond.
- 3.3 The Sixth Edition of the Global Air Navigation Plan GANP (ICAO DOC 7950) endorsed by the ICAO Assembly 40, introduced the Multilayer Structure for the Global Air Navigation Planning:
  - Global Strategic Level: includes GATMOC vision, Global performance ambitious and the
  - conceptual roadmap.
    - ——Global Technical Level: includes the BBBs, ASBUs and the performance-based decision
  - making method
  - Regional Level: addresses regional and subregional performance and operational needs,
     differences, constraints and opportunities through the ICAO regional air navigation plans and
     other regional initiatives aligned with the global levels.
  - National Level: focuses on State National Plans and their deployment in coordination with relevant stakeholders and in alignment with regional and global plans
- 3.3 ASBU methodology defines improvements, through modules, over four blocks in four performance improvements areas:



3.54 Details on the 6th Edition of the GANP and ASBU framework including the four levels of the GANP are available for interactive consultation via the GANP Portal: https://www4.icao.int/ganpportal

#### 3.2. BASIC BUILDING BLOCK (BBB) FRAMEWORK

- 3.65 The Basic Building Block (BBB) framework outlines the foundation of any robust air navigation system. It is nothing new but the identification of the essential services to be provided for international civil aviation in accordance with ICAO Standards. These essential services are defined in the areas of aerodromes, air traffic management, search and rescue, meteorology and information management.
- 3.76 The BBB is considered an independent framework and not a block of the ASBU framework as they represent a baseline rather than an evolutionary step. This baseline is defined by essential services recognized by ICAO Member States as necessary for international civil aviation to develop in a safe and orderly manner. Once these essential services are provided, they constitute the baseline for any operational improvement.
- 3.87 BBBs provide two-baseline framework for the Aeronautical Information Services:
  - AIS basic modules and elements;
  - AIS support & end users.

#### 7.2.3.3. MID REGION AIR NAVIGATION STRATEGY

3.98 In accordance, with the Resolutions of the 40th Session of the ICAO Assembly, particularly Resolution A40-1 "ICAO global planning for safety and air navigation", the ICAO Assembly urged States and PIRGs to utilize the guidance provided in the GANP for planning and implementation activities, which establish priorities, targets and indicators consistent with globally harmonized objectives, taking into account operational needs. In response to this, the MID Region updated the MID Region Air Navigation Strategy, which is aligned with the GANP 6th Edition and ASBU Framework.

3.4109 The Revised MID Region Air Navigation Strategy (MID Doc 002) was endorsed by the MIDANPIRG/16-18 meeting to introduce MID Region ASBU Threads/Elements Prioritization and Monitoring. Block 0 ASBU Modules implementation priorities, elements, indicators and targets for the MID Region. It recognizes 11 (out of 18) Block 0 Modules as priority 1 in the MID Region (fFor more information refer to the MID Doc 002 in the ICAO Secure Portal at: https://portal.icao.int/RO\_MID/Pages/MIDDocs.aspx).

#### 3.4. AIM ASBU THREADS/ELEMENTS

3.110 — ASBU is heavily dependent on AIM, as AIM is a critical prerequisite for the implementation of many current or future ATM or Air Navigation concepts that relies on enhanced data quality (accuracy, resolution, integrity, timeliness, traceability, completeness, format) to support Performance-Based Navigation (PBN), airborne computer-based navigation systems and ground automation.

3.121 \_\_\_\_\_In the AIM domain, the main ASBU THREAD/ELEMENTS, which are relevant with Seamless ATM, are as follows:

DAIM-B1/1:Provision of quality-assured aeronautical data and information

DAIM-B1/2: Provision of digital Aeronautical Information Publication (AIP) data sets

DAIM-B1/3: Provision of digital terrain data sets

DAIM-B1/4: Provision of digital obstacle data sets

DAIM-B1/5:Provision of digital aerodrome mapping data sets

DAIM-B1/6:Provision of digital instrument flight procedure data sets

DAIM-B1/7:NOTAM improvements

DAIM-B2/1: Dissemination of aeronautical information in a SWIM environment

DAIM-B2/2: Daily Airspace Management information to support flight and flow

DAIM-B2/3: Aeronautical information to support higher airspace operations

DAIM-B2/4: Aeronautical information requirements tailored to UTM

DAIM-B2/5:NOTAM replacement

# 3.5. DAIM THREAD/ELEMENTS (BLOCK 1) PRIORITIZATION AND MONITORING IN THE MID REGION

3.132 On the basis of operational requirements and taking into consideration the associated benefits, the following table shows priority 1 DAIM Elements along with the associated elements, applicability, performance Indicators, supporting Metrics, and performance Targets as included in the revised version of the MID Region Air Navigation Strategy asendorsed by MIDANPIRG/18.

#### 3.5.1. DESCRIPTION AND PURPOSE:

Improved aeronautical information based on enhanced data quality (accuracy, resolution, integrity, timeliness, traceability, completeness, format) to support Performance-Based Navigation (PBN), airborne computer-based navigation systems and ground automation. In addition, digital exchange and processing of aeronautical information allows a more efficient management of information by avoiding reliance on manual processing and manipulation.

## 3.5.2. MAIN PERFORMANCE IMPACT:

KPA- 01 – Access	<u>KPA-02 – </u>	<u>KPA-04 – </u>	<u>KPA-05 – Environment</u>	KPA-10 – Safety
and Equity	<u>Capacity</u>	<b>Efficiency</b>		

N	N	Y	Y	Y
<del></del>	<u></u>			

	<u>Element</u>	<u>Applicability</u>	Performance Indicators/ Supporting Metrics	Targets	Timelines
<u>Informa</u>	tion Threads				
DAIM					
DAIM B1/1	Provision of quality-assured aeronautical data and information	All States	Indicator*: Regional average implementation status of DAIM B1/1 (provision of quality-assured aeronautical data and information).  Supporting Metrics:  1. Number of States that have implemented QMS for AIS/AIM  2. Number of States that have implemented WGS-84 for horizontal plan (ENR, Terminal, AD) and have implemented WGS-84 Geoid Undulation  3. Number of States that are compliant with the requirements of AIRAC adherence,  4. Number of States that have implemented an AIXM-based AIS database (AIXM V5.1+)  Number of States that have established formal arrangements with at least 50% of their AIS data originators.  5.	80%	Dec 2021
DAIM B1/3	Provision of digital terrain data sets	All States	Indicator*: Regional average implementation status of DAIM B1/3 (Provision of Terrain digital datasets).  Supporting Metric: Number of States that provide required Terrain digital datasets	60%	Dec 2021
DAIM B1/4	Provision of digital obstacle data sets	All States	Indicator*: Regional average implementation status of DAIM B1/4 (Provision of obstacle digital datasets).  Supporting Metric: Number of States that provide required obstacle digital datasets	<u>60 %</u>	Dec 2021

#### BLOCK O AIM RELATED MODULE

#### **B0-DATM Implementation**

3.5 Block 0 contains 18 Modules and serves as the enabler and foundation for the envisioned future aviation systems. B0 DATM is a priority 1 ASBU Module in accordance with the MID Region Air Navigation Strategy (MID Doc 002). MID Doc 002 defines the B0 DATM as follows:

#### **Description and purpose**

The initial introduction of digital processing and management of information, through aeronautical information service (AIS)/aeronautical information management (AIM) implementation, use of aeronautical information exchange model (AIXM), migration to electronic aeronautical information publication (AIP) and better quality and availability of data.

#### **Main performance impact:**

KPA-01 — Access and Equity	KPA-02 — Capacity	KPA-04 Efficiency	KPA-05— Environment	KPA-10 – Safety
N	N	¥	¥	¥

#### **Applicability consideration:**

Applicable at State level, with increased benefits as more States participate

B0 DATM: Ser	B0—DATM: Service Improvement through Digital Aeronautical Information Management						
Elements	Applicability	Performance Indicators/Supporting Metrics	<del>Targets</del>				
National AIM	All States	Indicator: % of States that have National AIM					
Implementation Plan/Roadmap		Implementation Plan/Roadmap	90% by Dec. 2018				
		Supporting Metric: Number of States that have National					
		AIM Implementation Plan/Roadmap					
AIXM	All States	Indicator: % of States that have implemented an AIXM					
		based AIS database	80% by Dec. 2018				
		Supporting Metric: Number of States that have					
		implemented an AIXM based AIS database					
eAIP	All States	Indicator: % of States that have implemented an IAID					
		driven AIP Production (eAIP)	80% by Dec. 2020				
		Supporting Metric: Number of States that have					
		implemented an IAID driven AIP Production (eAIP)					
<del>QMS</del>	All States	Indicator: % of States that have implemented QMS for					
		AIS/AIM	90% by Dec. 2018				
		Supporting Metric: Number of States that have					
		implemented QMS for AIS/AIM					
WGS-84	All States	Indicator: % of States that have implemented WGS-84	Horizontal:				
		for horizontal plan (ENR, Terminal, AD)	100% by Dec. 2018				
		Supporting Metric: Number of States that have	Vertical:				
		implemented WGS 84 for horizontal plan (ENR,	90% by Dec. 2018				
		Terminal, AD)					
1							

		Indicator: % of States that have implemented WGS 84	
		Geoid Undulation	
		Supporting Metric: Number of States that have	
		implemented WGS-84 Geoid Undulation	
e <del>TOD</del>	All States	Indicator: % of States that have implemented	Area 1 :
		required Terrain datasets	Terrain:
		•	70% by Dec. 2018
		Supporting Metric: Number of States that	Obstacles:
		have implemented required Terrain datasets	60% by Dec. 2018
			·
		Indicator: % of States that have implemented	Area 4:
		required Obstacle datasets	Terrain:
			100% by Dec. 2018
		Supporting Metric: Number of States that have	Obstacles:
		implemented required Obstacle datasets	100% by Dec. 2018
Digital	All States	Indicator: % of States that have included the	·
NOTAM*		implementation of Digital NOTAM into their National	90% by Dec. 2018
		Plan for the transition from AIS to AIM	•
		Supporting Metric: Number of States that have included	
		the implementation of Digital NOTAM into their	
		National Plan for the transition from AIS to AIM	

#### 3.6. MID AIR NAVIGATION KEY PERFORMANCE INDICATORS (KPISs)

In accordance with GANP, "a performance-based approach is results-oriented, helping decision makers set priorities and determine appropriate trade-offs that support optimum resource allocation while maintaining an acceptable level of safety performance and promoting transparency and accountability among stakeholders. In promoting a performance-based approach, ICAO recommends that States utilize a focused set of Key Performance Indicators (KPIs) that provide the means of identifying shortfalls and prioritizing investments. The sixth edition of the GANP includes 19 key performance indicators (KPIs) for States' adoption to facilitate the performance-based approach and management to improve air traffic management (ATM) operations. An overview of ICAO KPIs is at https://www4.icao.int/ganpportal/ASBU/KPI.

