



## INTERNATIONAL CIVIL AVIATION ORGANIZATION

### AFI COMPREHENSIVE REGIONAL IMPLEMENTATION PLAN FOR AVIATION SECURITY AND FACILITATION IN AFRICA (AFI SECFAL PLAN)

#### 8<sup>TH</sup> STEERING COMMITTEE MEETING

*Kampala, Uganda (17th May 2019)*

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#### Agenda Item 4:1 Proposed 2019 AFI SECFAL Plan Programme Of Activities And Financial Status

*(Presented by the AFI SECFAL Plan Secretariat)*

<b>EXECUTIVE SUMMARY</b>
This paper presents information related to the proposed Programme of activities of the AFI SECFAL Plan for the year 2019 and Financial Status as at 30 April 2019.
Action by the SC is proposed under <b>Paragraph 4</b>

#### 1. BACKGROUND INFORMATION

1.1 This paper presents information related to the proposed Work Programme of activities of the AFI SECFAL Plan for the year 2019 and Financial Status as at 30<sup>th</sup> April 2019 (**Attachment B and C**). Activities have been prepared mainly based on the current Plan Term activities (Medium Term) that started in January 2018, and carried forward, Short-Term activities. More so, the Work Programme takes into account; 7<sup>th</sup> Steering committee meeting Decisions and Recommendations, Steering committee Chairperson's 7<sup>th</sup> SC Oral report to Council, AFI SECFAL Plan Priority projects and ICAO Council directives.

#### 2. DISCUSSION

2.1 The Seventh Meeting of the Steering Committee held in Niamey, Niger reviewed the progress made in the implementation of the programme of activities conducted under the 2018 work programme. Since then, further progress has been made in the implementation of the Plan Work Programme activities, including decisions and recommendations made and implementation of the Medium-Term (2018-2020) activities. The main focus has been on assisting the efforts of AFI States to meet their obligations to comply with relevant ICAO Standards and Recommended Practices (SARPs) with aspiration to meet

the Global Targets, monitor and support Corrective action Plans implementation and development of projects based on needs analysis using a categorised order of priority.

2.2 The Plan has continued to coordinate the support by AFCAC, State experts and other organisations to address state specific deficiencies or provide guidance and advisory assistance during USAP-CMA audit preparations.

2.3 Since the last Steering Committee meeting, several milestones have been achieved including the alignment of the AFI SECFAL Plan work programme with the Global Aviation Security Plan(GASeP), improving the project-based approach based on common areas of deficiencies as determined, and the establishment of Collaborative Expert Scheme(CES) under the auspices of AFCAC.

2.4 Other deliverables include the continuous support of ICAO to the African Union Commission (AUC) and AFI States towards the development of the African e-passport, monitoring the implementation of the Windhoek targets all of which have led to steady improvement of average of Effective Implementation of Critical Elements of an Effective Security Oversight Systems of the AFI States.

2.5 Consolidation of support for the Plan activities with those of industry and partners under the umbrella of the Inter-Regional coordination and other frameworks has increased collaboration and reduced duplication of activities. Joint activities have been undertaken or are planned with partners such as Airports Council International (ACI) European Union through its CASE Project in Africa, World Customs Organization (WCO) and the United Nations (UN) through its counter-terrorism programme. (2019 Work programme is attached as (**Attachment A**).

### 3. FINANCIAL STATUS

3.1 As reported to the 7th Steering Committee meeting, the AFI SECFAL Fund established in 2017, has so far received States voluntary cash contributions from Malaysia, Kingdom of Saudi Arabia, Uganda and Belgium and in kind contribution through experts from France. Significant in kind contributions has been received from several African States through provision of experts to support assistance Plan programs, training /capacity building activities, Aviation Security Improvement Plans(ASIPs) and ongoing State Specific projects.

3.2 As of 30 April 2019, the AFI SECFAL Plan Fund has a balance of **CAD 634,169**. Details of the statement of income and expenditure for the period From 14 December 2016 to 30 April 2019 are reflected in **Attachment B and Attachment C**

3.3 The expert support and assistance projects implemented in 2018/2019 using the AFI SECFAL Plan Fund were based on deficiencies and needs identified among others the analysis of USAP-CMA results, Regional office missions and specific requests from States. Details of the AFI SECFAL Plan projects and other activities to be undertaken in 2019 and beyond are indicated in Working Paper (WP) 4.

