



**AFI COMPREHENSIVE REGIONAL IMPLEMENTATION PLAN FOR AVIATION
SECURITY AND FACILITATION IN AFRICA
(AFI SECFAL PLAN)**

**SIXTH STEERING COMMITTEE MEETING
Montreal, Canada, 8 December 2017**

Agenda Item 1: Proposed Programme of 2018 AFI SECFAL Plan Activities

(Presented by AFI SECFAL Plan Secretariat)

EXECUTIVE SUMMARY

This paper presents information to the Steering Committee on the proposed programme of 2018 AFI SECFAL Plan activities.

Action: The Steering Committee is invited to:

- a) Note the information contained in the paper;
- b) Provide guidance on resources mobilisation and implementation of the work programme
- c) Provide further instructions and guidance for the continued implementation of the 2018 Work programme as contained in **Attachment A** to this working paper

1. INTRODUCTION

1.1 The implementation of the three-phase AFI SECFAL Plan work programme is ongoing with short term phase scheduled to end by December 2017. The short term priorities were revised to accommodate the Action Plan of the Windhoek declaration and targets for aviation security and facilitation in Africa. Upon approval of the GAsEP, the subsequent two phases (2018-2023) will similarly be amended to align with the Global Plan. It's anticipated that the achievements so far realised in the short term phase will form a strong anchor for the Plan and culminate in the attainment of the goals and objectives.

1.2 Achievement of the medium term and long term objectives to be completed by December 2020 and 2023 respectively, largely depends on availability of adequate financial and human resources, employment of personnel under ICAO Regular budget, identification and development of specific projects and attaining of financial and in kind contributions from States and donors.

2. DISCUSSION

2.1 Alongside consolidating achievements made so far by the Plan towards the effective realization of the AFI SECFAL Work Programme objectives and goals, the schedule of activities for 2018 will mainly focus on tasks aimed at completion of short term phase activities while maintaining the momentum for ensuring realization of the medium phase goals.

2.2 A thorough review of the Plan work programme will be performed to guarantee alignment of the AFI SECFAL Plan, Windhoek targets and GASep targets and deliverables. Details of the 2018 work programme are highlighted in Attachment to this working Paper.

2.3 Other priorities will include, establishing mechanism to harmonize and avoid duplications of efforts, identification of deficiencies captured through review of USAP CMA results and Regional office mission reports to inform specific project formulation, strengthen sharing of information, data and lessons learnt including promotion of security culture , completion of needs analysis, monitoring the establishment and operationalization of the AVSEC/FAL Cooperative Inspector scheme in coordination with AFCAC and review progress of Windhoek security and facilitation targets in Africa.

2.4 Heightening awareness on role of aviation security and facilitation and promotion of strengthened political will at continental and national levels will be on the Agenda of all high level missions to States performed by the President of the Council, Secretary General and Regional Directors.

2.5 A key component of Plan success is increased consultations, corroboration and coordination with States and stakeholders towards harnessing of synergies and efforts, prioritization of implementation of AVSEC and FAL programmes and compliance with relevant Standards and Recommended Practices.

2.6 It is anticipated that the implementation of the Resources Mobilization strategy will result in attracting and obtaining the necessary resources within the regular ICAO budget and voluntary financial and in kind contributions from States, Stakeholders, International Organizations, donors and the industry.

2.7 Implementation of ICAO Council observations, Steering committee decisions and recommendations and AFI Director Generals of Civil Aviation recommendations as applicable are crucial and fundamental elements in the annual work programme.

3. CONCLUSION

3.1 The Steering Committee is invited to:

- a) Note the information contained in the paper; and
- b) Approve and guide the development and implementation of the AFI SECFAL 2018 Work Programme as contained in **Appendix to** this working paper

