USAP-CMA REGIONAL SEMINAR

STUDENT HANDOUTS

Module 2

Universal Security Audit Programme (USAP)

USAP Principles

Sovereignty. Every State has complete and exclusive sovereignty over the airspace of its territory. Accordingly, ICAO fully respects a sovereign State's responsibility and authority for aviation security, including its decision-making powers with respect to implementing corrective actions related to audit findings.

Universality. USAP audits will be conducted in all Member States in accordance with an audit programme established by ICAO and agreed upon by the States.

Transparency of methodology. The audit elements and process will be made available to all Member States.

Timeliness. Results of the audits will be produced and submitted on a timely basis in accordance with a predetermined schedule for the preparation and submission of audit reports.

All-inclusiveness. The scope of the USAP is currently limited to Annex 17 Standards. It is expected to expand at appropriate times to include other Annexes, and to Recommended Practices, in order to ensure implementation of all appropriate security-related SARPs in ICAO Member States' civil aviation systems.

Consistency and objectivity. ICAO USAP audits will be conducted in a consistent and objective manner. Standardization and uniformity in the scope, depth and quality of audits will be assured through training and certification of all auditors, the provision of guidance material, and the implementation of an audit quality control system within ASA.

Fairness. USAP audits are to be conducted in a manner such that Member States are given the opportunity to monitor, comment upon and respond to the audit process, but must do so within an established time frame.

Quality. USAP audits will be conducted by appropriately trained and certified auditors and in accordance with widely recognized auditing concepts.

Confidentiality. Sensitive security information collected as part of the USAP will be protected from unauthorized disclosure.