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INTERNATIONAL CIVIL AVIATION ORGANIZATION

AFI COMPREHENSIVE REGIONAL IMPLEMENTATION PLAN FOR AVIATION SECURITY AND FACILITATION IN AFRICA (AFI SECFAL PLAN)

12TH AFI SECFAL PLAN STEERING COMMITTEE MEETING

Agenda Item 1.1   **Status report on the implementation of the Decisions and Recommendations of the 11th AFI SECFAL Plan Steering Committee (SC) Meeting.**

(Presented by the AFI SECFAL Plan Secretariat)

EXECUTIVE SUMMARY

This Working Paper presents the status of implementation of the Decisions and Recommendations made by the 11th Comprehensive Regional Implementation Plan for Aviation Security and Facilitation in Africa (AFI SECFAL Plan) Steering Committee Meeting, held in Abuja, Nigeria on 17 May 2022. Details are provided in **Attachment A** appended to this Working Paper.

Action by the SC is proposed under **Paragraph 4.**

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1. **BACKGROUND INFORMATION**

1.1   The Eleventh Meeting of the AFI SECFAL Plan Steering Committee (SC) was held on 17 May 2022. Five Decisions and one Recommendation were made, which required action by the Secretariat and other stakeholders.

1.2   The meeting was informed that all activities under the 2022 Plan Work Programme fall under the Plan Long-term phase (2021-2023) and are aligned with the Global Aviation Security Plan (GASeP) and Windhoek Targets. The ultimate and collective aspirational target for all activities is to have ninety percent (90%) of States in the region reach above eighty percent (80%) of the Effective Implementation (EI) of the Critical Elements (CEs) of State Aviation Security Oversight system, by the end of the year 2023.

2. **DISCUSSION**

2.1   During the period from May 2022 to August 2023, several of the Decisions and Recommendations have been fully implemented and some have their implementation ongoing. Those not completed, have been incorporated in the extended AFI SECFAL Plan Work Programme (2024-2030) for purposes of implementation continuity. Details of implementation status are highlighted in Attachment A of this Working Paper. As mandated, the Secretariat will continue to update the SC on the implementation status during statutory meetings.

2.2   Mr. Barry Kashambo, the former Regional Director, ICAO ESAF Office, and Executive Secretary for the AFI SECFAL Plan has retired. He has been replaced by Mrs. Lucy Mbugua, the new Regional Director for ICAO ESAF Office., and she has assumed the role as the new Executive Secretary of the AFI SECFAL Plan.

2.3   The removal of COVID-19 related travel restrictions has supported the expeditious implementation of the 2022-2023 Plan work programme with emphasis on State specific projects, including capacity building efforts through workshops, seminars and training courses which have enabled the implementation of most of the 11th SC Decisions and Recommendations.

3.   CONCLUSION

3.1   The AFI SECFAL Plan secretariat remains focused on completion of the implementation of the yet to be implemented Decisions and Recommendations of the previous Steering Committee meeting, including but not limited to the following persistent challenges:

a)   Delayed resolution of existing Significant Security Concerns (SSeCs) and low EI in some States due to lack of prioritization of aviation, inadequate legislative provisions, inadequate funding at State level and lack of a sustainable AFI SECFAL Plan funding mechanism to support the critical assistance activities;

b)   Inadequate civil aviation infrastructure, inability to comply with ICAO SARPs, slow attainment of Windhoek and GASeP targets and inadequate implementation of the relevant UN Security Council Resolutions;

c)   Lack of real time data to facilitate decision-making and planning, resources allocation and prioritization of activities;

d)   Unreliable funding, to implement the State specific projects; and

e)   New and emerging security threats to civil aviation.

4.   ACTION BY THE STEERING COMMITTEE

4.1   The Steering Committee is invited to:

a)   Note the information contained in this paper and in particular the implementation of the Recommendations and Decisions as highlighted in Attachment A to this Working Paper;

b)   Provide further instruction, guidance and recommendations for the continued implementation of the Plan; during the extended period of seven (7) years (2024-2030); and

c)   Continue the advocacy to establish a sustainable funding mechanism for the Plan and explore potential options to secure long-term financial support.