ATTACHMENT A: AFI SECFAL PLAN WORK PROGRAMME FOR 2018

| Objective | Activity | Deliverables | Current Status |
|---|--|--|---|
| Plan Coordination Mechanism and Implementation modalities. | <ul style="list-style-type: none"> • Convene Steering Committee meetings. • Increased collaboration and coordination with AUC, AFCAC, Partners and donors through sharing of information and programmes and participation in activities of mutual interest. | <ul style="list-style-type: none"> • The 7th and 8th steering Committee meetings to be convened in 2018 in accordance with SC Terms of Reference • Active participation with tangible decisions and recommendations by SC. • Harmonisation of programmes and activities with Partners and donors and effective participation through the year 2018. | <ul style="list-style-type: none"> • <i>SC meetings continue to be convened twice a year as required one as part of the AFI week event and another in 4th quarter of the year.</i> • <i>Annual AUC/ICAO coordination are convened with AVSEC/FAL issues on agenda and AFCAC participated in WACAF/ESAF coordination meeting in Jan 2018</i> • <i>Partners and donors attend SC meetings.</i> |
| Review the AFI SECFAL Plan budget and establish sustainable funding mechanism | <ul style="list-style-type: none"> • Implement the AFI SECFAL Plan Resource mobilisation strategy and establish a sustainable funding mechanism. • Perform fundraising and voluntary contributions promotion activities. • Provide financial status report to the SC in collaboration with Chief FIN. | <ul style="list-style-type: none"> • Pending short term activities completed by April 2018 • Medium term tasks executed report progress to 7th SC meeting • 2017-2019 triennium budgetary provisions related to the Plan are consumed as approved. • Secure funding of USD 1,000,000 and in kind support from donors and contributors identified to support the sustainable funding mechanism and report progress to 8th SC meeting. | <ul style="list-style-type: none"> • <i>AFI SECFAL is predominantly funded under ICAO Regular budget that was approved to include recruitment of 2 staff effective 2018;</i> • <i>Voluntary contributions (in kind and financial have been received from France, Saudi Arabia, Malaysia, and Uganda)</i> • <i>AFI SECFAL Plan Resource Mobilisation strategy has been developed for SC consideration and approval.</i> |
| Review the Plan work programme to be align with Windhoek targets and GAsEP targets | <ul style="list-style-type: none"> • Perform a cursory review of the AFI SECFAL Plan work program, Windhoek declaration and targets and GAsEP targets and align accordingly • Revise the Plan work programmes after alignment process and present the draft to 7th SC meeting. | <ul style="list-style-type: none"> • Complete alignment exercise by April 2018; • Submit the Revised AFI SECFAL Plan work program that is aligned with Windhoek targets and GAsEP targets to 7th SC meeting for consideration and approval. | <ul style="list-style-type: none"> • <i>The 3 phase AFI SECFAL Plan programme approved in 2015, is devoid of all the provisions of the Windhoek declaration and targets adoption in 2016 and endorsed in 2017, and the GAsEP approved in 2017.</i> |
| Capacity building | <ul style="list-style-type: none"> • Perform indoctrination of new staff Professional (P) staff and secondees to support the programme. | <ul style="list-style-type: none"> • Two Professional staff (P4) recruited ready to effectively perform their tasks by 2nd quarter of 2018: | <ul style="list-style-type: none"> • <i>Recruitment process completed and officers are reporting in January 2018</i> |

