



International Civil Aviation Organization

**NINTH MEETING OF THE ASIA PACIFIC REGIONAL AVIATION SAFETY TEAM
(APRAST/9)**

(Bangkok, Thailand, 31 October to 4 November 2016)

Agenda Item 4: Update of RASG-APAC/6 Decisions / Conclusions and Work Programme

**UPDATE OF RASG-APAC/5 AND RASG-APAC/6 DECISIONS
AND CONCLUSIONS**

(Presented by the Secretariat)

SUMMARY

This Paper presents the progress update of the Decisions and Conclusions adopted at RASG-APAC/5 meeting in October 2015 and RASG-APAC/6 meeting in August 2016.

Action by the meeting is at Paragraph 3.

1. INTRODUCTION

1.1 This Paper updates the Meeting on the progress of Decisions and Conclusions adopted at RASG-APAC/5 Meeting in Manila, Philippines in October 2015 and at RASG-APAC/6 Meeting in Colombo, Sri Lanka in August 2016.

2. DISCUSSION

2.1 Twenty six Decisions were adopted at RASG-APAC/5. Nine Decisions and twenty-three Conclusions were adopted at RASG-APAC/6.

2.2 The progress of Decisions adopted at RASG-APAC/5 was presented at RASG-APAC/6. RASG-APAC/6 accepted the recommendation that except for Decision RASG-APAC 5/6 on the implementation of ICAO Runway Safety Go-Teams in the APAC region, the decisions adopted at RASG-APAC/5 be closed (Decision RASG-APAC 6/1 refers).

2.3 As for Decision RASG-APAC 5/6¹, ICAO APAC RO updated at RASG-APAC/6 that they invited four APAC States (Bangladesh, the Philippines, Vietnam and Thailand) to host an ICAO Runway Safety Go-Team to kick-start the Runway Safety Go-Team programme. Only the Philippines responded, informing that it has established Local Runway Safety Teams at major airports and would notify ICAO APAC RO if assistance was needed. Following RASG-APAC/6, a State Letter [T 6/8.4-AP095/16 (FS) dated 12 September 2016], was issued to inform all APAC members of the ICAO Runway Safety Go-Team methodology and related information on the Go-Team programme.

¹ Decision RASG-APAC 5/6: That ICAO APAC RO, with assistance from the COSCAP Chief Technical Advisors coordinate the implementation of ICAO Runway Safety Go-Teams in the APAC region and share relevant information on implementation with States/ Administrations and industry.

2.4 As the outreach and information on Runway Safety Go-Teams have been provided to States/Administrations, it is proposed for Decision RASG-APAC 5/6 to be closed. Nevertheless COSCAP CTAs were advised to notify ICAO APAC RO of requests from States/Administrations or ANSPs for ICAO Runway Safety Go-Teams. ICAO APAC will continue to monitor for requests for a Runway Safety Go-Team from APAC States/Administrations or airport operators.

2.5 With regard to the Decisions and Conclusions of RASG-APAC/6, most were related to dissemination of information, which the Secretariat has acted upon and therefore they are proposed to be closed. The outstanding items related to the establishment of independent accident investigation authority and progress on APAC Regional Aviation Safety Priorities and Targets would require further updates in the interim.

2.6 The list of the status of Decisions and Conclusions at RASG-APAC/5 and RASG-APAC/6 can be found in **Attachment A** of this paper.

3. ACTION BY THE MEETING

3.1 The Meeting is invited to:

- a) Note the progress on the Decision RASG-APAC 5/6;
- b) Note the progress on the Decisions and Conclusions adopted at RASG-APAC/6;
- c) Consider the closure recommendation as indicated for each item in Attachment A; and
- d) continue to support RASG-APAC and APRAST activities.