3.14 In the MID Region, an initial set of KPIs has been identified to be used for monitoring the performance of the Air Navigation System at National and Regional Levels. The MID Region Air Navigation Strategy included an initial list of Key Performance Indicators (KPIs) to be used for the monitoring of the air navigation system performance.

## 3.7. CONCEPT OF OPERATIONS OF D-AIM 3.15 AIS in the BBBs-: Quality-assured product-centric Aeronautical Information Services. —The BBBs refer to the basic AIS services and the provision of aeronautical information in a standardized presentation, based on point-to-point exchanges. 3.17 AIM in Block1: Service Improvement through enhanced data quality and digital exchange and processing of information. -Improved aeronautical information based on enhanced data quality to support PBN, airborne Computer-based navigation systems and ground automation Digital information exchange and processing allows a more efficient information management 3.18——AIM in Block2: Service improvement through dissemination of aeronautical info via SWIM and new information for new users. Block2 guides towards a full AIM environment, which include the dissemination of aeronautical information in a SWIM-enabled environment, user-defined products and the decommissioning of current distribution mechanisms — The traditional aeronautical information will be complemented by new information required to support operations in high airspace or the UAS Traffic Management concept. 3.8. PRIORITY 1 ELEMENTS IN MID REGION 3.8.1. DAIM –B1/1 Provision of quality-assured aeronautical data and information 3.19 The main purpose of this element is to ensure that aeronautical data and information comply with quality standards in order to meet the needs of airspace users and support the safety of flight operations. 3.20 This element ensures that processes, procedures and systems are improved to allow for an enhanced quality of aeronautical information products and services. This element includes: 3.8.1.1 QUALITY MANAGEMENT SYSTEM (QMS) 1.3.21 Implementation of quality management systems to ensure that aeronautical data and information

3.22 — Quality aeronautical data and information is critical for area navigation, required

3.23 The provision of quality assured aeronautical information products and services should be carried out in a standardized presentation, since presentation since there is an inherent need to fulfil

navigation performance, airborne computer-based navigation systems and data link systems.

comply with the required standards.

the requirements of the next intended users. Customers in the AIS domain are the next intended users of aeronautical data and information (pilots, air traffic controllers, flight planning organizations, etc.).
Data quality specifications have evolved to include requirements for accuracy resolution, integrity, traceability, timeliness, completeness and format of aeronautical data and information. These data quality specifications are specified in Annex 15, Chapter 3.
3.25 Non-compliant aeronautical information and data can potentially affect the safety of air
navigation.  Annex 15 requires States to introduce a QMS to provide users assurance and confidence in the quality of data and information throughout the aeronautical data chain (collection, processing, and distribution)  Roles, responsibilities, competencies and associated knowledge, skills and attitudes required for the performance of each function within the AIS is identified in the QMS.
3.26 The application of a QMS introduces benefits such as risk-based thinking, effective communication, overall understanding and demonstrated control over processes.
3.27 — The QMS is based on process approach principles to manage and control processes, the interactions between processes and the inputs and outputs required to meet customer and regulatory requirements. Processes and procedures provide structure in the work environment and promote quality and safety. Widely communicated, accepted and utilized processes ensures consistency in the delivery of aeronautical information products and services.
3.28 Policies, processes and procedures, including the use of metadata ensuring aeronautical data is traceable to the source, allows for any anomalies to be detected and corrected.
3.29 An AISP must monitor compliance with the QMS and may elect to have the system certified under a quality management standard. An external certification organization will carry out conformity audits over the certificate validity period. ISO 9001 certification is a means to assure that the implemented QMS is compliant with the requirements of the quality standard.
Note - Guidance material is contained in the Manual on the Quality Management System for Aeronautical Information Services (Doc 9839).
2. Use of common reference systems (spatial – WGS84 and temporal- AIRAC) to facilitate consistent interpretation of aeronautical data and information and facilitate their timely exchange.
3.8.1.2 World Geodetic System-1984 (WGS-84)
3.1530 — World Geodetic System — 1984 (WGS-84) shall be used as the horizontal (geodetic) reference system for international air navigation. Consequently, published aeronautical geographical coordinates (indicating latitude and longitude) shall be expressed in terms of the WGS-84 geodetic reference datum.
3.1631 WGS-84 shall be introduced in the published coordinates in AIP in the following sections:

o Enroute

a) Horizontal:

- o Terminal
- o Aerodrome

#### b) Vertical:

#### Geoid Undulation

<u>Note - Comprehensive guidance material concerning WGS-84 is contained in the World Geodetic</u> System - 1984 (WGS-84) Manual (Doc 9674).

#### 3.8.1.3 IMPLEMENTATION OF A SYSTEM FOR AIRAC ADHERENCE MONITORING

- Aeronautical information is constantly changing: airspace structures and routes are revised, navigation aids change, flight procedures are amended, and runway and taxiway information changes. It is essential for efficiency and safety that airlines, pilots, air traffic controllers and air traffic flow managers all use the same aeronautical information at the same time.
- AIRAC is a system established by ICAO Annex 15 Aeronautical Information Services and based on common effective dates to ensure that changes to aeronautical information are made in a consistent manner by States around the world. As a result, States are working with globally agreed timelines when it comes to making aeronautical information available, allowing all further actors in the data chain to perform their obligations in a timely manner.
- 4.2<u>3.34</u> Operationally significant changes to the AIP, listed in Annex 15 STD 6.2.1, Appendix 4-shall be published in accordance with AIRAC procedures and shall be clearly identified by the acronym AIRAC.
- 4.33.35 \_\_\_\_\_When an AIP Amendment or an AIP Supplement is published in accordance with AIRAC procedures, a NOTAM called "Trigger NOTAM" shall be originated giving a brief description of the contents, the effective date and time, and the reference number of the amendment or supplement.
- 4.43.36 \_\_\_\_\_ The Trigger NOTAM shall be issued as soon as possible, preferably at the publication date of the AIRAC AIP Amendment or the AIP Supplement. This NOTAM shall come into force on the same effective date and time as the amendment or supplement and shall remain valid for a period of fourteen days.
- 4.53.37 The text in Item E) should start with the words 'TRIGGER NOTAM' (followed only in the case of an AIP Amendment by the abbreviation PERM), the reference number of the published AIP Amendment or AIP Supplement concerned, the effective date and a brief description of its contents.
- 4.63.38 Trigger NOTAM shall be issued in the appropriate NOTAM series, according to the information to be promulgated and shall follow the normal NOTAM procedures.

#### Example:

- Q) HECA/QARTT/I/BO/000/999
- A) HECC B) 1704270000 C) 1705102359
- E) TRIGGER NOTAM PERM AIRAC AIP AMDT 4/17 WEF 27 APR 2017.

IMPLEMENTATION OF NEW ATS ROUTE UL111.

Note – the term 'PERM' is inserted in Item E) to stress that Item C) contains an artificial end-date and that the information is of a permanent nature.

4.73.39 \_\_\_\_\_When information has not been submitted by the AIRAC date, a NIL notification shall be originated and distributed by NOTAM or other suitable means, not later than one cycle before the AIRAC effective date concerned.

4.83.40 Implementation dates other than AIRAC effective dates shall not be used for preplanned operationally significant changes requiring cartographic work and/or for updating of navigation databases.

4.93.41 \_\_\_\_\_\_Information provided under the AIRAC system in paper copy form shall be distributed by the AIS unit at least 42 days in advance of the effective date with the objective of reaching recipients at least 28 days in advance of the effective date. Information provided as electronic media, concerning the circumstances listed in Annex 15, STD 6.2.1 Appendix 4 shall be distributed/made available by the AIS unit so as to reach recipients at least 28 days in advance of the AIRAC effective date.

**Recommendation** — Whenever major changes are planned and where advance notice is desirable and practicable, information provided as electronic media should be distributed/made available at least 56 days in advance of the effective date. This should be applied to the establishment of, and premeditated major changes in, the circumstances listed in <a href="STD 6.2.7">STD 6.2.7</a> Appendix 4, Part 3, and other major changes if deemed necessary.

#### 4.103.42 AIS/AIM units should:

- 1) raise the awareness of the Data Originators regarding the AIRAC provisions; and
- 2) include necessary procedures related to AIRAC adherence in the arrangement with the Data Originators.

