



INTERNATIONAL CIVIL AVIATION ORGANIZATION

AFI COMPREHENSIVE REGIONAL IMPLEMENTATION PLAN FOR AVIATION SECURITY AND FACILITATION IN AFRICA (AFI SECFAL PLAN)

FIFTH AFI SECFAL PLAN STEERING COMMITTEE MEETING

(Thursday, 25 May 2017, Gaborone, Botswana)

Agenda Item 1: AFI SECFAL PROGRESS REPORT

**Presentation and discussion on the proposed AFI SECFAL Plan
Projects**

(Presented by AFI SECFAL Plan Secretariat)

EXECUTIVE SUMMARY

This paper presents for discussion and consideration the proposed AFI SECFAL Plan projects as recommended by the 4th Steering Committee meeting.

1. BACKGROUND

1.1. The Fourth meeting of the Comprehensive Regional Implementation Plan for Aviation Security and Facilitation in Africa (AFI SECFAL Plan) Steering Committee (SC) held on 1 December 2016 in Montreal, Canada, observed the need to adopt a project based methodology in addressing challenges through identification of common aviation security and facilitation deficiencies that will inform the development of specific project proposals. This view was further reiterated by the President of the ICAO Council.

1.2. The meeting directed that the project proposals should benefit the AFI SECFAL Plan and should be able to attract funding from potential partners, donors, development partners and other voluntary contributions.

1.3. Numerous independent activities and initiatives focusing on security and facilitation are ongoing funded by donors and partners and industry in the AFI Region but there is no repository or coordination to monitor their implementation and impact. Consequently, the Steering committee recommended (*SC04/ Rec 08(5)*) that the Secretariat

establishes a mechanism to coordinate such as projects and other initiatives in Africa to enable the systematic and successful implementation of AFI SECFAL Plan work programme.

2. DISCUSSION

2.1. The AFI SECFAL Plan SC has consistently called for an effective mechanism of supporting and assisting States endeavors to meet their obligation to comply with ICAO SARPs and implementation of the work programme based on a risk based approach.

2.2. The lack of coordination mechanism to monitor implementation of the various programs and project in AFI Region has potential to degenerate into wastage of resources and duplication of efforts thus negating the goal of optimizing the available resources and harnessing synergies.

2.3. Considering that the AFI SECFAL Plan is yet to secure enough contributions to sponsor and sustain the implementation of the Plan work programmes and related activities, the fund will be limited to those funded by voluntary contributions from donors and partners.

3. PROGRESS ON PROPOSED PROJECTS

3.1. ICAO received contributions from the Kingdom of Saudi Arabia to fund projects/programmes part of which (\$150,000) has been allocated towards the implementation of the AFI SECFAL Plan projects. Consequently, approval was given by the Project Review Committee – ICAO Voluntary Fund (PRC-IVF) for formulation of two projects related to aviation security and facilitation to be funded by Saudi Arabia fund under the auspices of the AFI SECFAL Plan.

3.2. The two proposed Projects included as attachment A and B will address the following:

- a) ICAO TRIP Strategy – Strengthening identification management, travel document security and border controls
- b) Enhance aviation security and facilitation in Africa in a sustainable manner through workshops and seminars.

The project proposals are presented to the Steering committee for consideration and approval before implementation.

4.1 ACTION BY THE STEERING COMMITTEE

The Steering Committee is invited to:

- a) Note information contained in the paper;
- b) Guide, advise and approve the proposed projects under Saudi Arabia fund as allocated by PRC-IVF
- c) Encourage member States and Partners to continue to contribute towards the AFI SECFAL Plan thus supporting aviation security and facilitation projects.

ATTACHMENT A

AFI-SECFAL - PROJECT PROPOSAL

Project Number:	AFI-SECFAL TRIP001-2017
Project Title:	ICAO TRIP Strategy – Strengthening identification management, travel document security and border controls
Program:	ICAO Traveller Identification Programme (TRIP) – Assistance to States
Main Activities:	Activity 1: Training deliveries of the ICAO Training Package <i>Control of the Authenticity and Validity of Travel Document at Airport Borders – Level 1</i> ; and Activity 2: ICAO TRIP Regional Seminar – Contribution to interpretation costs
Recipient Country and/or Geographic Region:	Central, Eastern, Western and Southern Africa Regions
Project Duration:	One year (June 2017-Mai 2018)
Implementation:	Eastern and Southern Africa (ESAF) Office and Western and Central African (WACAF) Office, with the support of Facilitation Section (FAL) and Global Aviation Training (GAT)
Project Cost (USD):	Activity 1: US \$70,000 Activity 2: US \$5,000 Total: US \$75,000

General Context

Civil aviation in Africa is confronted by facilitation issues which adversely affect the efficiency and quality of air services. The magnitude of the problem is compounded by the tenuous security environment, conflict zones and the growing presence of terrorist, insurgent and transnational criminal group activities in a continent where many States face significant challenges relating to compliance with ICAO Standards. As the global aviation system transcends national borders, the situation in Africa has potential implications that can adversely impact regional and global economies.