STATUS OF RASG-APAC/5 DECISION

Decision Ref	Description	Status
<p>Decision RASG-APAC 5/6 WP/5 – Implementation of ICAO Runway Safety Go-Teams in the ICAO Asia Pacific Region</p>	<p>That, ICAO APAC RO, with assistance from the COSCAP Chief Technical Advisors, coordinate the implementation of ICAO Runway Safety Go-Teams in the APAC region, and share relevant information on implementation with States/ Administrations and industry.</p>	<p>Propose to Close.</p> <p>In consultation with ICAO Runway Safety Go-Team partner, ACI, ICAO APAC RO invited four APAC States (Bangladesh, the Philippines, Vietnam and Thailand) in Feb 2016 to host an ICAO Runway Safety Go-Team. Only the Philippines responded, informing that it has established Local Runway Safety Teams at major airports and would notify ICAO APAC RO if assistance was needed.</p> <p>Following RASG-APAC/6, a State Letter [T 6/8.4-AP095/16 (FS) dated 12 September 2016] was issued to inform APAC members of the ICAO Runway Safety Go-Team methodology and related information on the Go-Team Programme.</p>

STATUS OF RASG-APAC/6 DECISIONS AND CONCLUSIONS

RASG-APAC Decision Ref	Description of Decision	Status
Decision 6/1 - Review of Progress of RASG-APAC/5 Decisions (WP/2)	That, with the exception of RASG- APAC Decision 5/6 (Implementation of ICAO Runway Safety Go-Teams), the other 25 RASG-APAC/5 Decisions in Attachment A to WP/2 is closed as actions have been carried out to meet the intent of these Decisions.	Propose to close. Noted
Decision 6/2 - Review of Progress of APRAST/8 Conclusions (WP/2)	That, APRAST/8 Conclusions as described in paragraphs 2.4 to 2.9 of WP/2 and highlighted in Attachment B is endorsed.	Noted. Propose to close.
Decision 6/3 - Update of RASG-APAC 2015/2016 Work Programme (WP/3)	That, the eight out of 19 items of the RASG-APAC 2015/2016 Yearly Work Programme that have been listed in Attachment to WP/3 is closed and removed from the RASG-APAC 2015/16 Yearly Work Programme.	Noted. Propose to close.
Decision 6/4 - Update on Asia Pacific Regional Priorities and Targets (WP/7)	That, the deadline for the Regional Aviation Safety Target “ <i>Implement structures between RASG and APANPIRG to facilitate collection and sharing of ATM data</i> ” is revised from end 2015 to mid-2017.	Propose to close. The changes to the Asia and Pacific Regional Priorities and Targets were updated and kept in the Secretariat common server. Secretariat will update the Regional Priorities and Targets on RASG-APAC/APRAST website.
Decision 6/5 - Implementation of SMS Go-Teams in the ICAO Asia Pacific Region (WP/5)	That, the proposed recommendation for the establishment of SMS Go-Teams in the APAC Region as specified in WP/5 and SMS Go-Team Concept and Methodology is approved.	Propose to close. SL T 6/6.3 – AP0099/16 (FS) dated 14 Sep 2016 was issued to inform States/Administrations and Industry of the approved SMS Go-Team Concept and Methodology.

RASG-APAC Decision Ref	Description of Decision	Status
<p>Decision 6/6 - Safety Enhancement Initiative (SEI) Output (RI/2) for RASG Consideration and Approval (WP/6)</p>	<p>That, the output of the Safety Enhancement Initiative RI/2, a Model Advisory Circular on Runway Incursion Prevention and Pilot Training, is approved.</p>	<p>Propose to close.</p> <p>Secretariat will upload the approved RI/2 Model Advisory Circular onto the APAC website.</p> <p>Secretariat requested Bangladesh to include this SEI in the monitoring mechanism to track the implementation of RASG-APAC safety tools by States/ Administrations and Industry.</p>
<p>Decision 6/7 - Regional Capacity Building Action Plan (WP/20)</p>	<p>That, the proposed Regional Capacity Building Action Plan as presented in Attachment B to WP/20 is approved.</p>	<p>Propose to close.</p> <p>The Capacity Building Task Force will update at APRAST/9 of its progress.</p>
<p>Decision 6/8 - RASG-APAC Procedural Handbook (WP/8)</p>	<p>That, the RASG-APAC Procedural Handbook is approved.</p>	<p>Propose to close.</p> <p>The approved RASG-APAC Procedural Handbook is available on the RASG-APAC/APRAST website.</p>
<p>Decision 6/9 - Proposed RASG-APAC 2016/2017 Yearly and Standing Work Programmes (WP/17)</p>	<p>That, the proposed RASG-APAC 2016/2017 Yearly and Standing Work Programme consisting of the items presented at Attachment A of WP/17, is approved.</p>	<p>Propose to close.</p> <p>The approved RASG-APAC 2016/2017 Yearly and Standing Work Programme is available on the RASG-APAC/APRAST website.</p>

