





A State's Aviation Security
Oversight System

Cairo, 15 to 17 October 2018



# **Module Objective**

At the end of this module, the participants will be familiar with a State's aviation security oversight system and should be able to describe the critical elements (CEs) of a State's aviation security oversight system and the interrelationships amongst the CEs.



## **Module Outline**

- A national system for aviation security oversight
- The eight critical elements of an effective aviation security oversight system
- Interrelationship of the critical elements



# **Aviation Security Oversight**

A function, which enables States to ensure the effective implementation of the securityrelated SARPs and associated procedures contained in the Annexes to the Chicago Convention (primarily Annex 17, but including the security-related standards of Annex 9) and related ICAO documents.



# **Aviation Security Oversight**

- What is meant by an effective aviation security oversight system?
- What are the elements that you would consider essential for a State to be able to establish and maintain an effective aviation security oversight system?



# A State's AVSEC Oversight System

Described in the ICAO Aviation Security Oversight Manual -The Establishment Management of a State's Aviation Security Oversight System (Doc 10047).





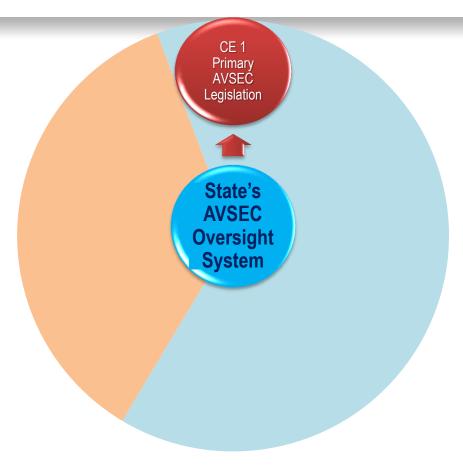


## **Critical Elements**

- The critical elements (CEs) are essentially the tools of a State's aviation security oversight system and are required for the effective implementation of security-related policies and associated procedures.
- The CEs of an aviation security oversight system encompass the whole spectrum of civil aviation security activities.









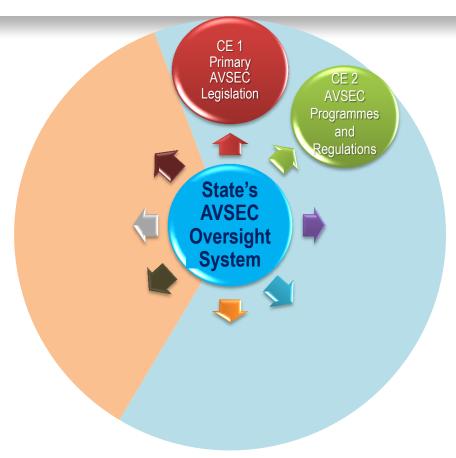


## **CE-1: Primary Aviation Security Legislation**

- Primary legislation
- Establishment of an Appropriate Authority
- Empowerment of national aviation security inspectors









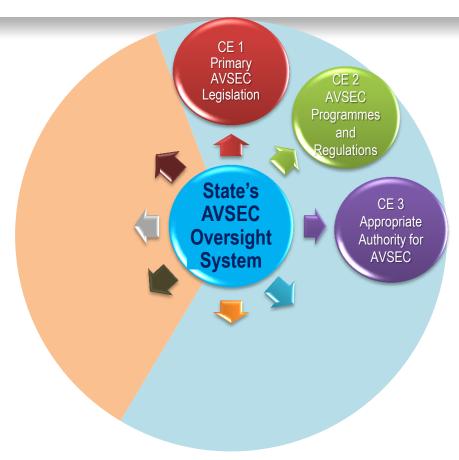


## **CE-2: AVSEC Programmes and Regulations**

- Secondary legislation and amendment procedures
- National-level programmes
- Policies and requirements relating to Annex 17 Standards and to Annex 9 Security-related Standards