States should implement a system for AIRAC adherence monitoring and report on annual basis (by 31 December) to the ICAO MID Regional Office the case(s) of late publication of aeronautical information of operational significance and non-adherence to the AIRAC provisions. **Appendix B** could be used as a monitoring and reporting tool in the AIRAC adherence.

4.123.44 List of AIRAC effective dates for 2017-20 to 20214 is as follows:

<del>2017</del>	<del>2018</del>	<del>2019</del>	2020	<del>2021</del>
<del>05 January</del>	<del>04 January</del>	<del>03 January</del>	<del>02 January</del>	28 January
<del>02 February</del>	<del>01 February</del>	31 January	30 January	25 February
<del>02 March</del>	<del>01 March</del>	28 February	27 February	25 March
30 March	29 March	28 March	<del>26 March</del>	<del>22 April</del>
27 April	<del>26 April</del>	25 April	23 April	<del>20 May</del>
25 May	<del>24 May</del>	<del>23 May</del>	<del>21 May</del>	<del>17 June</del>
22 June	<del>21 June</del>	<del>20 June</del>	<del>18 June</del>	<del>15 July</del>
<del>20 July</del>	<del>19 July</del>	<del>18 July</del>	<del>16 July</del>	12 August
17 August	<del>16 August</del>	15 August	13 August	<del>09 September</del>
14 September	13 September	12 September	10 September	<del>07 October</del>
12 October	<del>11 October</del>	<del>10 October</del>	<del>08 October</del>	<del>04 November</del>
<del>09 November</del>	<del>08 November</del>	<del>07 November</del>	05 November	<del>02 December</del>
<del>07 December</del>	<del>06 December</del>	<del>05 December</del>	<del>03 December</del>	30 December
			31 December	

2020	2021	2022	2023	2024
2020-01-02	2021-01-28	2022-01-27	2023-01-26	2024-01-25
2020-01-30	2021-02-25	2022-02-24	2023-02-23	2024-02-22
2020-02-27	2021-03-25	2022-03-24	2023-03-23	2024-03-21
2020-03-26	2021-04-22	2022-04-21	2023-04-20	2024-04-18
2020-04-23	2021-05-20	2022-05-19	2023-05-18	2024-05-16
2020-05-21	2021-06-17	2022-06-16	2023-06-15	2024-06-13
2020-06-18	2021-07-15	2022-07-14	2023-07-13	2024-07-11
2020-07-16	2021-08-12	2022-08-11	2023-08-10	2024-08-08
2020-08-13	2021-09-09	2022-09-08	2023-09-07	2024-09-05
2020-09-10	2021-10-07	2022-10-06	2023-10-05	2024-10-03
2020-10-08	2021-11-04	2022-11-03	2023-11-02	2024-10-31
2020-11-05	2021-12-02	2022-12-01	2023-11-30	2024-11-28
2020-12-03	2021-12-30	2022-12-29	2023-12-28	2024-12-26
2020-12-31				

#### 3.8.1.4 AIXM-BASED AIS DATABASE

- 3.3.45 Full move into an automated data-centric environment so that the management, processing, verification, usage and exchange can be done in a structured, automatic manner and human intervention is reduced.
- 3.46 Annex 15 STD 3.5.1 states that -Automation shall be applied in order to ensure the quality, efficiency and cost-effectiveness of aeronautical information services.
- 3.47 In addition, STD 3.5.3 stipulates that in order to meet the data quality requirements, automation shall:
  - a) enable digital aeronautical data exchange between the parties involved in the data processing chain; and
  - b) use aeronautical information exchange models and data exchange models designed to be globally interoperable.
- 3.48 An Integrated Aeronautical database is a single, centralized repository of aeronautical information where digital aeronautical data from a State are integrated and used to produce current and future AIM products and services.
- 3.49 The establishment and maintenance of a database where digital aeronautical data from a State are integrated and used to produce current and future AIM products and services is the main step in Phase 2 of the transition to AIM.
- 3.50 A database may be operated by States or by regional initiatives under delegation from States. The design of such a database will not be identical in all States or regions because local technical or functional requirements must be considered.
- 3.51 This Integrated Aeronautical database must be able to exchange information based on the Aeronautical Information Exchange Model (AIXM) with other aeronautical databases.

#### 3.8.1.5 AERONAUTICAL INFORMATION EXCHANGE MODEL (AIXM)

- 3.652 The aeronautical information exchange model (AIXM) is designed to enable the management and distribution of aeronautical information services data in digital format. AIXM takes advantages of established information engineering standards and supports current and future aeronautical information system requirements. The major tenets are:
  - a) an exhaustive temporality model, including support for the temporary information contained in NOTAM;
  - <u>b)</u> <u>alignment with ISO standards for geospatial information, including the use of the geography markup language (GML);</u>
  - c) support for the latest ICAO and user requirements for aeronautical data including obstacles, terminal procedures and airport mapping databases; and
  - d) modularity and extensibility.
- 3.753 AIXM covers the ICAO requirements for the "data necessary for the safety, regularity and efficiency of international air navigation", existing industry standards (e.g. ARINC 424) and emerging data needs. It has constructs for: aerodromes, navigation aids, terminal procedures, airspace and route structures, ATM and related services, air traffic restrictions and other data.
- 3.54\_\_\_\_AIXM has two components:
  - a) The AIXM UML Model provides a formal description of the information.
  - b) The AIXM XML Schemas are an encoding format for aeronautical data.
- <u>3.93.55</u> AIXM 5 takes advantages of established information engineering standards and supports current and future aeronautical information system requirements.

#### 3.8.1.6 FORMAL ARRANGEMENTS WITH AIS DATA ORIGINATORS

- 43.56. Aeronautical data and information is of high quality if it is aggregated and provided by authoritative sources. This requires to properly controlling relationships along the whole data chain from the origination to the distribution to the next intended user (formal arrangements with data originators, neighboring States, data and information service providers and others).
- <u>3.57</u> Annex 15 Aeronautical Information Services requires formal arrangements to be established between the parties providing aeronautical data and aeronautical information on behalf of the States and their users. The formal arrangements between data originators and the AIS provider should reflect the relevant regulations and standards for the data origination.
- 3.58 States must establish requirements for the identification of appropriate aeronautical data originators and ensure that formal arrangements are put in place between the AIS provider and the aeronautical data originators.
- 3.59 Since the aeronautical data catalogue contains all data elements that the AIS manages, each one being
- assigned an owner, the AIS can use the aeronautical data catalogue to systematically establish and document formal arrangements with all identified data originators.

3.60 A sample formal arrangement which may be used as a template when formalizing the working arrangements between the data originators and the AIS is provided in *Aeronautical Information Services Manual, Part II*, Appendix 1.

Aeronautical Information Exchange Model (AIXM)

7.2.1.11.1.1.1

7.2.1.21.1.1.1

7.2.1.31.1.1.1 3.6 THE AERONAUTICAL INFORMATION EXCHANGE MODEL (AIXM) IS
DESIGNED TO ENABLE THE MANAGEMENT AND DISTRIBUTION OF AERONAUTICAL INFORMATION
SERVICES DATA IN DIGITAL FORMAT. AIXM TAKES ADVANTAGES OF ESTABLISHED INFORMATION
ENGINEERING STANDARDS AND SUPPORTS CURRENT AND FUTURE AERONAUTICAL INFORMATION
SYSTEM REQUIREMENTS. THE MAJOR TENETS ARE:

7.2.1.41.1.1.1

7.2.1.51.1.1.1 A) AN EXHAUSTIVE TEMPORALITY MODEL, INCLUDING SUPPORT FOR THE TEMPORARY INFORMATION CONTAINED IN NOTAM:

7.2.1.61.1.1.1

7.2.1.71.1.1.1 B) ALIGNMENT WITH ISO STANDARDS FOR GEOSPATIAL INFORMATION, INCLUDING THE USE OF THE GEOGRAPHY MARKUP LANGUAGE (CML);

7.2.1.81.1.1.1

7.2.1.9<u>1.1.1.1</u> c) support for the latest ICAO and user requirements for aeronautical data including obstacles, terminal procedures and airport mapping databases; and

7.2.1.101.1.1.1

7.2.1.111.1.1.1 D) MODULARITY AND EXTENSIBILITY.

7.2.1.121.1.1.1

7.2.1.131.1.1.1 3.7 AIXM COVERS THE ICAO REQUIREMENTS FOR THE "DATA NECESSARY FOR THE SAFETY, REGULARITY AND EFFICIENCY OF INTERNATIONAL AIR NAVIGATION", EXISTING INDUSTRY STANDARDS (E.G. ARINC 424) AND EMERGING DATA NEEDS. IT HAS CONSTRUCTS FOR: AERODROMES, NAVIGATION AIDS, TERMINAL PROCEDURES, AIRSPACE AND ROUTE STRUCTURES, ATM AND RELATED SERVICES, AIR TRAFFIC RESTRICTIONS AND OTHER DATA.

7.2.1.141.1.1.1

3.8.0.01.1.1.1 AIXM HAS TWO COMPONENTS:

7.2.1.151.1.1.1

1.0.0.01.1.1.1 THE AIXM UML MODEL PROVIDES A FORMAL DESCRIPTION OF THE INFORMATION.

7.2.1.161.1.1.1

2.0.0.01.1.1.1 THE AIXM XML SCHEMAS ARE AN ENCODING FORMAT FOR AERONAUTICAL DATA.

7.2.1.171.1.1.1

7.2.1.181.1.1.1 3.9 AIXM 5 TAKES ADVANTAGES OF ESTABLISHED INFORMATION
ENGINEERING STANDARDS AND SUPPORTS CURRENT AND FUTURE AERONAUTICAL INFORMATION
SYSTEM REQUIREMENTS.