3.4 The Chairman AFI SECFAL Plan Steering Committee and ICAO Secretary General have consistently briefed the ICAO Council on the funding challenges of the Plan and emphasized the need to include the Plan funding in the 2020-2022 triennium Regular Programme budget.

3.5 The current balance on the AFI SECFAL Plan Fund is inadequate to fund the implementation of the priority and ongoing state specific projects. If no additional contribution (both in kind and financial) is received, significant challenges will be faced in the near future. Although the impact of this scenario will be lessened if the AFI SECFAL Plan is funded under the Regular budget, additional resources will continue to be needed to meet the prevailing demands in the AFI Region.

#### **4. CONCLUSION**

4.1 The formulation of the 2019 AFI SECFAL Plan work programme takes into account the aligned AFI SECFAL Plan work programme, the implementation of the AFI SECFAL projects, Joint capacity building programmes with GAT, ISD, FAL and ASTCs and monitoring of the development of relevant AVSEC/FAL training programmes and certification systems by GAT. Other activities include joint missions with AFCAC, RECs and RSOOs, providing assistance and support to States.

4.2 In order to promote awareness on role of aviation security and facilitation to national development and strengthened political will, high level missions performed by Secretary General, President of the Council, Secretary General and Regional Directors will be undertaken as necessary.

4.3 The support and increased awareness of the implementation of ICAO TRIP Strategy by African States including the promotion of membership of the ICAO (PKD) and implementation of (API) will be a key focal action through workshops/seminars/conferences and State missions.

4.4 The AFI SECFAL Plan, as part of its activities of 2019 will also continue to serve as a coordination platform for the programs, projects and initiatives of Partners.

4.5 In order to have resources that will have an impact on the Plan work programme activities and implementation of the projects, resource mobilisation strategy must have a sustainable funding mechanism. The inclusion of the AFI SECFAL Plan in the next triennium regular budget of 2020-2022 will provide a good foundation in establishing the sought system.

#### **5. ACTION BY THE STEERING COMMITTEE**

5.1 The Steering Committee is invited to:

- a) Note information contained in the paper;
- b) Note the Plan financial status as presented by the Secretariat;
- c) Consider and approve retrospectively, the proposed 2019 AFI Plan Work Programme.
- d) Encourage member States, International organizations and Partners to continue to contribute (in kind and financial) towards the AFI SECFAL Plan thus supporting aviation security and facilitation activities and

- projects;
- e) Take note of the AFI SECFAL Plan financial status to support the 2019-2020 projected project and activities; and
  - f) Request the ICAO Council to include the funding of AFI SECFAL Plan in the 2020-2022 triennium Regular Programme budget of ICAO.