Travel document security and border management are crucial components of international efforts to create a sustainable security foundation, while fostering economic development and good governance. As a result, identification management and border controls continue to be central to the current global security agenda, especially considering the continuous rise of trans-border crime and terrorism. Indeed, the ability of criminals and terrorists to operate with anonymity across borders – beyond the knowledge of a State and international authorities regarding their true identity and movements – is a powerful enabler for persons to advance unlawful and illegitimate activities.

ICAO establishes international Standards and Recommended Practices (SARPs) outlined in Annex 9 — *Facilitation* to the Chicago Convention and the technical specifications contained in ICAO Doc 9303, *Machine Readable Travel Documents*.

ICAO adopted at its 38th General Assembly in 2013 the ICAO Traveller Identification Programme (ICAO TRIP) Strategy for which the priorities and expected outcomes were endorsed by the 39th Assembly (2016). The Strategy proposes a holistic approach to traveller identification management in order to maximize security and facilitation benefits. Through its five elements, namely, Evidence of Identity, Machine Readable Travel documents (MRTDs), Document Issuance and Control, Inspection System and Tools and Interoperable Applications, the ICAO TRIP Strategy contributes to States' capacity to uniquely identify individuals by providing authorities with tools and guidance how to establish and verify the identity of travellers.

The ICAO TRIP Strategy confers to ICAO the mission to assist States in meeting their international obligations. Therefore, ICAO assists Member States on all matters related to the ICAO TRIP, including capacity-building activities linking the needs of States, ICAO expertise and resources provided by the donor community, notably through the AFI SECFAL Plan in order to enhance aviation security and facilitation in Africa in a sustainable manner.

As such, the implementation of the ICAO TRIP Strategy allows to strengthen identification management, security of passport issuance and handling, and border control management through training on travel document examination, the ICAO Public Key Directory (PKD), advanced passenger information (API) system, the INTERPOL Stolen and Lost Travel Document database and other international watch lists such as Al Qaida or Taliban lists.

Those assistance activities may take the form, among others, of training deliveries and information dissemination through ICAO TRIP Regional Seminars. As a Counter-Terrorism Implementation Task Force (CTITF) entity, ICAO is committed to support the implementation of UN Security Council resolutions 1373 (2001), 1624 (2005), 2178 (2014) and 2309 (2016).

Project Goal

Assisting Member States in Central, Eastern, Western and Southern Africa Regions in implementing the ICAO TRIP Strategy by enhancing their border control management (BCM) and in complying with their international obligations contained in Annex 9 – *Facilitation*, as well with resolutions of the UN Security Council pertaining to counter-terrorism.

Project Description and Objectives

Over the course of the implementation of previous ICAO TRIP projects and during international and regional events organized by the Facilitation Section, ICAO and partnering organizations have identified several needs and gaps, among them non-compliant travel documents (especially in conflict zones), outdated security features contained in the travel document, issuance of ePassports without being a member of the ICAO Public Key Directory (PKD), border control officers not sufficiently trained, poor civil registry processes and management, and the need for continuous regional consultations and dialogue.

This project aims at assisting states at implementing the ICAO TRIP strategy through **1) Training and 2) Dissemination of information** on ICAO SARPs and other international obligations and standards.

The activities of this project is enhancing the ICAO initiative “No country left behind” which highlights ICAO’s efforts to assist States in implementing ICAO Standards and Recommended Practices (SARPs). The main goal of this initiative is to help ensure that SARPs implementation is better harmonized globally so that all States have access to the significant socio-economic benefits of safe and reliable air transport (<http://www.icao.int/about-icao/nclb/Pages/default.aspx>). The technical information disseminated by ICAO to States under the ICAO TRIP Strategy on SARPs contributes to improving the governance of those States.

ACTIVITY 1:

Training deliveries of the ICAO Training Package *Control of the Authenticity and Validity of Travel Document at Airport Borders – Level 1*

Location of the training deliveries:

- Tanzania (July 2017),
- Senegal (September 2017)
- Cameroon (October 2017)

Front-line border control officers play a critical role in performing the initial screening of all passengers crossing borders and in identifying individuals that may be travelling for illegitimate purposes. The importance of training those officers to detect a fraudulent document or an impostor cannot be overstated and the training needs are recognized to be tremendous: every country has dozens of front-line officers performing this essential task and the turnover ratio of the target population of this ITP tends to be significant.