7.2.1.19

7.2.1.20 ELECTRONIC AIP (EAIP)

7.2.1.21

7.2.1.22 3.10 THE AIP, AIP AMENDMENT, AIP SUPPLEMENT AND AIC SHOULD ALSO BE PUBLISHED IN A FORMAT THAT ALLOWS FOR DISPLAYING ON A COMPUTER SCREEN AND PRINTING ON PAPER. WHEN PROVIDED, THE EAIP SHOULD BE AVAILABLE ON A PHYSICAL DISTRIBUTION MEDIUM (CD, DVD, ETC.) AND/OR ONLINE ON THE INTERNET. WHEN PROVIDED, THE INFORMATION CONTENT OF THE EAIP AND THE STRUCTURE OF CHAPTERS, SECTIONS AND SUBSECTIONS SHALL FOLLOW THE CONTENT AND STRUCTURE OF THE PAPER AIP. THE EAIP SHALL INCLUDE FILES THAT ALLOW FOR PRINTING A PAPER AIP.

7.2.1.23

7.2.1.24 NOTE 1 - THIS COMPOSITE ELECTRONIC DOCUMENT IS NAMED "ELECTRONIC AIP" (EAIP)
AND MAY BE BASED ON A FORMAT THAT ALLOWS FOR DIGITAL DATA EXCHANGE.

7.2.1.25

7.2.1.26 NOTE 2 - THE EAIP IS NOT INTENDED TO SUPPORT THE DIGITAL NOTICE TO AIRMEN (NOTAM) PROCESS, AS DIGITAL NOTAM REQUIRE A DATABASE OF AERONAUTICAL INFORMATION AND ARE, THEREFORE, NOT RELIANT ON THE EAIP.

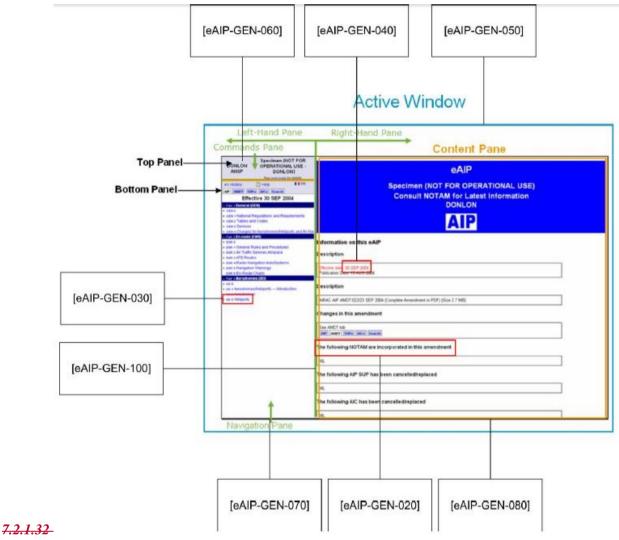
7.2.1.27

7.2.1.28 3.11 AERONAUTICAL DATA AND AERONAUTICAL INFORMATION WITHIN THE AIPS, AMDTS AND SUPS SHOULD BE MADE AVAILABLE, AS A MINIMUM, "IN A WAY THAT ALLOWS THE CONTENT AND FORMAT OF THE DOCUMENTS TO BE DIRECTLY READABLE ON A COMPUTER SCREEN".

7.2.1.29

7.2.1.30 3.12 GENERAL REQUIREMENTS ASSOCIATED WITH THE DISPLAY OF THE EAIP ARE REFLECTED BELOW:

7.2.1.31



7.2.1.33

7.2.1.34 3.13 THE EAIP, AS A MINIMUM, SHOULD HAVE HELP AND SEARCH FACILITY AND PROVIDE HISTORY OF CURRENT AND PREVIOUS AMENDMENTS TO USERS. IT SHOULD ALSO INCLUDE A TABLE OF CONTENT. FORMAT, DISPLAY AND CONTENT REQUIREMENT FOR AIP PAGES, AIP SUP, AIP AMENDMENT AND AIC SHOULD BE IN ACCORDANCE WITH ANNEX15, DOC 8126 AND OTHER RELATED SARPS.

7.2.1.35

7.2.1.36 NOTE 3 MORE GUIDANCE MATERIAL ON THE SPECIFICATIONS OF EAIP COULD BE FOUND IN THE EUROCONTROL SPECIFICATIONS FOR THE ELECTRONIC AERONAUTICAL INFORMATION PUBLICATION (EAIP).

7.2.1.37

7.2.1.38 QUALITY MANAGEMENT SYSTEM (QMS)

7.2.1.39

7.2.1.40 3.14 QUALITY MANAGEMENT SYSTEMS SHALL BE IMPLEMENTED AND

MAINTAINED ENCOMPASSING ALL FUNCTIONS OF AN AERONAUTICAL INFORMATION SERVICE. THE

EXECUTION OF SUCH QUALITY MANAGEMENT SYSTEMS SHALL BE MADE DEMONSTRABLE FOR EACH

FUNCTION STAGE.

<del>7.2.1.41</del>

7.2.1.42 NOTE 1 - AN ISO 9000 CERTIFICATE ISSUED BY AN ACCREDITED CERTIFICATION BODY WOULD BE CONSIDERED AN ACCEPTABLE MEANS OF COMPLIANCE.

7.2.1.43

7.2.1.44 Note 2 - Guidance material is contained in the Manual on the Quality Management System for Aeronautical Information Services (Doc 9839).

7.2.1.45

7.2.1.46 NOTE 3 - NECESSARY MEASURES SHOULD BE TAKEN FOR THE SIGNATURE OF FORMAL ARRANGEMENTS CONCERNING DATA QUALITY BETWEEN AIS/AIM AND THE DATA ORIGINATORS, COMMENSURATE WITH THE AERODROME OPERATORS, AIR NAVIGATION SERVICE PROVIDERS (ANSPS) AND THE MILITARY AUTHORITY.

7.2.1.47

7.2.1.481.1.1.1 WORLD GEODETIC SYSTEM 1984 (WGS 84)

7.2.1.491.1.1.1

7.2.1.501.1.1.1.3.15 WORLD GEODETIC SYSTEM — 1984 (WGS-84) SHALL BE USED AS THE HORIZONTAL (GEODETIC) REFERENCE SYSTEM FOR INTERNATIONAL AIR NAVIGATION.

CONSEQUENTLY, PUBLISHED AERONAUTICAL GEOGRAPHICAL COORDINATES (INDICATING LATITUDE AND LONGITUDE) SHALL BE EXPRESSED IN TERMS OF THE WGS-84 GEODETIC REFERENCE DATUM.

7.2.1.511.1.1.1

7.2.1.52<u>1.1.1.1</u>3.16 WGS-84 SHALL BE INTRODUCED IN THE PUBLISHED COORDINATES IN AIP IN THE FOLLOWING SECTIONS:

<del>7.2.1.53</del>1.1.1.1

1.0.0.01.1.1.1 HORIZONTAL:

7.2.1.541.1.1.1

1.1.0.01.1.1.1 ENROUTE

7.2.1.551.1.1.1

1.2.0.01.1.1.1 TERMINAL

7.2.1.561.1.1.1

1.3.0.01.1.1.1 AERODROME

<del>7.2.1.57</del>1.1.1.1

2.0.0.01.1.1.1 VERTICAL:

7.2.1.581.1.1.1

7.2.1.591.1.1.1 GEOID UNDULATION

7.2.1.601.1.1.1

7.2.1.611.1.1.1 NOTE - COMPREHENSIVE GUIDANCE MATERIAL CONCERNING WGS-84 IS CONTAINED IN THE WORLD GEODETIC SYSTEM - 1984 (WGS-84) MANUAL (DOC 9674).

7.2.1.623.8.1.7 PROVISION OF DIGITAL TERRAIN AND OBSTACLE DATA SETS

3.61 The requirements for the provision of terrain and obstacle data in an electronic form are part of the move from traditional AIS to Aeronautical Information Management (AIM) defined by ICAO as the dynamic, integrated management of aeronautical information through the provision and exchange of quality-assured digital aeronautical data in collaboration with all parties. It is anticipated that the provision of data, rather than the traditional paper products that have always been required in the past, will increase over time. With the transition from a product-based to a data-centric environment, the AIM will be able to use the digital terrain and obstacle data from the central storage for the development and provision of various AIM products using terrain and/or obstacle data. Therefore, terrain and obstacle data bring about a change in the culture and philosophy with regard to aeronautical information provision.

3.62 With the introduction of TOD in Amendment 33 to ICAO Annex 15, ICAO has defined four coverage

areas where different numerical requirements apply for terrain and obstacle data:

- Area 1: The entire territory of a State
- Area 2: The vicinity of an aerodrome
- Area 3: An area bordering the movement area on an aerodrome
- Area 4: The radio altimeter area operating in front of a precision approach runway, Category II or III.
- 3.63 With Amendment 36 to ICAO Annex 15, Area 2 was broken down into four sub-areas as follows:
  - Area 2a: a rectangular area around a runway that comprises the runway strip plus any clearway that exists;
  - Area 2b: an area extending from the ends of Area 2a in the direction of departure, with a length of 10 km and a splay of 15 per cent to each side;
  - Area 2c: an area extending outside Area 2a and Area 2b at a distance of not more than 10 km from the boundary of Area 2a; and
  - Area 2d: an area outside Areas 2a, 2b and 2c up to a distance of 45 km from the aerodrome reference point, or to an existing terminal control area (TMA) boundary, whichever is nearest.