## Appendix A

### 2019 AFI SECFAL PLAN DRAFT WORK PROGRAMME

Objective	Activity	Deliverables
<b>Plan Coordination Mechanism and Implementation modalities.</b>	<ul style="list-style-type: none"> <li>• Convene the 8<sup>th</sup> and 9<sup>th</sup> SC Meetings Steering Committee meetings.</li> <li>• Enhance collaboration and coordination with AUC, AFCAC, AFRAA, IATA Partners and donors through sharing of information and programmes and participation in activities of mutual interest.</li> </ul>	<ul style="list-style-type: none"> <li>• Convened</li> <li>• Harmonised Work Programmes and activities with Partners and donors to assist and support States</li> </ul>
<b>Review the implementation of the Resource Mobilisation strategy</b>	<ul style="list-style-type: none"> <li>• Enhance the campaign and awareness for Member States and donors to provide voluntary contributions support AFISECFAL projects</li> <li>• Provide financial status report to the SC in collaboration with Chief FIN.</li> <li>• Explore new and potentially resource opportunities with some international financial institutions, such as African Development Bank AfDB.</li> <li>• Advocate for inclusion of the Plan in Regular budget and provide the Plan budget to SC for approval</li> </ul>	<ul style="list-style-type: none"> <li>• Secure funding and voluntary contributions from Member states, Partners and International Organisations</li> <li>• Plan budget included in the Regular Programme of ICAO in the next Triennium budget and Plan budget approved</li> <li>• Financial status report of the Plan provided to SC.</li> </ul>
<b>Alignment of Plan work programme and Windhoek Declaration and targets with the GAsEP</b>	<ul style="list-style-type: none"> <li>• Perform a cursory review of the AFI SECFAL Plan work program, to align its targets and activities with Windhoek Declaration/ Targets and GAsEP as appropriate.</li> <li>• Present the draft aligned Plan Work Plan SC meeting for approval.</li> </ul>	<ul style="list-style-type: none"> <li>• Approved aligned AFI SECFAL Plan work program;</li> </ul>
<b>Capacity building</b>	<ul style="list-style-type: none"> <li>• Coordinate the conduct/ organisation of trainings courses and seminars as appropriate in collaboration with GAT, ISD, FAL</li> </ul>	<ul style="list-style-type: none"> <li>• Increased capacity and awareness in Travel Documents (border control procedures) verification</li> </ul>

Objective	Activity	Deliverables
	<p>partners, sponsors and donors such as ASTCs, AFCAC, ACI-APEX, AU-CASE, etc.</p> <ul style="list-style-type: none"> <li>• Monitor the development of relevant AVSEC/FAL training programmes and certification systems by GAT</li> <li>• Provide assistance and support to States in to develop human resources (HR) policies for sustainable aviation security and facilitation</li> <li>• Coordinate the conduct of at least two courses on Travel Documents (border control procedures) verification in Central and Southern Africa region.</li> </ul>	<ul style="list-style-type: none"> <li>• New AVSEC/FAL training programmes and certification systems adopted and implemented at national level.</li> <li>• Development of human resources (HR) policies conducted by 4th Quarter of 2019</li> </ul>
<p><b>Promote awareness on role of aviation security to national development and strengthened political will.</b></p>	<ul style="list-style-type: none"> <li>• Promote aviation security and facilitation as part of agenda of high level mission especially those performed by President of the Council, Secretary General and Regional Directors</li> <li>• Convene workshop/seminar/conferences aimed at promoting awareness and security culture targeting high government stakeholders/officials.</li> </ul>	<ul style="list-style-type: none"> <li>• Increased/strengthened high level political commitment and will to</li> <li>• Increased awareness and security culture by at high government stakeholders’ officials.</li> </ul>
<p><b>Regional Aviation Security and Facilitation Group (RASFALG-AFI) operational</b></p>	<ul style="list-style-type: none"> <li>• Liaise and coordinate with AFCAC for the RASFALG-AFI to continue and effectively take part in the execution of the Plan Work Programme</li> <li>• Identify State needs for projects to be implemented by RASFALG-AFI/ CES;</li> <li>• Monitor the effectiveness of the RASFALG-AFI/ CES and report to every SC meetings.</li> </ul>	<ul style="list-style-type: none"> <li>• Progress of RASFALG-AFI work programme and Meetings reported every SC Meeting.</li> <li>• State needs for projects identified</li> <li>• Functioning AVSEC/FAL CES under the RASFALG-AFI</li> </ul>
<p><b>Report to ICAO Assembly</b></p>	<ul style="list-style-type: none"> <li>• AFI SECFAL Plan Chairman to present oral report to ICAO Council.</li> <li>• ICAO SECGEN to brief Council on AFI SECFAL Plan implementation.</li> </ul>	<ul style="list-style-type: none"> <li>• AFI SECFAL Plan progress report made to Council as appropriate</li> <li>• Council observations and recommendations Incorporated in the AFI SECFAL Plan work program</li> <li>• Secure increased support of the Council</li> </ul>

