



## INTERNATIONAL CIVIL AVIATION ORGANIZATION

### AFI COMPREHENSIVE REGIONAL IMPLEMENTATION PLAN FOR AVIATION SECURITY AND FACILITATION IN AFRICA, (AFI SECFAL PLAN)

#### NINTH STEERING COMMITTEE MEETING

(28 July 2020)

#### Agenda Item 1.5: Report on the implementation of AFI SECFAL Plan Projects

(Presented by AFI SECFAL Plan Secretariat)

#### EXECUTIVE SUMMARY

This working paper presents the status report on the implementation of State Specific Projects approved by the SC at its 7<sup>th</sup> Meeting in 2018. The paper highlights in particular, the milestones achieved so far, challenges and impact of COVID19 to the implementation process.

Action by the SC is proposed under **Paragraph 4**.

### 1. BACKGROUND INFORMATION

1.1 At its 7<sup>th</sup> meeting, the AFI SECFAL Plan Steering Committee (SC), approved four (4) State Specific Projects to address and mitigate common deficiencies and needs identified in the USAP-CMA results. Consequently, the AFI SECFAL Plan has continued to develop and implement projects with the focus being on resolving existing Significant Security concerns (SSeCs), preventing any potential SSeC, and elevation or maintenance of Effective Implementation of Security Oversight System Critical Elements (CEs) to or above GAsEP and revised Windhoek Targets in the AFI States.

1.2 Prioritization of the AFI SECFAL Plan State Assistance Projects is in four clusters of categories. The grouping of States in each category is based on prevalence of SSeCs, current Effective Implementation (EI), and eligibility for USAP activity as indicated hereunder:

- a) **Project 1**- Assisting States with SSeCs to address and resolve them sustainably (**2 States**);
- b) **Project 2** - Assisting States with low Effective Implementation (EI) of below 40% of critical elements (CEs) and States yet to be audited under USAP. (**18 States**);
- c) **Project 3** - Assisting States with Effective Implementation (EI) between 40% and 65% to attain at least GAsEP target EI of 65%. (**10 States**); and
- d) **Project 4** - Assisting States with more than 65% Effective Implementation (EI) of critical elements (CEs) of State oversight systems to sustain and continuously improve EIs. (**24 States**).

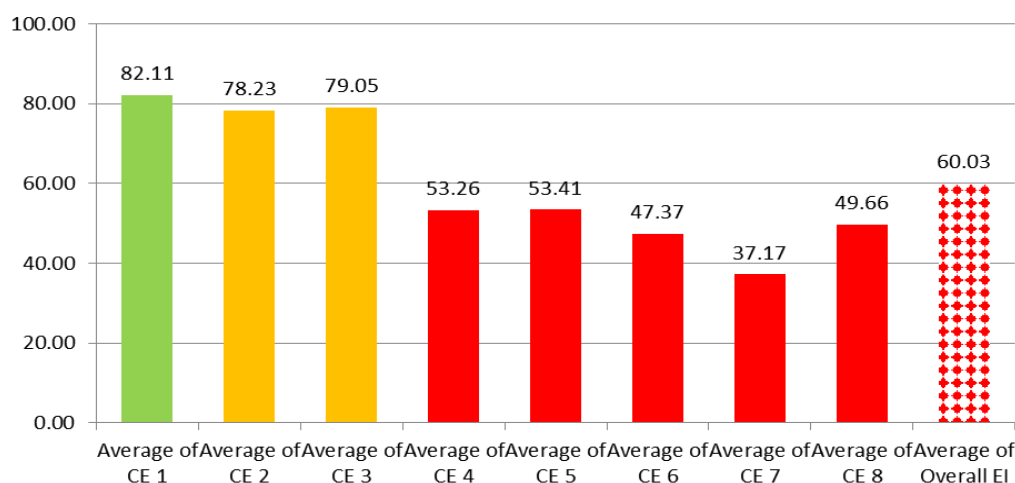
## 2. DISCUSSION

2.1 The Project execution is supplemented by detailed individual States Specific Projects as developed to include implementation of the ICAO TRIP Strategy and other related border control aspects. **Attachment A appended to this working paper** highlights all States so far assisted based of the priority clusters and type of activity undertaken. The State - Specific Projects are designed to address the root causes and challenges of the following key elements:

- a) Adequate legislative framework to operationalise current provisions of Annex 17 and security related provisions of Annex 9;
- b) Clearly define and establish personnel qualifications and selection criteria for national aviation security inspectors and personnel responsible for implementation of security controls at operations areas;
- c) Sufficient provision of technical guidance for quality control activities and industry stakeholders and effective oversight capabilities;
- d) Credible processes for approval obligations and certification systems;
- e) Effective Implementation of security measures at airports and prevention of potential SSeCs;
- f) Legal framework for security provisions of Annex 9 and necessary guidance materials.

