Questionnaire on the implementation of the AVSEC and FAL Key Priorities

Name of State: _____

Pillar 1:	Regional Targets and National Plan of Action				
Target No. 1:	**A minimum of 50% of the African States achieve at least 70% which is commensurate to the global average of EI of the CEs by 2017, 75% of the States achieve this by 2020 and all African States by end of 2023**				**Timescale:** **2017**
Question		**Yes**	**No**	**N/A**	**Comment**
Has your State developed National Action Plan in order to ensure regional targets are met for Effective Implementation (EI) of the Ministerial Declaration and targets?					
Has your State concluded the Memorandum of Understanding (MoU) with ICAO on the Universal Security Audit Programme – Continuous Monitoring Approach (USAP-CMA)?					
Pillar 2:	**Resolution of Significant Security Concerns (SSeC)**				
Target No. 2:	**As a matter of urgency, appropriate action is immediately taken to address all existing Significant Security Concerns (SSeC(s)) in the region and any new SSeC(s) are resolved within 3 months after notification by ICAO**				**Timescale:** **2016 - 2017**
Question		**Yes**	**No**	**N/A**	**Comment**
Has your State been notified by ICAO of SSeC(s)?					
If YES, has your State developed and submitted Corrective Action Plan to ICAO to resolve existing SSeC(s)?					
Has your State resolved all existing SSeC(s) within 3 months following notification by ICAO?					
Does your State require technical assistance from the RASFALG-AFI team of Experts, to resolve reported SSeC(s)?					
Has your State submitted the MoU for the use of the RASFALG-AFI team of Experts?					

Pillar 3:	Consolidating the foundations of an effective AVSEC/FAL oversight system				
Target No. 3:	Appropriate Authorities with clearly defined roles and sustainable resources are designated to carry out oversight functions of Aviation Security and Facilitation in at least 50% of African States by 2017 and all African States by 2020				Timescale: 2016 - 2020
Question		**Yes**	**No**	**N/A**	**Comment**
Has your State established the appropriate authority to perform aviation security oversight function, with clearly defined roles and responsibilities?					
Does your State need guidance in establishing appropriate authority and functional oversight system?					

Pillar 3:	Consolidating the foundations of an effective AVSEC/FAL Oversight system				
Target No. 4:	By 2017, all States have the following written and approved National Programmes: National Civil Aviation Security Programme (NCASP), National Civil Aviation Security Quality Control Programme (NCASQCP), National Civil Aviation Security Training Programme (NCASTP) and National Air Transport Facilitation Programme (NATFP)				Timescale: 2016 - 2017
Question		**Yes**	**No**	**N/A**	**Comment**
NCASP					
Does your State have an approved written National Civil Aviation Security Programme (NCASP)? If yes, kindly specify the date and reference for approval					
Does your NCASP accurately reflect or reference all current security requirements and measures in effect in your State, (all Annex 17 Standards and Recommended Practices)?					
NQCP					
Does your State have an approved written National Civil Aviation Security Quality Control Programme (NQCP)? If yes, kindly specify the date and reference of approval					
Does your NQCP contain sufficient details and procedures for the effective implementation of quality control activities?					

NCASTP				
Does your State have an approved written National Civil Aviation Security Training Programme (NCASTP)? If yes, kindly specify the date and reference of approval				
Does your NCASTP apply to and explain training requirements for all personnel involved in various aspects of security in sufficient details?				
NATFP				
Does your State have an approved written National Air Transport Facilitation Programme (NATFP)? If yes, kindly specify the date and reference of approval				
Does your State need technical support/assistance to develop national legislation, regulations and the afore-mentioned national programmes? If so, state specific needs for assistance				
Did your State submit the MoU for the use of team of Experts of RASFALG-AFI?				
Has your State created awareness of ICAO Guidance Material available in the following manuals: • Security Manual - Doc 8973;				
• Facilitation Manual - Doc 9957; and				
• National Air Transport Facilitation Programme model - Doc. 10042?				