| Objective | Activity | Deliverables | Current Status |
|---|---|---|---|
| | <ul style="list-style-type: none"> Identify candidates for train a trainer (ToT) for Travel document verification, Risk assessment and Crisis management and incorporate potential partners, sponsors and donors. | <ul style="list-style-type: none"> Perform 2(two) ToT courses for identified experts by 3rd quarter of 2018; Under take at least two courses in areas of Travel document verification, Risk assessment and Crisis management and provide OJT in Nairobi and Dakar for the identified experts by December 2018. | <ul style="list-style-type: none"> <i>HRDF secondee was deployed to WACAF and Zimbabwe withdrew ESAF nominee</i> <i>One secondee from France deployed at WACAF Office</i> <i>Details of identified candidates for ToT are yet to be compiled by June 2018.</i> |
| Comprehensive needs analysis for the AFI Regional | <ul style="list-style-type: none"> Analyse the outcome of the ICAO and ACI training needs surveys for Africa. Analyse the responses of survey conducted by AFCAC; Update register of AVSEC /FAL experts in AFI Region both active and retired; Consolidate the outcomes of all survey and analysis in collaboration with relevant Partners. | <ul style="list-style-type: none"> 2 projects developed to implement AVSEC/FAL training strategy and awareness programmes by July 2018. An action plan developed to address AVSEC/FAL deficiencies and needs of AFI Region and report progress at 7th SC meeting; Perform 3 AVSEC/FAL CIS activities under the auspices of AFCAC by December 2018. | <ul style="list-style-type: none"> <i>Information generated through surveys conducted by the various entities awaits analysis and consolidation;</i> <i>Outcome of the training needs analysis will be used to support development of projects being submitted for SC approval</i> <i>Implementation of specific projects using the voluntary fund has commenced</i> |
| Promote awareness on role of aviation to national development and strengthened political will. | <ul style="list-style-type: none"> Design a template for promotion of aviation security and facilitation as part of agenda of high level mission; Convening of workshop/seminar/conference for aimed at promoting awareness and security culture targeting the wide scope of high government stakeholders/officials. | <ul style="list-style-type: none"> Secure political commitment through promotion done at every high level mission in 2018 by President of the Council, Secretary General and Regional Directors and other Stakeholders CEOs 1(one) Regional workshop/seminar meeting convened specifically aimed at awareness and promotion by of AVSEC/FAL to be attended by at least 70% of States. | <ul style="list-style-type: none"> <i>Promotion is not harmonised and templates vary across the ICAO as an organisation</i> <i>Awareness and promotion campaign at workshop/seminar or conference not inclusive of all stakeholders and</i> <i>No event has been organised exclusively for raising awareness on aviation security and facilitation.</i> |
| Regional Aviation Security and Facilitation Group (RASFALG-AFI) established and operational | <ul style="list-style-type: none"> Liaise with AFCAC and coordinate the activities of RASFALG-AFI as technical support of the SC to execute its mandate; Identify State needs that require support of AVSEC/FAL CIS; | <ul style="list-style-type: none"> RASFALG-AFI meets regularly and provides report to every SC meeting; AVSEC/FAL CIS provides assistance to at least three States that have challenges by December 2018; | <ul style="list-style-type: none"> <i>RASFALG-AFI Chaired by Cape Verde under auspices of AFCAC Secretariat and with ICAO support</i> <i>Comprehensive list of experts developed analysed and designations to focus areas done</i> |

| Objective | Activity | Deliverables | Current Status |
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| | <ul style="list-style-type: none"> • Monitor the effectiveness of the AVSEC/FAL CIS and report to 7th and 8th SC meetings. • Conduct joint ICAO Staff and RASFALG-AFI CIS experts | | <ul style="list-style-type: none"> • <i>Analysis of response on survey on status of Annex 17 SARPs and Security related provisions of annex 9 is ongoing.</i> |
| Report to ICAO Assembly | <ul style="list-style-type: none"> • AFI SECFAL Chairman to present oral report to ICAO Council. • ICAO SEC GEN to brief Council on AFI SECFAL Plan implementation. | <ul style="list-style-type: none"> • Incorporate and address Council observations and recommendations in the AFI SECFAL Plan work program • Secure increased support of Council | <ul style="list-style-type: none"> • <i>The Chairperson of the AFI SECFAL Plan has delivered two oral reports and SEC GEN has made two briefings to the Council on the Plan progress.</i> • <i>Plan work program has been updated to incorporate Council observations</i> |
| Implementation of ICAO TRIP Strategy | <ul style="list-style-type: none"> • Engage with States that have not fully implemented Standards 3.11 of Annex 9 • Promote implementation of TRIP elements, encourage Membership to PKD and implement API through workshops/seminars/conferences and State missions. | <ul style="list-style-type: none"> • Secure full compliance with Standard 3.11 of Annex 9 by all States by end of 2018; • Increase membership to PKD by 40% and subscription to API by 30%; • Convene one ICAO TRIP workshop/seminar to promote PKD and API in Dakar in 2nd quarter of 2018 in collaboration with AFCAC for the AFI region , • One AVSEC/FAL regional workshop to promote GAsEP, PKD and API targeting attendance of 70% of AFI States in June 2018. | <ul style="list-style-type: none"> • <i>States Points of Contacts for Facilitation have been nominated in 28 States;</i> • <i>Only 6 States are members of PKD and two states are implementing API;</i> • <i>One TRIP seminar was conducted in ESAF Region in Nairobi, 2016, and one proposed for Dakar in 2018</i> |
| Develop Aviation security and facilitation Projects | <ul style="list-style-type: none"> • Analyse specific aspects of USAP/USAP CMA audits results and Corrective Action Plans (CAP) to identify deficiencies and needs. • Develop a project to assist States in MARB and resolve existing SSeC for consideration and approval by SC. | <ul style="list-style-type: none"> • Develop and initiate implementation of the action Plan on addressing deficiencies and needs by August 2018; • Remove all AFI States from MARB listing, prevent emerging of new SSeCs and resolve existing SSeCs within 3 months of posting; • Achieve the deliverables and targets of the 2 ongoing projects and 3 to be initiated in 2018; | <ul style="list-style-type: none"> • <i>Overview of progress on USAP analysis was presented to 5th SC meeting</i> • <i>Mission support to help resolve SSeCs carried out in one State, and validation mission done in September and November 2017;</i> • <i>Side bar meetings held with SSeCs States</i> • <i>A total of 8 Missions to States conducted to monitor implementation of CAP</i> |