## 3.8.2. DAIM -B1/3 PROVISION OF DIGITAL TERRAIN DATA SETS

#### 3.64 ICAO ANNEX Annex 15 PARA 5.3.3.3.1 states that:

"Terrain data sets shall contain digital representation of the terrain surface in the form of continuous elevation values at all intersections (points) of a defined grid, referenced to common datum."

#### 3.65 ICAO PANS-AIM PARA 5.3.3.2.1.1 provides that:

"A terrain grid shall be angular or linear and shall be of regular or irregular shape."

#### 3.8.2.1 TERRAIN DATA - AREAREA 1

3.66 ICAO ANNEXAnnex 15 TEXT PARA 5.3.3.2 states that "Terrain data sets shall be provided for Area 1."

This standard requires an electronic terrain data set to be provided for the entire territory of the State.

#### 3.8.2.2 TERRAIN DATA - AREAREA 2

- 3.5.2.2.1 3.67 ICAO ANNEX Annex 15 TEXT PARA 5.3.3.3 states that "For aerodromes regularly used by international civil aviation, terrain data shall be provided for:
  - *a) Area* 2*a*;
  - b)- the take-off flight path area; and
  - c) an area bounded by the lateral extent of the aerodrome obstacle limitation surfaces."
- 3.68 This standard defines the minimal required set of electronic terrain data for Area 2 to be provided for all aerodromes designated as international in the National AIP section AD 1.3 'Index to aerodromes and heliports'.

#### 3.5.2.2.23.69 ICAO ANNEX Annex 15 TEXT PARA 5.3.3.3.4

<u>states that "Recommendation.— For aerodromes regularly used by international civil aviation, additional terrain data should be provided within Area 2 as follows:</u>

- a) In the area extending to a 10-km radius from the ARP; and
- b) Within the area between 10 km and the TMA boundary or a 45-km radius (whichever is smaller), where terrain penetrates a horizontal terrain data collection surface specified as 120 m above the lowest runway elevation."
- 3.70 ICAO recommends that, in addition to the minimal set of electronic terrain specified in ICAO Annex 15 Para
- 5.3.3.3, terrain data should be provided for all of Area 2 for all aerodromes designated as international in the National AIP section AD 1.3 'Index to aerodromes and heliports'.
- 3.71 The recommendation is to provide all terrain data within a 10 km radius from the ARP, and beyond the 10 km radius only data for terrain that is above 120 m of the lowest runway elevation.

#### 3.8.2.3 TERRAIN DATA - AREAREA 3

- 3.72 ICAO ANNEX Annex 15 TEXT PARA 5.3.3.7 states that
- "Recommendation.— For aerodromes regularly used by international civil aviation, terrain data should be provided for Area 3."
- 3.73 The provision of terrain data for Area 3 is a recommendation and it should be provided to support aerodrome-mapping data in order to ensure the consistency and quality of all geographical data related to the aerodrome.

#### 3.8.2.4 TERRAIN DATA - AREAREA 4

#### 3.74 ICAO ANNEX Annex 15 TEXT PARA 5.3.3.8 states that

"For aerodromes regularly used by international civil aviation, terrain data shall be provided for Area 4 for all runways where precision approach Category II or III operations have been established and where detailed terrain information is required by operators to enable them to assess the effect of terrain on decision height determination by use of radio altimeters."

3.75 This standard requires that terrain data for Area 4 is made available for Cat II/III runways of all aerodromes designated as international in the National AIP section AD 1.3 – 'Index to aerodromes and heliports'.

## 3.8.3. DAIM –B1/4 PROVISION OF DIGITAL OBSTACLE DATA SETS

- 3.76 ICAO ANNEX Annex 15 TEXT PARA 5.3.3.4.1 states that
- "Obstacle data sets shall contain the digital representation of the vertical and horizontal extent of the obstacles."
- 3.77 ICAO ANNEX Annex 15 TEXT PARA 5.3.3.4.2 states that
- "Obstacles data shall not be included in terrain data sets."
- 3.78 ICAO PANS-AIM TEXT-PARA 5.3.3.2.2.1 states that
- "Obstacle data elements are features that shall be represented in the data sets by points, lines or polygons."
- 3.79 These provisions define what is meant by obstacle data, reiterating that obstacles must not be included in the terrain data set. They indicate that obstacle data should provide a representation of the horizontal and vertical extent of the obstacles, in a digital form.

#### 3.8.3.1 OBSTACLE DATA - AREA 1

#### AREA 1

- 3.80 ICAO ANNEX Annex 15 TEXT PARA 5.3.3.4.3 states that
- "Obstacle data shall be provided for obstacles in Area 1 whose height is 100 m or higher above ground."
- 3.81 This standard requires that data relating to obstacle must be provided for all objects over 100 metres in height (above ground level).

#### 3.8.3.2 OBSTACLE DATA - AREA AREA-2

- 3.82 ICAO ANNEX Annex 15 TEXT PARA 5.3.3.4.4 states that
- "For aerodromes regularly used by international civil aviation, obstacle data shall be provided for all obstacles within Area 2 that are assessed as being a hazard to air navigation."
- 3.83 The text "aerodromes regularly used by international civil aviation" means all aerodromes designated as international in the National AIP section AD 1.3—'Index to aerodromes and heliports'.
- 3.84 ICAO ANNEX Annex 15 TEXT PARA 5.3.3.4.5 states that
- "For aerodromes regularly used by international civil aviation, obstacle data shall be provided for:
  - a)- Area 2a for those obstacles that penetrate an obstacle data collection surface outlined by a rectangular area around a runway that comprises the runway strip plus any clearway that exists. The Area 2a obstacle collection surface shall have a height of 3 m above the nearest runway elevation measured along the runway centre line, and for those portions related to a clearway, if one exists, at the elevation of the nearest runway end;

- b) -Objects in the take-off flight path area which project above a plane surface having a 1.2 per cent slope and having a common origin with the take-off flight path area; and
- c) -Penetrations of the aerodrome obstacle limitation surfaces.

<u>Note.</u> — Take-off flight path areas are specified in Annex 4, 3.8.2. Aerodrome obstacle limitation surfaces are specified in Annex 14, Volume 1, Chapter 4."

3.85 This standard defines the minimal required set of electronic obstacle data for Area 2 to be provided

for all aerodromes designated as international in the National AIP section AD 1.3 – 'Index to aerodromes and heliports'.

#### <u>a) a)</u>-Area 2a:

All obstacles which exist within the region defined as Area 2a and that intersect a horizontal plane 3m above the nearest point on the runway centreline are to be provided in the digital data set with the Area 2 numerical requirements defined in ICAO PANS AIM Appendix 1 Table A1-6.

- b) b) Objects in the take-off flight path area
- Objects in the take-off flight path area which project above a plane surface having a 1.2 per cent slope and having a common origin with the take-off flight path area (i.e. at the end of the runway or clearway as appropriate) must be made available with the Area 2 numerical requirements defined in ICAO PANS-AIM Appendix 1 Table A1-6:
  - It is, therefore, necessary to include those obstacles which must be included on the Aerodrome Obstacle Chart ICAO Type A (Operating Limitations) in order to meet this clause.
- c) e-Penetrations of the aerodrome obstacle limitation surfaces:
- Objects penetrating the aerodrome obstacle limitation surfaces must be provided with the Area 2 numerical requirements defined in ICAO PANS-AIM Appendix 1 Table A1-6.

#### 3.86 ICAO ANNEX Annex 15 TEXT PARA 5.3.3.4.6 states that

"Recommendation.— For aerodromes regularly used by international civil aviation, obstacle data should be provided for Areas 2b, 2c and 2d for obstacles that penetrate the relevant obstacle data collection surface specified as follows:

- a) -Area 2b: an area extending from the ends of Area 2a in the direction of departure, with a length of 10 km and a splay of 15 per cent to each side. The Area 2b obstacle collection surface has a 1.2 per cent slope extending from the ends of Area 2a at the elevation of the runway end in the direction of departure, with a length of 10 km and a splay of 15 per cent to each side;
- b) -Area 2c: an area extending outside Area 2a and Area 2b at a distance of not more than 10 km from the boundary of Area 2a. The Area 2c obstacle collection surface has a 1.2 per cent slope extending outside Area 2a and Area 2b at a distance of not more than 10 km from the boundary of Area 2a. The initial elevation of Area 2c has the elevation of the point of Area 2a at which it commences; and
- c) -Area 2d: an area outside Areas 2a, 2b and 2c up to a distance of 45 km from the aerodrome reference point, or to an existing TMA boundary, whichever is nearest.

The Area 2d obstacle collection surface has a height of 100 m above ground; except that data need not be collected for obstacles less than a height of 3m above ground in Area 2b and less than a height of 15m above ground in Area 2c."

- 3.87 The collection of obstacle data should comply in accordance with the Area 2 numerical requirements (ICAO PANS-AIM Appendix 1 Table A1-6):
  - a) -Area 2b, as described, is a surface that extends from the outer ends of Area 2a, with a 15% splay to either side. This surface commences at the elevation of the nearest runway threshold or runway end, in case of a displaced threshold, and slopes upwards at an angle of 1.2%.
  - b) -Area 2c is described as the area within 10km of the edges of Area 2a, excluding those parts identified as being Area 2b. Once again, a 1.2% sloped assessment surface is identified.
  - c) -Area 2d is identified as the area extending from the outer edges of Area 2a, Area 2b and Area 2c, out to a distance of 45km or the TMA boundary, whichever is the closest. Given that the TMA boundary is only mentioned in this point and in ICAO PANS-AIM Appendix 8 Figure A8-1, it is assumed that should the TMA end closer to Area 2a than 10km, Area 2b and 2c would still extend to 10km, despite extending further than the TMA boundary.