ATTACHMENT A:

STATUS OF IMPLEMENTATION OF THE 11th SC MEETING DECISIONS AND RECOMMENDATIONS

Decision/ Recommendation/ Reference	Decision / Recommendation Text	Action Taken/Current Status	Remarks
SC11/Dec 01	a) *Noted the information contained in the working paper and in particular the implementation of the Recommendations and Decisions as highlighted in* **Attachment A** *to the Working Paper.*	• Implementation of State Specific Projects as approved by the SC progressed well in Benin, Central African Republic, Chad, Congo, Malawi, Niger and Sao Tome & Principe. • In addition, technical support missions were conducted in Burundi, Cameroon, Congo, Eswatini, Ethiopia, Madagascar, Mauritius, Namibia, Niger, Nigeria, Seychelles, Tanzania and Uganda.The Plan Long-term (2021-2023) activities are ongoing .	The State specific projects under AFI SECFAL Plan programme to be extended to AFI States accredited to EUR/NAT and MID Offices beyond 2023.
	b) *Encouraged continuity of ongoing advocacy to establish a sustainable funding mechanism for the AFI SECFAL Plan.*	• Through resource mobilization, the - Kingdom of Saudi Arabia has donated USD 75,000 to the AFI SECFAL Plan fund, while Brazil pledged in-kind support. • AFI States continue to provide in-kind support, through free provision of experts. • The Regular Programme continues to provide two (2) P-Staff employed to support implementation of AFI	• Sustainable funding and other contributions are necessary for the effective implementation of AFI SECFAL Plan. • AFI States experts were released by Cameroon, Congo, Cote d'Ivoire, Ethiopia, Gabon, Kenya, Ghana, Niger, Senegal, Seychelles, South Africa, Tanzania and Zimbabwe.

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		SECFAL Plan in the Regional Offices.	
	c) Endorsed the proposal for extension of the AFI SECFAL Plan programme for another period of seven years from 2024 to 2030.	The A41 approved the extension of the AFI SECFAL Plan programme for another period of seven years from 2024 to 2030.	The draft work document for the extended period (2024-2030) has been developed for consideration by the 12th Steering Committee meeting.
SC11/Dec 02			
	a) Commended States, partners and Stakeholders for their continued support to the AFI SECFAL Plan in terms of provision of both human and financial resources.	• Enhanced coordination is ongoing with partners/stakeholders such as AUC, AFCAC, ACI, WCO, EU/ECAC, IATA, UNCCT/ UNCTED, INTERPOL. • States contributions in-kind .	• AUC, AFCAC, ICAO Multi-disciplinary technical committee meeting including on AVSEC/FAL held in Addis Ababa 12-14 April 2023. • Participated in the meeting of Interpol Heads of Bureau meeting held in Maputo, Mozambique • Participated in RASFALG AFI (meeting) in Dakar, Senegal.
	c) Requested the AUC to urge African States issuing e-passports to prioritize joining the ICAO Public Key Directory (PKD) to attain the benefit of document validation and leverage on the investment made by the individual States.	• Joint AUC, AFCAC, ICAO Multi-disciplinary meeting held 12-14 April 2023. • Proposed High Level meeting bringing together Ministries responsible for Air Transport and Security/Immigration to discuss low level of implementation of facilitation (Annex 9) related issues, including, API, PNR, PKD.	• 18 AFI States currently PKD members. • All PKD Members States should upload CSCA certificates to ICAO PKD.
	d) Directed the secretariat to include and align the 2022	The 2022-2023 Work Programme and new	• Webinar on the

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	Work Programme activities with the outcomes of the ICAO High Level Conference on the impact of COVID-19 Pandemic for review and approval by the SC.	2024 - 2030 Work Programme respectively, are being aligned with outcomes of the HLCC.	implementation of HLCC Recommendations held in June 2023. • State Letter EC6/47 -23/40 on the implementation of HLCC Recommendations shared with States and follow up continues.
SC11/Dec 03	a) *Noted the information and actions taken in the implementation of the 9th RASFALG-AFI Recommendations.*	• 10th RASFALG-AFI meeting, held 14 to 15 December 2023 in Dakar, Senegal. Attended by 93 participants from 34 AFCAC member States, nine International and Regional Organizations; and two ASTCs which reinforced support for RASFALG AFI.	• AFCAC updating data on the pool of qualified AFI-CES experts.
	b) *Commended the efforts by AFCAC to coordinate the adoption of the revised Windhoek Declaration and Targets by the 41st AU Executive Council meeting.*	• The Windhoek Declaration and its targets approved by the 41st Executive Council of the AU during its 40th ordinary session held in Addis Ababa, Ethiopia from 2 to 3 February 2022, as per the decision EX.CL/Dec.1143-1167 (XL).	AFCAC maintains the record of the status of implementation of the targets.
	c) *Urged African States and stakeholders to strengthen the support to the RASFALG-AFI in its efforts to improve aviation security and facilitation in Africa.*	Multiple joint capacity-building programmes, including various activities under the CASE II project with ECAC, and support from the U.S. Department of State's Anti-terrorism Assistance (ATA) and Transport Security Administration (TSA), the Singapore Civil Aviation Authority, the Qatar Civil Aviation Authority, and other assistance activities under the AFCAC-	The collaborative efforts are aimed at pooling and optimizing the use of resources and increasing capacity building to Member States.