| Objective | Activity | Deliverables | Current Status |
|---|---|---|--|
| | | | <ul style="list-style-type: none"> • 2 AFI SECFAL Plan projects have started and 3 more will be initiated in 2018; |
| <ul style="list-style-type: none"> • Organize Aviation Security and Facilitation Courses • Development/review of AVSEC/FAL training Programme and Certification systems | <ul style="list-style-type: none"> • Coordinate with ICAO GAT and Aviation Security Training Centres (ASTCs) to take lead in the development of training programmes especially on Facilitation, • Monitor review of existing training provided by ASTCs and guide development of appropriate training on AVSEC/FAL Programmes and certification systems | <ul style="list-style-type: none"> • Conduct at least two Aviation security courses and 2 workshops for the benefit of AFI States by 3rd quarter of 2018; • Obtain approval of 7th SC on the training Programme and Certification systems | <ul style="list-style-type: none"> • AVSEC courses and workshops conducted at ASTCs in Nairobi, Johannesburg and Dakar • One AVSEC Instructors certification course conducted and instructors recertification done through on line training. |
| Aviation security Risk assessment of AFI States | <ul style="list-style-type: none"> • Conduct Risk Management Course at ASTCs in ESAF Region in March 2018. | <ul style="list-style-type: none"> • Organize at least two courses and Workshops on risk assessment • Develop a Projects to support States with low EIs | <ul style="list-style-type: none"> • One Risk Management Course organized by CASE project in coordination with AFCAC • Risk Management Courses conducted in Dakar, Madagascar and Comoros • Additional Risk Management courses planned at the ASTCs in Africa |

ATTACHMENT B - IMPLEMENTATION STATUS OF 2017 AFI SECFAL PLAN WORK PROGRAMME

| Item | Objective | Activity | Task/deliverable | Implementation/current Status |
|------|---|---|--|---|
| 1. | Plan Coordination Mechanism and Implementation modalities | <ul style="list-style-type: none"> Steering Committee meetings Increased collaboration and coordination with AFCAC, Partners and donors | <ul style="list-style-type: none"> The 5th and 6th steering Committee meeting to be convened on notice in the course of the year Identify partners and donors to support and collaborate with the Plan | <ul style="list-style-type: none"> The 5th and 6th steering Committee meetings convened Contact and engagements has been enhanced with AFCAC, UNCCT, EU, Interpol, IOM, British Council etc |
| 2. | Initiate SECFAL Plan budget and funding mechanism | <ul style="list-style-type: none"> Identify sustainable funding mechanism Put funding strategy in Place Initiate fundraisings Encourage voluntary contribution | <ul style="list-style-type: none"> Absorb the budget for AFI SECFAL Plan in regular budget for the 2017-2019 triennium Avail adequate funding to the programme | <ul style="list-style-type: none"> Recruitment for 2 P4 staff completed and Regional offices and Bureaus continue to support the Plan within the existing budget; AFI SECFAL Fund established and funding from Voluntary contributions deposited accordingly; Draft Resources Mobilisation strategy to be presented to SC for consideration and approval |
| 3. | AFI SECFAL Plan Staff | <ul style="list-style-type: none"> Recruit Professional (P) staff to support the programme Use existing Staff to support the programme | <ul style="list-style-type: none"> I Professional staff be recruited for ESAF Office, I Professional Staff be recruited for WACAF Office | Recruitment for 2 P4 staff completed and staff reporting in January 2018 |
| 4. | Inception of the needs analysis on training in all member States, Regional /International organizations and Partners | <ul style="list-style-type: none"> Evaluate the results of the training needs questionnaires received from member States Evaluate the results of the training questionnaires received from Regional, international and Development Partners | <ul style="list-style-type: none"> Develop/update/Implement AVSEC training strategy and awareness programmes Develop/Implement FAL Training Strategy | <ul style="list-style-type: none"> Needs analysis exercise still on going and should be completed by in coming staff dedicated to AFI SECFAL Plan activities FAL training strategy to be launched in 2018 |

