International Civil Aviation
Organization
Working Paper

AFI DGCA COMMITTEE ON AVIATION SECURITY & FACILITATION MEETING, 04-06 JUNE 2017, DURBAN, SOUTH AFRICA

Meeting report of the AFI DGCA Committee on AVSEC & FAL

Presented by

Lead Champion

WORKING PAPER

SUMMARY

This working paper provides the AFI DGCA with a progress report on the implementation of the priority actions by the Lead and Support Champion.

South Africa hosted a meeting in Durban, South Africa, for the purpose of coordination and follow up. The purpose of this report is to appraise the AFI DGCA on the resolutions and proposed way forward adopted by the DG's who met in Durban, South Africa.

1. INTRODUCTION

1.1. Over the period 2 to 4 November 2016, Director Generals of Civil Aviation of the Africa-India (AFI) Region met in Brazzaville, Congo to convene the Sixth Meeting of Director-Generals of Civil Aviation (DGCA/6) of the Africa-Indian Ocean (AFI) Region.

1.2. The meeting was attended by Director-Generals of Civil Aviation and Representatives from thirty-six (36) AFI States, various International and Regional Organizations and Industry role-players.

1.3. During the meeting, under agenda Item 5: Aviation Security, the global and regional developments relating to Aviation Security and Facilitation and the implementation of the Comprehensive Regional Implementation Plan for Aviation Security and Facilitation in Africa (AFI SECFAL Plan) were discussed and deliberated on.

1.4. During deliberations at the DGCA/6, which involved breakout sessions of Working Groups, the DGCA/6 adopted a Priority Implementation Action Plan and

designated Champion Director-Generals of Civil Aviation to facilitate monitoring and follow up, for the effective implementation of priority activities identified under each ICAO Strategic Objective.

1.5. Under Aviation Security & Facilitation, the DGCA/6 meeting adopted priority actions for implementation and set target dates. For the purpose of coordination and reporting progress on priority actions relating to Aviation Security & Facilitation, the DGCA/6 meeting designated Ms. Poppy Khoza (DG, South Africa CAA) as Lead Champion and Mr. Abel Sawadogo (DG, ANAC-Burkina Faso) as Support Champion.

2. DISCUSSION

2.1. The Champions convened a meeting in Durban, South Africa from 4-6 June 2018, wherein all DG's of Civil Aviation were invited to participate. A total of 8 States were represented during the meeting. The meeting was supported by the two ICAO African regional Offices as well as the AFCAC.

2.2. The meeting commenced with a presentation by the ICAO Regional Offices looking at the current status of aviation security in Africa. The presentation highlighted the fact that even though some strides have been made in improving the level of effective implementation in Africa over the past year, with the average level of effective implementation increasing to 58.11%, Africa still lags behind the global average of 72.62%.

2.3. This presentation was followed by a progress report on AFI SECFAL deliverables. This presentation highlighted the current work being done under this program, which included training programs and workshops. The speaker highlighted the challenge of funding for this plan and reiterated that AFI States should form the primary source of funding through voluntary contributions, both financial and in kind, to support the Plan and ultimate realization of its objectives. The speaker also mooted the formation of regional security groups (Cooperative Inspector/experts scheme) comprising of state experts as a future initiative to form the basis of the pooling mechanism to provide technical and human capital resources; to assist and support States;

2.4. This presentation was followed by a progress report on the activities of the RASFALG AFI. The presentation covered a breakdown of the work plan of the RASFALG-AFI as well as the status of implementation of the Windhoek Declaration (WD) & Targets. AFCAC raised the challenge of the reluctance by some States to sign the MoU with AFCAC which would allow AFCAC to use experts from member States as well as offer assistance to member States. The meeting resolved that AVSEC assistance can be offered to member States by extending the existing MoU signed under the AFI CIS. Another challenge raised by the presenter was with regards to communication with States and a proposal was for States to nominate focal points to which communications can be sent directly.

2.5. The next presentation gave an overview of the Windhoek declaration and targets, with a particular emphasis on the concerns raised by the Ministers as the

underlying causes for the challenges faced by the Continent. Of significance in the report was the fact that the Ministers were already concerned with emerging threats, amongst others: the insider threat and security and facilitation culture.

2.6. Targets contained in the existing plans were presented to the meeting followed by a presentation of the priority actions.

2.7. The host State delivered a presentation comparing the existing plans and the meeting agreed on the need to align the GASeP and the AFI SECFAL Plan, however this recommendation as well as the work done toward this end, will be forwarded to the AFI SECFAL Steering committee for further action.

2.8. During the same presentation the questionnaire previously circulated to States to assess the status of implementation of the Windhoek targets was updated to reflect targets from the GASeP. The meeting expressed appreciation for this work and resolved that this should also be forwarded to the AFI SECFAL Steering committee for further refinement.