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		AfDB project, which aims to provide institutional support for the implementation of the Single African Air Transport Market (SAATM).	
	d) Urges States to implement the One Stop Security in Africa and call upon regional organizations to spearhead the implementation of OSS strategy in Africa.	• The One Stop Security sensitization workshop was convened together with the 10th RASFALG-AFI meeting, held 14-15 December 2023 in Dakar, Senegal. • Attended by 93 participants from 34 AFCAC member States, nine International and Regional Organizations; and two ASTCs.	Currently there is no provision or requirement in Annex 17 for the establishment of a One Stop security arrangements for transfer cargo and mail equivalent to Standards 4.4.3 and 4.5.5 related to transfer passengers, their cabin and hold luggage.
SC11/Dec 04	a) Noted the progress achieved and the decision EX.CL/Dec.1143-1167 (XL) of the 41st AU Executive Council Session, on the endorsement of the revised Windhoek Declaration and Targets and called upon States to provide updated data on the new revised targets	• Thirty-three (33) AFCAC member States have responded and provided data on the status of implementation of the revised Windhoek Targets. • Targets with persistently low implementation are: Average EI of CEs; Resolution of SSeCs; Establishment of Risk Management processes; membership of PKD, and API/PNR programmes.	AFCAC secretariat following up with non-responsive States, through an electronic management system for improved data collection from States.
	b) Acknowledged the progress made in the implementation of the Windhoek Declaration and Targets and urged African member States to enhance the efforts to attain the goals.	• Thirty-three (33) AFCAC member States have responded and provided data on the status of implementation of the revised Windhoek Targets. • Targets with persistently low implementation are: Average EI of CEs; Resolution of SSeCs; Establishment of Risk Management processes; membership of PKD, and API/PNR programmes.	AFCAC Secretariat following up with non-responsive States through an electronic management system for improved data collection from States.

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	c) *Urges States to foster cooperation among each other and enhance engagement of AFI-CES to improve aviation security in Africa.*	Significant progress reported in operationalizing the AFI-CES Scheme e.g., successful assistance activities in Benin and Lesotho. • AFI States requested through State letter to update CES data.	These activities aim to support AFCAC Member States in enhancing global civil aviation security and compliance with aviation security Standards and Recommended Practices (SARPs).
SC11/Dec 05	a) *Noted ongoing initiatives to implement the provisions of ICAO Annex 9 – Facilitation and urged States to enhance the efforts towards enhanced collaboration and cooperation with partners, and explore utilization of AFI SECFAL Plan, AFCAC and other partners resources to further improve the compliance with subject provisions.*	• Implementation of the recommendations of the FAL (Annex 9) stream of the HLCC continues. • ICAO Regional Facilitation (FAL) Implementation Seminar conducted, 19-23 June, 2023 in Dakar, Senegal. • Two Regional TRIP Strategy courses held back-to-back (27 February to 10 March 2023, Nairobi, Kenya). • Two Regional FAL courses hosted by Cameroon and Rwanda respectively. • Four more FAL courses planned between August and December 2024.	Between 2021-2023, 51 out of 54 AFI States received the FAL Annex 9 Training course, with over 400 experts trained.
	b) *Urged states to consider deployment of the I-Packs, participate in the Facilitation-related training courses, join the ICAO Public Key Directory (PKD) and include the implementation of the recommendations of the High-Level Conference on COVID-19.*	• Deployment of iPacks completed in Comoros, Gabon, Mauritania and Somalia in 2022 and in Guinea and Burundi in 2023. • 18 States joined ICAO PKD, out of which up to 10 States uploaded their CSCA to ICAO PKD. • Work programme 2022/2023 aligned with the HLCC Recommendations.	ICAO Webinar on the implementation of HLCC Recommendations held on 29 June 2023. States with outdated PKD certificates to renew to comply with Annex 9 Std 3.9.2.
	c) *Noted the persistent low-level implementation of the API /PNR in the AFI region, and strongly advised the States to share their challenges to inform decision making and*	• The IATA API/PNR World Tracker records for the AFI region as follows: Five (5) API in force, one (1) Interactive	Proposed High Level meeting bringing together Ministries responsible for Air Transport