APRAST/9–WP/3
Attachments A

RASG-APAC/6 Conclusion Ref	Description of Conclusion	Status
<p>6/1 - Update on Work done by APAC-AIG (WP/4)</p>	<p>That, ICAO APAC Office remind States/Administrations to provide their response to the following ICAO APAC State Letters dated 21 September 2015 [Ref.: T 10/8.4-AP136/15 (FS)]; dated 29 January 2015 [Ref.: T 10/5.3-AP021/15 (FS)]; and dated 2 August 2013 [Ref.: T 6/13.9-AP053/13 (FS)] if the States have not yet provided a response.</p> <p><i>(Conclusion APRAST 8/4)</i></p>	<p>Propose to close.</p> <p>SL T 6/8.3 – AP103/16 (FS) dated 19 September 2016 was issued to States/ Administrations to provide their response to the ICAO APAC State letters.</p> <p><i>Note: SL T 6/13.9-AP053/13 (FS) dated 2 Aug 2013 should read as T 6/13.9-AP109/13 (FS).</i></p>
<p>6/2 - Update on Work done by APAC-AIG (WP/4)</p>	<p>That,</p> <ul style="list-style-type: none"> a) States/Administrations take early actions to implement the new ICAO requirements in Annex 13, particularly to establish an independent accident investigation authority as soon as possible; b) Secretariat include the establishment of independent accident investigation authority in the monitoring mechanism on the compliance to ICAO SARPs. <p><i>(Conclusion APRAST 8/5)</i></p>	<p>OPEN</p> <ul style="list-style-type: none"> a) SL T 6/8.3-AP104/16 (FS) dated 19 September 2016 was issued to urge States/Administrations to establish an independent accident investigation authority as soon as possible. b) Secretariat requested Bangladesh to include item onto the monitoring mechanism.
<p>6/3 - Update on Work done by APAC-AIG (WP/4)</p>	<p>That States/Administrations is encouraged to attend:</p> <ul style="list-style-type: none"> a) the APAC-AIG/4 meeting hosted by the Japan Transport Safety Board on 1 – 2 September 2016 and contribute to the discussion on how to improve accident investigation capabilities and USOAP AIG EI rates for States/ Administrations in the APAC Region; b) the AsiaSASI Accident Investigation Workshop on 30 – 31 August 2016 hosted by the Japan Transport Safety Board in conjunction with the APAC-AIG/4 meeting; and c) the ICAO Regional Workshop in the Asia Pacific region on implementation of Amendment 15 to Annex 13 which ICAO had scheduled for April 2017. 	<p>Propose to close.</p> <ul style="list-style-type: none"> a) The APAC-AIG/4 meeting was completed as scheduled. b) The AsiaSASI Accident Investigation Workshop was completed as scheduled. c) SL T 6/8.1.1 – AP-FS112/16 (FS) dated 20 September 2016 was issued to inform States/ Administrations of ICAO Regional Workshop in the Asia Pacific region on implementation of Amendment 15 to Annex 13.