Objective	Activity	Deliverables
<b>Implementation of ICAO TRIP Strategy</b>	<ul style="list-style-type: none"> <li>• Support African States in the implementation ICAO (TRIP) strategy, including the promotion of membership of the ICAO (PKD) and implementation of (API) through workshops/seminars/conferences and State missions.</li> <li>• Encouraged AFI States to establish links with INTERPOL to use, and provide updates to, INTERPOL border control systems</li> <li>• In coordination with AUC and the African Civil Aviation Commission (AFCAC), secure the endorsement by the relevant AU organs of the Plan targets aligned with GAsEP</li> </ul>	<ul style="list-style-type: none"> <li>• Increase membership to PKD by 30% and implementation of API by 20%;</li> <li>• Convene at least one workshop/seminar/conference or State missions on ICAO TRIP PKD and API in collaboration with AFCAC</li> <li>• AU supported to secure the endorsement by of the aligned Plan targets</li> <li>• AFI states encouraged to establish links with INTERPOL</li> </ul>
<b>Develop Aviation security and facilitation Projects and State specific plans</b>	<ul style="list-style-type: none"> <li>• Conduct needs assessment missions to determine deficiencies and needs</li> <li>• Develop relevant and prioritised projects to assist States in remedying the identified deficiencies</li> <li>• Conduct assistance missions to implement the developed projects to address the identified deficiencies</li> <li>• Conduct relevant trainings to prioritised states to address clear definition of Appropriate Authorities for AVASEC and FAL, allocation of resources to AVSEC and FAL functions and establishment and promulgation of Primary Legislation, operating regulations and National programme</li> <li>• Resolve existing, potential and new SSeCs</li> </ul>	<ul style="list-style-type: none"> <li>• Needs and deficiencies identified to at least 16 AFI priority states by 4<sup>TH</sup> Quarter of 2019</li> <li>• At least 10 priority projects developed by 4<sup>TH</sup> Quarter of 2019 and implementation initiated.</li> <li>• At least three existing SSeCs removed</li> <li>• At least three potential or new SSeCs removed/prevented</li> <li>• At least two Workshops (English/French) for each (NCASP, NCASQCP, and NCASP) Conducted by end of 2019.</li> <li>• States in need supported/guided to develop national legislation consistent to ICAO SARPs</li> <li>• 50% states to have active NCASCs and NATFCS by end of 2019</li> </ul>
<b>Aviation security Risk assessment of AFI States</b>	Organise/Conduct relevant courses and workshops at ASTCs in ESAF and WACAF Region	at least two Workshops on risk assessment (ESAF and WACAF) delivered by 4 <sup>th</sup> quarter of 2019

Objective	Activity	Deliverables
<b>Sound crisis management procedures are established in all States</b>	Provide support to States in needs to develop and implement Crisis management procedures	at least two Workshops on Crisis management (ESAF and WACAF) delivered by 4 <sup>th</sup> Quarter of 2019
<b>Elevate/improve average EI, at least 80% of States in the African region achieve at least 65% EI of the CEs.</b>	<ul style="list-style-type: none"> <li>• Conduct timely support missions to States eligible for USAP-CMA</li> <li>• Recommend necessary interventions and onsite support as necessary</li> <li>• Provide guidance and Seminars on USAP-CMA Methodology, signing of MoU, specific state obligations in the audit process and relevant stakeholders awareness</li> <li>• Establish a mechanism to ensure timely implementation of AFI States' corrective action plans after USAP-CMA</li> </ul>	<ul style="list-style-type: none"> <li>• States preparing for USAP-CMA supported.</li> <li>• States implementing USAP-CMA CAPs supported as appropriate.</li> <li>• at last 2 USAP-CMA seminars(French /English) conducted by December 2019</li> <li>• At least 75% of audited states achieve 65% EI of the CEs by 2020</li> </ul>
<b>All African Region States have ratified relevant international instruments from Medium term)</b>	<ul style="list-style-type: none"> <li>• Promote ratification of all relevant international instruments and assist States in their implementation</li> <li>• Monitor ratification status of the States in the African Region, of relevant International Instruments in liaison with ICAO Legal Affairs and External Relations Bureau</li> </ul>	<ul style="list-style-type: none"> <li>• Organise and deliver 2 legal Seminars ( WACAF/EASF) to promote ratification of all relevant international instruments from Medium term)</li> <li>• Increase in international instrument ratification by AFI states (aim: 100%) by 2020</li> </ul>