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	establish sustainable mitigation measures.	iAPI in force and one (1) PNR in force.	and Security/Immigration to discuss low implementation of API/PNR/PKD in Africa.
SC11/Rec 01	a) *Commended the contribution and continued support by ECAC through the CASE II, programme to the Africa States in the area of AVSEC capacity building and called for strengthened collaboration and partnership.*	The project has delivered 62 activities in 20 hosting countries, benefiting 60 partner States. The project is extended until 2024 and will be delivering 60 activities in 2023 as projected. The project seeks to provide wider visibility and coordination with regional organizations and partner States to achieve an effective, efficient, and sustainable aviation security regime.	The project aims to enhance aviation security by fostering effective implementation of baseline security measures, strengthening aviation security competence, and promoting cooperation between States and security experts.
	b) *Commended the assistance and collaboration with UNOCT towards the establishment and implementation of Advance Passenger Information (API) and Passenger Name Record (PNR) systems, through its Countering Terrorist Travel Programme (CTTP).*	• UN Office of Counter-Terrorism (UNOCT) has scheduled a series of activities/assistance to States in the region. • State Assistance: Benin, Botswana, Côte d'Ivoire, Djibouti, DRC, Eswatini, Ethiopia, Kenya, Malawi, Namibia, Niger, Nigeria and Somalia.	• Lack of legislative framework in many States is hampering the implementation process. • Number of States implementing API/PNR continues to be very low in Africa.
	c) *Urged ICAO Implementation Support and Development Security section (ISD-SEC) to consider increasing ICAO sponsored courses to ASTCs in Africa to improve capacity building.*	• A new ASTC endorsed by ICAO in Dar es Salaam, Tanzania. • ISD-SEC has increased ICAO sponsored AVSEC courses to two per ASTCs in ESAF and WACAF regions.	The implementation of the annual training programme (2022/2023) for all ASTCs in AFI region progressing well.
	d) *Urged States to utilize services and capabilities for border security enhancement and integrity of inter-state border movements provided by INTERPOL to address the undesirable slow participation currently experienced.*	• INTERPOL has initiated the process of integrating its databases e.g., the Stolen and Lost Travel Documents database (SLTDs) and I-24/7 with systems of other security agencies at the airports though with moderate progress.	• Direct access to Interpol databases especially SLTDs and I-24/7, should be extended to all airports as well as other border control points by States.

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		• INTERPOL Regional Bureau for Southern Africa (16 member States), in collaboration with IBMF, UNOCT, ICAO, UNODC and WAPIS, organized an I-24/7 expansion workshop in Maputo (20-24 Feb 2023), to ascertain extent of expansion in the region, note challenges hampering expansion, propose solutions, and develop regional expansion strategy to unlock financial resources to support the expansion strategy.	• Many AFI States are yet to integrate INTERPOL system at airport border control.
	e) *Urged Member States to extend I-24/7 to border points and utilize the INTERPOL databases as one of the screening solutions in aviation security as recommended by the Windhoek Ministerial Declaration and Targets; and*	• INTERPOL has initiated the process of integrating its databases e.g., the Stolen and Lost Travel Documents database (SLTDs) and I-24/7 with systems of other security agencies at the airports though with moderate progress.	• Direct access to INTERPOL databases especially SLTDs and I-24/7, should be extended to all airports as well as other border control points by States. • Many AFI States are yet to integrate INTERPOL system at airport border control.
	f) *Requested States to actively populate and search the INTERPOL databases and in collaboration with AU and ICAO consider holding a meeting of Ministers responsible for Transport and Internal Security to discuss and explore lasting solutions to improve utilization and INTERPOL tools and services.*	• INTERPOL Regional Bureau for Southern Africa (16 SADC member States), in collaboration with IBMF, UNOCT, ICAO, UNODC and WAPIS, organized an I-24/7 expansion workshop in Maputo (20-24 Feb 2023), to ascertain extent of expansion in the region, note challenges hampering expansion, propose solutions, and develop regional expansion strategy to unlock financial resources to support the expansion strategy.	AUC, AFCAC, ICAO Multi-disciplinary meeting on Cooperation held in Addis Ababa 12-14 April 2023 supported convening a meeting of Ministers responsible for Transport and Internal Security to, amongst other things, look into utilization of INTERPOL tools and services.