RASG-APAC/6 Conclusion Ref	Description of Conclusion	Status
6/4 - Update on Asia Pacific Regional Priorities and Targets (WP/7)	That, all APAC States/Administrations and Industry are encouraged to provide information to Secretariat for the monitoring of APAC Regional Aviation Safety Targets, and to attain these Targets, noting the upcoming deadlines in 2016 and 2017.	<p>Propose to close.</p> <p>For reporting at APRAST/9, SL T 6/8.10 – AP-FS111/16 (FS) dated 20 September 2016 was issued to collect information for the monitoring of APAC Regional Aviation Safety Targets and to remind the upcoming deadlines in 2016 and 2017.</p>
6/5 - Update of the Global Aviation Safety Plan (GASP) – WP/10	<p>That States/Administrations are:</p> <ul style="list-style-type: none"> a) encouraged to support the endorsement of the 2017 - 2019 Edition of the GASP (Doc 10004) during the next Assembly; b) requested to establish national priorities and targets consistent with the RASG-APAC endorsed regional priorities and targets, the GASP objectives and the operational safety needs; and c) invited to provide ICAO feedback on the new Global Aviation Safety Roadmap and suggestions for the future 2020 – 2022 edition of the GASP. 	<p>Propose to close.</p> <p>SL T 6/11.1 – AP-FS105/16 (FS) dated 20 September 2016 was issued to inform States/ Administrations to follow up on the items:</p>
6/6 - Aircraft Tracking during Abnormal Operations (WP/23)	That, ICAO is requested to expedite the development of guidance material for aircraft tracking during abnormal operations.	<p>Propose to close.</p> <p>A memo (IOM T 6/8.1.1 – AP-FS105/16) dated 21 September 2016 was sent to HQ on expediting the development of guidance material for aircraft tracking during abnormal operations.</p>

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Attachments A

RASG-APAC/6 Conclusion Ref	Description of Conclusion	Status
6/7 - Enhancing support for Safety Management Implementation (WP/11)	<p>That States/Administration are urged to:</p> <ul style="list-style-type: none"> a) continue the implementation of SSP, report the progress by using the SSP Gap Analysis Tool on iSTARS/SPACE and completing the USOAP PQ self-assessments on the OLF; b) identify any additional areas of clarification needed or additional subjects that are needed to be covered in the 4th edition of the SMM; c) assist the Secretariat in identifying appropriate SSP examples and tools for inclusion in the update to the ICAO safety management website; d) identify potential instructors for the ICAO Safety Management Courses that meet the qualifications; e) inform ICAO of any additional activities which could be provided to support the implementation of SSP. 	<p>Propose to close.</p> <p>SL T 6/6.3 – AP115/16 (FS) dated 21 September 2016 was issued to urge States/ Administrations to act on the items.</p>
6/8 - Enhancing support for Safety Management Implementation (WP/11)	<p>That, ICAO hold a Regional ICAO Safety Management for Practitioners Course by early 2017.</p>	<p>Propose to close.</p> <p>The regional ICAO Safety Management Training Programme for Practitioners Course will take place from 13- 17 Feb 2017. SL T 6/13.1 – AP087/16 (FS) dated 24 Aug 2016 was issued to encourage attendance from States/ Administrations.</p>
6/9 - Regional Data Collection, Analysis and Information Sharing for Aviation Safety (WP/21)	<p>That, States/Administrations and Industry provide comments on the Governance Plan to FSF/MITRE, through the Secretariat by 17 October 2016.</p>	<p>Propose to close.</p> <p>SL T 6/8.1.1 – AP092/16 (FS) dated 5 Sep 2016 was issued to seek comments on the Governance Plan. As of 5 Oct, Macao, China responded with further comments.</p>