Several countries lack the national capacity for training their officers, given that travel document examination is a highly specialized training field. This lack of capacity results in border control officers who do not possess the requisite knowledge about how to operationally control passengers and the expected outcomes of this process. These vulnerabilities tend to be exploited by terrorists and criminals through the fraudulent use of identity papers and travel documents.

To address this challenge, ICAO has developed a training package entitled “**Control of the Authenticity and Validity of Travel Documents at Airport Borders – Level 1**” (<http://www.icao.int/Training/Pages/TDexam.aspx>) according to the TRAINAIR PLUS methodology referenced in ICAO’s Training Development Guide, Competency-based Training Methodology (Doc 9941). This ITP builds upon ICAO’s leadership in developing travel document specifications contained in ICAO Doc 9303, *Machine Readable Travel Documents*. The training is currently available in English and French and will become available in Arabic and Spanish by the end of 2017.

The objectives the delivery of this four-day training course to 40 front-line border control officers/training from the at least 12 selected States are to:

- Consolidate the competencies of front-line border control officers from immigration and other airport border control authorities to examine travel documents effectively;
- Increase the capacity of the States to prevent and intercept the movement of terrorists and other high-risk individuals while expediting the movements of legitimate travelers;

- Assist States to Achieve a greater compliance of Member States with their international obligations; and
- Enhance the partnership with other International Organizations in the field of training.
- Issuance of e-passports, PKD membership compliance with DOC 9303.

The tentative dates for the three training deliveries are:

1. Tanzania, July 2017, in partnership with the Civil Aviation Training Centre (CATC) and the International Organization for Migration (IOM);
2. Senegal, September 2017, in partnership with the Ecole Regionale de la Navigation Aérienne et de Management (ERNAM) and the United Nations Office on Drugs and Crime (UNODC); and
3. Cameroon, October 2017, in partnership with the Cameroon Civil Aviation Authority.
4. South Africa, January 2018, in partnership with an ASTC to be identified
5. Botswana (March 2018), in partnership with the TRAINAID Plus training centre

The location of training deliveries were chosen taking into consideration:

- The geographic coverage;
- The expressed needs for this training course;
- The training delivery facilities, including TRAINAIR PLUS Members and partnering international organizations;
- The location of the instructors and the opportunity to complete the training of ICAO Qualified Instructors;
- The opportunity to increase partnerships with international organizations notably the International Organization for Migration (IOM) and the United Nations Office on Drugs and Crime (UNODC); and
- The language for the training delivery (English and French).

This activity is to be delivered jointly by ESAF, WACAF, GAT and FAL.

Note: The dates and locations of the training deliveries are subject to change.

ACTIVITY 2:

ICAO TRIP Regional Seminar

Location of the Regional Seminar:

- Seychelles, for the benefit of representatives from the African Member States and from elsewhere.

ICAO TRIP Regional Seminars are part of the regular programming of the Facilitation Section. Hosted by a Member State, they take place in different regions of the world over two and a half days. The host State is responsible to cover for the expenses related to the event. The Activity 2 will contribute to the interpretation costs incurred by the event.

Regional Seminars address the ICAO TRIP Strategy including, Machine Readable Travel Document (MRTD) standards and best practices, document security, identification

management and related border security issues. It benefits passport issuing offices, aviation security authorities, civil registries, border control and law enforcement authorities, as well as airline companies, airport authorities, and other interested parties. An industry exhibition complements the Seminar with a broad range of products and services related to MRTDs.

The objectives of the ICAO TRIP Regional Seminar in Seychelles that will take place over two and a half days are to:

- Increase the awareness of the participating Member States of their international obligations under the Annex 9 — *Facilitation* and disseminate technical information on the ICAO TRIP Strategy and travel documents specifications contained in Doc 9303;
- Increase the level of participation to the ICAO PKD;
- Increase efficiency of National Air Transport Facilitation Programme (NATFP) and support to establish;
- Give the opportunity to the Member States representatives to discussing with experts on national and regional challenges and best practices;
- Aiding the Secretariat to develop a roadmap on future capacity-building projects; and
- Strengthening communication and cooperation between national agencies and between States.

The tentative dates for conducting the ICAO TRIP Regional Seminar are to be determined by the secretariat in collaboration with key stakeholders

The location of the ICAO TRIP Regional Seminar is to take place in Seychelles following the interest showed by this Member State during to host such an event in 2018.