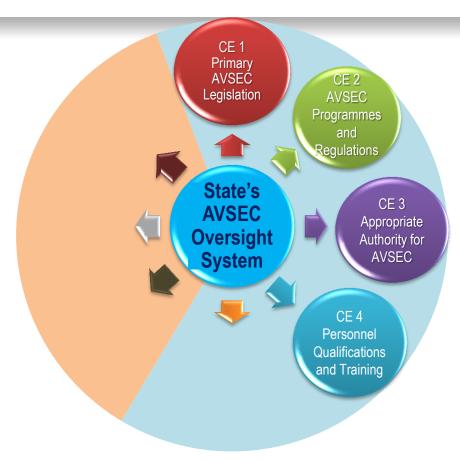


## **CE-3: State Appropriate Authority for AVSEC** and its Responsibilities

- Organizational structure
- Resources
- designation of functions Definition and responsibilities
- Coordination of activities







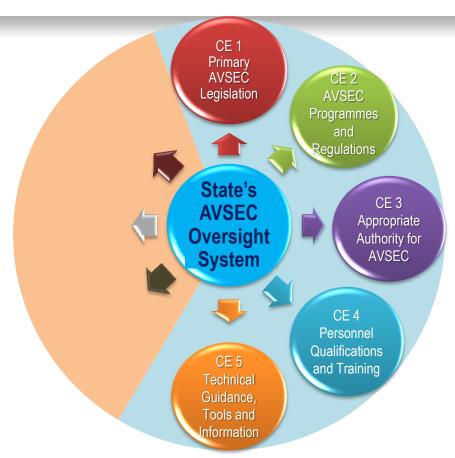


## **CE-4: Personnel Qualifications and Training**

- National-level personnel qualifications and training
- Airport-level personnel selection criteria and training









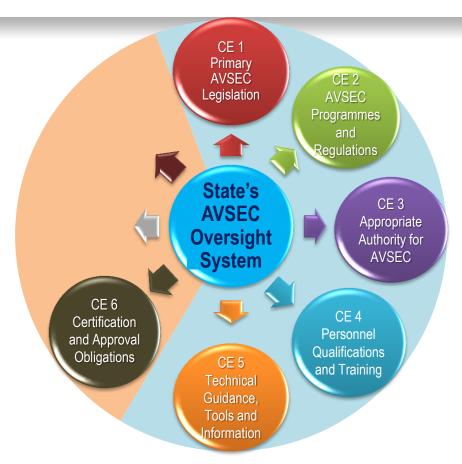


# CE-5: Provision of Technical Guidance, Tools and Security-critical Information

- Guidance and tools for national-level personnel
- Risk assessment methodology
- Specifications for security screening equipment
- Guidance for the implementation of security measures
- Guidance for a regulated agent and a known consignor regime
- International cooperation
- Terms and conditions for certification
- Measures for response to acts of unlawful interference
- Dissemination of security-critical information
- Facilitation-related features and controls









## **CE-6: Certification and Approval Obligations**

- Airport-level programmes and plans
- Airport-level procedures
- Certification of security screeners and aviation security instructors









## **CE-7: Quality Control Obligations**

- Implementation of quality control activity schedule
- Oversight of security measures
- One-stop security
- Oversight of training
- Oversight of delegated tasks
- · Airport-level internal quality control and coordination











## **CE-8: Resolution of Security Concerns**

- Resolution, analysis and reporting of identified deficiencies
- Implementation of measures related to:
  - access control
  - aircraft
  - passengers and their cabin baggage
  - hold baggage
  - cargo, mail and other goods
  - special categories of passengers
  - landside
  - cyber threat







**Establish** 



## Interrelationship of the CEs

- Let us talk about critical element interrelationships!
  - Between CE-1, CE-2 and CE-6
  - Between CE-2, CE-3 and CE-5
  - Between CE-7 and CE-8
- Can you think of other interrelationships?





CE-7 Monitoring implementation

> CE-6 SOPs

#### Standard 4.4.1

Each Contracting State shall establish measures to ensure that originating passengers of commercial air transport operations and their cabin baggage are screened prior to boarding an aircraft departing from a security restricted area.

CE-2 Requirement

> CE-3 Responsible entity

CE-4 Training of personnel

CE-5
Acceptable means
of compliance



## **Module Review**

- A national system for aviation security oversight
- The eight critical elements of an effective aviation security oversight system
- Interrelationship of the critical elements





# **Questions?**



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Middle East (MID) Office Cairo Eastern and Southern African (ESAF) Office Nairobi Asia and Pacific (APAC) Office Bangkok

### **End of Module 3**