RASG-APAC/6 Conclusion Ref	Description of Conclusion	Status
6/10 - Regional Data Collection, Analysis and Information Sharing for Aviation Safety (WP/21)	That, States/Administrations and industry organisations that are interested to participate in the demonstration project, attend the Coordination Meeting organised by FSF/MITRE, which will be held in the 4 th quarter of 2016.	Propose to close. SL T 6/8.1.1 – AP092/16 (FS) dated 5 Sep 2016 was issued to invite States/Administrations and Industry to participate in the demonstration project and to attend the Coordination Meeting organised by FSF/MITRE.
6/11 - Establishing a New Safety Reporting System in the Republic of Korea (WP/25)	That, States/Administrations are encouraged to: <ul style="list-style-type: none"> a) note the experience of the Republic of Korea in establishing a new improved safety reporting system; and b) share experience in implementing safety reporting systems with other States/Administrations. 	Propose to close. SL T 6/8.4 – AP124/16 (FS) dated 22 September 2016 was issued to encourage States/ Administrations to: <ul style="list-style-type: none"> a. note the experience of the Republic of Korea in establishing a new improved safety reporting system; b. and share experience in implementing safety reporting systems with other States/Administrations.
6/12 - Progress Report of the Implementation of the ICAO USOAP CMA (WP/12)	That States/Administrations are encouraged to: <ul style="list-style-type: none"> a) prioritise and take action as needed to improve safety oversight systems, with particular attention to the implementation of Corrective Action Plans (CAP), completion of self-assessments and reporting the progress, uploading the relevant evidence on the On-line Framework (OLF); b) notify ICAO USOAP to trigger validation upon completion of the CAP implementation; and c) request assistance from the ICAO Regional Office, COSCAPs, PASO and RASG-APAC, if required. 	Propose to close. SL T 6/7.1 – AP116/16 (FS) dated 21 September 2016 was issued to encourage States/ Administrations to act on the items.

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RASG-APAC/6 Conclusion Ref	Description of Conclusion	Status
6/13 - Progress Report of the Implementation of the ICAO USOAP CMA (WP/12)	<p>That,</p> <ul style="list-style-type: none"> a) Nepal and Thailand direct resources as required for the resolution of the SSCs in the two countries; and b) Nepal and Thailand request assistance from ICAO Regional Office, COSCAPs and RASG-APAC, if required. 	<p>Propose to close.</p> <p>SL T 6/7.1 – AP116/16 (FS) dated 21 September 2016 was issued so that:</p> <ul style="list-style-type: none"> a) Nepal and Thailand direct resources as required for the resolution of the SSCs in the two countries; and b) Nepal and Thailand request assistance from ICAO Regional Office, COSCAPs and RASG-APAC, if required.
6/14 - Safety Oversight Challenges Against the Backdrop of Increasing Transparency (WP/13)	<p>That, States/Administrations:</p> <ul style="list-style-type: none"> a) note the work of the ICAO to enhance transparency of States’ safety oversight information, in recognition of the need to provide the public with accurate, dependable and reliable information on their choice of air transportation; b) share experience on conducting safety oversight on foreign operators and managing public perception on safety in an increasingly transparent environment with information on SSCs; and c) support closer collaboration and communication amongst CAAs, including the plans or basis for imposing operational restrictions on those foreign operators. 	<p>Propose to close.</p> <p>SL T 6/8.1.1 – AP117/16 (FS) dated 21 September 2016 was issued for States/Administrations to note the items.</p>
6/15 - Safety Oversight Challenges Against the Backdrop of Increasing Transparency (WP/13)	<p>That, ICAO is requested to review the current disclosure system and FAQ on public website, with a view to developing measures to better support the CAAs and industry in responding to or managing public perception on safety, as well as the travelling public.</p>	<p>Propose to close.</p> <p>IOM T 6/8.1.1 – AP-FS0106/16 was sent to HQ to request the review of current disclosure system and FAQ on the public website.</p>