2.2 It is imperative to assess of the impact of the AFI SECFAL Plan Projects to determine the improvement in terms of resolution of SSeCs, States EI levels, and increased capability to prevent or remedy potential SSeCs. It is worthwhile to note that since the inception of the AFI SECFAL Plan, the average EI in the AFI region has impressively increased from an average 53.06% in 2015 to 60.03% as of March 2020, as indicated in chart below. In terms of USAP –CMA audited States, currently, 25 (46.29%) of AFI States have achieved 65% (GASeP) Effective Implementation of the CEs.

### Critical Element Averages - All African States



2.3 Consultations with States, corrective action plans responses and Regional Office missions indicate that the improvements posted were triggered and influenced by the agile AFI SECFAL Plan activities and cohesive regional initiatives such as the Plan projects, and State experts missions. Furthermore, the ICAO President Certificate launched in 2019, has stimulated the incentive to progress with 6 of the 10 initial recipients coming from the AFI Region.

2.4 Continuous follow up is being conducted by the Secretariat to ensure that all States that have benefited from implementation of the support projects continue to effectively implement the recommendations provided to ensure continuous and sustainable improvement of their respective security oversight systems.

2.5 Due to political and security instability in the two category 1 States - (States with SSeCs), the expected project deliverable of resolving the SSeCs has not been achieved. Much as there was significant progress in one of the States, evaluation of the States is awaiting completion of the off site assessment of States Corrective Action Plans, rectification of noted anomalies, security clearance and easing of COVID-19 pandemic restrictions.

2.6 Similar to most activities and projects, the implementation of the AFI SECFAL projects has been severely affected by the COVID19 outbreak and related impact to the aviation sector. Challenges of aviation security and facilitation have been considered by the ICAO Council Aviation Task Force (CART) and the High Level Task force for recovery of aviation on Africa (HLTF). Consequently, appropriate recommendations and mitigation measures have been issued by ICAO and AUC, to guide a harmonious restart and recovery of aviation towards a resilient future. Details of the effect and impact of COVID19 and proposed actions are presented under working paper (WP) 2.

### **3. CONCLUSION**

3.1 The strategy to develop and implement State Specific Projects to address root causes of common areas of deficiencies and needs by the AFI States in line with AFI SECFAL plan revised Work Programme is expected to impressively continue to elevate the average Effective Implementation (EI) of the Critical Elements (CEs) to a bigger number of the AFI States. Another outcome expected is strengthened ability to more States towards sustainable compliance to ICAO Annex 17 and Annex 9 Standards and Recommended Practices (SARPs). The Secretariat will similarly continue to monitor progress in the benefiting States to ensure sustainability.

3.2 The COVID-19 outbreak has had unprecedented and significant impact on the AFI SECFAL Plan projects implementation. Consequently, on top of key priority areas contained in the GASeP and revised Plan Work Programme, particular attention will also be given to implementation of CART and HLTF reports recommendations and ICAO *Guidelines for the Aviation Security Contingency Measures during the COVID-19 Pandemic*.

3.3 To address the challenge of lack of sustainable funding mechanism to support the implementation of the ongoing the AFI SECFAL Plan State specific projects, emphasis will be put on resource mobilization through contributions and other assistance from States, donors and partners to support implementation of the projects. The voluntary contributions and pledges, both financial and in-kind offered by States and Partners are currently not adequate to provide the necessary critical assistance.

### **4. ACTION BY THE STEERING COMMITTEE**

4.1 The Steering Committee is invited to:

- a) Note information contained in this paper;
- b) Note the progress made in the implementation of the four (4) priority States Specific Projects;
- c) Encourage the beneficiary States and Secretariat to devise continuous monitoring to ensure sustainable and strengthened improvement of respective security oversight systems; and
- d) Urge States, donors and partners to make contributions and other assistance to support implementation of the AFI SECFAL Plan State Specific Projects;
- e) Urge States, industry partners, donors and financial institutions to support the efforts to address the impact of COVID19 especially the implementation of CART and HLTF recommendations and mitigation measures and monitoring processes.