#### 3.8.3.3 OBSTACLE DATA - AREA AREA 3

#### 3.88 ICAO ANNEX Annex 15 TEXT PARA 5.3.3.4.9 states that

"Recommendation.— For aerodromes regularly used by international civil aviation, obstacle data should be provided for Area 3 for obstacles that penetrate the relevant obstacle data collection surface extending a half-metre (0.5 m) above the horizontal plane passing through the nearest point on the aerodrome movement area."

The provision of obstacle data for Area 3 is a recommendation and the data should be provided to support aerodrome-mapping data in order to ensure consistency and quality of all geographical data related to the aerodrome. Therefore, the provision of this data serves no purpose if aerodrome-mapping data is not provided, as the view resulting from the Area 3 data set will comprise "islands" of data with no reference point to place the data in context, e.g. a digital representation of the movement surfaces.

#### 3.8.3.4 OBSTACLE DATA - AREA AREA 4

#### 3.90 ICAO ANNEX Annex 15 TEXT-PARA 5.3.3.4.10 states that

"For aerodromes regularly used by international civil aviation, obstacle data shall be provided for Area 4 for all runways where precision approach Category II or III operations have been established."

3.91 This standard requires that obstacle data for Area 4 is made available.

#### electronic Terrain and Obstacle Dataset (eTOD)

3.17 eTOD is an electronic set(s) of terrain and/or obstacle data for the defined coverage areas and with the defined data specifications to fulfill the needs of electronic air navigation applications for digital data. The coverage areas for sets of electronic terrain and obstacle data shall be specified as:

Area 1: the entire territory of a State; - Area 2: within the vicinity of an aerodrome, subdivided as follows; Area 2a: a rectangular area around a runway that comprises the runway strip plus any clearway that exists. - Area 2b: an area extending from the ends of Area 2a in the direction of departure, with a length of 10 km and a splay of 15 per cent to each side; — Area 2c: an area extending outside Area 2a and Area 2b at a distance of not more than 10 km from the boundary of Area 2a; and — Area 2d: an area outside the Areas 2a, 2b and 2c up to a distance of 45 km from the aerodrome reference point, or to an existing TMA boundary, whichever is nearest; Area 3: the area bordering an aerodrome movement area that extends horizontally from the edge of a runway to 90 m from the runway centre line and 50 m from the edge of all other parts of the aerodrome movement area. - Area 4: The area extending 900 m prior to the runway threshold and 60 m each side of the extended runway centre line in the direction of the approach on a precision approach runway, Category II or III. Electronic terrain data shall be provided for Area 1 and 4. The obstacle data shall be provided for obstacles in Area 1 higher than 100 m above ground. Note 1 - Comprehensive guidance material concerning eTOD is contained in Annex 15; the Guidelines for electronic terrain, obstacle and aerodrome mapping information (Doc 9881) and the EUROCONTROL Terrain and Obstacle Data Manual. Note 2 Description and method of obtaining of the eTOD should be defined in AIP GEN 3.1.6. AIM/SWIM RELATED MODULES Performance Improvement Area 2 (Globally Interoperable Systems and Data -Through Globally Interoperable System Wide Information Management) focuses on ASBU Modules which mainly support Collaborative Decision Making (CDM) through Information Management (i.e.

Aeronautical Information, MET, Flight and Flow, etc.) in a SWIM environment. PIA 2 includes 11

Modules over 4 blocks as follows:

Performance Improvement	ent Area 2: Globally Inte	roperable Systems and D	ata Through Globally
	de Information Manageme		
Block 0 (2013)	Block 1 (2018)	Block 2 (2023)	Block 3 (2028)
B0-FICE	B1-FICE	B2-FICE	B3-FICE
<del>Increased</del>	<del>Increased</del>	Improved Coordination	Improved Operational
Interoperability,	Interoperability,	through multi-centre	Performance through the
Efficiency and Capacity	Efficiency and Capacity	Ground Ground	introduction of Full FF
through Ground Ground	though FF ICE, Step 1	Integration: (FF ICE/1	<del>ICE</del>
Integration	application before	and Flight Object,	
	<del>Departure</del>	<del>SWIM)</del>	
B0-DATM	B1-DATM		
Service Improvement	Service Improvement		
through Digital	through Integration of all		
Aeronautical Information	Digital ATM		
Management	Information		
	<del>B1-SWIM</del>	<del>B2-SWIM</del>	
	Performance	Enabling Airborne	
	Improvement through the	Participation in	
	application of System-	collaborative ATM	
	Wide Information	through SWIM	
	Management (SWIM)		
B0-AMET	B1-AMET		B3-AMET
Meteorological	Enhanced Operational		Enhanced Operational
information supporting	Decisions through		Decisions through
enhanced operational	Integrated		Integrated
efficiency and safety	Meteorological		Meteorological
	Information (Planning		Information (Near term
	and Near-term Service)		and Immediate Service)

## CHAPTER 4

## **CHAPTER 8. CHAPTER 4.** AIM NATIONAL PLANNING AND IMPLEMENTATION

Roadmap for	States should focus on the implementation of <a href="mailto:phase_Phase_H-2">phase_H-2</a> and <a a="" aim="" ain="" ais="" for="" from="" href="https:// and the ICAC or the transition from AIS to AIM and take into consideration the " in="" manner.<="" mid="" planning="" prioritized="" region="" roadmap"="" th="" the="" tion="" to="" transition=""></a>
an annual Implementat	States are required to develop/update their National AIM Implementation Roadmap or basis (by end of December), using the Template at <b>Appendix A</b> (National AIM tion Roadmap Template) and provide their feedback, lessons learned and difficulties to the Office for further assistance, as necessary.
8.2.4.2. AIR	NAVIGATION DEFICIENCIES
a regional Recommend	A deficiency is a situation where a facility, service or procedure does not comply with air navigation plan approved by the Council, or with related ICAO Standards and led Practices, and which situation has a negative impact on the safety, regularity and/of international civil aviation.
414assessments	Priority for action to remedy a deficiency is based on the following safety:
	——'U' priority = Urgent requirements having a direct impact on safety and requiring immediate corrective actions. Urgent requirement consisting of any physical configuration, material, performance, personnel or procedures specification, the application of which is urgently required for air navigation safety.
	——'A' priority = Top priority requirements necessary for air navigation safety Top priority requirement consisting of any physical, configuration, material performance, personnel or procedures specification, the application of which i considered necessary for air navigation safety.
	<b>"B' priority</b> = Intermediate requirements necessary for air navigation regularity and efficiency. Intermediate priority requirement consisting of any physical configuration, material, performance, personnel or procedures specification, the application of which is considered necessary for air navigation regularity and efficiency.
navigation f	MIDANPIRG is responsible to identify and address specific deficiencies in the airield and to facilitate the development and implementation of an action plan by States to tified deficiencies, where necessary.
the submiss including th MANDD I	States are required to use the MID Air Navigation Deficiency Database (MANDD) for ion of requests for addition, update, and elimination of Air Navigation Deficiencies e submission of a specific Corrective Action Plan (CAP) for each deficiency. Each State Focal Point is given the required credential and MANDD is accessible at icao.int/mid

4. <u>17</u> A	Sample State's	Corrective Acti	on Plan (C	CAP) is	provided a	as <b>Appendix</b>	C for
assistance to States	s in developing th	neir CAPs for the	Air Naviga	gation De	ficiencies.		

4.\_18 \_\_\_\_\_States are required to submit a Formal Letter to the ICAO MID Regional Office containing the evidence(s) that mitigation measures have been implemented for the elimination of deficiency(ies) when requesting the elimination of deficiency(ies) from the MANDD.

#### 8.3.4.3. HUMAN RESOURCE AND TRAINING

4.19 Within the context of the established quality management system, the competencies and the associated knowledge, skills and abilities required for each function shall be identified, and personnel assigned to perform those functions shall be appropriately trained. Processes shall be in place to ensure that personnel possess the competencies required to perform specific assigned functions. Appropriate records shall be maintained so that the qualifications of personnel can be confirmed. Initial and periodic assessments shall be established that require personnel to demonstrate the required competencies. Periodic assessments of personnel shall be used as a means to detect and correct shortfalls.

As part of an organization's quality management system (QMS), AIS technical personnel are required to be competent in the tasks they perform. The goal of Competency-based training and assessment (CBTA) is to provide a competent workforce for the provision of quality aeronautical information services and products. To focus training and assessment on how AIS technical personnel is expected to competently perform on the job, a description of this performance in the operational context is needed. Clear performance criteria are identified and assessed in an organizational competency framework to ensure consistency. The adapted competency model, with defined performance criteria, provides a means of assessing whether trainees achieve the desired performance. The AIS trainee, instructor, training organization and regulator must share a common understanding of the competency requirements and individual roles and responsibilities. Competency requirements must be identified and documented. Processes (i.e., training, assessment plans, etc.) must be established and followed to ensure all AIS are trained and assessed to perform assigned function. Using an adapted competency model with selected competencies, pre-defined observable behaviours, conditions and standards are used to ensure these requirements are met.

#### **4.4. DEVELOPING COMPETENCY-BASED TRAINING**

In line with the State's requirements, the AISP must ensure that job descriptions, training programs, training plans and training records are developed, maintained and continuously improved based on the ICAO competency frameworks. CBTA makes use of a systematic approach whereby the competencies and performance criteria are defined. The training programme is based on identified tasks, and a process for assessment is developed to ensure the identified competencies have been achieved. In particular, the performance criteria are established by the AISP since the competency standards are context-dependent per function. The CBTA methodology is delivered throughout all phases of training; supported by classroom events and performance reviews. Observations and periodic assessments should be conducted to ensure competencies are obtained and maintained. There may be instances where additional training is required, such as training for new or changed software, new tasks and functions, or training required after a long absence.