| Item | Objective | Activity | Task/deliverable | Implementation/current Status |
|------|--|---|---|---|
| 5. | Regional Aviation Security and Facilitation Group (RASFALG-AFI) established and operational | <ul style="list-style-type: none"> Nomination of Experts to the 6 focus areas completed Work Plan developed and approved by the Steering committee | <ul style="list-style-type: none"> Funds made available to support RASFALG-AFI; Implementation of indicative work programme as per timelines | <ul style="list-style-type: none"> Support to the RASFALG Group provided and AFCAC effectively managing the group activities including implementation of the work |
| | | | | AVSEC/FAL CIS yet to be established |
| 6. | Generation of Political will needed for AFI States to participate in SECFAL efforts | <ul style="list-style-type: none"> Presentation of AFI SECFAL Plan to Regional Groups Create awareness on the Outcomes of the Windhoek High Level Ministerial Conference | <ul style="list-style-type: none"> Endorsement of Windhoek declaration and targets on Aviation security and Facilitation by AU summit; | <ul style="list-style-type: none"> Windhoek declaration and targets on Aviation security and Facilitation adopted by Ministerial STC in March 2017 and endorsed by AU summit in July 2017 |
| 7. | Report to ICAO Assembly | <ul style="list-style-type: none"> AFI SECFAL Chairman to coordinate preparation of the report to be presented to ICAO Council SG ICAO to brief Council on Plan implementation | <ul style="list-style-type: none"> Implementation AFI SECFAL Plan Short term measures (2016-2017) continues | <ul style="list-style-type: none"> Oral Report to Council presented by Chairman to the Steering Committee Report on progress of Plan implementation presented by Secretary General |
| 8. | Issuance of Machine readable passport s by AFI States | Provide support to States that have still not fully implemented Standards 3.11 of Annex 9 | All AFI States are fully compliant with Standard 3.11 of Annex 9 | 4 States are yet to declare/confirm withdraw of non MRTDs |
| 9. | Provide direct support to African States in their effort to resolve identified deficiencies identified under USAP/USAP CMA audits | <ul style="list-style-type: none"> Assist States in implementation of their Corrective Action Plans. Assist States listed in the MARB Assist States with Significant Security Concerns (SSECs) | <ul style="list-style-type: none"> New and existing SSECs are timely resolved Follow up on the implementation of the ICAO Corrective Action Plans (CAPs) Support the interventions under TCB, ISD (Security) and other partners. | <ul style="list-style-type: none"> No new SSEC has posted; All potential/emerging SSECs were addressed , SIPs have been activated in MARB States ; Follow up actions on existing SSEC is ongoing and a joint WACAF/AFCAC mission was conducted in this regard; |

| Item | Objective | Activity | Task/deliverable | Implementation/current Status |
|------|--|---|---|---|
| | | | <ul style="list-style-type: none"> Coordinate with the States to build security and Facilitation oversight capacities | |
| 10. | <ul style="list-style-type: none"> Organize Aviation Security and Facilitation Courses Development/review of AVSEC/FAL Programme and Certification systems | <ul style="list-style-type: none"> Coordinate with Aviation Security Training Centres (ASTCs) on development, review and implementation of courses Ensure AFI States develop and approve AVSEC/FAL Programmes and certification systems | <ul style="list-style-type: none"> Aviation security courses and workshops conducted for the benefit of AFI States Follow up on the implementation of the ICAO Corrective Action Plans (CAPs) | <ul style="list-style-type: none"> Two training and workshops were performed with ASTCs (Crisis Management training and Risk assessment workshop in Dakar; 2 Travel document authentication training events in Tanzania and Nigeria One Legal seminar conducted in Nairobi; Two states have developed national security implementation Plans; The AVSEC Regional officers conducted State missions as per the WACAF and ESAF work programmes |