RASG-APAC/6 Conclusion Ref	Description of Conclusion	Status
6/16 - ICAO Universal Safety Oversight Audit Programme Continuous Monitoring Approach (USOAP CMA) – Proposal for the Post-Implementation Review (WP/14)	<p>That, States/Administrations:</p> <ul style="list-style-type: none"> a) consider the proposal for a Post Implementation Review of the Universal Safety Oversight Audit Programme Continuous Monitoring Approach as described in WP/14; and b) advise Australia if they wish to co-sponsor this paper when submitted to the Assembly. 	<p>Propose to close.</p> <ul style="list-style-type: none"> a) The conclusion was disseminated through an email broadcast (dated 13 Sep 2016) to all APAC States/Administrations. b) Samoa’s, Fiji’s and Vanuatu’s wish to co-sponsor the paper was conveyed to Australia.
6/17 - The Benefits of General Administration of Civil Aviation, DPR Korea from COSCAP-NA Programme – WP/16	<p>That, the COSCAP-NA members are encouraged to continue to ensure the safety, regularity and efficiency of international civil aviation by enhancing the cooperation with COSCAP-NA in the future.</p>	<p>Propose to close. SL T 6/7.10 – AP113/16 (FS) dated 21 September 2016 was issued to encourage COSCAP-NA members to enhance the cooperation with COSCAP-NA in the future.</p>
6/18 - Japan’s Safety Rules on Unmanned Aircraft (UA) – WP/18	<p>That, States/Administrations are encouraged to exchange information on safety rules for unmanned aircraft with other States in the APAC Region, including taking challenges of proposing further safety requirements for such unmanned aircraft.</p>	<p>Propose to close. SL T 6/8.1.1 – AP114/16 (FS) dated 21 September 2016 was issued to encourage States/Administrations to exchange information on safety rules for unmanned aircraft with other States in the APAC region.</p>
6/19 - Micro-Unmanned Aircraft System Operation and Supervision (IP/20)	<p>That, States/Administrations note the information provided by China related to UAS classification, regulatory system and policies, certification and issuance of licenses with a view to promote unification of standards.</p>	<p>Propose to close. SL T 6/8.1.1 – AP118/16 (FS) dated 22 September 2016 was issued to inform States/ Administrations of the information provided by China related to UAS classification, regulatory system and policies, certification and issuance of licenses.</p>

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RASG-APAC/6 Conclusion Ref	Description of Conclusion	Status
6/20 - Industry Standards: Tools to Support Appropriate Regulation (WP/19)	That, States/Administrations are encouraged to consider incorporating use of industry standards such as International Standards for Business Aircraft Operations (IS-BAO) and International Standards for Business Aircraft Handling (IS-BAH) when building regulatory capacities, including using them as guides in the development of appropriate regulatory environments for respective sectors of the aviation industry.	Propose to close. SL T 6/8.1.1 – AP120/16 (FS) dated 22 September 2016 was issued to encourage States/Administrations to consider incorporating use of industry standards when building regulatory capacities.
6/21 - Proposed Framework for the Establishment of Safety Performance Indicators – Approved Maintenance Organization (AMO) – WP/22	That, the States/Administrations are encouraged to share their experience and process for the review and acceptance of SPIs for AMOs as part of their SMS implementation, taking into consideration the complexity and diversity of this sector.	Propose to close. SL T 6/8.1.1 – AP119/16 (FS) dated 22 September 2016 was issued to encourage States/Administrations to share their experience and process for the review and acceptance of SPIs for AMOs as part of their SMS implementation.
6/22 - Preventing Runway Incursion: Lessons Learnt from Past Occurrences (WP/24)	That, States/Administrations are encouraged to share their experience on runway incursion and excursion prevention, as well as exchange information and analysis of runway incursions and excursion at their airports, such as, through the use of ICAO Accident Reporting (ADREP) system.	Propose to close. SL T 6/8.9 – AP122/16 (FS) dated 22 September 2016 was issued to encourage States/Administrations to share their experience on runway incursion and excursion prevention.
6/23 - Runway Excursions Causes and its Mitigation (IP/7)	That States/Administrations are, a) invited to take note of the contents of this paper; and b) encouraged to consider the provision of Minimum Equipment List (MEL) with regard to the availability of Digital Flight Data Recorder (DFDR) during flight operations.	Propose to close. SL T 6/8.9 – AP121/16 (FS) dated 22 September 2016 was issued for States/ Administrations to: a) take note of the contents of RASG-APAC/6 IP/7; b) consider the provision of Minimum Equipment List (MEL) with regard to the availability of Digital Flight Data Recorder (DFDR) during flight operations.

— END —