Note 1 - Guidance material concerning training methodology to ensure the competency of personnel is contained in the Aeronautical Information Management Training Development Manual (Doc 9991).

Note.— The ICAO Competency Framework is defined in the AIS Manual Volume I (Doc 8126) and the AIS Competency Framework is described in more detail in Section 2 of the Training Manual (Doc 9991).

## CHAPTER 5

#### **CHAPTER 9. CHAPTER 5. REPORTING AND MONITORING**

# 9.1.5.1. MID EANP VOLUME III

5.1 The status of implementation is reported and monitored by the AIM Sub-Group and through the B0-DATM Tables contained in the MID eANP Volume III. the The MID eANP is available on the ICAO MID website at: <a href="http://www.icao.int/MID/Pages/MIDeANP.aspx">http://www.icao.int/MID/Pages/MIDeANP.aspx</a>

### 9.2.5.2. REGIONAL PERFORMANCE DASHBOARD

- 5.2 The 38th Assembly approved the Regional Performance Dashboards. The Dashboards aim to provide a glance of both Safety and Air Navigation Capacity and Efficiency strategic objectives, using a set of indicators and targets based on the regional implementation of the Global Aviation Safety Plan (GASP) and the Global Air Navigation Plan (GANP).
- 5.3 ICAO introduced the Regional Performance Dashboards as a framework of nested reporting of results with an increased focus on implementation. The initial version of the dashboard shows the globally agreed targeted performance at the regional level and contains graphics and maps with a planned expansion to include regionally agreed targets and the Aviation System Block upgrades Upgrades (ASBU) Block 0 Modules (i.e. AIM National Plan/Roadmap, AIXM, eAIP, eTOD, WGS-84 and QMS).
- 5.4 For the first edition of the Regional Performance Dashboards, the implementation of 3 steps from Phase I-1 of the ICAO Roadmap for transition from AIS to AIM (AIRAC, QMS and WGS-84) is monitored. The dashboard can be accessed on the ICAO website at: http://www.icao.int/safety/Pages/Regional Targets.aspx.
- 5.5 As of January 2016, the Regional Performance Dashboards has evolved to the more advanced iSTARS Regional Safety Briefing.
- 5.5 It is agreed that in the expansion of the MID Regional Performance Dashboard, AIM National Roadmap, AIXM 5+, eAIP, eTOD Area 1 and 4 should be added to the MID Region Dashboard.

- 5.6 The integrated Safety Trend Analysis and Reporting System (iSTARS) is a web-based system on the ICAO Secure Portal. iSTARS provides a quick and convenient interface to a collection of safety and efficiency datasets and web applications to make safety, efficiency and risk analyses.

  5.7 The ICAO Regional Offices are monitoring the implementation progress of the Air Navigation improvements against the objectives set forth by the Global Air Navigation Plan and the
- 5.8 The primary purpose of the application is to inform all the civil aviation stakeholders and ICAO regional bodies about the implementation progress, and collectively channel the appropriate resources to solve the implementation gaps.
- 5.9 The dashboard and details of status of AN Implementation by Region is available- on **iSTARS** at https://portal.icao.int/space/Pages/ANPage.aspx

#### 9.3.5.3. MID REGION AIR NAVIGATION REPORT

Regional Air Navigation plan.

MIDANPIRG/16 endorsed the first MID Region Air Navigation Report-2016. The objective of the Report is to monitor the status of implementation of the priority 1 ASBU Block 0 Modules in the MID Region as well as the outlook of ASBU implementation in 2020. The MID Region Air Navigation Report will be an annual document for reporting and monitoring the ASBU implementation in the MID Region. The Report is available on the ICAO MID Office website at: <a href="https://www.icao.int/MID/MIDANPIRG/Pages/MID-AN.aspx">https://www.icao.int/MID/MIDANPIRG/Pages/MID-AN.aspx</a> <a href="https://www.icao.int/MID/Pages/default.aspx">https://www.icao.int/MID/Pages/default.aspx</a>

## 9.4.5.4. DEVELOPING A METHODOLOGY FOR REPORTING THE PROGRESS OF AIM IMPLEMENTATION

<u>-5.611</u> "Methodology for assessing and reporting the progress of transition from AIS to AIM" aims to develop a uniform method and plan for the reporting by the States on the progress achieved for the AIM transition, based on the ICAO Roadmap for Transition from AIS to AIM. The ICAO air navigation planning and implementation performance framework requires that reporting, monitoring, analysis and review activities be conducted on a cyclical, annual basis (ICAO DOC 9750). The Methodology is used while collecting data for monitoring the progress achieved in the transition from AIS to AIM and for the purpose of Regional Performance Dashboard, MID eANP, etc.

5.712 MIDANPIRG/15 meeting (Bahrain, 8-11 June 2015) reviewed the draft Methodology for reporting and assessing the progress related to the transition from AIS to AIM, as an initial MID Regional framework for monitoring the progress achieved for the AIM transition.

## 5.5. METHODOLOGY FOR REPORTING AND ASSESSING THE PROGRESS RELATED TO THE TRANSITION FROM AIS TO AIM

Element (Phase/Step/St	ep No.)		Metric/ Indicator	Finalization/Compliance Criteria	Link to ASBU Block	Remarks
1			2	3	4	5
Phase 1						
AIRAC adheren	nce	P-03	FC/NC	Implementation of a system for AIRAC adherence monitoring (compliance with Annex 15 AIRAC provisions) (TBD)	Block 0	
WGS-84 imple	mentation	P-05	FC/PC/NC	National AIP GEN 2.1.3 'Geodetic reference datum' provides information about the implementation of WGS-84 in ENR, Terminal and AD	Block 0	
QMS		P-17	FC/NC	ISO 9001 Certification	Block 0	
Phase 2						
Data quality mo	onitoring	P-01	FI/NI	QMS (P-17) and Agreement with data originators (P-18) is implemented (TBD)	Block 0	
Data integrity n	nonitoring	P-02				Linked to P-01
Integrated aeronautical	AIXM-based AIS Database	P-06	FI/NI	National aeronautical data and information is stored and maintained in AIXM-based AIS database	Block 0	Structured AI Database with digital exchange capabilities (AIXM 5.1)
information database	Implementation of IAID		FI/PI/NI	Implementation of a database providing eAIP (text, tables and charts) and NOTAM, linked to the terrain/obstacles and aerodrome mapping datasets (TBD)	Block 1	
Unique identifie	ers	P-07				Linked to P-06
Aeronautical in conceptual mod		P-08				Linked to P-06
Electronic AIP		P-11	FI/NI	National AIP GEN 3.1.3 'Aeronautical publications' provides information about the availability of the National AIP in electronic format (eAIP)	Block 0	
Terrain A	rea 1	P-13	FC/NC	National AIP GEN 3.1.6 'Electronic terrain and obstacle data' provides information on how the dataset can be obtained	Block 0	
A	rea 4	P-13	FC/PC/NC or N/A	National AIP GEN 3.1.6 'Electronic terrain and obstacle data' provides information on how the dataset for specific CAT II/III RWY can be obtained.	Block 0	In case of PC, list name of CAT II/III ADs having the dataset

Element (Phase/Step	o/Step No.)		Metric/ Indicator	Finalization/Compliance Criteria	Link to ASBU Block	Remarks
1			2	3	4	5
				States should indicate in remarks the number of existing CAT II/III RWY. N/A for States with no CAT II/III RWY.		
	Area 2a	P-13	FC/PC/NC	National AIP GEN 3.1.6 'Electronic terrain and obstacle data' provides information on how the dataset can be obtained. States should indicate in remarks the number of AD eligible for provision of Area 2 data. This number should come from the Regional eANP Table AOP II-1 — for aerodromes with one of the following designation:	Block 0	In case of PC, list name of ADs having the dataset
				- RS: international scheduled air transport, regular use		
				- RNS: international non-scheduled air transport, regular use		
				— RG: international general aviation, regular use.		
	Take-off flight path area	P-13	FC/PC/NC	Same as Terrain Area 2a	Block 0	In case of PC, list name of ADs having the dataset
	An area bounded by the lateral extent of the aerodrome obstacle limitation surfaces	P-13	FC/PC/NC	Same as Terrain Area 2a	Block 0	In case of PC, list name of ADs having the dataset
Obstacles	Area 1	P-14	FC/NC	National AIP GEN 3.1.6 'Electronic terrain and obstacle data' provides information on how the dataset can be obtained	Block 0	
	Area 4	P-14	FC/PC/NC or N/A	National AIP GEN 3.1.6 'Electronic terrain and obstacle data' provides information on how the dataset for specific CAT II/III RWY can be obtained. States should indicate in remarks the number of existing CAT II/III RWY. N/A for States with no CAT II/III RWY.	Block 0	In case of PC, list name of CAT II/III ADs having the dataset
	Area 2a	P-14	FC/PC/NC	National AIP GEN 3.1.6 'Electronic terrain and obstacle data' provides information on how the dataset can be obtained. States should indicate in remarks the number of AD eligible for provision of Area 2 data. This number should come from the Regional eANP Table AOP II-1 — for aerodromes with one of the following designation:	Block 0	In case of PC, list name of ADs having the dataset