This activity is to be delivered jointly by ESAF and FAL. (See attached hosting requirements requirement for activity 2).

Budget Details (estimate)

Description	Price (US \$)	Number	Total (US \$)
Activity 1: Training Deliveries (x3) of the ICAO ITP			
ICAO fee per participants (14 trainees/training, 3 training deliveries)	450/trainee	42	18,900.00
Instructor Salary (2 instructors/training, 6 days/training)	505/day	36	18,180.00
Instructor DSA (average of US \$260/day, average of 7 days/training)			10,700.00
Air Ticket and Terminal Allowance			14,300.00
Catering and coffee breaks (for 4 days, 3 training deliveries)	2140	3	6,420.00
Misc.			1,500.00
<i>Sub-total</i>	-	-	<u>70,000.00</u>
Activity 2: ICAO TRIP Regional Seminar			
Interpretation (English-French)			<u>5,000.00</u>
TOTAL			75,000.00

**REQUIREMENT LIST -RESOURCES REQUIRED TO HOST AN
ICAO TRAVELLER IDENTIFICATION PROGRAMME (TRIP) REGIONAL
SEMINAR**

(Resources are provided by the host Government)

All items indicated below as required “Yes” should be covered by the host
Government

1. CONFERENCE FACILITIES

	ITEM	DESCRIPTION	REQUIRED	
			YES	NO
1.	Capacity of conference facility	<i>Up to 200 persons</i>	√	
2.	Conference working hours	0830 to 1700 hours		
3.	Internet Wireless access (high speed)	Preferably in conference room	√	
4.	Sound equipment (with large speakers on stands)		√	
5.	Additional Meeting Room (TRIP Workshop)	Up to 50 persons	√	
6.	Head Table and speaking Podium	Seating head table for 6 persons		
7.	Fixed microphones (Head Table/ Podium)	Quantity 6	√	
8.	Wireless microphones (for audience)	Quantity 4 (as minimum)	√	
9.	Easel, paper and markers, Whiteboard and markers Pointer (electronic for presentations)		√	
10.	Multimedia projectors	Quantity 2	√	
11.	Monitors (for head table to view presentations)	Quantity 2 (or 1 large located in front of head table)	√	
12.	Projection screens (for presentations)	Quantity 2 (left & right side of meeting room)	√	
13.	Laptop for PowerPoint presentations	<i>One with MS Windows XP</i>	√	
14.	Laptop Software	<i>MS Windows XP and Office Professional 2007</i>	√	
15.	Spare Laptop	As backup	√	
16.	Air conditioning	Access to control	√	
17.	Flags and poles (State, CAA, etc.)	One of each	√	
18.	Flag (ICAO)	ICAO to provide	√	

19.	Participants conference badges	If venue has facility or ICAO to provide paper badges	√	
20.	Tables and chairs for up to 200	Conference style setup	√	
21.	Rental of audio-visual tools and tables	If venue requires	√	
22.	Registration services	Two local staff members to assist ICAO Secretariat	√	
23.	Translation equipment & cabins, headsets and wireless microphones (200)	(English/French Seminar interpretation from 28 November to 30 November) Equipment for each participant	√	
24.	Translation equipment & cabins, headsets and wireless microphones (50)	(English/French Workshop interpretation for 1 December)	√	

2. COFFEE AND LUNCHES FOR PARTICIPANTS

	ITEM	DESCRIPTION	REQUIRED	
			YES	NO
25.	Coffee Breaks	Sponsored by Government (2 per day for 3 days)	√	
26.	Lunches	Sponsored by Government (3 days)	√	

3. HUMAN RESOURCES

	ITEM	DESCRIPTION	REQUIRED	
			YES	NO
27.	Interpreters/translators	Host Government to provide quotation (English/French – French/English)	√	
28.	Registration / Room Assistants	Two (2) local assistants to support registration under ICAO supervision	√	
29.	Transport	ICAO staff airport pickup	√	
30.	ICT	To install and test equipment	√	
31.	Photographer	If available - preferable	√	
32.	Transportation Participants	If different venues	√	
33.	Security	UNDSS requirements (Participants and ICAO staff) are responsibility of Host State.	√	

6. OTHER REQUIREMENTS

6.1 RESERVATIONS: HOTEL, AIR TRAVEL AND CONFERENCE FACILITY

	ITEM	DESCRIPTION	REQUIRED	
			YES	NO
34.	Hotel	ICAO Staff Reservations (<i>internet connection in room</i>)	√	
35.	Hotel(s)	Participants (list of preferential hotel rates)	√	
36.	Air Travel Reservation Deadline:	Host State to book Travel (business travel) and DSA for 2 ICAO staff and 2 ICAO Staff (Nairobi – Mahe Seychelles) as per the following breakdown: Daily Subsistence Allowance (DSA – per diem): Mahe Seychelles, Terminal Allowances (airport transfers) x 3 experts	√	