Pillar 3:	Consolidating the foundations of an effective AVSEC/FAL Oversight system				
Target No. 5:	All States establish functional National Civil Aviation Security Committees (NCASC) and National Air Transport Facilitation Committees (NATFC) by 2020				Timescale: 2016 - 2020
Question		**Yes**	**No**	**N/A**	**Comment**
Has your State ensured the establishment and functioning of the National Civil Aviation Security Committees (NCASC) or the implementation of alternative arrangements for the purpose of coordinating security activities between the departments, agencies and other organizations of the State, airport and aircraft operators, Air Traffic Service Providers (ATSPs) and other entities concerned with or responsible for the implementation of various aspects of the NACSP?					
Has State effectively allocated responsibilities for the delivery of measures to counter the threat from landside attacks?					
Does the NCASC and appropriate security authorities periodically review physical screening and access control methods, based on national risk assessments, to ensure that they are appropriate, effective and using up-to-date technologies and techniques?					
If YES, state frequency of the meetings of the NCASC					
Provide dates for the last 2 NCASC meetings held					
Has your State ensured the establishment of the National Air Transport Facilitation Committee (NATFC) and Airport Facilitation Committees (AFCs), or similar coordinating bodies for the purpose of coordinating facilitation activities between departments, agencies and other organizations of the State concerned with or responsible for various aspects of international civil aviation as well as with airport and aircraft operators?					
If YES, state frequency of the meetings of the NATFC					
Provide dates for the last 2 NATFC meetings held					
Does your State need guidance on the establishment of? • The National Civil Aviation Security Committee?					
• The National Air Transport Facilitation Committee?					

Has your State submitted the MoU for the use of the team of Experts of RASFALG-AFI? If not, please sign and submit MoU where technical assistance of Experts is required				

Pillar 4:	Risk management processes and crisis response procedures				
Target No. 6:	Security risk management processes, which take into account ICAO's Risk Context Statement and crisis response procedures, are established in all States by the end of 2020			Timescale: 2016 - 2020	

Question	Yes	No	N/A	Comment
Has your State established a requirement to conduct a regular security risk assessment for civil aviation with a view to adjusting relevant elements of the security measures established in the NCASP?				
Does your State have secure and systematic mechanisms to share threat and risk information at national level? (2019)				
Has your State established awareness on the availability of the Risk Context Statement on ICAO secure website?				
Does your state review the adequacy of current measures to address insider threat, including background checks, physical measures, training and awareness and reporting mechanisms. To incorporate into the State's relevant aviation security programmes?				
Has your state ensured that robust vetting procedures are introduced?				
Has your state developed and implement a Universal Aviation Security Accreditation Programme (UASAP) for all staff involved in security functions?				
Is your State continuously performing Risk Assessments at National and airport levels?				
Has your State established a requirement to ensure that contingency plans are developed and resources are made available to safeguard civil aviation against acts of unlawful interference?				
Has your State implemented a process to ensure that each airport serving civil aviation has established an Airport Contingency Plan?				
Does your State need technical assistance and /or guidance in any of the above Activities under Target 6?				
If YES, has your State concluded an MoU for use of RASFAL-AFI Aviation Security Experts?				

Pillar 4:	Risk management processes and crisis response procedures				
Target No. 7:	All States join the ICAO Aviation Security Point of Contact (PoC) network by the end of 2017				Timescale: 2016 - 2017
Question		**Yes**	**No**	**N/A**	**Comment**
Is your State participating in the Aviation Security (AVSEC) Point of Contact (PoC) Network?					
Does your State continuously provide updated list of PoC to ICAO?					
If the answer is NO, please provide a list through a Formal letter using the ICAO template for notification of PoC					
Does your State continuously provide updated list of focal persons to AFCAC?					

Pillar 4:	Risk management processes and crisis response procedures				
Target No. 8:	All States take appropriate actions to develop their ability to conduct risk assessments to protect civil aviation against any possible threat scenario, including but not limited to Person-Borne Improvised Explosive Devices (PBIEDs), Man-Portable Air Defense Systems (MANPADS), IEDs in cargo, insider threats, etc. by 2023				Timescale: 2016 - 2023
Question		**Yes**	**No**	**N/A**	**Comment**
Does your State need technical support to develop and implement mitigation strategies on MANPADS, Landside security, IEDs in cargo, Insider Threats, etc.? If YES, please specify the area(s)					
Does your state use the dedicated ICAO secure website on MANPADs?					
If NO, has your State formally requested access from ICAO?					

Pillar 5:	Human resources and Training				
Target No. 9:	All States develop appropriate strategies and plans for the attraction, development and retention of human resources by the end of 2017				Timescale: 2016 - 2017
Question		Yes	No	N/A	Comment
Has your State established and maintained awareness programme on the AFCAP, particularly on the need to develop human resource plan aimed at motivating professionals, and to promote best practices, transparency, fairness and merit in the management of aviation security professionals?					
If NO, when does your State plan to implement the Activities under this Target?					

Pillar 5:	Human resources and Training				
Target No. 10:	All States develop sustainable aviation security and facilitation training capacities by the end of 2023. This may include adapting existing courses to the local needs, developing online and blended learning training, and training course developers				Timescale: 2016 - 2023
Question		Yes	No	N/A	Comment
Is your national training programme adapted to cater for your State's specific needs?					
Does your State provide training to Course Developers?					
Has your state established sufficient number of qualified Instructors?					
Has your State made endeavor(s) to promote sub-regional cooperation in the field of aviation security and facilitation training?					