Element (Phase/Step/Step No.)		Metric/ Indicator	Finalization/Compliance Criteria	Link to ASBU Block	Remarks
1		2	3	4	5
			<ul> <li>RS: international scheduled air transport, regular use</li> <li>RNS: international non-scheduled air transport, regular use</li> <li>RG: international general aviation, regular use.</li> </ul>		
objects in the take-off flight path area which project above a plane surface having a 1.2 per cent slope and having a common origin with the take-off flight path area	P-14	FC/PC/NC	Same as Obstacles Area 2a	Block 0	In case of PC, list name of ADs having the dataset
penetrations of the aerodrome obstacle limitation surfaces	P-14	FC/PC/NC	Same as Obstacles Area 2a	Block 0	In case of PC, list name of ADs having the dataset
Aerodrome mapping	P-15	FI/PI/NI	National AIP GEN 3.1.6 'Electronic terrain and obstacle data' provides information on how the dataset can be obtained	Block 1	In case of PC, list name of ADs having the dataset
Phase 3					
Aeronautical data exchange	P-09	FI/PI/NI	Direct data exchange between AIS and data originators/users (TBD)	Block 1	In case of PC, list name of Units (Data Originators/Users)
Communication networks	P-10				
Aeronautical information briefing	P-12	FI/PI/NI	Provision of preflight aeronautical information briefing at the international aerodromes (TBD)  Mandatory for international aerodromes contained in the Regional eANP Table AOP II-1 – for aerodromes with one of the following designation:  — RS: international scheduled air transport, regular use  — RNS: international non-scheduled air transport, regular use  — RG: international general aviation, regular use.	Block 1	In case of PC, list name of ADs providing AI briefing

Element (Phase/Step/Step No.)		Metric/ Indicator	Finalization/Compliance Criteria	Link to ASBU Block	Remarks
1		2	3	4	5
Training	P-16				
Agreement with data originators	P-18	FI/PI/NI	Signed agreements between AIS and ANSPs (ATM, CNS, etc.), Aerodromes and Military	Block 0	In case of PC, list name of Data Originator(s)
Interoperability with meteorological products	P-19				Linked to P-12
Electronic aeronautical charts	P-20	FI/NI	National AIP GEN 3.2 'Aeronautical Charts provides information about the availability of the e-Aeronautical Charts	Block 1	
Digital NOTAM	P-21	FI/NI	TBD	Block 1	

FC: Fully Compliant; PC: Partially Compliant; NC: Not Compliant; FI: Fully Implemented; PI: Partially Implemented; NI: Not Implemented; N/A: Not Applicable

-----

### **APPENDICES**

#### APPENDIX A

## **APPENDIX A - NATIONAL AIM IMPLEMENTATION ROADMAP TEMPLATE**

																	<b>STATE</b>	
																	<b>DATE</b>	
<b>Steps/Elements</b>							Tim	<u>eline</u>							<u>Start</u>	<b>End</b>	<u>R</u>	<u>temarks</u>
	<u>2019-</u>	<u>2020</u>	<u>2021</u>	<u>2022</u>	<u>2023</u>	<u>2024</u>	<u>2025</u>	<u>2026</u>	<u>2027</u>	<u>2028</u>	<u>2029</u>	<u>2030</u>	<u>2031</u>	<u>2032+</u>				
<u>WGS-84</u>																		
<u>AIRAC</u>																		
<u>QMS</u>																		
AIXM database (AIXM 5.1+)																		
<u>eAIP</u>																		
Terrain Area 1																		
Obstacle Area 1																		
Terrain Area 2a (plus TKOF flight path and OLS)																		
Obstacle Area 2a (plus TKOF flight path and OLS)																		
Terrain Area 2b, 2c, 2d																		
Obstacle Area 2b, 2c, 2d																		

Terrain Area 3										
Obstacle Area 3										
Terrain Area 4										
Obstacle Area 4										
AIP Datasets										
Aerodrome Mapping Datasets										
IFP Datasets										
Agreement with data originators										
Provision of quality-assured aeronautical data and information										
Training										
NOTAM Improvements										
Aeronautical data exchange										
Dissemination of Aeronautical Information in SWIM environment										
Electronic aeronautical charts										

Interoperability with MET products										
Aeronautical information briefing										

Phase/Step	Step			Timeline			Start	End	Remarks	
	No.	<del>201</del> 4	<del>2015</del>	<del>2016</del>	<del>2017</del>	<del>2018</del>				
Phase I							-	-		
AIRAC adherence	P-03									
WGS 84 implementation	P-05									
<del>QMS</del>	P-17									
Phase II							-			
Data Quality Monitoring	<del>P 01</del>									
Data Integrity Monitoring	P-02									
AIXM	<del>P 06</del>									
Unique identifiers	P-07									
Aeronautical information conceptual model	P-08									

Phase/Step	Step			Timeline			Start	End	Remarks
	No.	<del>2014</del>	<del>2015</del>	<del>2016</del>	<del>2017</del>	<del>2018</del>			
<del>eAIP</del>	P-11								
Terrain A 1	P-13								
Obstacle A 1	P 14								
Terrain A 4	P-13								
Obstacle A-4	P-14								
Terrain A 2	P-13								Please specify implementation of Area 2a, 2b, 2c and/or 2d
Obstacle A-2	P-14								Please specify implementation of Area 2a, 2b, 2c and/or 2d
Terrain A 3	P-13								
Obstacle A-3	P-14								
AD Mapping	P-15								
Phase III									
Aeronautical data exchange	<del>P 09</del>								
Communication networks	P-10								
Aeronautical information briefing	P-12								
<del>Training</del>	P-16								
Agreement with data originators	P-18								

Phase/Step	Step			<b>Timeline</b>			Start	End	Remarks
	No.	<del>201</del> 4	<del>2015</del>	<del>2016</del>	<del>2017</del>	2018			
Interoperability with meteorological products	P-19								
Electronic aeronautical charts	<del>P-20</del>								
Digital NOTAM	P-21								

	Not Started
Legend	In Progress
	Implemented

## Appendix A - APPENDIX B

## APPENDIX B - AIRAC ADHERENCE MONITORING

YEAR: 2016202	<u>1</u>		STATE:	•••••	
AIRAC EFF Date	AIRAC AMDT Serial Number; or NIL Notification	AIRAC AMDT PUB/Distribution Date	Trigger NOTAM (Serial Number)	No change until 28 days after EFF Date? (Yes / No)	Remarks
28 JAN 217	- AIRAC/ <del>16</del> 21; or				
JAN 16	- NIL notification issued on				
25 FEB 214 FEB 16	- AIRAC/ <u>1621</u> ; or - NIL notification issued on				
25 MAR 213 MAR 16	- AIRAC/ <del>1621</del> ; or - NIL notification issued on				
22 APR 2131 MAR 16	- AIRAC/ <del>1621</del> ; or - NIL notification issued				
28 APR 16	on/16; or - NIL notification issued on				
20 MAY 2126 MAY 16	- AIRAC/ <del>16</del> 21; or - NIL notification issued				
1,111	on				
17 JUN 2123 JUN 16	- AIRAC/1621; or - NIL notification issued on				
15 JUL 2121 JUL 16	- AIRAC/1621; or - NIL notification issued on				
12 AUG 2118 AUG 16	- AIRAC/ <del>1621</del> ; or - NIL notification issued on				
09 SEP 2115 SEP 16	- AIRAC/ <u>1621</u> ; or - NIL notification issued on				
07 OCT 2113 OCT 16	- AIRAC/ <del>1621</del> ; or - NIL notification issued on				
04 NOV 2110 NOV 16	- AIRAC/ <del>1621</del> ; or - NIL notification issued on				
02 DEC 218 DEC 16	- AIRAC/ <u>1621</u> ; or - NIL notification issued on				
30 DEC 21	- AIRAC/21; or - NIL notification issued on				

## Appendix C - APPENDIX C

## Appendix D - APPENDIX C - SAMPLE STATE'S CORRECTIVE ACTION PLAN

DEFICIENCY DESCRIPTION		PRIORITY (U/A/B)
		RATIONALE F:Financial, H:HR, S:State, O:Other
STATE'S COMMENTS/OBSERVATION		
CORRECTIVE ACTION(S) PROPOSED	ACTION OFFICE/BODY	DATE OF COMPLETION

#### REFERENCES

- ICAO Annex 15 Aeronautical Information Services and ICAO Annex 4 Aeronautical Charts
- ICAO Doc 9750 Global Air Navigation Plan
- ICAO Roadmap for the transition from AIS to AIM
- ICAO PANS AIM (Doc. 10066);
- AIS Manual Volumes 1-4 (Doc. 8126);
- Aeronautical Chart Manual (Doc. 8697);
- WGS-84 (Doc. 9674);
- AIM Training Manual (Doc 9991).
- Guidelines for Terrain, Obstacle and Aerodrome Mapping Information (Doc. 9881);
- QMS AIM Manual (Doc. 9839);

\_\_\_\_

- EUROCONTROL Guidelines Operating procedures for AIS Dynamic Data (OPADD)
- EUROCONTROL Specifications for the electronic Aeronautical Information Publication (eAIP)
- EUROCONTROL Terrain and Obstacle Data Manual
- MIDANPIRG/15 Report
- MID Doc 002 MID Region Air Navigation Strategy
- MSG/4 Report
- http://www.aixm.aero
- http://www.icao.int/airnavigation/Documents/ICAO\_AN%20Report\_EN\_final\_30042014.pdf
- http://www.icao.int/airnavigation/IMP/Pages/default.aspx
- <a href="http://www.icao.int/safety/ais-aimsg/Pages/default.aspx">http://www.icao.int/safety/ais-aimsg/Pages/default.aspx</a>
- <u>http://www.icao.int/safety/Pages/Regional-Targets.aspx.</u>
- https://portal.icao.int/RO\_MID/Pages/MIDDocs.aspx
- https://portal.icao.int/space/anp/Pages/Home.aspx