## ATTACHMENT A

S/N	STATE	DEFICIENCIES AND ACTIONS TAKEN	REMARKS
<b>Category 1: Status of Implementation: States with SSeCs (Two States)</b>			
1.	State A	A 3 Phases project to resolve 5 SSeCs was initiated in 2018. Only phase 1 and 2 have been completed so far.	Phase 1 & 2 implemented. The 3 <sup>rd</sup> phase is pending evaluation of phase 1& 2 outcomes and progress made by the State. This was halted by political /security stability in the State.
2.	State B	Technical support missions conducted in 2019 and further assistance activities are planned before validation to remove the SSeCs subject to COVID-19 Pandemic related restrictions. Challenges of UNDSS clearance have not been fully resolved but there is progress in developing guidelines to perform activities in areas with high security alerts levels.	Technical support and validation activities are pending due to COVID pandemic related restrictions and to UNDSS limitations and clearances to high security alert level States.
<b>Category 2: Status of Implementation: States with EI of CEs below 40% or have not undergone USAP audit (18 States)</b>			
S/N	STATE	DEFICIENCIES AND ACTIONS TAKEN	REMARKS
1.	South Sudan	4 Phase State Specific Project was implemented from April to August 2019 aimed at providing assistance and support to establish security oversight system; build and strengthen ability of the state to meet its obligations and to achieve GAsEP and Plan Targets of Effective Implementation (EI) of CEs of above or equal to 65%.	The 4 phases completed in collaboration with EAC CASSOA. Further monitoring is being done on closure of findings pending evaluation to be done at a later date.
2.	Lesotho	A 4 Phase State Specific Project was implemented from April to August 2019 aimed at providing assistance and support to establish security oversight system; build and strengthen ability of the state to meet its obligations and to achieve GAsEP and Plan Targets of Effective Implementation (EI) of CEs of above or equal to 65%.	All the four phases were completed. USAP CMA postponed to 2021 due to COVID-19 at the request of the State. Monitoring of CAP hampered by COVID-19 outbreak.
3.	Equatorial Guinea	5 day needs assessment and support provided to assist the mitigation and guide the addressing of challenges in preparation for USAP-CMA.	The State is eligible for USAP-CMA and scheduled May 2021. Remote monitoring continues.
4.	Guinea	5 day needs assessment and support provided to assist the mitigation and guide the addressing of challenges in preparation for USAP-CMA.	The State is eligible for USAP-CMA and pending ASA scheduling. Remote technical assistance was provided in preparation for the USAP-CMA & monitoring continues.

S/N	STATE	DEFICIENCIES AND ACTIONS TAKEN	REMARKS
5.	Benin	5 day needs assessment and support provided to assist the mitigation and guide the addressing of challenges in preparation for USAP-CMA.	Technical Assistance provided for the State as it is scheduled for USAP-CMA scheduled June 2021.
6.	Madagascar	5 day needs assessment and support provided to assist the mitigation and guide the addressing of challenges in preparation for USAP-CMA.	The State is eligible for USAP-CMA Remote monitoring continues.
7.	Djibouti	30-day State specific project and deployment of an expert to support USAP-CMA CAP implementation Plan has been approved. Deployment halted due to COVID-19 travel restrictions.	Implementation of the Project is pending easing of travel restrictions.
8.	Angola	4 phase State Specific project to support implementation of the USAP-CMA Corrective Action Plan started in August 2019 and was halted in 2 <sup>nd</sup> phase in March 2020.	Remaining phases are pending removal of COVID-19 Pandemic travel restrictions.
9.	Mozambique	3 phase State specific project strengthen its civil aviation security oversight system was undertaken in 2019 aimed at raising Effective Implementation (EI) of CEs above or equal to 65% by 2020.	All the three phases were completed. USAP-CMA activity halted midway in March 2020 because of COVID-19 outbreak. Audit rescheduled to August 2021.

**Category 3: Status of Implementation: States with EI of CEs above 40% and below 65% (10 States)**

S/N	STATE	DEFICIENCIES AND ACTIONS TAKEN	REMARKS
1.	Zambia	Timely coordination and technical support to remove a potential SSeCs prior to its publication in December 2019.	The SSeCs were mitigated and audit results were above global GAsEP target.
2.	Comoros	5 day needs assessment and support was provided to remedy challenges in preparation for USAP-CMA.	The State is eligible for USAP-CMA. Audit due March 2020 postponed to January 2021.
3.	Seychelles	5 day needs assessment and support was provided to remedy challenges in preparation for USAP-CMA.	The State is eligible for USAP-CMA and pending ASA scheduling. Remote monitoring continues.
4.	Mali	5 day needs assessment and support was provided to remedy challenges in preparation for USAP-CMA.	The State is eligible for USAP-CMA and pending ASA scheduling. Remote monitoring continues.

**Category 4: Status of Implementation: States with EI of CEs above 65% (24 States)**

S/N	STATE	DEFICIENCIES AND ACTIONS TAKEN	REMARKS
1.	Mauritius	5 day needs assessment and support was provided to remedy challenges in preparation for USAP-CMA.	The State was audited in November 2018 and scored EI above global average.

S/N	STATE	DEFICIENCIES AND ACTIONS TAKEN	REMARKS
2.	Zimbabwe	5 day needs assessment and support was provided to remedy challenges in preparation for USAP-CMA.	The State was audited 2019 and scored EI above global average.
3.	Eritrea	5 day needs assessment and support was provided in 2019 to remedy challenges in preparation for USAP-CMA.	The State is eligible for USAP-CMA and pending ASA scheduling. Remote monitoring continues.
4.	Côte d'Ivoire	5 day needs assessment and support was provided to remedy challenges in preparation for USAP-CMA.	The State is eligible for USAP-CMA, scheduled June 2021. Technical Assistance provided & remote monitoring continues.
5.	Kenya	8 day needs assessment and technical support was provided in 2019 and 2020 to remedy challenges in preparation for USAP-CMA.	The State is eligible for USAP-CMA and Scheduled for February 2021. Remote monitoring continues.
6.	Namibia	5 day needs assessment and support was provided to remedy challenges in preparation for USAP-CMA	The State was audited in 2019 and scored EI above global average.