2.9. The meeting reviewed the progress made against the key priority outcomes of the DGCA/6 against agreed target dates and also considered further actions to be undertaken going forward.

2.10. The meeting reviewed the priority actions adopted at the DGCA/6 meeting and resolved to recommend to the DGCA/7 as follows:

1. ***States to develop their National Action Plan for the implementation of the Windhoek Security and Facilitation Declaration and Targets, in line with the Continental Action Plan.***

   Status: Currently only five States have submitted action plans and the submitted plans are all in different formats making it difficult to align and consolidate inputs. The meeting further agreed that a recommendation needs to be made to the DGCA/7 that the priority action plan be amended to include a reference to the GASeP, as this plan has a few additional action items requiring the attention of States.

   Recommendation: To address the need for uniformity in action plans the meeting agreed that a uniform template should be designed and forwarded to the AFI SECFAL Secretariat for consideration.

2. ***States to improve the EI as follows:***

   - ***Below 70% EI to improve by at least 20%;***
   - ***Above 70% EI to improve by at least 10%; and***
   - ***Signing of the USAP-CMA MoU.***

   Status:
   (a) Nine AFI States were audited under the USAP-CMA during the period following the DGCA/6 meeting (2017/18). Of the nine, two were not audited under cycle 2 and therefore no comparison could be made.

(b) Of the seven AFI States audited under cycle 2 as well as under the USAP-CMA, 4 had a decrease in Effective Implementation, with three AFI States showing an increase in EI.

(c) The 3 AFI States reflecting an increase in EI were all below 70% and all showed an increase of more than 20%.

(d) With regards to the AFI States that showed a decrease in EI, three showed a decrease of more than 20%, with one decreasing by less than 10%.

(e) Only five AFI States are yet to sign the MoU.

3. ***Immediately resolve all existing SSeCs and avoid the emergence of new ones.***

Status: Only one SSeC's remains and efforts are being made to resolve the same.

Recommendation: The meeting resolved to recommend and additional action under this item, calling for States to ensure that all future SSeCs are resolved within three months.

4. ***States to implement ICAO TRIP Strategy by:***

- ***Issuing only Machine Readable Passports (MRPs);***
- ***Joining the ICAO Public Key Directory (PKD); and***
- ***Joining Advance Passenger Information (API).***

Status: All states are issuing Machine Readable Passports.
Status: Only 5 AFI States have joined the PKD. A discussion on the reason for the poor uptake revealed the following as some of the challenges:

  i. Lack of awareness;
  ii. The involvement of multiple stakeholders with the responsibility for implementation falls outside of Civil Aviation Authorities direct jurisdiction;
  iii. Financial and infrastructure challenges;
  iv. The view among most AFI States that e-Passports are a pre-requisite to joining the PKD.

Status: Only one AFI State has implemented an interactive API. Discussions during the meeting indicated a number of challenges; key among these was the requisite infrastructure investment.

5. ***States to ratify relevant aviation related international legal instruments such as:***
   - ***The Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation Done at Beijing on 10 September 2010; and***
   - ***The Protocol to Amend the Convention on Offences and Certain Other Acts Committed on Board Aircraft Done at Montréal on 4 April 2014.***

Status: Only 8 AFI States have ratified the Beijing Convention which came into force in January 2018.

Status: Only 6 AFI States have ratified the Montreal Protocol which has to date not yet come into force.

6. All States to develop and implement their:

- *National Civil Aviation Security Programme (NCASP);*
- *National Civil Aviation Security Quality Control Programme (NCASQCP);*
- *National Civil Aviation Security Training Programme (NCASTP); and*
- *National Air Transport Facilitation Programme (NATFP).*

2.11. The meeting expressed concern with regards to the lack of support AFI States for the implementation of the AFI SECFAL plan and therefore recommended the inclusion of an additional action as follows:

7. States to commit to:
- *Making contributions (financial and in-kind) towards the AFI SECFAL Plan implementation.*
- *All AFI States to commit human resources through the AFI CIS Program as part of capacity building to improve Aviation Security in Africa.*

3. KEY OUTCOMES

3.1. The key outcomes of discussion on the above items are as follow:

3.1.1. The meeting resolved to recommend that the DGCA/7 approve the amendment of the key priority action plan as per **Appendix A**;

3.1.2. A recommendation to be made to the AFI SECFAL Secretariat to update the AFI SECFAL Plan to include actions from the GASEP.

3.1.3. A recommendation to be made to the AFI SECFAL Secretariat to develop a template for the State action plans to foster uniformity.

3.1.4. A recommendation to be made to the AFI SECFAL Secretariat to establish a peer review mechanism under the auspices of the RASFALG with the ICAO regional offices providing support and guidance. This peer review mechanism would be instated regionally to allow sub-regions to work together in improving aviation security and facilitation in their regions.

4. ACTION BY THE MEETING

The meeting is invited to approve the submission of this report to the DGCA/7.