	ITEM	DESCRIPTION	REQUIRED	
			YES	NO
37.	Conference Venue	Reservation booked by Host Government	√	
38.	Office/meeting space for ICAO Staff	Room on location at conference venue for evening work space/bilateral meeting room	√	

6.2 EXHIBITION SPACE (located directly outside meeting room for up to six exhibitors)

	ITEM	DESCRIPTION	REQUIRED	
			YES	NO
39.	Exhibit Table	6 foot rectangular table for each exhibitor	√	
40.	Chairs	2 chairs per table	√	
41.	Electrical	Access to outlet(s) for each exhibitor and electrical extension cord	√	

ATTACHMENT B

PROJECT PROPOSAL SUMMARY

Beneficiary State/Group of States: AFI States

Project No.: 3

Project Title: Enhance aviation security and facilitation in Africa in a sustainable manner through conduct of Civil Aviation Security and facilitation workshops.

Project Duration: 11 months (starting - July 2017)

Implementation Agency: ICAO / AFCAC

Source of Funding: Saudi Arabian Government Fund

Project estimated cost: US\$ 75,000

A. PROJECT JUSTIFICATION

1. The AFI SECFAL Plan is expected to cover a period of eight years. The initial implementation phase will be completed in two and a half years and will focus on short-term priorities. The successful completion of this initial implementation phase will subsequently enable the plan to address medium-term objectives over a five-year period, and long-term objectives targeting an eight to ten-year period. Progress with respect to the satisfactory completion of phase activities will be monitored throughout the implementation period. The targets in terms of EI under the different phases can be summarized as follows:

- Short-term: by 1st December 2017: 50 % of AFI States audited under USAP-CMA achieve at least the global average EI of CEs
- Medium-term: by 1st December 2020: 75% of AFI States audited under USAP-CMA achieve at least the global average EI of CEs
- Long-term: 1st December 2023: 100% of AFI States achieve the global average EI of CEs

NB: These Performance Indicators figures should be the same as the targets set by the Ministers in Windhoek.

2. The priorities and goals of the 2017 work program are driven by the performance indicators and deliverables of the short term and proposed Action plan for implementation of the Windhoek Declaration and Targets for Security and Facilitation in Africa.

3. The 4th AFI SECFAL Plan SC Adopted the AFI SECFAL Plan Work Programme for 2017 comprising the following priority actions to be implemented:

- a) Resolution of SSeCs:
- b) By 2017, all States have written and approved National Programmes: National Civil Aviation Security Programme (NCASP), National Civil Aviation Security Quality Control Programme (NCASQCP), National Civil Aviation Security Training Programme (NCASTP) and National Air Transport Facilitation Programme (NATFP^o)
- c) Strengthening border controls

Major Elements

Objective	Enhance aviation security and facilitation in Africa		
Key Performance indicator (s)			
	Resolution of SSeCs		
<i>Activity #</i>	<i>Description</i>	<i>Actors</i>	<i>Cost Estimate (US Dollars)</i>
	Conduct 7-day assistance mission to support States with existing or potential SSeC.	2 ICAO/ AFCAC Experts	<ul style="list-style-type: none"> • Travel: 10 000 • DSA: 5 000
<i>Activity #</i>	<i>Description</i>		
	By end of 2018, all States have the following written and approved National Programmes: National Civil Aviation Security Programme (NCASP), National Civil Aviation Security Quality Control Programme (NCASQCP), National Civil Aviation Security Training Programme (NCACTP)		
<i>Activity #</i>	<i>Description</i>		
	3 Workshops (NCASP, NCASTP, NCASQCP) at the ASTC Dakar	ICAO STEs	15 000
	3 Workshops (NCASP, NCASTP, NCASQCP) at the ASTC Nairobi	ICAO STEs	15 000
	3 Workshops (NCASP, NCASTP, NCASQCP) at ASTC Johannesburg	ICAO STEs	15 000
<i>Activity #</i>	<i>Description</i>		
	Mission to the following selected States to assist in the development of National Programmes, based on USAP findings: ESAF (Malawi, South Sudan, Djibouti); WACAF (Central African Republic, Sao Tome and Principle, Gabon)	ICAO STEs	15 000

B. Estimated Cost Total (US\$): 75,000.