**Attachment B**

INTERNATIONAL CIVIL AVIATION ORGANIZATION												
AFI SECFAL												
STATEMENT OF INCOME AND EXPENDITURE BY WOs												
FOR THE PERIOD FROM 14 DECEMBER 2016 TO 30 APRIL 2019 (Preliminary)												
(in Canadian dollars)												
	Total without WOs	Total WOs	ASF17102-01	ASF17102-02	ASF17102-03	ASF17102-04	ASF17102-05	ASF18102-01	ASF18102-02	ASF18102-03	ASF18102-04	ASF18102-05
Transfers (to)/from other funds	472 107	472 107	345 552		3 027	3 027	3 003	7 511	31 451	5 498	7 915	65 124
<b>REVENUE</b>												
Contribution	361 825	361 825	337 100	24 725								
Other Revenue	15 346	15 346										
<b>TOTAL REVENUE</b>	<b>849 278</b>	<b>15 346</b>	<b>361 825</b>	<b>24 725</b>								
<b>EXPENDITURE</b>												
benefits	6	6					6					
others	873	873		873								
Travel Cost	124 273	124 273	61 616	5 867	3 333	3 333		32 752	11 442			5 930
Meeting Expense	36 359	36 359	4 541	10 804			2 997	7 564	4 224	5 546		682
Grants and other transfers	18 327	18 327	14 718						3 609			
charges	23 597	23 597	23 597									
Miscellaneous other expense	11 675	11 581	94		47	47						
<b>TOTAL EXPENDITURE</b>	<b>215 109</b>	<b>11 581</b>	<b>203 528</b>	<b>17 543</b>	<b>3 380</b>	<b>3 380</b>	<b>3 003</b>	<b>40 316</b>	<b>19 275</b>	<b>5 546</b>	<b>5 930</b>	<b>682</b>
<b>ACCUMULATIVE SUPLUS</b>	<b>634 169</b>	<b>3 765</b>	<b>630 404</b>	<b>578 180</b>	<b>7 182</b>	<b>(353)</b>	<b>(353)</b>	<b>(32 806)</b>	<b>12 176</b>	<b>(48)</b>	<b>1 985</b>	<b>64 442</b>

## Attachment C

**INTERNATIONAL CIVIL AVIATION ORGANIZATION**  
**AFI SECFAL**  
**STATEMENT OF INCOME AND EXPENDITURE BY WOs**  
**FOR THE PERIOD FROM 14 DECEMBER 2016 TO 30 APRIL 2019 (Preliminary)**  
**(in Canadian dollars)**

<b>Work Order</b>	<b>Work Order description</b>	<b>Balance in CAD</b>
ASF17102-01	AFI SECFAL Plan Fund Unearmarked General Workorder	578,179.96
ASF17102-02	Legal Seminar to be conducted in Nairobi (27-28 Nov 2017)	7,181.84
ASF17102-03	Crisis Management Training Course (30 Oct – 03 Nov 2017)	(353.39)
ASF17102-04	Risk Management Workshop (07 – 10 Nov 2017)	(353.38)
ASF17102-05	6th AFI SECFAL Steering Committee Meeting (8 Dec 2017)	.00
ASF18102-01	Risk management Course & Crisis management training Nairobi, Kenya (20-29 Mar 2018)	(32,805.72)
ASF18102-02	Travel Documents Authentication training, Niamey, Niger (9-12 Apr 2018)	12,175.88
ASF18102-03	Training costs for NCASQCP and NCASP Workshops (15-27 October 2018)	(47.64)
ASF18102-04	Travel and DSA costs for NCASQCP and NCASP Workshops (15-27 October 2018)	1,984.72
ASF18102-05	AVSEC TECHNICAL ASSISTANCE TO GUINEA BISSAU (Oct-Dec 2018)	64,441.91
	Total exchange gain/(loss) and interest	3,765.17
	<b>Total Fund Balance</b>	<b><u>634,169.35</u></b>