Pillar 6:	Strengthening border controls				
Target No. 11:	All States only issue Machine Readable Passports (MRPs) in conformance with the specifications of ICAO Doc. 9303, Machine Readable Travel Documents (MRTDs), and ensure that all non-MRPs are removed from circulation by the end of 2017				Timescale: No later than 2017
Question		Yes	No	N/A	Comment
Are all passports issued by your State machine readable					

	Yes	No	N/A	Comment
(ordinary/official/diplomatic/service passports) in accordance with the specifications of Doc 9303?				
Has your State ensured removal of all non-MRPs from circulation?				
If there still remain non-MRPs in circulation, please specify the quantity thereof.				

Pillar 6:	Strengthening border controls				
Target No. 12:	**All States invest in improving basic sources of reliable data, such as civil registration and vital statistics systems, and establish procedures for reporting information about stolen, lost and revoked travel documents for inclusion in the INTERPOL Stolen and Lost Travel Documents (SLTD) database by end of 2020**				**Timescale: By 2020**
Question		**Yes**	**No**	**N/A**	**Comment**
Does your State have a reliable and secure system of national registry?					
Does your State promptly report accurate information about stolen, lost, and revoked travel documents issued by the State, to INTERPOL for inclusion in the SLTD database?					
If NO, when will your State commence with implementation of the above?					

Pillar 6:	Strengthening border controls				
Target No. 13:	**At least 50% of all States issue only machine readable "Convention Travel Documents" to refugees and stateless persons by end of 2017 and all States by 2020**				**Timescale: 2016 - 2020**
Question		**Yes**	**No**	**N/A**	**Comment**
Are Convention Travel Documents for refugees and stateless persons issued by your State machine readable in accordance with the specifications of Doc 9303?					

Pillar 6:	Strengthening border controls				
Target No. 14:	At least 30% of all States join the ICAO Public Key Directory (PKD) by 2017, at least 70% by 2020 and all States by 2023				Timescale: 2016 - 2020
Question		**Yes**	**No**	**N/A**	**Comment**
Has your State joined the ICAO Public Key Directory?					
Has your State established a programme to implement ICAO TRIP Strategy?					

Pillar 6:	Strengthening border controls				
Target No. 15:	All States should introduce a provision on Advanced Passenger Information (API) in their respective national legislation that adheres to the internationally recognized (PAXLST) standard for the transmission of API by end of 2020				Timescale: 2016 - 2020
Question		**Yes**	**No**	**N/A**	**Comment**
Has your State established a legislative requirement on development and implementation of Advanced Passenger Information (API) system?					
Does your State implement API system in accordance with the internationally recognized standards for the transmission of API (WCO/IATA/ICAO guidelines on API)?					

Pillar	DEVELOP SECURITY CULTURE				
Target No.	The promotion of effective security culture is critical to achieve good security outcomes. A strong security culture must be developed from the top management across and within every organization. The existence of a well-trained, motivated and professional work force is a critical prerequisite for effective aviation security.				Timescale: 2016 - 2020
Question		**Yes**	**No**	**N/A**	**Comment**
Has your State developed communication strategies to build the general public's awareness of Aviation Security and the importance of complying with security measures?					
Has your State included provisions in the quality control program to monitor the effective implementation of security culture?					
Has your State developed methods of measuring potential security challenges within the States and in organizations?					
Has your State implemented a 'just culture' reporting systems?					
Has your state ensured that sufficient funding is provided for a robust, trained and					

motivated security workforce?					
Pillar	**Improve technological resources and foster innovation**				
Target No.	**Promoting and applying better technological solutions and innovative techniques can provide the tools for enhancing security effectiveness while ensuring operational efficiency**				**Timescale: 2016 - 2020**
Question		**Yes**	**No**	**N/A**	**Comment**
Establish detailed threat identification for each type of security equipment					
Establish initial minimum detection requirement for each type of security equipment.					
Establish operational specifications for each type of security equipment					
Establish and maintain technical specification for each type of security equipment.					
States and industry to keep under review the certification process of security equipment to assess its efficiency, reactivity and whether it is providing accurate and updated information.					
States and industry to improve management of security equipment at airports (include site acceptance tests, routing testing and maintenance).					
Pillar	**Increase cooperation and support.**				
Target No.	**Increasing collaboration between and within States will enable the key security objectives to be achieved more quickly and efficiently.**				**Timescale: 2016 - 2020**
Question		**Yes**	**No**	**N/A**	**Comment**
Enhance capacity to provide peer to peer knowledge transfer, review and audits.					